



# ReferencePoint<sup>®</sup>

## Execution Venue Report Message Specification

The definitive reference data service, direct from the source



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# Introduction

ReferencePoint® is a financial information service providing daily market information from the Australian Security Exchange's single integrated trading platform known as the ASX Trade.

The ReferencePoint Execution Venue report is a report introduced as part of regulatory changes that took effect on 28 July 2014 necessitating dissemination of trade execution venue details to allow the market to identify on which exchange a trade was executed. This report is a course of sales report transmitted on T+3 at the end of the day cited on the date label. This file contains a subset of the data already available in the current Broker Trades T+3 service, such as Buyer and Seller Broker ID.

The unique information contained in the Execution Venue Report file and not available in any of ASX's other products are as follow:

- ASX Execution/Reporting Venue – refers to ASX Trade execution venue
- External Execution Venue – refers to the venue where trades were executed external to ASX Trade

# File Availability, Access, Format and File Name

## Availability

ReferencePoint Execution Venue Report is a daily report usually available from 01:00 hours AEST on T+3. Subscribers should make allowances in their systems for changes in file availability as a result of daylight saving. Such changes are notified to subscribers in ASX Market Information Notices.

## Access and Format

ReferencePoint Execution Venue Report file is available in .CSV format via the subscription-based B2B ASX Online Market Information web portal - <https://www.asxonline.com/Marketinfo/Login>. Subscribers are issued with a unique password protected login account to access products through this portal. The file is compressed using Zip compression.

ASX offers optional use of a java applet which allows users to automate the downloading of this file, details of which are available here: <https://www.asxonline.com/marketinfo/help.shtm#a3>

## File Name

ASXOnline Description	Zip File Name	CSV File Name (contained within the zipped file)
Execution Venue (Zipped)	EVREPORT.ZIP	Execution_Venue_Report.csv

## Message Structure

The message has a set format consisting of a specific number of bytes which are divided into fields. This section outlines the ReferencePoint Execution Venue Report message format.

- Rows 1, 3, 7, 9, 11, 12 & 13 - empty
- Row 2 – contains the date of the report
- Rows 4, 5, 6, 8 & 10 – Disclaimer Section
- Row 14 – Report Header
- Row 15 – data content starts

<b>Execution Venue Report</b>	<b>113 Bytes</b>
-------------------------------	------------------

Name	Column*	Size	Comments
ASX Code	A	6	
Security Type	B	2	
Trade Date	C	8	
Time	D	6	
Buyer ID	E	4	
Seller ID	F	4	
Sale Price/Sale Contract Price	G	9	
Sale Volume	H	9	
Sale Value	I	12	
Trade Slip Number	J	10	
(Trade) Condition Codes	K	2	Occurs 8 times
ASX Execution/Reporting Venue	L	4	
External Execution Venue	M	4	
As At Date	N	8	
Basis of Quotation	O	2	Occurs 5 times
Original Trade Capture Date	P	8	
Reversal Reason Code	Q	1	

\*Column location of a field when a file is opened in a spreadsheet.

## Field Definitions

The following alphabetical listing of all the Field Definitions referred to in the preceding text provides details of bytes, format, description and valid values.

Please note that for all field definitions:

- All numeric fields are right-justified and zero filled, and have implied decimal places.
- All alphabetic fields are left-justified and blank filled, unless otherwise stated.
- All times disseminated are based on a 24 hour clock.

As At Date	
Bytes	8
Format	Numeric YYYYMMDD where YYYY = Year MM = Month DD = Day
Description	The date on which the trade was executed. ONLY contains a value if different from the date the trade was captured.
Valid Values	N/A



