Demand Single Entry CHESS to CHESS Transfer Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	M
16	Receiving HIN	10 Numeric	O
17	Delivering HIN	10 Numeric	O
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

  To enable a Participant to transfer securities between two CHESS holdings under its control, e.g. between a Participant's direct and controlled holdings. The destination account cannot be the participants default Settlement account.

The Participant is advised whether the transfer request was effected or rejected.

Either the Receiving HIN or the Delivering HIN must be present in the message. Where only one HIN is present, it must not be the same as the default HIN. If both are present, they must be different.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time
  - if the message is to be processed prior to the next settlement process

  End of Day
  - if the message is to be processed prior to the end of day process

- **Prerequisites**

  None

Effected Demand Single Entry CHESS to CHESS Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
34	Participant Reference	16	Character	O
38	Basis of Movement 1	2	Character	O
39	Basis of Movement 2	2	Character	O
40	Basis of Movement 3	2	Character	O
41	Basis of Movement 4	2	Character	O
42	Basis of Movement 5	2	Character	O
48	Transaction Id	16	Character	M
53	Transferor Holding Balance	11	Numeric	M
54	Transferee Holding Balance	11	Numeric	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

   To notify a Participant that a transfer of securities has been effected between two CHESS holdings under its control, e.g. between a Participant's direct and controlled holdings.

- **Sender**

   CHESS

- **Recipient**

   Participant

- **Transmission Cut-off Times**

   None

- **Prerequisites**

   Receipt of a 001 Demand Single Entry CHESS to CHESS Transfer Request

Transferor Submitted Demand Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	M
16	Receiving HIN	10 Numeric	O
17	Delivering HIN	10 Numeric	O
19	Receiving PID	5 Numeric	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

  To enable two Participants within a Related Participant Structure to transfer securities between holdings under their control. The 'Parent Participant' may deliver securities to a 'Related Participant'; the 'Related Participant' may deliver securities to the 'Parent Participant'.

The transferor Participant is advised whether the transfer request was effected or rejected. If the transfer is effected, the transferee Participant is advised the details of the transfer.

The destination account cannot be the participants Settlement account. Participants should use the new MT107 CHESS message.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time
  - if the message is to be processed prior to the next settlement process

  End of Day
  - if the message is to be processed prior to the end of day process

- **Prerequisites**

  None

Effected Transferor Submitted Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
34	Participant Reference	16	Character	O
38	Basis of Movement 1	2	Character	O
39	Basis of Movement 2	2	Character	O
40	Basis of Movement 3	2	Character	O
41	Basis of Movement 4	2	Character	O
42	Basis of Movement 5	2	Character	O
48	Transaction Id	16	Character	M
53	New Holding Balance	11	Numeric	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify the transferor Participant that a Transferor Submitted Demand Transfer has been effected on the CHESS subregister.

- **Sender**

  CHESS

- **Recipient**

  Transferor Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 003 Transferor Submitted Demand Transfer

Demand Dual Entry CHESS to CHESS Transfer Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	M
16	HIN	10 Numeric	O
19	Receiving PID	5 Numeric	M
20	Delivering PID	5 Numeric	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
176	Guaranteed Foreign Indicator	2 Character	O
178	Secondary Matching Flag	1 Character	O

- **Purpose**

  To transfer securities between CHESS holdings controlled by different Participants in CHESS. Both Participants are required to enter requests which are then matched.

  If the transfer is originating from the Accumulation Account then there has to be sufficient units to cover all previously accepted MT107 messages.

If a match is made the transfer is effected immediately and the result is advised to both Participants. The Basis of Movement is determined at the point the transfer is effected.

If no match is made the request is flagged as unmatched, the submitting Participant is advised that the request is unmatched and the counterparty is advised of the details.

If Secondary Matching Flag is used, i.e. populated with Y, the Supplementary Reference field becomes mandatory and populated data must match with counterparty. Supplementary Reference field must not contain spaces.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time
  - If the message is to be processed prior to the next settlement process

  End of Day
  - If the message is to be processed prior to the end of day process

- **Prerequisites**

  None

Effected Demand Dual Entry CHESS to CHESS Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
53	New Holding Balance	11 Numeric	M
62	Origin Transaction Id	16 Character	M
90	Matching Transaction Id	16 Character	M

- **Purpose**

  To notify a Participant that a Demand Dual Entry CHESS to CHESS Transfer has been effected. The Participant Reference is the Participant's own Participant Reference; the Supplementary Reference is the counterparty Participant's Supplementary Reference.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt and matching of two 005 Demand Dual Entry CHESS to CHESS Transfer Request messages

Demand CHESS to Issuer Sponsored Transfer Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	M
17	Delivering HIN	10 Numeric	O
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
59	Receiving Registration Details	180 Character	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
100	Tax File Number / ABN 1	12 Character	O
101	Tax File Number / ABN 2	12 Character	O
102	Tax File Number / ABN 3	12 Character	O
175	Receiving Residency Indicator	1 Character	O
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

  To enable securities in a CHESS holding to be transferred to Issuer sponsored form. The Participant is advised that the transfer has been either effected or rejected.

  If the transfer is effected, the transfer details are advised to the Registry.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time
  - if the message is to be processed prior to the next settlement process

  End of Day
  - if the message is to be processed prior to the end of day process

- **Prerequisites**

  None

Effected CHESS to Registry Movement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	O
17	Delivering HIN	10 Numeric	O
21	Processing Timestamp	22 Character	M
25	Movement Reason	1 Character	O
34	Participant Reference	16 Character	O
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	O
53	New Holding Balance	11 Numeric	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

  To notify a Participant that securities from a CHESS holding have been moved to an Issuer register as requested by the Participant or as deemed necessary by ASX Settlement. The movement may be a transfer or conversion to certificated or Issuer sponsored mode.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  - Receipt of one of the following:
    - 007 Demand CHESS to Issuer Sponsored Transfer Request
    - 009 Demand CHESS to Certificated Transfer Request
    - 011 CHESS to Issuer Sponsored Conversion Request
    - 013 CHESS to Certificated Conversion Request

  or

  - Action by ASX Settlement

Demand CHESS to Certificated Transfer Request

1	**2**	3	4	5	6	7	8	9	10	**11**	12	13	14	15	16
17	18	19	20	21	22	23	24	**25**	26	27	**28**	29	30	31	32
33	**34**	**35**	36	37	**38**	**39**	**40**	**41**	**42**	43	44	45	46	47	**48**
49	50	**51**	**52**	53	54	55	56	57	58	**59**	60	61	62	63	64

65	66	**67**	**68**	**69**	**70**	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	**100**	**101**	**102**	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	**175**	**176**
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	M
17	Delivering HIN	10 Numeric	O
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
59	Receiving Registration Details	180 Character	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
100	Tax File Number / ABN 1	12 Character	O
101	Tax File Number / ABN 2	12 Character	O
102	Tax File Number / ABN 3	12 Character	O
175	Receiving Residency Indicator	1 Character	O
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

  To enable securities in a CHESS holding to be transferred to certificated form. The Participant is advised that the transfer has been either effected or rejected. If the transfer is effected, the transfer details are advised to the Registry.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time
  - if the message is to be processed prior to the next settlement process

  End of Day
  - if the message is to be processed prior to the end of day process

- **Prerequisites**

  None

Applied Transferor Submitted Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	M
16	Receiving HIN	10 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
35	Supplementary Reference	16 Character	O
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
53	New Holding Balance	11 Numeric	M
62	Origin Transaction Id	16 Character	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

    To notify the details of a transferor submitted Demand Transfer effected on the CHESS subregister to the Participant who controls the transferee holding.

- **Sender**

  CHESS

- **Recipient**

  Transferee Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 003 Transferor Submitted Demand Transfer by the Participant who controls the Transferor CHESS holding

CHESS to Issuer Sponsored Conversion Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
17	Delivering HIN	10 Numeric	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

  To allow securities in a CHESS holding to be converted to Issuer sponsored form. The Participant is advised whether the conversion request has been effected or rejected. If the conversion is effected, the conversion details are advised to the Registry.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time
  - if the message is to be processed prior to the next settlement process

  End of Day
  - if the message is to be processed prior to the end of day process

- **Prerequisites**

  None

Unmatched Demand Dual Entry CHESS to CHESS Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	M
19	Receiving PID	5 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
35	Supplementary Reference	16 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M
176	Guaranteed Foreign Indicator	2 Character	O
178	Secondary Matching Flag	1 Character	O

- **Purpose**

  To notify a participant that a dual entry CHESS to CHESS transfer request has been received from the counterparty to the transfer. The Participant is required to enter a corresponding message so that the transfer can be matched and effected.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 005 Demand Dual Entry CHESS to CHESS Transfer Request

CHESS to Certificated Conversion Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
17	Delivering HIN	10 Numeric	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

  To allow securities in a CHESS holding to be converted to certificated form. The Participant is advised whether the conversion request has been effected or rejected. If the conversion is effected, the conversion details are advised to the Registry.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time
  - if the message is to be processed prior to the next settlement process

  End of Day
  - if the message is to be processed prior to the end of day process

- **Prerequisites**

  None

Issuer Sponsored to CHESS Transfer Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
11	Transaction Basis	1	Character	M
16	Receiving HIN	10	Numeric	O
25	Movement Reason	1	Character	M
28	Location of Register	3	Character	O
34	Participant Reference	16	Character	O
35	Supplementary Reference	16	Character	O
38	Override Basis of Movement 1	2	Character	O
39	Override Basis of Movement 2	2	Character	O
40	Override Basis of Movement 3	2	Character	O
41	Override Basis of Movement 4	2	Character	O
42	Override Basis of Movement 5	2	Character	O
46	PIN	4	Numeric	O
48	Transaction Id	16	Character	M
51	Securityholder Reference Number	12	Character	M
52	Unit Quantity	11	Numeric	M
67	Stamping Consideration	14	Numeric, 2 decimal	O
68	Duty Payable	14	Numeric, 2 decimal	O
69	Duty Type	1	Character	O
70	Underlying Reference	16	Character	O
176	Guaranteed Foreign Indicator	2	Character	O

- **Purpose**

    To enable securities in an Issuer sponsored holding to be transferred to the CHESS subregister. The transfer request requires authorisation by the Registry.

The transfer must be into the participant default Accumulation Account. Requests to transfer to the participants default Settlement Account will be rejected.

The Participant is advised if the transfer request has been passed to the Registry or rejected by CHESS. The transfer request details are forwarded to the Registry. The request is held in CHESS until a response is received from the Registry.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time less 36 hours if the securities being moved to the CHESS subregister are required for settlement purposes

- **Prerequisites**

  None

Accepted Registry to CHESS Movement Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that a request to move securities from a holding controlled by the Registry to a CHESS holding has been passed to the Registry for authorisation.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of one of the following:
  015 Issuer Sponsored to CHESS Transfer Request
  017 Issuer Sponsored to CHESS Conversion Request
  019 Certificated to CHESS Conversion Request
  021 Certificated to CHESS Transfer Request

Issuer Sponsored to CHESS Conversion Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
16	Receiving HIN	10	Numeric	M
25	Movement Reason	1	Character	M
28	Location of Register	3	Character	O
34	Participant Reference	16	Character	O
35	Supplementary Reference	16	Character	O
38	Override Basis of Movement 1	2	Character	O
39	Override Basis of Movement 2	2	Character	O
40	Override Basis of Movement 3	2	Character	O
41	Override Basis of Movement 4	2	Character	O
42	Override Basis of Movement 5	2	Character	O
46	PIN	4	Numeric	O
48	Transaction Id	16	Character	M
51	Securityholder Reference Number	12	Character	M
52	Unit Quantity	11	Numeric	M
176	Guaranteed Foreign Indicator	2	Character	O

- **Purpose**

  To enable securities in an Issuer sponsored holding to be converted to the CHESS sub-register. The conversion request requires authorisation by the principal Registry. The transfer must be into the participant default Accumulation Account. Requests to transfer to the participants default Settlement Account will be rejected.

