The ASX Online Customer Portal

A brief overview for ALC and ASX Technical Services customers.



Contacts

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Introduction

ASX Online is a channel for ALC and ASX Technical Services customers to connect with ASX. The channel delivers a range of features and services enabling you to perform daily tasks with confidence, ease and speed.

What is ASX Online?

What can you use ASX Online for?

ALC and ASX Technical Services customers can complete the following tasks:

- Submission of a Work Access Request,
- Submission of a service order such as a Smart Hands,
- Requesting a quote for technical services such as a cross connect or a new gateway,
- · Viewing scheduled maintenance events, and
- Reviewing existing Service Contracts.

How do I access ASX Online?

How is access to ASX Online managed?

ASX has created a "master user" in ASX Online known as Enterprise Administrator. The Enterprise Administrator is responsible for creating, managing and disabling authority on behalf of other users within the participant they are responsible for. An Enterprise Administrator can assign any and all roles to themselves.

There is no limit on the number of Enterprise Administrators a participant can appoint, however, ASX requires a minimum of two per participant.

Enterprise Administrators are required by ASX, when providing access to ASX Online to a new user, to inform the relevant user of ASX's Privacy Statement by accepting terms.

ASX recommends each participant develop internal business processes to communicate the identity of the Enterprise Administrator to relevant staff.



How are roles appointed?

Enterprise Administrators are responsible for creating, managing and disabling authority on behalf of other users within ASX Online (See Image 2: Manage user roles and permissions). There is no limit or restriction on which roles can be assigned to an ASX Online user.

Enterprise Administrators should use the role of '<u>Default</u>' for staff who only have limited interactions with ASX Online. A '<u>Default</u>' ASX Online user can view and manage personal contact information, manage notification & event preferences, receive subscriptions based on those preferences as well as submit and manage service requests.

How are roles and permissions amended and removed?

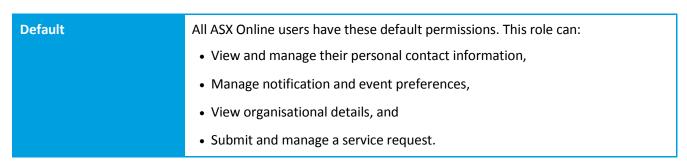
Enterprise Administrators can amend or remove any roles assigned to a user, including disabling the user, effectively suspending their access to ASX Online.



MASX Search ASX Online. Sign-out Horizon Trading My Dashboard **EDIT NATHAN'S ROLE** Manage Users Add a new user Please select one or more roles below. View all users ASX will provide Nathan with access to a selection of tasks in the portal based on permissions related to the roles assigned here. To find out more, click the info icon on each tile, or download a complete list of roles and Ask ASX associated permissions / PDF 115kb. E Company Information Technical Services & ALC Default Authorised Signatory Authorised Signatory → отс ASX Trade Participants ASX Trade24 Participants ? → ASX Compliance Monitor (ACM) → BookBuild **Business Authorised** Compliance Manager Enterprise Administrator → Return Lodgement and Monitoring System (RLM) → TradeAccept Operations Member Operations Member Operations Member (Back Office) (Front Office) (Middle Office) Securities Lending Short Sales Reporting Tech Authorised Reporting Tech Operator

Image 2: Manage user roles and permissions

What are the roles specific to ALC and Technical Services customers?



Roles that can be granted in addition to Default:



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Enterprise Administrator	Most ALC customers will require 1 to 2 Enterprise Administrators. This role creates, manages and disables authority of behalf of users for ASX Online. This role is also responsible for maintaining the organisational details of the entity including branch locations and if applicable.
Tech Authorised	This role can:
	 Request access to the Australian Liquidity Centre (ALC) and ASX Hosted hardware and equipment located in the ALC,
	Order an ALC Service,
	 Request a quote for a price of an ALC product or service,
	 View current ALC product and services, and
	Submit and manage a technical service request.
Tech Operator	This role can submit and manage a technical service request.