ASX Code															
Bytes	6														
Format	Alphanumeric														
Description	<p>A code allocated to identify securities and derivative products.</p> <p><b>Equities, Convertible Notes and Company Options:</b> The first three characters (Issuer Code) are a code assigned to the issuing body, and the last three characters (Security Code) are a code allocated to each security pertaining to an issuing body. In the case of ordinary shares, the last three characters are generally blank filled. In relation to equity security codes, a period of 10 years needs to have elapsed before a given code may be reused.</p> <p><b>Interest Rate Securities:</b> The first three characters refer to the underlying security. The fourth character H indicates interest rate security, the fifth character is an alpha character - from A to Z, and the sixth character may be "empty/blank" or an alpha character - from A to Z.</p> <p>For Exchange-traded Australian Government Bonds (AGBs), the following coding convention applies:</p> <ul style="list-style-type: none"> <li>○ First Two Characters                      GS</li> <li>○ 3<sup>rd</sup> Character                                B for Fixed coupon , I for Indexed</li> <li>○ 4<sup>th</sup> Character                                month of maturity  <table style="margin-left: 20px;"> <tr> <td colspan="2"><u>Values</u></td> </tr> <tr> <td>A or B for January</td> <td>C or D for February</td> </tr> <tr> <td>E or F for March</td> <td>G or H for April</td> </tr> <tr> <td>I or J for May</td> <td>K or L for June</td> </tr> <tr> <td>M or N for July</td> <td>O or P for August</td> </tr> <tr> <td>Q or R for September</td> <td>S or T for October</td> </tr> <tr> <td>U or V for November</td> <td>W or X for December</td> </tr> </table> </li> <li>○ 5<sup>th</sup> &amp; 6<sup>th</sup> Characters                      year of maturity (i.e. 2014 will be represented as 14)</li> </ul> <p>For Corporate Bond CDIs, the following coding convention applies:</p> <ul style="list-style-type: none"> <li>○ First three Characters                      Issuer Code</li> <li>○ 4<sup>th</sup> &amp; 5<sup>th</sup> Characters                      Year of maturity (2017 will be represented as 17)</li> <li>○ 6<sup>th</sup> Character                                month of maturity (i.e. "A" or "B" represents January, "C" or "D" represents February, etc...)</li> </ul> <p><b>Exchange Traded Options:</b> The first three characters refer to the underlying security. The fourth and fifth characters used in the coding of each strike of an option series are randomly generated. The sixth character will be the numeral 7, 8 or 9.</p> <p><b>ASX Futures Contracts:</b> The first three characters refer to the underlying security. The fourth and fifth characters are an identifier for the expiry / maturity of the contract.</p>	<u>Values</u>		A or B for January	C or D for February	E or F for March	G or H for April	I or J for May	K or L for June	M or N for July	O or P for August	Q or R for September	S or T for October	U or V for November	W or X for December
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M or N for July	O or P for August														
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U or V for November	W or X for December														

ASX Code																							
Description continuation...	<p><b>Warrants:</b> The first three characters refer to the underlying security; the fourth character signifying a warrant group may be a 'W', 'V', 'U', 'T', 'I', 'J', 'S', 'E', 'X', 'Y', 'Z', 'D', 'K', 'L', 'M'; 'F' or 'Q' the fifth character indicates the warrant issuer; and the sixth character identifies the warrant series.</p> <table border="1"> <thead> <tr> <th style="text-align: left;">Warrant Group</th> <th style="text-align: left;">Description</th> </tr> </thead> <tbody> <tr> <td>W, V, U or T</td> <td>Trading style warrants including equity calls and puts, index calls and puts, currency calls and puts.</td> </tr> <tr> <td>I or J</td> <td>Instalments</td> </tr> <tr> <td>S</td> <td>Self Funding Instalments (SFIs) and Structured Investment Products (SIPs – these include longer-term investment style products).</td> </tr> <tr> <td>E</td> <td>Endowments</td> </tr> <tr> <td>X, Y or Z</td> <td>Warrants which have significantly different structures to any of the above. Examples include equity and index knock-out warrants and Capital Plus Warrants.</td> </tr> <tr> <td>D</td> <td>This is a temporary code assigned to a warrant trading on a <u>deferred settlement</u> basis. The warrant will revert to its original code on the first day of normal trading after deferred settlement ends.</td> </tr> <tr> <td>K or Q</td> <td>MINIs</td> </tr> <tr> <td>L</td> <td>Guaranteed Stop Loss (GSL) MINIs</td> </tr> <tr> <td>M</td> <td>Listed Protected Loan</td> </tr> <tr> <td>F</td> <td>New Product Types</td> </tr> </tbody> </table> <p>In relation to warrant codes, there is no standard time frame for reusing codes that have previously been allocated to securities. This means that a given ASX warrant code may be reused within a period of 2 months.</p> <p><b>ASX Book Build:</b> [ASX Ticker Code][identifier][BB] The identifier is X by default, but moves down the alphabet depending on whether or not the same ASX BookBuild security code has recently been used by the BookBuild Issuer. It is therefore possible to have Y, Z or another letter of the alphabet as identifiers. The security description contains the word "BookBuild".</p> <p><b>Un-sponsored Depository Receipts (UDRs)</b></p> <ul style="list-style-type: none"> <li>– UDRS will have four (4) characters ASX/Trading Code; the first 3 characters do not reference the issuing body.</li> <li>– The first character of the ASX/Trading code will be "U" signifying it is a UDR.</li> </ul> <p>The 2<sup>nd</sup> to 4<sup>th</sup> characters of the code reference the underlying stock on the home exchange.</p>	Warrant Group	Description	W, V, U or T	Trading style warrants including equity calls and puts, index calls and puts, currency calls and puts.	I or J	Instalments	S	Self Funding Instalments (SFIs) and Structured Investment Products (SIPs – these include longer-term investment style products).	E	Endowments	X, Y or Z	Warrants which have significantly different structures to any of the above. Examples include equity and index knock-out warrants and Capital Plus Warrants.	D	This is a temporary code assigned to a warrant trading on a <u>deferred settlement</u> basis. The warrant will revert to its original code on the first day of normal trading after deferred settlement ends.	K or Q	MINIs	L	Guaranteed Stop Loss (GSL) MINIs	M	Listed Protected Loan	F	New Product Types
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Valid Values	N/A																						