  The Participant is advised if the conversion request has been passed to the Registry or rejected by CHESS. The conversion request details are forwarded to the Registry. The request is held in CHESS until a response is received from the Registry.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time less 36 hours if the securities being moved to the CHESS subregister are required for settlement purposes

- **Prerequisites**

  None

Effected Securities Transformation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
2	Reducing Security Code	12 Character	M
10	Increasing Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
33	Reason	3 Character	M
34	Registry Reference	16 Character	O
48	Transaction Id	16 Character	M
52	Reducing Unit Quantity	11 Numeric	M
53	Increasing Unit Quantity	11 Numeric	M
54	Reducing Holding Balance	11 Numeric	M
55	Increasing Holding Balance	11 Numeric	M
62	Origin Transaction Id	16 Character	M
88	Effected Timestamp	22 Character	M

- **Purpose**

  To notify a Participant of holding movements resulting from corporate actions (e.g. call paid conversion, rights paid conversion, option exercise, convertible note conversion). Securities transformations involve the reduction of the balance of one CHESS holding and the increase of the balance of a second CHESS holding.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 421 Securities Transformation

Certificated to CHESS Conversion Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
8	Certificate Number	16	Character	M
16	Receiving HIN	10	Numeric	M
25	Movement Reason	1	Character	M
28	Location of Register	3	Character	O
34	Participant Reference	16	Character	O
35	Supplementary Reference	16	Character	O
38	Override Basis of Movement 1	2	Character	O
39	Override Basis of Movement 2	2	Character	O
40	Override Basis of Movement 3	2	Character	O
41	Override Basis of Movement 4	2	Character	O
42	Override Basis of Movement 5	2	Character	O
48	Transaction Id	16	Character	M
51	Securityholder Reference Number	12	Character	O
52	Unit Quantity	11	Numeric	M
176	Guaranteed Foreign Indicator	2	Character	O

- **Purpose**

    To enable a certificated holding to be converted to the CHESS subregister.

    The conversion request requires authorisation by the Registry. The Participant is advised if the conversion request has been passed to the Registry or rejected by CHESS. The conversion request details are forwarded to the Registry. The request is held in CHESS until a response is received from the Registry.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time less 36 Hours
  - if the securities being moved to the CHESS subregister are required for settlement purposes

- **Prerequisites**

  None

020-01

ASX Clear Accepted Collateral

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction ID	16	Character	M

- **Purpose**

  To notify a Participant that Options Cover has been accepted by ASX Clear.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 607 Accepted Options Cover

Certificated to CHESS Transfer Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
5	Balance Instruction	1 Character	O
8	Certificate Number	16 Character	M
11	Transaction Basis	1 Character	M
16	Receiving HIN	10 Numeric	O
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
54	Certificate Quantity	11 Numeric	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

  To enable securities in a certificated holding to be transferred to the CHESS subregister.

  The transfer request requires authorisation by the Registry. The Participant is advised if the transfer request has been passed to the Registry or rejected by CHESS. The transfer request details are forwarded to the Registry. The request is held in CHESS until a response is received from the Registry.

  If the Certificate Quantity is greater than the Unit Quantity being transferred, a Balance Instruction must be provided. The Certificate Quantity cannot be less than the Unit Quantity.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time less 36 Hours
  - if the securities being moved to the CHESS subregister are required for settlement purposes

- **Prerequisites**

  None

Effected Holding Adjustment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
16	HIN	10	Numeric	M
21	Processing Timestamp	22	Character	M
33	Reason	3	Character	M
34	Registry Reference	16	Character	O
38	Basis of Movement 1	2	Character	O
39	Basis of Movement 2	2	Character	O
40	Basis of Movement 3	2	Character	O
41	Basis of Movement 4	2	Character	O
42	Basis of Movement 5	2	Character	O
43	Increase or Decrease	1	Character	M
48	Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
53	New Holding Balance	11	Numeric	M
62	Origin Transaction Id	16	Character	M
88	Effected Timestamp	22	Character	M

- **Purpose**

  To notify a Participant that an adjustment has been made to a holding it controls as a result of a Registry or a Participant instruction.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 425 Holding Adjustment or
  Receipt of a 025 Single Entry Transfer Request

ASX Clear Collateral Removal Request

1	**2**	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	**52**	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
33	Reason	3 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M

- **Purpose**

  To enable ASX Clear-Derivatives to request the release of a number of securities in a CHESS holding that have been pledged by a Participant as collateral cover.

- **Sender**

  ASX Clear-Derivatives

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time
  - if the message is to be processed prior to the next settlement process

  End of Day
  - if the message is to be processed prior to the end of day process

- **Prerequisites**

  None

Rejected Demand Dual Entry CHESS to CHESS Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
90	Matching Transaction Id	16 Character	M
128	Rejected Transfer Reason	1 Character	M

- **Purpose**

  To notify a Participant that a Demand Dual Entry CHESS to CHESS Transfer request has been matched with the counterparty's request BUT the transfer has been rejected by CHESS. Reasons for rejection include insufficient units or entitlements to cover the transfer.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 005 Demand Dual Entry CHESS to CHESS Transfer Request submitted by a Participant, matched with the counterparty request but subsequently rejected by CHESS.

New Single Entry Transfer Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
16	Receiving HIN	10	Numeric	M
17	Delivering HIN	10	Numeric	M
19	Receiving PID	5	Numeric	M
20	Delivering PID	5	Numeric	M
24	Total Messages in Set	6	Numeric	M
33	Reason	3	Character	M
34	Participant Reference	16	Character	O
38	Basis of Movement 1	2	Character	O
39	Basis of Movement 2	2	Character	O
40	Basis of Movement 3	2	Character	O
41	Basis of Movement 4	2	Character	O
42	Basis of Movement 5	2	Character	O
48	Transaction Id	16	Character	M
49	Set Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
70	Underlying Reference	16	Character	O

- **Purpose**

    To enable a Participant to request a single sided transfer of specific CHESS eligible securities between a HIN under their control and any other HIN.

    This message may initiate a number of different functions depending on the value in the Reason field.

    Where a number of Single Entry Transfer Requests are required to complete a processing action, the Set Transaction Id field will be used by CHESS to link the message set for simultaneous processing.

The Total Messages in Set field must indicate the number of messages transmitted that make up a message set and the field must only be populated in the last message of the set. All preceding messages in the set must populate this value with zero. This field will be used by CHESS to confirm and link a set of Single Entry Transfer Requests for simultaneous processing.

For warrants, the requesting Participant, as an agent of the Warrant Issuer, is required to be the controlling Participant for the warrant to be processed.

Securities reserved in a collateral subposition or a takeover subposition will not be transferred.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  None

Collateral Creation Request

1	**2**	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	19	20	21	**22**	**23**	**24**	25	26	27	28	29	30	**31**	32
33	**34**	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	**52**	53	54	55	**56**	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
16	HIN	10	Numeric	M
22	Margin Lender	1	Character	O
23	ASX Clear Account	12	Character	M
24	ASX Clearing Member	6	Numeric	M
31	ASX Clear Lodgement Number	8	Character	O
34	Participant Reference	16	Character	O
48	Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
56	Specific Cover	1	Character	O

- **Purpose**

  To allow a Participant to request securities in a CHESS holding to be pledged to ASX Clear as Collateral Cover.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time
  - if the message is to be processed prior to the next settlement process

  End of Day
  - if the message is to be processed prior to the end of day process

- **Prerequisites**

  Non

Created Collateral

1	**2**	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	19	20	21	**22**	**23**	**24**	25	26	27	28	29	30	**31**	32
33	**34**	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	**52**	53	54	55	**56**	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
16	HIN	10	Numeric	M
22	Margin Lender	1	Character	O
23	ASX Clear Account	12	Character	M
24	ASX Clearing Member	6	Numeric	M
31	ASX Clear Lodgement Number	8	Character	O
34	Participant Reference	16	Character	O
48	Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
56	Specific Cover	1	Character	O

- **Purpose**

  To allow a Participant to request securities in a CHESS holding to be pledged to ASX Clear as Collateral Cover.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time
  - if the message is to be processed prior to the next settlement process

  End of Day
  - if the message is to be processed prior to the end of day process

- **Prerequisites**

  None

Collateral Removal Request

1	**2**	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	19	20	21	22	**23**	**24**	25	26	27	28	29	30	**31**	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	**52**	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
23	ASX Clear Account	12 Character	M
24	ASX Clearing Member	6 Numeric	M
31	ASX Clear Lodgement Number	8 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M

- **Purpose**

  To enable a Participant to request the release of a number of securities in a CHESS holding that have been pledged by them as Collateral Cover.

  The request is held in CHESS pending authorisation from ASX Clear.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time
  - if the message is to be processed prior to the next settlement process

  End of Day
  - if the message is to be processed prior to the end of day process

- **Prerequisites**

  Collateral Cover must be related to the CHESS holding

Reduced Collateral

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	**37**	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	**53**	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
37	Collateral Rejected Reason	1	Character	M
49	Target Transaction Id	16	Character	M
53	Total Options Cover	11	Numeric	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that Collateral Cover related to a CHESS holding has been reduced and the securities released to the Participant. Either the Participant has previously requested reduction of the Collateral Cover and ASX Clear has approved the request, or ASX Clear has rejected Collateral Cover previously created by the Participant.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a603 Collateral Removal Authorisation or
  Receipt of a 609 Rejected Collateral

Takeover Acceptance

1	**2**	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	**47**	**48**
49	50	51	**52**	53	54	55	**56**	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
47	Takeover Code	6 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity Accepted	11 Numeric	M
56	Distinct Portion Indicator	1 Character	O

- **Purpose**

  To enable a Participant to indicate his acceptance of an offer. The number of securities for which the offer is accepted are reserved in a subposition.

  The Participant is notified that the transaction is either effected or rejected. If effected, the offeror is advised of the acceptance.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time
  - if the message is to be processed prior to the next settlement process

  End of Day
  - if the message is to be processed prior to the end of day process

- **Prerequisites**
  None

Effected Takeover Acceptance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
55	Takeover Acceptance Balance	11	Numeric	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that an offer acceptance has been effected.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 031 Takeover Acceptance

Effected Takeover Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
16	Receiving HIN	10 Numeric	O
17	Delivering HIN	10 Numeric	O
21	Processing Timestamp	22 Character	M
34	Participant Reference	16 Character	O
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
47	Takeover Code	6 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
53	Transferor Holding Balance	11 Numeric	O
54	Transferee Holding Balance	11 Numeric	O
55	Takeover Acceptance Balance	11 Numeric	M
62	Origin Transaction Id	16 Character	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
88	Effected Timestamp	22 Character	M

- **Purpose**

  To notify a Participant that the transfer of securities has been effected pursuant to a successful offer and in accordance with the acceptances lodged by the Participant.

- **Sender**

  CHESS

- **Recipient**

  Participant, Offeror

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  For Participants
  Receipt of a 431 Takeover Transfer and a 031 Takeover Acceptance

  For Participants and Offerors
  503 Reporting Request

Offeror Takeover Acceptance Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	**47**	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
47	Takeover Code	6 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To enable the participant to reject an offer acceptance initiated by the offeror on behalf of the holder.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cutoff Times**

  None

- **Prerequisites**

  Receipt of a 036 Takeover Acceptance Notification.