How do I sign in to ASX Online if I am assigned to multiple entities?

ASX Online users, including Enterprise Administrators, who represent more than one customer will be required to select the relevant entity the user would like to represent when they sign in. An ASX Online user can only be signed in into one entity at a time. ASX Online will display to the user which entity they are signed into. ASX Online users who subscribe to notices and other published content only need to do so from only one of the entities.

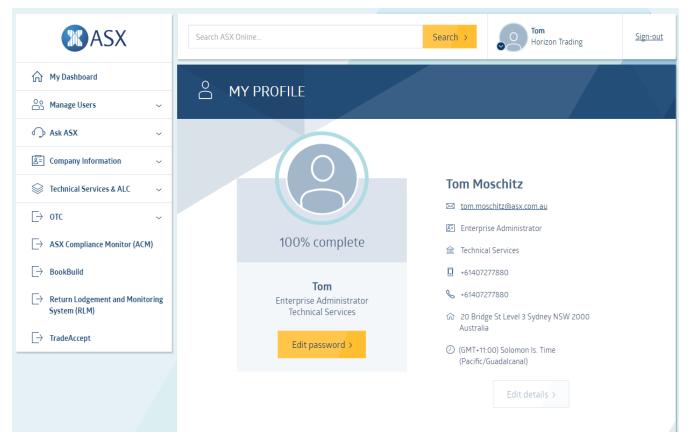
What information does ASX require to provide access to ASX Online?

- 1. Email Address: The Email address will be used as their username for sign in (Note: ASX requires this to be an individual and not a group email address)
- 2. First Name
- 3. Last Name
- 4. Job Title (optional)
- 5. Department (optional): This information may help Enterprise Administrators undertake internal audits of system access
- 6. Office Number: The primary contact number for the ASX Online user.
- 7. Mobile Number (optional): A secondary contact number for the ASX Online user, if the user is not contactable on the primary number.
- 8. Office Address (optional): This information may help Enterprise Administrators undertake internal audits of system access.
- 9. Time Zone: This information is used by ASX when making telephone contact with the ASX Online user.

(See Image 3: User Profile)



Image 3: User Profile



Why am I required to accept Terms and Conditions when I add a new user to ASX Online?

As an Enterprise Administrator providing access to ASX Online for users within your entity, ASX requires you to inform the relevant user of ASX's Privacy statement.

What can be accessed within ASX Online without having a sign in?

ASX Online users that do not have a sign in can search for and view public notifications including ASX Notices as well as public events.



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Receiving and viewing notifications

How can I subscribe to ASX Notifications?

Image 4: Manage Notification Preferences

ASX Notifications and other communications such as ALC scheduled maintenance events can easily be subscribed to from the 'Manage Notifications' page (See Image 4: Manage Notification Preferences). ASX Online users can choose to have notifications emailed to them, as is the case today or access their notifications from ASX Online directly.

M ASX Search ASX Online. My Dashboard MY NOTIFICATION PREFERENCES Manage Users Ask ASX < Go to My Profile & Company Information ▼ Technical Services & ALC Manage your notification preferences by selecting the type of notification below and clicking on the downward arrow to filter your preferences further [→ отс You can choose to receive new notification alerts by email or just through ASX Online each time you log-in in the 'My notifications section' → ASX Compliance Monitor (ACM) Alert me by email On → BookBuild → Return Lodgement and Monitoring Notices Reports System (RLM) → TradeAccept **Documents** Promotional Event Industry Event

How do I amend Notifications preferences?

ASX Notices and other communications can easily be subscribed to from the 'Manage Notifications' page. ASX Online users can choose to have notifications emailed to them or access their notifications from ASX Online directly.

