

ASX GROUP CONTACT APPOINTMENT FORM



APPLICANT NAME:	
DATE:	

Applicants are required to appoint contacts for the participation types listed on this form. Individuals appointed (including those outside Australia) must be available for ASX to communicate with on a day-to-day operational basis. Participants are requested to notify ASX of changes to their contact lists. ASX makes the ASXOnline Portal and ASX Compliance Monitor (ACM) available for participants to keep this information up to date. Alternatively, updates can be sent directly to the relevant email address provided.

PARTICIPATION TYPE	CONTACT TYPE	DESCRIPTION
<input checked="" type="checkbox"/> All Participants	A	ASX Participants Compliance Contact (compliance@asx.com.au) All participants must appoint two or more individuals from their compliance team (or closest equivalent) to be a designated compliance contact for ASX Participants Compliance regarding regulatory matters. At least one individual must be set-up as a user for ASX Compliance Monitor (ACM) to submit notifications to ASX. Please indicate this preference for that individual in the checkbox provided.
<input checked="" type="checkbox"/> ASX Clear <input checked="" type="checkbox"/> ASX Clear (Futures)	B	ASX Clearing Risk Management Contact (ermteam@asx.com.au) All Clearing participants must appoint at least one individuals from their compliance, operations, risk management and/or treasury team or closest equivalents) to be designated contacts for ASX Clearing Risk Management regarding: <ul style="list-style-type: none"> • Notification of changes to STELs • Margin calls • General capital or risk management issues If an individual is only to be contacted with respect to one of the above, or to apply a priority to an individual (i.e. preferred contact), please indicate in the comments section for that individual.
<input checked="" type="checkbox"/> ASX <input checked="" type="checkbox"/> ASX 24	C	ASX Trading Operations Contact (tradingoperations@asx.com.au) All trading participants must appoint two or more individuals from their trading operations team (or closest equivalent) to be a designated contact for ASX Trading Operations regarding: <ul style="list-style-type: none"> • Trade related incidents • Market outages At least one individual must be set up on ASXOnline to be granted the authority to monitor, add and delete additional authorised signatories on behalf of the participant. Please indicate this preference for that individual in the checkbox provided.

APPOINT THE FOLLOWING INDIVIDUALS AS CONTACTS:

At least two individuals must be appointed for each Contact Type (where applicable)

FIRST NAME:		SURNAME:	
POSITION / TITLE:			
EMAIL ADDRESS:		Email Distribution List:	
LANDLINE:		MOBILE:	
CIRCLE CONTACT TYPE(S):	A	B	C
SELECT ACCESS (where applicable):		<input type="checkbox"/> set-up for ACM access	<input type="checkbox"/> set-up as user for ASXOnline
COMMENTS:			

FIRST NAME:		SURNAME:	
POSITION / TITLE:			
EMAIL ADDRESS:		Email Distribution List:	
LANDLINE:		MOBILE:	
CIRCLE CONTACT TYPE(S):	A	B	C
SELECT ACCESS (where applicable):		<input type="checkbox"/> set-up for ACM access	<input type="checkbox"/> set-up as user for ASXOnline
COMMENTS:			



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FIRST NAME:		SURNAME:	
POSITION / TITLE:			
EMAIL ADDRESS:		Email Distribution List:	
LANDLINE:		MOBILE:	
CIRCLE CONTACT TYPE(S): SELECT ACCESS (where applicable):	A	B <input type="checkbox"/> set-up for ACM access	C <input type="checkbox"/> set-up as user for ASXOnline
COMMENTS:			

FIRST NAME:		SURNAME:	
POSITION / TITLE:			
EMAIL ADDRESS:		Email Distribution List:	
LANDLINE:		MOBILE:	
CIRCLE CONTACT TYPE(S): SELECT ACCESS (where applicable):	A	B <input type="checkbox"/> set-up for ACM access	C <input type="checkbox"/> set-up as user for ASXOnline
COMMENTS:			

FIRST NAME:		SURNAME:	
POSITION / TITLE:			
EMAIL ADDRESS:		Email Distribution List:	
LANDLINE:		MOBILE:	
CIRCLE CONTACT TYPE(S): SELECT ACCESS (where applicable):	A	B <input type="checkbox"/> set-up for ACM access	C <input type="checkbox"/> set-up as user for ASXOnline
COMMENTS:			

FIRST NAME:		SURNAME:	
POSITION / TITLE:			
EMAIL ADDRESS:		Email Distribution List:	
LANDLINE:		MOBILE:	
CIRCLE CONTACT TYPE(S): SELECT ACCESS (where applicable):	A	B <input type="checkbox"/> set-up for ACM access	C <input type="checkbox"/> set-up as user for ASXOnline
COMMENTS:			

For additional contacts, append an additional form(s).