Offeror Takeover Acceptance Notification

1	**2**	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	**47**	**48**
49	50	51	**52**	53	54	55	**56**	57	58	**59**	60	61	**62**	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
47	Takeover Code	6 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity Accepted	11 Numeric	M
56	Distinct Portion Indicator	1 Character	O
59	Registration Details	180 Character	M
62	Origin Transaction ID	16 Character	M

- **Purpose**

  To notify the participant of an offer acceptance submitted to CHESS by an offeror on behalf of a holder.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cutoff Times**

  None

- **Prerequisites**

  Receipt of a 711 Offeror Takeover Acceptance

Demand Dual Entry CHESS to CHESS Transfer Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

    To cancel a Demand Dual Entry CHESS to CHESS Transfer request which has not yet been matched with the counterparty's request.

- **Sender**

    Participant

- **Recipient**

    CHESS

- **Transmission Cut-off Times**

    Settlement Cut-off Time
    - if the message is to be processed prior to the next settlement process

    End of Day
    - if the message is to be processed prior to the end of day process

- **Prerequisites**

    Receipt of a 005 Demand Dual Entry CHESS to CHESS Transfer Request

Reversed Takeover Acceptance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
55	Takeover Acceptance Balance	11	Numeric	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that an offer acceptance made by the Participant has been reversed as the offer acceptance was unacceptable to the offeror or the offeror has authorised the reversal of the acceptance previously requested by the Participant.

  Any subpositions created by the original offer acceptance have been removed.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 701 Takeover Acceptance Rejection or
  Receipt of a 703 Takeover Acceptance Removal Authorisation

Accepted Collateral Removal Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify the Participant that their request to release securities in a CHESS holding that have been pledged by them as Collateral Cover has been accepted by CHESS and passed to ASX Clear for authorisation.

  The request is held in CHESS awaiting authorisation from ASX Clear.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 029 Collateral Removal Request

Collateral Release

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	M
16	Receiving HIN	10 Numeric	O
17	Delivering HIN	10 Numeric	O
19	Receiving PID	5 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
23	ASX Clear Account	12 Character	M
24	ASX Clearing Member	6 Numeric	M
31	ASX Clear Lodgement Number	8 Character	O
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
53	Transferor Holding Balance	11 Numeric	O
54	Transferee Holding Balance	11 Numeric	O
55	Transferor Options Cover	11 Numeric	M
62	Origin Transaction Id	16 Character	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
88	Effected Timestamp	22 Character	M

- **Purpose**

  To notify the Participant that, under instruction from ASX Clear, securities pledged to ASX Clear have been released and transferred to another holding.

  This message is sent to the controlling Participant of both the transferor and transferee holdings.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 601 Collateral Release Request

Cancelled Demand Dual Entry Transfer Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
89	Cancelling Transaction Id	16	Character	M
127	Cancellation Reason	1	Character	M

- **Purpose**

  To notify both Participants that an unmatched Demand Dual Entry Transfer Request has been cancelled as requested by the originator or as a result of CHESS housekeeping actions.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Either:

  - CHESS has received 005 Demand Dual Entry CHESS to CHESS Transfer Request **and** associated 037 Demand Dual Entry CHESS to CHESS Transfer Cancellation Request

  or

  - CHESS has received 005 Demand Dual Entry CHESS to CHESS Transfer Request **and** the request has remained unmatched as of the end of next business date (or same day if day is a record date); i.e. CHESS has deleted the message due to routine 'housekeeping'

Effected Security Code Change

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
2	Previous Security Code	12	Character	M
10	Revised Security Code	12	Character	M
16	HIN	10	Numeric	O
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
49	Target Transaction Id	16	Character	O
52	Unit Quantity	11	Numeric	O
53	New Holding Balance	11	Numeric	O
62	Origin Transaction Id	16	Character	M
88	Effected Timestamp	22	Character	M

- **Purpose**

  To notify a Participant that the security code of either a CHESS holding, or an unauthorised Registry to CHESS movement request has been changed.

  Existing subpositions of holdings that are code changed are maintained as before but under the new security code. Similarly any outstanding requests to release units from existing subpositions that are awaiting third party authorisation are maintained as before but under the new security code.

  When the message refers to a holding, the HIN, Unit Quantity and New Holding Balance are provided. When it refers to an unauthorised transaction, Target Transaction Id is the only optional field provided.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Change of a security code due to a change of Issuer name or as part of a corporate action.

Full Dual Entry CHESS to CHESS Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	M
16	Receiving HIN	10 Numeric	O
17	Delivering HIN	10 Numeric	O
19	Receiving PID	5 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
22	Holding Transaction Status	1 Character	M
34	Participant Reference	16 Character	O
35	Receiving Supplementary Reference	16 Character	O
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
53	Transferor Holding Balance	11 Numeric	O
54	Transferee Holding Balance	11 Numeric	O
58	Delivering Supplementary Reference	16 Character	O
62	Origin Transaction Id	16 Character	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
87	Initial Timestamp	22 Character	O
88	Effected Timestamp	22 Character	O
89	Cancelling Transaction Id	16 Character	O
90	Matching Transaction Id	16 Character	O

| 125 | Cancelled Timestamp | 22 | Character | O |
| 176 | Guaranteed Foreign Indicator | 2 | Character | O |

- **Purpose**

  To notify the full details of a demand dual entry CHESS to CHESS transfer. This message is sent to a user as a result of a reporting request and is likely to be used by the user in reconciliation processes.

- **Sender**

  CHESS

- **Recipient**

  User

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

Full CHESS to Certificated Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	M
17	Delivering HIN	10 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
53	New Holding Balance	11 Numeric	M
59	Receiving Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
88	Effected Timestamp	22 Character	M
100	Tax File Number / ABN 1	12 Character	O
101	Tax File Number / ABN 2	12 Character	O
102	Tax File Number / ABN 3	12 Character	O
175	Receiving Residency Indicator	1 Character	O

| 176 | Guaranteed Foreign Indicator | 2 Character | O |

- **Purpose**

  To notify the full details of a demand CHESS to Certificated transfer. This message is sent to a user as a result of a reporting request and is likely to be used by the user in reconciliation processes.

- **Sender**

  CHESS

- **Recipient**

  User

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

Full Certificated to CHESS Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
5	Balance Instruction	1 Character	O
8	Certificate Number	16 Character	M
11	Transaction Basis	1 Character	M
16	Receiving HIN	10 Numeric	M
19	Receiving PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
22	Holding Transaction Status	1 Character	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
53	New Holding Balance	11 Numeric	O
54	Certificate Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
87	Initial Timestamp	22 Character	M
88	Effected Timestamp	22 Character	O

130	Override Basis of Movement 1	2 Character	O
131	Override Basis of Movement 2	2 Character	O
132	Override Basis of Movement 3	2 Character	O
133	Override Basis of Movement 4	2 Character	O
134	Override Basis of Movement 5	2 Character	O
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

  To notify the full details of a Certificated to CHESS transfer. This message is sent to a user as a result of a reporting request and is likely to be used by the user in reconciliation processes.

  If the transfer is initiated in an ex-period for the security, either the Basis of Movement or Override Basis of Movement (if any) fields are provided, depending on the status of the transaction when the report message is generated.

- **Sender**

  CHESS

- **Recipient**

  User

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

Full Certificated to CHESS Conversion

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
8	Certificate Number	16 Character	M
16	Receiving HIN	10 Numeric	M
19	Receiving PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
22	Holding Transaction Status	1 Character	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
53	New Holding Balance	11 Numeric	O
59	Receiving Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
87	Initial Timestamp	22 Character	M
88	Effected Timestamp	22 Character	O
130	Override Basis of Movement 1	2 Character	O
131	Override Basis of Movement 2	2 Character	O
132	Override Basis of Movement 3	2 Character	O
133	Override Basis of Movement 4	2 Character	O
134	Override Basis of Movement 5	2 Character	O
175	Receiving Residency Indicator	1 Character	O

176   Guaranteed Foreign Indicator   2 Character   O

- **Purpose**

  To notify the full details of a Certificated to CHESS conversion. This message is sent to a user as a result of a reporting request and is likely to be used by the user in reconciliation processes.

  If the conversion is initiated in an ex-period for the security, either the Basis of Movement or Override Basis of Movement (if any) fields are provided, depending on the status of the transaction when the report message is generated.

- **Sender**

  CHESS

- **Recipient**

  User

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

Full Issuer Sponsored to CHESS Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	M
16	Receiving HIN	10 Numeric	M
19	Receiving PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
22	Holding Transaction Status	1 Character	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	M
52	Unit Quantity	11 Numeric	M
53	New Holding Balance	11 Numeric	O
62	Origin Transaction Id	16 Character	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
87	Initial Timestamp	22 Character	M
88	Effected Timestamp	22 Character	O
130	Override Basis of Movement 1	2 Character	O
131	Override Basis of Movement 2	2 Character	O
132	Override Basis of Movement 3	2 Character	O

133	Override Basis of Movement 4	2	Character	O
134	Override Basis of Movement 5	2	Character	O
176	Guaranteed Foreign Indicator	2	Character	O

- **Purpose**

  To notify the full details of a Issuer Sponsored to CHESS transfer. This message is sent to a user as a result of a reporting request and is likely to be used by the user in reconciliation processes.

  If the transfer is initiated in an ex-period for the security, either the Basis of Movement or Override Basis of Movement (if any) fields are provided, depending on the status of the transaction when the report message is generated.

- **Sender**

  CHESS

- **Recipient**

  User

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

Full Issuer Sponsored to CHESS Conversion

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
16	Receiving HIN	10 Numeric	M
19	Receiving PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
22	Holding Transaction Status	1 Character	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	M
52	Unit Quantity	11 Numeric	M
53	New Holding Balance	11 Numeric	O
59	Receiving Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
87	Initial Timestamp	22 Character	M
88	Effected Timestamp	22 Character	O
130	Override Basis of Movement 1	2 Character	O
131	Override Basis of Movement 2	2 Character	O
132	Override Basis of Movement 3	2 Character	O
133	Override Basis of Movement 4	2 Character	O
134	Override Basis of Movement 5	2 Character	O
175	Receiving Residency Indicator	1 Character	O
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

  To notify the full details of an Issuer Sponsored to CHESS conversion. This message is sent to a user as a result of a reporting request and is likely to be used by the user in reconciliation processes.

  If the conversion is initiated in an ex-period for the security, either the Basis of Movement or Override Basis of Movement (if any) fields are provided, depending on the status of the transaction when the report message is generated.

- **Sender**

  CHESS

- **Recipient**

  User

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

068-04

Full CHESS to Issuer Sponsored Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	M
17	Delivering HIN	10 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
53	New Holding Balance	11 Numeric	M
59	Receiving Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
88	Effected Timestamp	22 Character	M
100	Tax File Number / ABN 1	12 Character	O
101	Tax File Number / ABN 2	12 Character	O
102	Tax File Number / ABN 3	12 Character	O
175	Receiving Residency Indicator	1 Character	O

| 176 | Guaranteed Foreign Indicator | 2 Character | O |

- **Purpose**

  To notify the full details of a CHESS to Issuer Sponsored transfer. This message is sent to a user as a result of a reporting request and is likely to be used by the user in reconciliation processes.

- **Sender**

  CHESS

- **Recipient**

  User

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

Full CHESS to Certificated Conversion

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
17	Delivering HIN	10 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
53	New Holding Balance	11 Numeric	M
59	Delivering Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
88	Effected Timestamp	22 Character	M
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

  To notify the full details of a CHESS to Certificated conversion. This message is sent to a user as a result of a reporting request and is likely to be used by the user in reconciliation processes.