Market Event

Compliance Event

Technology Event



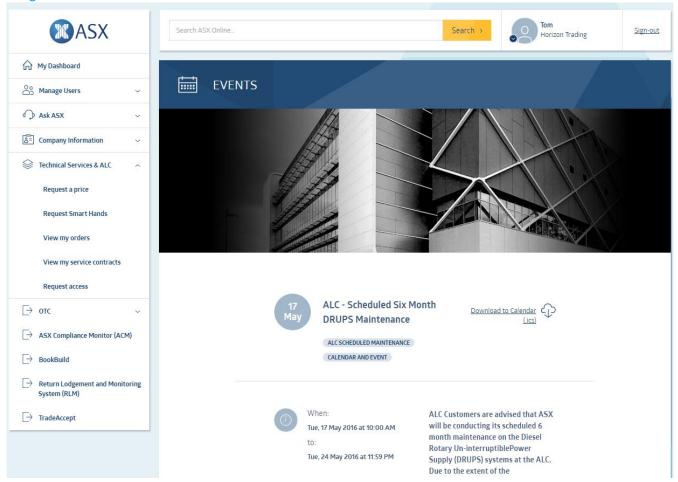
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How do I view scheduled maintenance events?

ASX Notifications and other communications such as ALC schedule maintenance events (See Image 5: Scheduled events) can easily be viewed by following the Calendar link from the Dashboard. Calendar events and other published notifications can also be viewed through Search.

Image 5: Scheduled events





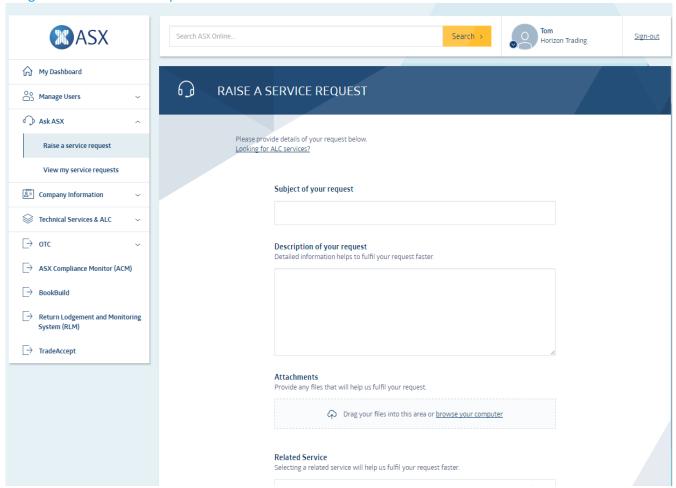
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Raising Service Requests

ASX Online provides customers with the ability to raise service requests (See Image 1: Raise a Service Request) under the following sub-sets:

- Technical requests/questions will be directed to Customer Technical Support
- General requests/questions will be directed to ASX Customer Service

Image 1: Raise a Service Request





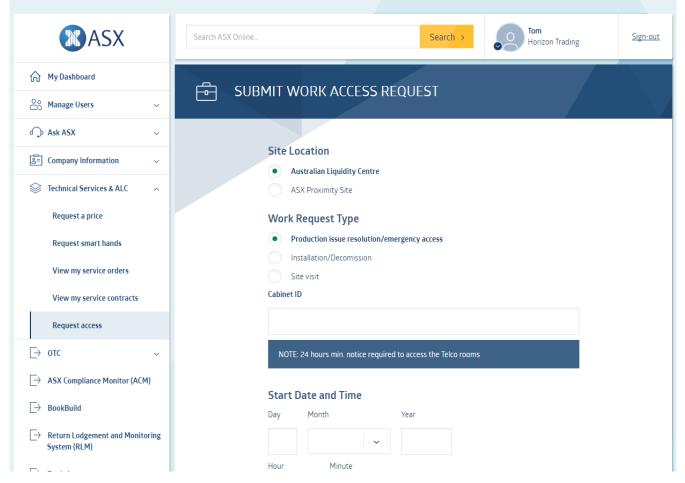
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Requesting ALC Access and Ordering Technical Services

How do I request access to the ALC?