Basis of Quotation																																																									
Bytes	2, occurs 5 times																																																								
Format	Alphabetic																																																								
Description	Indicates the status under which a Security is quoted. In the case of trades, this field will only contain a value if special permission has been granted by the respective committees to trade outside the current stated Basis of Quotation.  Note: Standard trading incorporates a fixed settlement period of three business days (T+3).																																																								
Valid Values	<table border="1"> <thead> <tr> <th>Code</th> <th>Description</th> </tr> </thead> <tbody> <tr><td>CB</td><td>Cum Bonus Issue</td></tr> <tr><td>CC</td><td>Cum Capital Return</td></tr> <tr><td>CD</td><td>Cum Dividend</td></tr> <tr><td>CE</td><td>Cum Entitlement</td></tr> <tr><td>CF</td><td>Cum Takeover Offer</td></tr> <tr><td>CL</td><td>Call Due</td></tr> <tr><td>CM</td><td>Cum Premium Return</td></tr> <tr><td>CP</td><td>Call Paid</td></tr> <tr><td>CQ</td><td>Cum Equal Access Buy-back</td></tr> <tr><td>CR</td><td>Cum Rights Issue</td></tr> <tr><td>CT</td><td>Conditional Trading</td></tr> <tr><td>CZ</td><td>Cum Priority</td></tr> <tr><td>NX</td><td>New Ex Interest</td></tr> <tr><td>PA</td><td>Protection Available</td></tr> <tr><td>PU</td><td>Protection Unavailable</td></tr> <tr><td>RA</td><td>Receiver Appointed</td></tr> <tr><td>RE</td><td>Reconstructed</td></tr> <tr><td>XB</td><td>Ex Bonus Issue</td></tr> <tr><td>XC</td><td>Ex Capital Return</td></tr> <tr><td>XD</td><td>Ex Dividend</td></tr> <tr><td>XE</td><td>Ex Entitlement</td></tr> <tr><td>XF</td><td>Ex Takeover Offer</td></tr> <tr><td>XI</td><td>Ex Interest</td></tr> <tr><td>XM</td><td>Ex Premium Return</td></tr> <tr><td>XQ</td><td>Ex Equal Access Buy back</td></tr> <tr><td>XR</td><td>Ex Rights Issue</td></tr> <tr><td>XZ</td><td>Ex Priority</td></tr> </tbody> </table>	Code	Description	CB	Cum Bonus Issue	CC	Cum Capital Return	CD	Cum Dividend	CE	Cum Entitlement	CF	Cum Takeover Offer	CL	Call Due	CM	Cum Premium Return	CP	Call Paid	CQ	Cum Equal Access Buy-back	CR	Cum Rights Issue	CT	Conditional Trading	CZ	Cum Priority	NX	New Ex Interest	PA	Protection Available	PU	Protection Unavailable	RA	Receiver Appointed	RE	Reconstructed	XB	Ex Bonus Issue	XC	Ex Capital Return	XD	Ex Dividend	XE	Ex Entitlement	XF	Ex Takeover Offer	XI	Ex Interest	XM	Ex Premium Return	XQ	Ex Equal Access Buy back	XR	Ex Rights Issue	XZ	Ex Priority
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Buyer Identification	
Bytes	4
Format	Numeric
Description	A four-digit number to identify the buying Trading Participant.
Valid Values	N/A