- **Sender**

  CHESS

- **Recipient**

  User

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

Full CHESS to Issuer Sponsored Conversion

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
17	Delivering HIN	10 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
53	New Holding Balance	11 Numeric	M
59	Delivering Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
88	Effected Timestamp	22 Character	M
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

  To notify the full details of a CHESS to Issuer Sponsored conversion. This message is sent to a user as a result of a reporting request and is likely to be used by the user in reconciliation processes.

- **Sender**

  CHESS

- **Recipient**

  User

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

Full Single Entry CHESS to CHESS Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	M
16	Receiving HIN	10 Numeric	M
17	Delivering HIN	10 Numeric	M
19	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
54	Reducing Holding Balance	11 Numeric	M
55	Increasing Holding Balance	11 Numeric	M
62	Origin Transaction Id	16 Character	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
88	Effected Timestamp	22 Character	M
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

  To notify the full details of a demand single entry CHESS to CHESS transfer. This message is sent to a user as a result of a reporting request and is likely to be used by the user in reconciliation processes.

- **Sender**

  CHESS

- **Recipient**

  User

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

Rejected Registry to CHESS Movement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	**34**	35	36	**37**	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
34	Registry Reference	16 Character	O
37	Rejected Movement Reason	1 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

  To notify a Participant that a Registry to CHESS movement request that was previously submitted has been rejected by the Registry.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

Receipt of one of the following:
015   Issuer Sponsored to CHESS Transfer Request
017   Issuer Sponsored to CHESS Conversion Request
019   Certificated to CHESS Conversion Request
021   Certificated to CHESS Transfer Request

and

- Registry has rejected the message.

Rejected Collateral Removal

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	**37**	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
37	Collateral Rejected Reason	1	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify to a Participant that a request to release securities in a CHESS holding that are pledged as ASX Clear Options Cover has been rejected.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisite**

  Receipt of a 605 Collateral Removal Rejection

Rejected Registration Details Update

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
140	Rejected Registration Update Reason	1	Character	M

- **Purpose**

    To notify to a Participant that a request to update the registration details of a CHESS holder has been rejected by ASX Settlement.

- **Sender**

    CHESS

- **Recipient**

    Participant

- **Transmission Cut-off Times**

    None

- **Prerequisite**

    Receipt of a 203 Registration Details Update Request

Adjusted Subposition

1	**2**	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	**43**	44	**45**	46	**47**	**48**
49	50	51	**52**	**53**	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
33	Reason	3 Character	M
43	Increase or Decrease	1 Character	M
45	Subposition Type	1 Character	M
47	Takeover Code	6 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
53	New Subposition Balance	11 Numeric	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

  To advise the controlling Participant of a CHESS holding that a subposition associated with the holding has been adjusted.

  Reasons for adjustment include: -

  - a holding balance adjustment by an issuer;
  - a takeover offer being completed (successfully or unsuccessfully);
  - an action by ASX Clear which resulted in the subposition to be released back to the participant that had previously been pledged as either Margin or Options cover.

  The third party in whose favour the subposition had been pledged may be adjusted due to Issuer action e.g. holding adjustment, security transformation or a takeover transfer.

  - Divestment of shares

  - Options Exercise or Convertible Note conversion where a subposition was held on the Options or Convertible Notes at the time of exercise or conversion

- **Sender**

  CHESS

- **Recipient**

  Participant or Third Party

- **Transmission Cut-off Times**

  None

- **Prerequisite**

  Receipt of a 023 ASX Clear Collateral Removal; or
  Receipt of a 421 Securities Transformation; or
  Receipt of a 425 Holding Adjustment; or
  Receipt of a 883 ASX Clear Removal Margin Cover; or
  Advice to ASX by the Offeror that a takeover has been completed

Effected Participant Transaction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction ID	16	Character	M

- **Purpose**

   To notify the participant that a message previously submitted by the participant has been processed successfully to completion.

-
- **Sender**

   CHESS

- **Recipient**

   Participant

- **Transmission Cutoff Times**

   None

- **Prerequisites**

   Receipt of a 035 Offeror Takeover Acceptance Rejection

Takeover Acceptance Removal Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
47	Takeover Code	6 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity Accepted	11 Numeric	M
178	Takeover Acceptance Removal Reason	1 Character	O

- **Purpose**

    To enable a Participant to request the removal of a pledge over a number of units in a CHESS holding that have been pledged to an Offeror as acceptance for an offer.

    The request is held in CHESS pending authorisation from the Offeror.

- **Sender**

    Participant

- **Recipient**

    CHESS

- **Transmission Cut-off Times**

    End of Day if the message is to be processed prior to the End of Day processing

- **Prerequisite**

  There must be a Takeover Acceptance for the takeover offer related to the CHESS holding.

Accepted Takeover Acceptance Removal Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

    To notify a Participant that their request to remove the takeover acceptance pledge over securities in a CHESS holding has been accepted by CHESS and passed to the Offeror for authorisation.

    The request is held in CHESS pending authorisation from the Offeror.

- **Sender**

    CHESS

- **Recipient**

    Participant

- **Transmission Cut-off Times**

    None

- **Prerequisite**

    Receipt of a 085 Takeover Acceptance Removal Request

Rejected Takeover Acceptance Removal Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify to a Participant that a request to remove the takeover acceptance pledge over securities in a CHESS holding has been rejected by the Offeror.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisite**

  Receipt of a 705 Takeover Acceptance Removal Rejection

Full Transferor Submitted Demand Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	M
16	Receiving HIN	10 Numeric	O
17	Delivering HIN	10 Numeric	O
19	Receiving PID	5 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
53	Transferor Holding Balance	11 Numeric	O
54	Transferee Holding Balance	11 Numeric	O
62	Origin Transaction Id	16 Character	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
88	Effected Timestamp	22 Character	M
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

  To notify the full details of a transferor submitted demand transfer. This message is sent to a user as a result of a reporting request and is likely to be used by the user in reconciliation processes.

- **Sender**

  CHESS

- **Recipient**

  User

- **Transmission Cut-off Times**

  None

- **Prerequisite**

  Receipt of a 503 Reporting Request

Offeror Takeover Acceptance Removal Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
47	Takeover Code	6 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity Accepted	11 Numeric	M
55	Takeover Acceptance Balance	11 Numeric	M
62	Origin Transaction ID	16 Character	M
178	Takeover Acceptance Removal Reason	1 Character	O

- **Purpose**

   To notify a participant that an offeror's request to remove the takeover acceptance pledge over securities in a CHESS holding has been completed.

- **Sender**

   CHESS

- **Recipient**

   Participant

- **Transmission Cutoff Times**

   None

- **Prerequisite**

Receipt of a 707 Offeror Takeover Acceptance Removal

Price Registration

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
5	Price Run Type	1 Character	M
12	Price Run Date	8 Numeric	M
13	Last Date Traded	8 Numeric	M
24	Last Traded Time	6 Numeric	M
48	Transaction Id	16 Character	M
54	On-Market Volume	11 Numeric	M
55	Off-Market Volume	11 Numeric	M
106	Price Run Time	6 Numeric	M
146	Basis of Quotation 1	2 Character	O
147	Basis of Quotation 2	2 Character	O
148	Basis of Quotation 3	2 Character	O
149	Basis of Quotation 4	2 Character	O
150	Basis of Quotation 5	2 Character	O
194	Bid Time	6 Numeric	M
196	Ask Time	6 Numeric	M
199	Bid Price	15 Numeric, 6 decimal	M
211	Ask Price	15 Numeric, 6 decimal	M
212	First Trade Price	15 Numeric, 6 decimal	M
213	Highest Trade Price	15 Numeric, 6 decimal	M
214	Lowest Trade Price	15 Numeric, 6 decimal	M
215	Last Trade Price	15 Numeric, 6 decimal	M
223	On-Market Value	15 Numeric, 2 decimal	M
224	Off-Market Value	15 Numeric, 2 decimal	M

- **Purpose**

  To enable an Approved Market Operator to report price information to ASX Settlement

- **Sender**

  Approved Market Operator (AMO)

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Run 1 – 17:15 (Sydney Time) – prices are required by this cut-off for input into Cash Market Margining

  Run 2 – 19:05 (Sydney Time) – a subsequent update is required for a security if any of the values supplied in Run 1 have changed

- **Prerequisites**

  None

Security Detail

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
12	First Listed Date	8 Numeric	M
13	Last Listed Date	8 Numeric	M
21	Processing Timestamp	22 Character	M
33	Exchange	3 Character	M
62	Origin Transaction Id	16 Character	M
81	Subregister Status	1 Character	M

- **Purpose**

  To notify an Approved Market Operator of security details held in CHESS

- **Sender**

  CHESS

- **Recipient**

  Approved Market Operator (AMO)

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request (Report Id SDR)

Price Notification Registration

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
5	Price Run Type	1 Character	M
12	Price Run Date	8 Numeric	M
13	Last Date Traded	8 Numeric	M
24	Last Traded Time	6 Numeric	M
48	Transaction Id	16 Character	M
106	Price Run Time	6 Numeric	M
146	Basis of Quotation 1	2 Character	O
147	Basis of Quotation 2	2 Character	O
148	Basis of Quotation 3	2 Character	O
149	Basis of Quotation 4	2 Character	O
150	Basis of Quotation 5	2 Character	O
194	Bid Time	6 Numeric	M
196	Ask Time	6 Numeric	M
199	Bid Price	15 Numeric, 6 decimal	M
211	Ask Price	15 Numeric, 6 decimal	M
212	First Trade Price	15 Numeric, 6 decimal	M
213	Highest Trade Price	15 Numeric, 6 decimal	M
214	Lowest Trade Price	15 Numeric, 6 decimal	M
215	Last Trade Price	15 Numeric, 6 decimal	M

- **Purpose**

  To enable an Approved Market Operator to report price information to ASX Clear/ASX Settle.

- **Sender**

  Approved Market Operator

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  18:00 (Sydney time)

- **Prerequisites**

  None

Price Notification Acceptance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

  To notify an Approved Market Operator that a valid Price Notification has been received by ASX Clear/ASX Settle.

- **Sender**

  CHESS

- **Recipient**

  Approved Market Operator

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 097 Price Notification Registration from an Approved Market Operator

Trade Removal Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
154	Removal Reason	1	Character	M

- **Purpose**

  To notify an Approved Market Operator that a trade which is the subject of an accepted Trade Registration Request has subsequently been removed from the Clearing and Settlement facilities by ASX Clear/ASX Settle.

- **Sender**

  CHESS

- **Recipient**

  Approved Market Operator

- **Transmission Cut-off Times**

  By End of Day on the Business Day following the Trade Date specified in the Trade Registration Request. Or, for trades that are registered next day with a back-dated As At Date, the End of Day on the Business Day of the Trade Date specified in the Trade Registration Request.

- **Prerequisites**

  None

Dual Entry Settlement Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
3	Settlement Amount	15	±Numeric, 2 decimal	O
11	Transaction Basis	1	Character	M
12	Settlement Date	8	Numeric	M
13	Trade Date	8	Numeric	O
16	HIN	10	Numeric	O
19	Receiving PID/Payer PID	5	Numeric	M
20	Delivering PID/Payee PID	5	Numeric	M
34	Participant Reference	16	Character	O
35	Supplementary Reference	16	Character	O
38	Override Basis of Movement 1	2	Character	O
39	Override Basis of Movement 2	2	Character	O
40	Override Basis of Movement 3	2	Character	O
41	Override Basis of Movement 4	2	Character	O
42	Override Basis of Movement 5	2	Character	O
48	Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
56	Part-Settlement	1	Character	O
67	Stamping Consideration	14	Numeric, 2 decimal	O
68	Duty Payable	14	Numeric, 2 decimal	O
69	Duty Type	1	Character	O
70	Underlying Reference	16	Character	O
176	Guaranteed Foreign Indicator	2	Character	O

- **Purpose for delivery transaction with units**

  To notify to CHESS the details of a settlement between two Participants. Each Participant independently notifies settlement details which are matched by the system.