Submitting a Work Access Request is a simple and intuitive process ASX Online. Access to the request function is available in the main navigation panel on the left of all ASX Online pages (See Image 6: Submit Work Access Request). Simply choose the Site Location, Work Request Type, Date and Time, Short description of the activity and the details of the team member/s requiring access.

Image 6: Submit Work Access Request





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How do I request Smart Hands?

A range of Smart Hands and associated specialist services can be ordered directly from ASX Online. Access to the request function is available in the main navigation panel on the left of all ASX Online pages (See Image 7: Request Smart Hands).

Image 7: Request Smart Hands **X**ASX Search ASX Online. Search > Sign-out My Dashboard **REQUEST SMART HANDS** Manage Users Ask ASX Service selector E Company Information Please select the following ALC services that meet your business needs. Technical Services & ALC Looking for Information & Technical Service Products? Request a price 7 products shown Request smart hands Services Description Cost (excluding GST) View my service orders Goods Receiving Goods Receiving \$150 View my service contracts Select shipment Request access [→ отс Goods Storage Goods Storage \$150 → ASX Compliance Monitor (ACM) Select shipment (per week → BookBuild stored) Return Lodgement and Monitoring System (RLM) Packaging Disposal \$50 per Packaging Disposal Select collection → TradeAccept

ASX Smart Hands

ASX Smart Hands

\$250

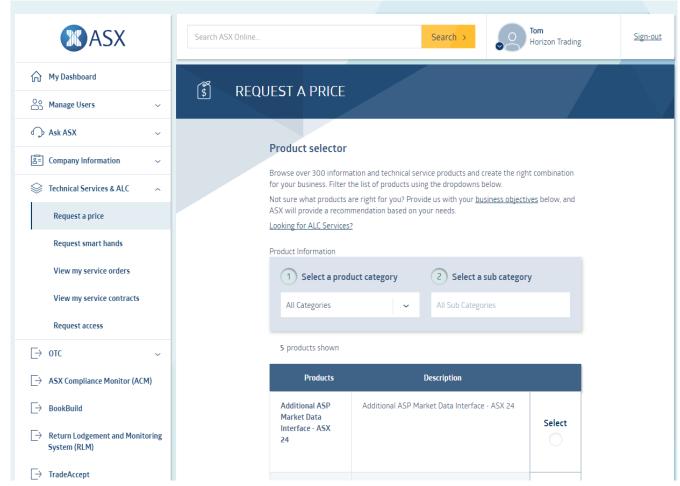


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How do I request a price?

Requesting a quote for technical services such as a cross connect or a new gateway is now possible via ASX Online and you can choose from over 300 information and technical service products, allowing you to create the right combination for your business needs. Access to the request function is available in the main navigation panel on the left of all ASX Online pages (See Image 8: Request a price). Simply select the products and services you require and then request a price.

Image 8: Request a price



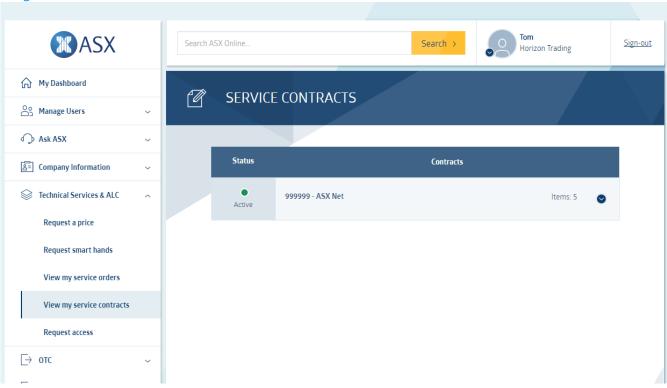


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How can I review my existing Service Contracts?

Details of existing service contacts are also available to be viewed in ASX Online. (See Image 9: Service Contracts). Using this Service Contract feature will allow you to view a list of all of your ASX Information and Technical Services product subscriptions.

Image 9: Service Contacts



Where can I access further information?

If you have any questions in the meantime please contact your ASX Business Development Manager directly or email sales@asx.com.au.



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