



# CHANGE OF CONTROLLING PARTICIPANT

**To:** Equity Operations  
**Company:** ASX Settlement Pty Limited (ASXS)  
**Email:** [chesshelp@asx.com.au](mailto:chesshelp@asx.com.au)  
**Phone:** 1800 814 051

Please nominate method to be used by marking the appropriate box:

**Complete Change of PID**     **Partial Change of PID**    **Effective Date:**  
(refer to table below)

Notes:

- (a) New Controlling Participant completes all relevant details and passes the form to the 'Relinquishing Participant' for their signature.
- (b) Reference should be made to the appropriate section of the ASX Settlement Procedure Guidelines for assistance in completing this form.
- (c) If Allocation of New HIN(s) method is required, transfer of securities is effected by the use of off-market transfers (refer EIS message no: 005 "Demand Dual Entry CHESS to CHESS Transfer Request".)

Existing HIN	Full Holder Name	Receiving HIN

*If the space provided in the table above is insufficient, all requisite details for additional holders may be listed on an attachment or an EXCEL spreadsheet may be used. Contact Settlement Operations for details.*

**Suppress Holder Notification**

Notes:

- (a) Notifications can only be suppressed if Holders have been advised of change of sponsor by alternative means.

## RELINQUISHING PARTICIPANT

PID: | | | | |      Date:

Name of Authorised Officer

Signature of Authorised Officer

Participant Stamp  
(If applicable)

## NEW CONTROLLING PARTICIPANT

PID: | | | | |      Date:

Name of Authorised Officer

Signature of Authorised Officer

Participant Stamp  
(If applicable)

The Participant indemnifies ASX Settlement against any and all losses, damages, cost and expenses that ASX Settlement may suffer as a result of ASX Settlement acting upon this request.

**Privacy notice:** ASX collects and processes personal information in order to provide our services. Please see our Privacy Statement at [www.asx.com.au/about/privacy-statement.htm](http://www.asx.com.au/about/privacy-statement.htm) for information on how ASX handles personal information and your rights in relation to your personal information held by ASX. For further enquiries please contact our Privacy Officer at the details in the Privacy Statement. If you provide personal information of any other individual to ASX, you should provide a copy of this notice to the individual before doing so.