  The delivery HIN cannot be the participant's Accumulation Account.

  The Settlement Amount is only provided if the value is to be settled in the settlement process. If the instruction is free of value or the value cannot be settled in CHESS, the Settlement Amount should not be provided.

  If a match is made, the instruction is scheduled for later settlement and the status is advised to both Participants. If the notification is not matched, it is flagged as unmatched and the counterparty is advised of the notification details.

  The Notification is matched (on the criteria below) against other unmatched Notifications that have been sent to the CHESS. The criteria are :
  Security Code
  Settlement Amount (within the Settlement Amount Tolerance)
  Transaction Basis
  Settlement Date
  Receiving PID/Payer PID
  Delivering PID/Payee PID
  Basis of Movement (using defaults or overrides as necessary)
  Unit Quantity
  Trade Date (value, market only)
  Guaranteed Foreign Indicator

If one of the Participants indicates that the settlement instruction must **not** be part-settled, the settlement instruction will not be part-settled.

- **Purpose for payment transaction without units (Miscellaneous Payments)**

   To notify to CHESS the details of a payment between two Participants scheduled for settlement in the CHESS batch. Each Participant independently notifies payment details which are matched by the system.

   The Notification is matched against other unmatched Notifications that have been sent to the CHESS using the same matching criteria listed for delivery transactions. If a match is made, the instruction is scheduled for later settlement and the status is advised to both Participants. If the notification is not matched, it is flagged as unmatched and the counterparty is advised of the notification details.

   The Notification must be populated as follows:

Security Code	As defined in EIS Section 8
Settlement Amount	MUST be greater than zero
Transaction Basis	MUST be 'F'
Settlement Date	A valid settlement date in CHESS
HIN	Optional - only populate to direct payment to a HIN-specific payment facility. Otherwise, the default payment facility will be targeted.
Receiving PID/Payer PID	Participant **paying** funds
Delivering PID/Payee PID	Participant **receiving** funds
Participant Ref	Optional – internal reference information
Supplementary Ref	Optional – will be passed to counterparty
Transaction ID	A valid transaction ID in CHESS
Unit Quantity	MUST be zero
Part-Settlement	MUST be 'Y' or blank
Underlying Ref	Optional – internal reference information

   All other fields must be omitted.

The table below summarises the edit rules that CHESS applies to Dual Entry Settlement Notification (101) messages for differing values of the Transaction Basis field.

Transaction Basis	Settlement Amount	Trade Date	Stamp Duty
Market (M)	zero	not permitted	not applicable
	not zero	required	not applicable
Off-market (O)	zero	not permitted	not applicable
	not zero	not permitted	Duty Paid (K), Loan (L), or Loan Return (M); other fields not applicable
Facility for Non Reportable Transactions (F)	zero	optional	not applicable
	not zero	optional	not applicable
Miscellaneous Payments (F)	greater than zero	not applicable	not applicable
IPO (I)	zero	optional	not permitted
	not zero	optional	not permitted
Stock Lending Activity (L)	zero	not permitted	not applicable
	not zero	not permitted	not applicable

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Valued Instruction Cut-off Time
  - if the message has a non-zero Settlement Amount and is to be processed prior to the commitment of value settlement instructions for the next settlement process

  Settlement Cut-off Time
  - if the message has a zero Settlement Amount and is to be processed prior to the next settlement process

- **Prerequisites**

  None

Unmatched Dual Entry Settlement Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
3	Settlement Amount	15	±Numeric, 2 decimal	O
11	Transaction Basis	1	Character	M
12	Settlement Date	8	Numeric	M
13	Trade Date	8	Numeric	O
19	Receiving PID/Payer PID	5	Numeric	M
20	Delivering PID/Payee PID	5	Numeric	M
21	Processing Timestamp	22	Character	M
35	Supplementary Reference	16	Character	O
38	Override Basis of Movement 1	2	Character	O
39	Override Basis of Movement 2	2	Character	O
40	Override Basis of Movement 3	2	Character	O
41	Override Basis of Movement 4	2	Character	O
42	Override Basis of Movement 5	2	Character	O
48	Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
62	Origin Transaction Id	16	Character	M
176	Guaranteed Foreign Indicator	2	Character	O

- **Purpose**

    To notify a Participant that a dual entry settlement notification has been received from the counterparty to the settlement. The Participant is required to enter a corresponding transaction so that the dual entry settlement notification can be matched and scheduled for settlement.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 101 Dual Entry Settlement Notification from the counterparty

CP Collateral Removal Authorisation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

    To allow a Clearing Participant to authorise a Participant's request to release securities reserved in a collateral subposition.

- **Sender**

    Third Party

- **Recipient**

    CHESS

- **Transmission Cut-off Times**

    None

- **Prerequisites**

    Receipt of a 168 CP Collateral Removal Authorisation Request

Collateral Removal Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
12	Removal Date	8 Numeric	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
33	Reason	3 Character	M
48	Transaction Id	16 Character	M

- **Purpose**

  To provide the controlling Participant (broker only) and ASX Clear-Derivatives with a notice that the indicated security, reserved in a collateral subposition, will be removed on the specified removal date.

- **Sender**

  CHESS

- **Recipient**

  Participant and ASX Clear-Derivatives

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  None

Single Entry Settlement CHESS to CHESS Transfer Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	M
12	Settlement Date	8 Numeric	M
16	Receiving HIN	10 Numeric	O
17	Delivering HIN	10 Numeric	O
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
56	Part-Settlement	1 Character	O
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

  To enable a Participant to schedule the transfer of securities between CHESS holdings under its control in a future settlement cycle, e.g. between a Participant's direct and sponsored holdings. The Participant is advised whether the transfer request has been rejected or scheduled.

Delivery HIN cannot be the participants Accumulation HIN (except for an Accumulation HIN to Accumulation HIN movement). The receiving HIN cannot be the Settlement HIN if the delivery HIN is either a sponsored or Direct HIN.

Either the Receiving or the Delivering HIN must be present in the message.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time
  - if the message is to be processed prior to the next settlement process

- **Prerequisites**

  None

Scheduled Single Entry Settlement CHESS to CHESS Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
34	Participant Reference	16	Character	O
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that a Single Entry settlement CHESS to CHESS Transfer Request or a Scheduled Settlement CHESS to CHESS Transfer Request has been received, validated and is scheduled for future settlement.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of one of the following:

  - 105 Single Entry Settlement CHESS to CHESS Transfer Request
  - 107 Scheduled Settlement CHESS to CHESS Transfer Request

Scheduled Settlement CHESS to CHESS Transfer Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
11	Transaction Basis	1	Character	M
12	Settlement Date	8	Numeric	M
16	Receiving HIN	10	Numeric	M
17	Delivering HIN	10	Numeric	M
34	Participant Reference	16	Character	O
35	Supplementary Reference	16	Character	O
38	Override Basis of Movement 1	2	Character	O
39	Override Basis of Movement 2	2	Character	O
40	Override Basis of Movement 3	2	Character	O
41	Override Basis of Movement 4	2	Character	O
42	Override Basis of Movement 5	2	Character	O
48	Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
70	Underlying Reference	16	Character	O
73	Trust Amount	15	±Numeric, 2 decimal	M
176	Guarantee Foreign Indicator	2	Character	O

- **Purpose – Selling Transaction**

  To enable a participant to schedule the transfer of securities between their CHESS holdings in a future settlement cycle

  For movement between the participants Accumulation Account and Settlement Account

  - The Receiving HIN must be the participants Settlement Account HIN

- The Delivering HIN must be the Participants Accumulation Account HIN

The participant is required to populate the "trust amount" field with the amount that relates to client balances that have not been previously funded into trust. The trust amount can be zero or greater than zero.

Part settlement is not allowed therefore CHESS will validate that there are sufficient units in the Accumulation Account before accepting the message

- **Purpose – Buying Transaction**

For movement between the participants Settlement Account and a Sponsored or Direct HIN under their control

- The Receiving HIN must be a Sponsored or Direct HIN under their control
- The Delivering HIN must be the participants Settlement Account HIN

The participant is required to populate the "trust amount" field with the amount that relates to client balances that can be withdrawn from the participants trust account.

There will be no part settlement of these transactions.

Note: The trust amount will not form part of the participants CHESS settlement obligation.

Where there are insufficient units available in the Settlement Account the message will fail and be scheduled for the next settlement day.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time
  - if the message is to be processed prior to specified settlement date

- **Prerequisites**

  None

Collateral Creation Acceptance Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
19	Controlling PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
22	Margin Lender	1 Character	O
23	ASX Clear Account	12 Character	M
24	ASX Clearing Member	6 Numeric	M
31	ASX Clear Lodgement Number	8 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
62	Origin Transaction ID	16 Character	M

- **Purpose**

  To notify a Clearing Participant of a Collateral Creation request that has been accepted by ASX Clear-Derivatives. The Collateral Cover is pledged on securities in a CHESS holding; this may be the first Collateral Cover pledged on this holding or it may be additional to existing Collateral Cover.

- **Sender**

  CHESS

- **Recipient**

  Third Party

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 607 Accepted Collateral

CP Collateral Removal Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	**37**	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
37	Collateral Rejected Reason	1 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To allow a Clearing Participant to reject a Participant's request to release securities in a CHESS holding pledged as ASX Clear Collateral Cover. The Participant's request was previously advised to the Clearing Participant by the CHESS.

- **Sender**

  Third Party

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 168 CP Collateral Removal Authorisation Request

CP Collateral Removal Acceptance Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction ID	16	Character	M

- **Purpose**

  To notify a Clearing Participant of a Collateral Cover Removal request that has been accepted by ASX Clear. The Collateral Cover Removal has been previously authorised by the Clearing Participant before being forwarded to ASX Clear for additional authorisation.

- **Sender**

  CHESS

- **Recipient**

  Third Party

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 603 Collateral Removal Authorisation

Cancelled Settlement Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
89	Cancelling Transaction Id	16	Character	M
90	Matching Transaction Id	16	Character	O
127	Cancellation Reason	1	Character	M

- **Purpose**

  To notify a Participant that an unmatched or scheduled settlement instruction (Net Batch or RTGS) has been cancelled.

  An unmatched Dual Entry Settlement Notification or scheduled Single Entry Settlement Transfer Request or Scheduled Settlement CHESS to CHESS Transfer Request may be cancelled by the Participant that submitted the original message.

  An unmatched Dual Entry Settlement Notification may be cancelled by CHESS housekeeping actions.

  A scheduled Dual Entry Settlement Instruction may only be cancelled following agreement between the settlement counterparties. This agreement is achieved through the matching of cancellation requests.

  A Net Broker Obligation may be cancelled through the receipt of a 139 Isolate Counterparty Request by CHESS.

  Broker/broker trades may be cancelled via an Approved Market Operator Trading System that registers trades through CHESS.