Trade Condition Codes	
Bytes	2, occurs 8 times
Format	Alphabetic
Description	Two-character code indicating the condition(s) under which the sale was effected.
Valid Values	See the <a href="#">Condition Code Table</a>

ASX Execution/Reporting Venue							
Bytes	4						
Format	Alphanumeric						
Description	Unique code [registered ISO 10383 Market Identification Code (MIC)] identifying the ASX Trade market segment on which the transaction was executed/reported.						
Valid Values	<table> <tr> <td>ASXT</td> <td>ASX TradeMatch®</td> </tr> <tr> <td>ASXC</td> <td>ASX CentrePoint®</td> </tr> <tr> <td>ASXP</td> <td>ASX PureMatch®</td> </tr> </table>	ASXT	ASX TradeMatch®	ASXC	ASX CentrePoint®	ASXP	ASX PureMatch®
ASXT	ASX TradeMatch®						
ASXC	ASX CentrePoint®						
ASXP	ASX PureMatch®						

External Execution Venue	
Bytes	4
Format	Alphanumeric
Description	Unique code [registered ISO 10383 Market Identification Code (MIC) or code assigned by ASIC] identifying the Crossing System or other facility external to ASX on which the transaction was executed where specified by a Trading Participant when submitting a Trade Report to ASX.
Valid Values	Use below URL to ASIC website for up to date list of registered crossing systems.  <a href="http://www.asic.gov.au/asic/ASIC.NSF/byHeadline/List-of-crossing-systems-registered-with-ASIC">http://www.asic.gov.au/asic/ASIC.NSF/byHeadline/List-of-crossing-systems-registered-with-ASIC</a>

Original Trade Capture Date	
Bytes	8
Format	Numeric YYYYMMDD where  YYYY = Year MM = Month DD = Day
Description	The date on which the trade to be cancelled was originally reported.
Valid Values	N/A

Reversal Reason Code																			
Bytes	1																		
Format	Alphabetic																		
Description	A code or indicator allowing the identification of incorrect data which caused the reversal of a trade.																		
Valid Values	<table> <tr> <td>B</td> <td>=</td> <td>Incorrect Broker</td> </tr> <tr> <td>P</td> <td>=</td> <td>Incorrect Price</td> </tr> <tr> <td>S</td> <td>=</td> <td>Incorrect ASX Code</td> </tr> <tr> <td>V</td> <td>=</td> <td>Incorrect Volume</td> </tr> <tr> <td>O</td> <td>=</td> <td>Omitted</td> </tr> <tr> <td>D</td> <td>=</td> <td>Data Entry Error</td> </tr> </table>	B	=	Incorrect Broker	P	=	Incorrect Price	S	=	Incorrect ASX Code	V	=	Incorrect Volume	O	=	Omitted	D	=	Data Entry Error
B	=	Incorrect Broker																	
P	=	Incorrect Price																	
S	=	Incorrect ASX Code																	
V	=	Incorrect Volume																	
O	=	Omitted																	
D	=	Data Entry Error																	

Sale Price / Sale Contract Price	
Bytes	9
Format	Numeric (Expressed as cents to four decimal places or where applicable, expressed as index points)  For Security Types 11, 12, <b>33, 34, 35</b> , 48, 49, <b>57, 58</b> , 85, 87 and 90 to 97 (high denomination equities and warrants & structured products, options and LEPO"s), the <b>Price is expressed as dollars and cents to four decimal places.</b> ----- <b>Numeric 9(7) v 9(2)</b> For Security Types <b>39, 52, 59 &amp; 65</b> (Ultra High Denomination securities), the <b>Price is expressed as dollars and cents to two decimal places.</b>
Description	The price at which a trade/contract was reported or executed.
Valid Values	N/A

Sale Value	
Bytes	12
Format	Numeric (Expressed as dollars and cent to two decimal places.)
Description	The total cost in AU dollars of the sale transaction.
Valid Values	N/A

Sale Volume	
Bytes	9
Format	Numeric
Description	The number of units (i.e. shares, contracts) transacted.
Valid Values	N/A

Security Type	
Bytes	2
Format	Numeric
Description	The type of Security as defined by the issuing body.
Valid Values	See the <a href="#">Security Type Table</a>