  Note: CHESS does not send the clearing participant a 116 Cancellation Settlement Instruction where the original crossing was the subject of opt out registration.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  - Receipt by a Participant of one of the following:

    - 129 Dual Entry Settlement Cancellation Request
    - 119 Single Entry Settlement CHESS to CHESS Transfer Cancellation Request
    - 135 Dual Entry Settlement Notification Cancellation Request
    - 483 RTGS Notification Cancellation Request
    - 485 RTGS Dual Entry Cancellation Request

    or

  - 139 Isolate Counterparty Request being received by CHESS

    or

  - as a result of CHESS isolating a counterparty at End of Day on Record Date for outstanding NBOs

    or

  - as a result of CHESS housekeeping actions

    or

  - a Broker/Broker Trade is notified as cancelled through an Approved Market Operator Trading System that clears through CHESS.

    or

  - as the result of a cancellation of a 550 Scheduled Payment Instruction

Cancelled Change Settlement Instruction Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
89	Cancelling Transaction Id	16	Character	M
127	Cancellation Reason	1	Character	M

- **Purpose**

  To notify both Participants that an unmatched Change Settlement Instruction Request has been cancelled.

  The cancellation was either:

  - requested by the Participant who originally submitted the Change Settlement Instruction Request

  or

  - a result of CHESS housekeeping actions

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

    - Receipt of a 123 Change Settlement Instruction Cancellation Request from a Participant

    or

    - As a result of CHESS housekeeping actions

Single Entry Settlement CHESS to CHESS Transfer Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To enable the Participant to cancel a previously entered single entry settlement CHESS to CHESS transfer. If the transfer is scheduled for settlement, it is cancelled and the Participant is advised, otherwise, the cancellation request is rejected.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off Time
  - if the message is to be processed prior to the next settlement process

- **Prerequisites**

  Receipt of one of the following:

    - 105 Single Entry Settlement CHESS to CHESS Transfer Request
    - 107 Scheduled Settlement CHESS to CHESS Transfer Request

CP Collateral Removal Rejection Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
37	Collateral Rejected Reason	1 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction ID	16 Character	M

- **Purpose**

  To notify a Clearing Participant of a Collateral Cover Removal request that has been rejected by ASX Clear. The Collateral Removal Request has been previously authorised by the Clearing Participant before being forwarded to ASX Clear for additional authorisation.

- **Sender**

  CHESS

- **Recipient**

  Third Party

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 605 Collateral Removal Rejection

Change Settlement Instruction Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	O
3	Settlement Amount	15 ±Numeric, 2 decimal	M
11	Transaction Basis	1 Character	O
12	Settlement Date	8 Numeric	O
27	Settlement Instruction Status	1 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
180	Update Guaranteed Foreign Indicator	1 Character	O

- **Purpose**

    To allow a Participant to request a change to the settlement obligations of a settlement instruction that is scheduled for settlement in a future cycle.

The settlement obligations can be altered for broker/broker trades, miscellaneous payments and dual entry settlement instructions by agreement between the two parties to the trade. The settlement obligations can be modified only if both parties enter Change Settlement Instruction Requests which are matched, prior to the settlement instruction being committed for the current settlement cycle. Matching is done on the Target Transaction ID and the settlement obligations to be changed.

If the Basis of Movement is changed, **all overrides must be provided**, not just the ones that are changing. Any existing overrides are discarded.

It is not possible to modify the Transaction Basis of a settlement instruction. If an error has been made, the settlement instruction should be cancelled and a new settlement instruction created in its place.

For securities subject to Foreign Ownership Restrictions:
> The Update Guaranteed Foreign Indicator changes a Settlement Instruction from/to a Foreign to Foreign Allocation (FFA). This will either add the Guaranteed Foreign Indicator to the Settlement Instruction (making it a FFA), or delete the indicator from the Settlement Instruction (making it a non-FFA).

The Settlement Instruction Status can only be changed from 'suspended' to 'scheduled'.

The Settlement Amount and Unit Quantity must be provided even if they are not being changed.
(Note: Single Entry Settlement Instructions cannot be changed - they must be cancelled and re-entered.)

For Miscellaneous Payments:
- Unit Quantity must equal zero;
- Settlement Amount must be greater than zero;
- the Security Code CANNOT be changed;
- Settlement Date, Settlement Instruction Status, and / or Underlying Reference may be entered;
- all other optional fields must be blank.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

   None

   Note:

   - If the message sent by the Participant is targeting a non value transaction scheduled for settlement in the current day's settlement cycle, it must be matched at CHESS prior to Settlement Cut-off Time.

   - If the message sent by the Participant is targeting a value transaction scheduled for settlement in the current day's settlement cycle, it must be matched at CHESS prior to Trade Instruction Cut-off Time.

- **Prerequisites**

   A settlement instruction scheduled for settlement

Unmatched Change Settlement Instruction Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
92	Updating Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that a Change Settlement Instruction Request entered by them has not yet been matched with a corresponding transaction from the counterparty to the update.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 121 Change Settlement Instruction Request

Change Settlement Instruction Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To allow the cancellation of a previously entered Change Settlement Instruction Request.

  If the Change Settlement Instruction Request messages submitted by each party to the settlement instruction have already been matched and the change made to the settlement instruction, the cancellation request is rejected and the submitting Participant is advised accordingly.

  If the Change Settlement Instruction Request is unmatched, the cancellation message is applied. Both parties to the settlement instruction are notified of the success of the cancellation message.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

    None

    Note:

    - If the message sent by the Participant is targeting a non value transaction scheduled for settlement in the current day's settlement cycle, it must be matched at CHESS prior to Settlement Cut-off Time.

    - If the message sent by the Participant is targeting a value transaction scheduled for settlement in the current day's settlement cycle, it must be matched at CHESS prior to Trade Instruction Cut-off Time.

- **Prerequisites**

    Receipt of a 121 Change Settlement Instruction Request

Rescheduled Settlement Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
12	Settlement Date	8 Numeric	M
21	Processing Timestamp	22 Character	M
49	Target Transaction Id	16 Character	M
60	Reschedule Reason	1 Character	M
62	Origin Transaction Id	16 Character	M
92	Updating Transaction Id	16 Character	M

- **Purpose**

  To notify a Participant that an unnetted settlement instruction has been rescheduled to a future settlement cycle due to:

  - both the full unit quantity and original settlement amount in the settlement instruction having failed in settlement processing

  or

  - subsequent to a diary adjustment related to a corporate action

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  - Failed settlement instruction in the settlement process

  or

  - Suspension of a subregister due to a corporate action or CHESS administration action

Maintain Settlement Instruction Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
16	HIN	10 Numeric	O
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
56	Part-Settlement	1 Character	O
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O

- **Purpose**

  To allow a Participant to request a change to their settlement detail of a scheduled settlement instruction. The settlement detail of the settlement instruction can be modified by the Participant without recourse to the counterparty to the settlement instruction as it does not affect the settlement obligation. The Maintain Settlement Instruction Request will be matched to the original settlement instruction by the Target Transaction ID. The Participant is notified whether the change has been effected or rejected.

  The HIN specified on a Net Broker Obligation or a broker/broker trade that is eligible for netting, cannot be changed.
  At least one of the optional fields must be entered.

  If stamp duty detail is being changed, all stamp duty fields must be provided. Stamp duty can only be changed by the transferee Participant.

  (Note: Single Entry Settlement Instructions cannot be changed - they must be cancelled and re-entered.)

  For Miscellaneous Payments:
  - The Part Settlement Indicator must be "Y" or left blank
  - All Stamp Duty fields must be blank

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Trade Instruction Cut-off Time
  - if the Target Settlement Instruction has a non-zero Settlement Amount and is to be processed prior to the commitment of value settlement instructions for the next settlement process

  or

  Settlement Cut-off Time
  - if the Target Settlement Instruction has a zero Settlement Amount and is to be processed prior to the next settlement process

- **Prerequisites**

  A settlement instruction scheduled for settlement

Updated Settlement Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
92	Updating Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that the settlement detail of a scheduled settlement instruction has been updated as requested.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 125 Maintain Settlement Instruction Request

Adjusted Settlement Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Previous Security Code	12 Character	O
3	Previous Settlement Amount	15 ±Numeric, 2 decimal	O
4	Revised Settlement Amount	15 ±Numeric, 2 decimal	O
10	Revised Security Code	12 Character	O
11	Previous Transaction Basis	1 Character	O
12	Previous Settlement Date	8 Numeric	O
13	Revised Settlement Date	8 Numeric	O
21	Processing Timestamp	22 Character	M
27	Revised Status	1 Character	O
30	Previous Status	1 Character	O
38	Previous Override Basis of Move 1	2 Character	O
39	Previous Override Basis of Move 2	2 Character	O
40	Previous Override Basis of Move 3	2 Character	O
41	Previous Override Basis of Move 4	2 Character	O
42	Previous Override Basis of Move 5	2 Character	O
49	Target Transaction Id	16 Character	M
52	Previous Unit Quantity	11 Numeric	O
53	Revised Unit Quantity	11 Numeric	O
62	Origin Transaction Id	16 Character	M
81	Diary Adjustment Type	1 Character	O
90	Matching Transaction Id	16 Character	O
92	Updating Transaction Id	16 Character	M
109	Adjustment Reason	1 Character	M
130	Revised Override Basis of Move 1	2 Character	O
131	Revised Override Basis of Move 2	2 Character	O
132	Revised Override Basis of Move 3	2 Character	O
133	Revised Override Basis of Move 4	2 Character	O
134	Revised Override Basis of Move 5	2 Character	O
139	Revised Transaction Basis	1 Character	O

| 176 | Previous Guaranteed Foreign Indicator | 2 | Character | O |
| 177 | Revised Guaranteed Foreign Indicator | 2 | Character | O |

*   Purpose

To notify a Participant that a scheduled settlement instruction has been adjusted.

A scheduled Settlement Instruction may be adjusted through the application of a corporate action or through the matching of Change Settlement Instruction Requests submitted by Participants. Net Broker Obligations may be adjusted following the receipt of a 139 Isolate Counterparty Request by CHESS.

*   Sender

CHESS

*   Recipient

Participant

*   Transmission Cut-off Times

None

*   Prerequisites

- Receipt of 121 Change Settlement Instruction Request from a Participant

or

- Application of a diary adjustment to a scheduled settlement instruction

or

- Change to a Net Broker Obligation resulting from a 139 Isolate Counterparty Request being received by CHESS

or

- As a result of CHESS isolating a counterparty at End of Day on Record Date for outstanding NBOs

Dual Entry Settlement Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To request the cancellation of a dual entry settlement instruction which is scheduled for settlement. Both counterparties to the dual entry settlement must enter cancellation requests which are matched by the system.

  If a match is made, the dual entry settlement is cancelled and both Participants are advised accordingly. If a match is made but the dual entry settlement instruction is no longer scheduled for settlement, both Participants are advised that the cancellation transaction has failed.

  If a match is not made, the cancellation request is flagged as unmatched, the submitting Participant is advised that the request is unmatched and the counterparty is advised of the details of the cancellation.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Trade Instruction Cut-off Time
  - if the Target Settlement Instruction has a non-zero Settlement Amount and is to be processed prior to the commitment of value settlement instructions for the next settlement process

or

Settlement Cut-off Time
- if the Target Settlement Instruction has a zero Settlement Amount and is to be processed prior to the next settlement process

- **Prerequisites**

Receipt of a 101 Dual Entry Settlement Notification from both Participants that have been matched and scheduled for settlement

Requested Settlement Instruction Change

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	O
3	Settlement Amount	15	±Numeric, 2 decimal	M
11	Transaction Basis	1	Character	O
12	Settlement Date	8	Numeric	O
21	Processing Timestamp	22	Character	M
27	Settlement Instruction Status	1	Character	O
38	Override Basis of Movement 1	2	Character	O
39	Override Basis of Movement 2	2	Character	O
40	Override Basis of Movement 3	2	Character	O
41	Override Basis of Movement 4	2	Character	O
42	Override Basis of Movement 5	2	Character	O
49	Target Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
62	Origin Transaction Id	16	Character	M
92	Updating Transaction Id	16	Character	M
180	Update Guaranteed Foreign Indicator	1	Character	O

*   **Purpose**

To notify a Participant that the counterparty to a settlement instruction has requested a change to the settlement detail. The change must be agreed to by the Participant before the change is applied to the settlement instruction.

*   **Sender**

CHESS

* **Recipient**

  Participant

* **Transmission Cut-off Times**

  None

* **Prerequisites**

  Receipt of a 121 Change Settlement Instruction Request

Dual Entry Settlement Instruction Cancellation Removal

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To enable a Participant to remove an as yet unmatched Dual Entry Settlement Cancellation Request which was previously entered by the Participant requesting cancellation of a scheduled Dual Entry Settlement Instruction.

  If the original dual entry settlement cancellation request is unmatched, it is cancelled and both Participants are advised. If the original dual entry settlement cancellation request is already matched, the request cannot be removed and the Participant is advised accordingly.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Trade Instruction Cut-off Time
  - if the Target Settlement Instruction has a non-zero Settlement Amount and is to be processed prior to the commitment of value settlement instructions for the next settlement process

  or

  Settlement Cut-off Time
  - if the Target Settlement Instruction has a zero Settlement Amount and is to be processed prior to the next settlement process

- **Prerequisites**

  Receipt of a 129 Dual Entry Settlement Cancellation Request

Removed Dual Entry Settlement Instruction Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
89	Cancelling Transaction Id	16 Character	M
127	Cancellation Reason	1 Character	M

- **Purpose**

  To notify both Participants that a previously entered request to cancel a scheduled Dual Entry Settlement Instruction has been removed. The removal was either requested by the Participant who originally submitted the Cancellation Request or as a result of CHESS housekeeping actions.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 129 Dual Entry Settlement Cancellation Request followed by:

  - receipt of a 131 Dual Entry Settlement Cancellation Removal from the same Participant

  or

  - CHESS housekeeping actions

Scheduled Net Broker Broker Obligation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
3	Settlement Amount	15	±Numeric, 2 decimal	M
12	Settlement Date	8	Numeric	M
19	Receiving PID	5	Numeric	M
20	Delivering PID	5	Numeric	M
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
57	Total Message Count	11	Numeric	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant of their new settlement obligation as a result of one or more scheduled Broker/Broker Trades being netted.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  A scheduled Broker/Broker Trade netted in the netting process

Dual Entry Settlement Notification Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To enable a Participant to cancel a Dual Entry Settlement Notification previously entered by them that has not yet been matched against the counterparty's notification.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Trade Instruction Cut-off Time
  - if the Target Settlement Instruction has a non-zero Settlement Amount and is to be processed prior to the commitment of value settlement instructions for the next settlement process

  or

  Settlement Cut-off Time
  - if the Target Settlement Instruction has a zero Settlement Amount and is to be processed prior to the next settlement process

- **Prerequisites**

  Receipt of a 101 Dual Entry Settlement Notification previously submitted by the Participant and currently unmatched

Reinstated Broker Broker Trade

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

  To notify a Participant that a Broker/Broker Trade that was previously netted is now scheduled for settlement as an individual line due to the reversal of the netting process.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  A Broker/Broker Trade was previously netted but the netting process has been reversed

Netted Broker Broker Trade

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

  To notify a Participant that a Broker/Broker Trade has been netted with other eligible Broker/Broker Trades.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  A Broker/Broker Trade is scheduled for settlement and is eligible for netting

Isolate Counterparty Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	**52**	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M

- **Purpose**

  To instruct CHESS to isolate a direct counterparty to a Net Broker Obligation. Cancels all or part of a failed Net Broker Obligation and creates a Broker Broker obligation between the requesting Broker and one or more Brokers with like obligations with ASX Clear/

  Submitted by the receiving Broker of the failed Net Broker Obligation.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Settlement Cut-off
  - if the transaction is to be processed prior to the next settlement process

- **Prerequisites**

  A previously failed Net Broker Obligation exists between ASX Clear and the requesting Participant

Cancelled Net Broker Broker Obligation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
57	Total Message Count	11	Numeric	M
62	Origin Transaction Id	16	Character	M
89	Cancelling Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that a previously advised net broker/broker obligation has been cancelled due to the reversal of the netting process. The individual Broker/Broker Trades that were netted are now individually scheduled for future settlement.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Reversal of a previous netting process initiated by ASTC

Accrued Settlement Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
3	Settlement Amount	15	±Numeric, 2 decimal	O
11	Transaction Basis	1	Character	M
12	Settlement Date	8	Numeric	M
16	Receiving HIN	10	Numeric	O
17	Delivering HIN	10	Numeric	O
19	Receiving PID	5	Numeric	O
20	Delivering PID	5	Numeric	O
21	Processing Timestamp	22	Character	M
38	Override Basis of Movement 1	2	Character	O
39	Override Basis of Movement 2	2	Character	O
40	Override Basis of Movement 3	2	Character	O
41	Override Basis of Movement 4	2	Character	O
42	Override Basis of Movement 5	2	Character	O
48	Transaction Id	16	Character	M
49	Parent Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
62	Origin Transaction Id	16	Character	M
67	Stamping Consideration	14	Numeric, 2 decimal	O
68	Duty Payable	14	Numeric, 2 decimal	O
69	Duty Type	1	Character	O
70	Underlying Reference	16	Character	O
81	Diary Adjustment Type	1	Character	M
92	Updating Transaction Id	16	Character	M
176	Guaranteed Foreign Indicator	2	Character	O

*   **Purpose**

To notify the Participant that an accrued settlement instruction has been generated and scheduled for settlement following the diary adjustment of an outstanding settlement instruction.

A typical example of an accrued settlement instruction is the generation of a new settlement instruction for the bonus shares as a result of a settlement instruction in the parent security being outstanding on the record date.

If the Transaction Basis of the parent settlement instruction is Off-market, the stamp duty fields of the accrued settlement instruction are set as follows :
· Stamping Consideration and Duty Payable are set to zero
· the Duty Type is set to 'P' - Exempt, Passing Entitlement following the parent shares
· Underlying Reference is blank

(Note : If the security has an ACT nexus, the receiving Participant must adjust the Duty Type themselves as ACT has no exemption for this type of transaction.)

* **Sender**

 CHESS

* **Recipient**

 Participant

* **Transmission Cut-off Times**

 None

* **Prerequisites**

 A settlement instruction that attracts the entitlement being outstanding on the record date of the corporate action

Effected CP Transaction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction ID	16	Character	M

- **Purpose**

  To notify a Clearing Participant that a message previously submitted by the Clearing Participant has been effected in CHESS.

- **Sender**

  CHESS

- **Recipient**

  Third Party

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 103 CP Collateral Removal Authorisation or
  Receipt of a 109 CP Collateral Removal Rejection

Effected Net Settlement Movement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
16	HIN	10	Numeric	M
21	Processing Timestamp	22	Character	M
38	Basis of Movement 1	2	Character	O
39	Basis of Movement 2	2	Character	O
40	Basis of Movement 3	2	Character	O
41	Basis of Movement 4	2	Character	O
42	Basis of Movement 5	2	Character	O
43	Increase or Decrease	1	Character	M
48	Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
53	New Holding Balance	11	Numeric	M
57	Total Message Count	11	Numeric	M
62	Origin Transaction Id	16	Character	M
88	Effected Timestamp	22	Character	M
176	Guaranteed Foreign Indicator	2	Character	O

*   **Purpose**

To notify a Participant of a net movement that occurred on a CHESS holding as a result of settling settlement instructions in the CHESS settlement process.

*   **Sender**

CHESS

*   **Recipient**

Participant

*   **Transmission Cut-off Times**

None. CHESS sends message after completion of settlement reporting.

*   **Prerequisites**

Settlement instructions settled in the settlement process

Projected Cum Entitlement Position

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
7	Corporate Action Type Id	2	Character	M
12	Settlement Date	8	Numeric	M
16	HIN	10	Numeric	M
19	PID	5	Numeric	M
21	Processing Timestamp	22	Character	M
43	Sign	1	Character	M
52	Projected Security Position	11	Numeric	M
62	Origin Transaction Id	16	Character	M
80	Ex Date	8	Numeric	M

- **Purpose**

  To notify a Participant of the projected position of a cum entitlement balance in a future settlement cycle. The projected position is calculated by adding all scheduled cum settlement instructions that target a specific holding to the current cum entitlement balance.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  The following prerequisites apply:

  - settlement instructions are scheduled for the settlement process

  and

  - the security is in an ex period

  and

- receipt of a 503 Reporting Request or a short projected position identified by CHESS during overnight processing on the night prior to the settlement processing

Projected Funds Obligation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
3	Projected Funds Position	15	±Numeric, 2 decimal	M
12	Settlement Date	8	Numeric	M
21	Processing Timestamp	22	Character	M
29	Payment Facility Id	6	Numeric	M
44	Pay Receive Indicator	1	Character	M
62	Origin Transaction Id	16	Character	M
143	Settlement Step	1	Character	O

- **Purpose**

  To notify a Participant of the projected funds position in a future settlement cycle or confirmation of the funds position that has been sent to the bank as part of the current Settlement cycle.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Settlement instructions are scheduled for the settlement process and one of the following apply:

  - receipt of a 503 Reporting Request

  or

  - a paying projected funds position identified by CHESS for a payment facility during overnight processing on the night prior to the settlement processing

  or

  - a 310 Participant Funds Obligation has been transmitted to the bank as part of the authorisation stage of the settlement process

Projected Securities Position

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
12	Settlement Date	8 Numeric	M
16	HIN	10 Numeric	M
19	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
43	Sign	1 Character	M
52	Projected Security Position	11 Numeric	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

  To notify a Participant of the projected securities position in a future settlement cycle. The projected position is calculated by adding all scheduled settlement instructions that target a specific holding to the current holding balance.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  - Settlement instructions scheduled for the settlement process

  and

- Receipt of a 503 Reporting Request or a short projected position identified by CHESS during overnight processing on the night prior to the settlement processing

Cancelled Funds Obligation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
3	Authorised Amount	15	±Numeric, 2 decimal	M
12	Settlement Date	8	Numeric	M
21	Processing Timestamp	22	Character	M
29	Payment Facility Id	6	Numeric	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that a funds obligation in a settlement cycle has been cancelled due to the settlement process failing to complete.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Settlement instructions scheduled for the settlement process and failure of the settlement process

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	**91**	**92**	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	**112**
113	**114**	115	116	117	118	119	120	121	122	123	124	125	126	127	128

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Settled Settlement Instruction

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
91	Settled Timestamp	22	Character	M
92	Receiving Net Movement Transaction Id	16	Character	O
112	Delivering Net Movement Transaction Id	16	Character	O
114	Net Funds Transaction Id	16	Character	O

- **Purpose**

  To notify a Participant that a Settlement Instruction has been settled in the CHESS settlement process.

  Both the Delivering and Receiving Net Movement Transaction Ids are provided on transactions resulting from 105 Single Entry Settlement CHESS to CHESS Transfer Requests. Only one of the Delivering or Receiving Net Movement Transaction Ids is used on dual entry transactions, depending on whether the transaction is delivering or receiving units.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

    None. CHESS sends message after completion of settlement reporting.