Trade Slip Number	
Bytes	10
Format	Numeric
Description	<p>Consists of the Serial Trade Qualifier + Trade Serial Number identifying a trade.</p> <p>Serial Trade Qualifier is a four-digit identifier to aid in the identification of transactions. This is the first 4 digits of the 10 digit Trade Slip Number (TSN) generated by the ASX trading system. Below is the full breakdown of the TSN:</p> <p>ASX Trade Slip Number format is <b>10PNNNNNNN</b> where:</p> <ul style="list-style-type: none"> <li>• <b>1</b>: always 1</li> <li>• <b>0</b>: last digit of the Ordinal date</li> <li>• <b>P</b>: instance number of DC (Deal Capture)</li> <li>• <b>NNNNNNN</b>: Trade Number</li> </ul> <p>Trade Serial Number is a six digit serial number generated by ASX trading system to identify a transaction. this is the last 6 digits of the 10 digit Trade slip Number (TSN) generated by the ASX trading system. Below is the full breakdown of the TSN:</p> <p>. ASX Trade Slip Number format is 10P<u><b>NNNNNNN</b></u> where:</p> <ul style="list-style-type: none"> <li>• <b>1</b>: always 1</li> <li>• <b>0</b>: last digit of the Ordinal date</li> <li>• <b>P</b>: instance number of DC (Deal Capture)</li> <li>• <u><b>NNNNNNN</b></u>: Trade Number</li> </ul> <p>The characters underlined and in bold font represent that part of the TSN that populates the Trade Serial Number data field.</p>
Valid Values	N/A

Seller Identification	
Bytes	4
Format	Numeric
Description	A four-digit number used to identify the selling Trade Participant.
Valid Values	N/A

Time	
Bytes	6
Format	Numeric HHMMSS where HH = Hours            MM = Minutes            SS = Seconds
Description	<p>The time that the record was entered into the computer system to be disseminated.</p> <p>The following 'Time' field changes on the Trade, Quote and Snapshot messages apply after the Integrated Trading System (ITS), now referred to as ASX Trade, was implemented in 2006.</p> <p>Different definitions apply to this field depending on the message type. These other 'Time' definitions are detailed below.</p> <ul style="list-style-type: none"> <li>• Trade / Trade Cancellation Time: this refers to the actual time of the trade or trade cancellation. Trade messages are time-stamped with the time the trade was executed on the trading system.</li> <li>• Quote Capture Time: this refers to the time the ASX central system captured the quote message. Quote messages are time-stamped with the quote capture time of ASX's central repository system.</li> <li>• Snapshot Dissemination Time: this refers to the time of snapshot dissemination. Snapshot messages are time-stamped with the snapshot dissemination time.</li> </ul> <p>The 'Time' field on all other message types will continue to indicate "the time that the record was entered into the computer system to be disseminated".</p>
Valid Values	N/A

Trade Date	
Bytes	8
Format	Numeric YYYYMMDD, where YYYY = Century, Year MM = Month DD = Day
Description	<p>A date reflecting the trade capture date.</p> <p>The time the trade was executed/reported to the trading system. For trade cancellations, the actual time of the trade cancellation.</p>
Valid Values	N/A

## General Information

This section provides general information applicable to the various messages.

### Basis of Quotation

Generally securities trade on a 'cum' basis. However, under certain circumstances, special markets may be created on a daily basis, which trade outside the 'normal' market and in addition to the normal market.

To identify trades that have occurred as a result of a special market, a subscriber should interrogate the basis of quotation and special market indicator fields. Trades which occur in a **normal** market do **not** contain any values in the basis of quotation field and contain a value of 'N' in the special market indicator field, regardless of whether it is an 'ex' or a 'cum' market. Trades that occur as the result of a **special** market, have one or more values in the basis of quotation field. Refer to 'Basis of Quotation' field definition for the relevant valid values.

For example, if the normal market for XYZ is ex-dividend, then the basis of quotation field is blank filled. If a 'cum' dividend special market is established, any trades executed in this special market will contain 'CD' in the basis of quotation field.

A special market may be established for a security that has more than one basis of quotation. For example, a cum dividend (CD), cum rights (CR) special market may be established for XYZ. Any trades executed in this special market would have 'CD' and 'CR' in the basis of quotation field.

**Any trade that contains a value other than spaces in the basis of quotation field should not update the first, high, low or last fields.** These are considered off market trades, and thus only update the cumulative volume and cumulative value fields.



## Security Type Table

Type	Description
<b>01-03</b>	<b>Ordinary Shares</b>
01	Ordinary
02	Restricted Ordinary
03	Employee Ordinary
04	Allocation Interest
05	Forfeited
06	Trust Units
07	Exchange Traded Fund Units
08	Reserved (N.Z.)
09	Entitlements
10	Rights
11	High Denomination Equities
12	High Denomination Convertible Notes
15	CHESS Miscellaneous Payments
<b>16-31</b>	<b>Preference</b>
16	Preference
17	Cumulative Preference
18	Cumulative Redeemable Preference
19	Cumulative Redeemable Convertible Preference
20	Cumulative Convertible Preference
21	Convertible Preference
22	Convertible Redeemable Preference
23	Redeemable Preference
24	Part Preference
25	Redeemable Part Preference
26	Cumulative Part Preference
27	Convertible Part Preference
28	Cumulative Redeemable Part Preference
29	Cumulative Redeemable Convertible Part Preference
30	Employee Preference
31	Cumulative Part Employee Preference
<b>32-38</b>	<b>Funds</b>
32	Trading Long Exposure
33	Trading Managed Fund – High Denomination
34	QDB Managed Fund (T+3) – High Denomination
35	mFund – High Denomination
36	Trading Managed Fund

Type	Description
37	QDB Managed Fund (T+3)
38	mFund
<b>40-42</b>	<b>Company Options</b>
40	Options
41	Employee Options
42	Restricted Option
<b>43</b>	<b>Delivery Option</b>
<b>44</b>	<b>Bonus Delivery Option</b>
<b>45</b>	<b>Option Bonds</b>
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57	QDB Structured Product Call – High Denomination
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<b>73</b>	<b>Wholesale Corporate Interest Rate Securities</b>
<b>80</b>	<b>Government Loans</b>

Type	Description
81	Bond CDI (covers Exchange-traded Australian Government Bonds and Corporate Bond CDIs)
83	Screen Traded Government Loans
85	Australian Grain Futures
87	Australian Wool Futures
90	Local Call Options
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92	International Call Options
93	International Put Options
94	ASX Futures Call Options
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97	ASX Futures Contracts
99	Australian Futures Strip

## Condition Code Table

Condition Code	Description
	<b>TRANSACTION TYPE</b>
BB	Bulletin Board Trade (now used instead of CT combination Trade. However, CT is still used in trade report situations LTCT, XTCT & SPCT.
BK	Buy Back
BP	Booking Purposes Only
CM	Tailor Made Combo 1 Side
CP	Centre Point Preferred Matched Trade
CT	Combination Trade
CX	Centre Point Trade
DR	Directed Reporting
EC	Exercise of Call
EP	Exercise of Put
EQ	Equity Combination
GL	Non-Screen Traded Government Instrument
LN	Loan
LR	Loan Return
QB	Quote Display Board Trade
SM	Self-Managed Super Fund (SMSF) Transfer
ST	Stabilisation Trade
TM	Tailor Made Combination
VM	Volume Match Trade
WH	Non-Screen Traded Wholesale Instrument
	<b>TIME OR LOCATION</b>
LT	Late Trade Report <ul style="list-style-type: none"> <li>▪ Derivative Market Products</li> <li>▪ Cash Market Products post 5pm</li> </ul>
L1	Late Trade Report - Book Squaring
L2	Late Trade Report - Hedging Trades
L3	Late Trade Report - Order Completion
L4	Late Trade Report - Error Rectification
L5	Late Trade Report - Put Through
OS	Overseas
	<b>DELIVERY</b>
FD	Forward Delivery

Condition Code	Description
OR	Overseas Resident
	<b>CROSSING/SPECIAL</b>
IB	Index Replicating Special Crossing
ET	Exchange Traded Funds Special
NX	NBBO Crossings
OC	OTC Contingent Equity Trade
P1	Put-Through Special Crossing $\geq T2$
P2	Put-Through Special Crossing $\geq T3 < T2$
SA	Special Crossing Sale to complete client order
SO	Other Special Sale (i.e. Special Sales other than 'SP', 'SX' and 'SA')
S1	Special Crossing $\geq T1$
S2	Special Crossing $\geq T2 < T1$
S3	Special Crossing $\geq T3 < T2$
SP	Block Special Trade Report
SX	Special Sale Portfolio
XT	Crossed Trade
	<b>SHORT SALE</b>
SH	Short

# Manual Updates History

Date	Bulletin	Page	Changes Made
Updates since manual version 1.0			

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## ReferencePoint Content & System Support

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