- **Prerequisites**

    A settlement Instruction settled in the settlement process

Participant Counterparty Balance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
19	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
44	Pay Receive Indicator	1 Character	M
62	Origin Transaction Id	16 Character	M
67	Amount	14 Numeric, 2 decimal	M

- **Purpose**

  To provide a Participant with details of its current funds balance with a counterparty. The funds balance is calculated using all settlement instructions scheduled for future settlement cycles.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

Collateral Removal Rejection Advice

1	**2**	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	**19**	20	**21**	22	**23**	**24**	25	26	27	28	29	30	**31**	32
33	34	35	36	**37**	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	**52**	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
16	HIN	10	Numeric	M
19	Controlling PID	5	Numeric	M
21	Processing Timestamp	22	Character	M
23	ASX Clear Account	12	Character	M
24	ASX Clearing Member	6	Numeric	M
31	ASX Clear Lodgement Number	8	Character	O
37	Collateral Rejected Reason	1	Character	M
48	Transaction Id	16	Character	M
49	Target Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
62	Origin Transaction ID	16	Character	M

- **Purpose**

  To advise the ASX Clear that a Clearing Participant has rejected a Participant request for the release of securities in a CHESS holding pledged as collateral cover.

  The rejection reason is as supplied by the Clearing Participant.

- **Sender**

  CHESS

- **Recipient**

  ASX Clear-Derivatives

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 109 CP Collateral Removal Rejection

Trade Registration Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
3	Settlement Amount	15	±Numeric, 2 decimal	M
13	Trade Date	8	Numeric	M
19	Receiving PID	5	Numeric	M
20	Delivering PID	5	Numeric	M
24	Trade Execution Time	6	Numeric	M
48	Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
79	Message Type	2	Character	M
94	As At Date	8	Numeric	O
115	Condition Code 1	2	Character	M
116	Condition Code 2	2	Character	O
117	Condition Code 3	2	Character	O
118	Condition Code 4	2	Character	O
119	Condition Code 5	2	Character	O
120	Condition Code 6	2	Character	O
121	Condition Code 7	2	Character	O
122	Condition Code 8	2	Character	O
123	Buyer Id	4	Numeric	M
124	Seller Id	4	Numeric	M
146	Basis of Quotation 1	2	Character	O
147	Basis of Quotation 2	2	Character	O
148	Basis of Quotation 3	2	Character	O
149	Basis of Quotation 4	2	Character	O
150	Basis of Quotation 5	2	Character	O
195	Serial Trade Qualifier	4	Character	M
196	Trade Serial Number	6	Numeric	M

199 Trade Price	15 Numeric, 4 decimal	M
216 Buyer Order Reference Number	10 Character	O
217 Seller Order Reference Number	10 Character	O

- **Purpose**

  To enable an Approved Market Operator to submit or cancel a trade so the trade (or cancellation) can be validated for acceptance into the clearing and settlement facilities by ASX Clear/ASX Settlement.

  To effect a cancellation, the following information must be included in the 161 Trade Registration Request:

  - Security Code
  - Receiving PID
  - Delivering PID
  - Trade Date
  - Serial Trade Qualifier
  - Trade Serial Number

  This information must be consistent with the original 164 Broker Broker Trade. However:

  - If the Trade was executed and reported on Day 1 (T), the Trade Date is Day 1 (T).
  - If the Trade was executed on Day 1 (T) and reported on Day 2 (T+1), then the Trade Date is deemed to be Day 2 (T+1).

  The timing of cancellation does not affect the determination of the Trade Date. The latest date for cancellation is Day 2 (T+1).

  The Approved Market Operator will be advised if the trade registration request was accepted or rejected by ASX Clear/ASX Settlement.

- **Sender**

  Approved Market Operator

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  17:30 (Sydney Time)

  Note that time limits for transmission of the Trade Registration Request message, from the time of trade execution, will also apply: refer Operational and Technical Standards applicable to the Trade Acceptance Service.

- **Prerequisites**

  None

Suspended Settlement Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
75	Suspension Reason	1 Character	M
92	Updating Transaction Id	16 Character	M

- **Purpose**

    To notify a Participant that a settlement instruction has been suspended due to the closure of the subregister for that security.

    The settlement instruction remains suspended until the Participants change the security code and status of the settlement instruction (through the use of the 121 Change Settlement Instruction message) or the settlement instruction is cancelled by the Participants.

- **Sender**

    CHESS

- **Recipient**

    Participant

- **Transmission Cut-off Times**

    None

- **Prerequisites**

    Subregister closed through a corporate action

Notified Broker Broker Trade

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
3	Settlement Amount	15	±Numeric, 2 decimal	M
11	Transaction Basis	1	Character	M
12	Settlement Date	8	Numeric	O
13	Trade Date	8	Numeric	M
19	Receiving PID	5	Numeric	M
20	Delivering PID	5	Numeric	M
21	Processing Timestamp	22	Character	M
24	Trade Execution Time	6	Numeric	M
27	Settlement Instruction Status	1	Character	M
38	Override Basis of Movement 1	2	Character	O
39	Override Basis of Movement 2	2	Character	O
40	Override Basis of Movement 3	2	Character	O
41	Override Basis of Movement 4	2	Character	O
42	Override Basis of Movement 5	2	Character	O
48	Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
62	Origin Transaction Id	16	Character	M
94	As At Date	8	Numeric	O
115	Condition Code 1	2	Character	O
116	Condition Code 2	2	Character	O
117	Condition Code 3	2	Character	O
118	Condition Code 4	2	Character	O
119	Condition Code 5	2	Character	O
120	Condition Code 6	2	Character	O
121	Condition Code 7	2	Character	O
122	Condition Code 8	2	Character	O
123	Buyer Id	4	Numeric	M
124	Seller Id	4	Numeric	M
146	Basis of Quotation 1	2	Character	O
147	Basis of Quotation 2	2	Character	O
148	Basis of Quotation 3	2	Character	O

149	Basis of Quotation 4	2	Character	O
150	Basis of Quotation 5	2	Character	O
151	Trade Reason	1	Character	M
210	Novation Indicator	1	Character	M
216	Buyer Order Reference Number	10	Character	O
217	Seller Order Reference Number	10	Character	O

- **Purpose**

  To notify to a Participant that a broker/broker trade has been received and is scheduled for future settlement.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a matched broker/broker trade from an Approved Market Operator Trading System
  or

  Creation of a Broker/Broker obligation resulting from a 139 Isolate Counterparty request being received by CHESS

  or

  As a result of CHESS isolating a counterparty at End of Day on Record Date for outstanding NBOs

  or

  Where a Clearing Participant has not been instructed by a Trading Participant to opt out of crossing registration.

Scheduled Dual Entry Settlement Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
3	Settlement Amount	15	±Numeric, 2 decimal	O
21	Processing Timestamp	22	Character	M
34	Participant Reference	16	Character	O
35	Supplementary Reference	16	Character	O
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
90	Matching Transaction Id	16	Character	M

- **Purpose**

  To notify both Participants that a Dual Entry Settlement Instruction has been matched and is scheduled for either net batch settlement or RTGS settlement.

  The settlement amount of the instruction is stated if not zero, as the amount may vary slightly from that originally notified by one of the Participants due to the allowed Settlement Amount Tolerance (this allows for rounding errors).

  The Participant Reference is the Participant's own identifier; the Supplementary Reference is the counterparty Participant's identifier.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  - For net batch settlement:
    Receipt and matching 101 Dual Entry Settlement Notifications from both parties

  - For RTGS settlement:
    Receipt and matching 481 RTGS Dual Entry Notification from both parties.

CP Collateral Removal Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
19	Controlling PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
23	ASX Clear Account	12 Character	M
24	ASX Clearing Member	6 Numeric	M
31	ASX Clear Lodgement Number	8 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
62	Origin Transaction ID	16 Character	M

- **Purpose**

  To request a Clearing Participant to authorise the release of securities in a CHESS holding pledged as collateral cover.

- **Sender**

  CHESS

- **Recipient**

  Third Party

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 029 Collateral Removal Request

Effected Net Funds Movement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
3	Settlement Amount	15	±Numeric, 2 decimal	M
12	Settlement Date	8	Numeric	M
21	Processing Timestamp	22	Character	M
29	Payment Facility Id	6	Numeric	M
44	Pay Receive Indicator	1	Character	M
57	Total Message Count	11	Numeric	M
62	Origin Transaction Id	16	Character	M
114	Net Funds Transaction Id	16	Character	M

- **Purpose**

  To notify the Participant of the net funds movement that was made through a payment facility as a result of the settlement process in CHESS.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None. CHESS sends messages after completion of settlement reporting.

- **Prerequisites**

  A value settlement instruction was settled during the CHESS settlement process.

Effected Registry to CHESS Conversion

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	**34**	35	36	37	**38**	**39**	**40**	**41**	**42**	43	44	45	46	47	48
49	50	51	52	**53**	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
34	Registry Reference	16	Character	O
38	Basis of Movement 1	2	Character	O
39	Basis of Movement 2	2	Character	O
40	Basis of Movement 3	2	Character	O
41	Basis of Movement 4	2	Character	O
42	Basis of Movement 5	2	Character	O
49	Target Transaction Id	16	Character	M
53	New Holding Balance	11	Numeric	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that a Registry to CHESS Conversion Request has been authorised by the Registry and the securities moved to the specified holding.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 019 Certificated to CHESS or
  Receipt of a 017 Issuer Sponsored to CHESS Conversion Request

Effected Registry to CHESS Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
8	Marking Number	16	Character	O
21	Processing Timestamp	22	Character	M
34	Registry Reference	16	Character	O
38	Basis of Movement 1	2	Character	O
39	Basis of Movement 2	2	Character	O
40	Basis of Movement 3	2	Character	O
41	Basis of Movement 4	2	Character	O
42	Basis of Movement 5	2	Character	O
49	Target Transaction Id	16	Character	M
53	New Holding Balance	11	Numeric	M
59	Delivering Registration Details	180	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that a Registry to CHESS Transfer Request has been authorised by the Registry and the securities transferred to the specified holding.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 021 Certificated to CHESS or
  Receipt of a 015 Issuer Sponsored to CHESS Transfer Request

176-01

Collateral Creation Rejection Advice

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
16	HIN	10	Numeric	M
19	Controlling PID	5	Numeric	M
21	Processing Timestamp	22	Character	M
22	Margin Lender	1	Character	O
23	ASX Clear Account	12	Character	M
24	ASX Clearing Member	6	Numeric	M
31	ASX Clear Lodgement Number	8	Character	O
37	Collateral Rejected Reason	1	Character	M
48	Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
62	Origin Transaction ID	16	Character	M

- **Purpose**

  To notify a Clearing Participant of a Collateral Creation request that has been rejected by ASX Clear-Derivatives. The Collateral is pledged on securities in a CHESS holding; this may be the first Collateral pledged on this holding or it may be additional to existing Collateral.

- **Sender**

  CHESS

- **Recipient**

  Third Party

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 609 Rejected Collateral

Unmatched Dual Entry Settlement Instruction Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
89	Cancelling Transaction Id	16	Character	M

- **Purpose**

  To notify both Participants that a request to cancel a Dual Entry Settlement made by one of the Participants has not been matched by the counterparty to the settlement.

  The request is held in CHESS until it is matched with the counterparty's request, the Participant cancels it or the settlement instruction is settled.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

- receipt of a 129 Dual Entry Settlement Cancellation Request from the Participant

and

- a Dual Entry Settlement Instruction is scheduled for settlement

Full Settlement Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
3	Settlement Amount	15 ±Numeric, 2 decimal	O
4	Outstanding Settlement Amount	15 ±Numeric, 2 decimal	O
11	Transaction Basis	1 Character	M
12	Settlement Date	8 Numeric	M
16	Receiving HIN	10 Numeric	O
17	Delivering HIN	10 Numeric	O
19	Receiving PID	5 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
27	Settlement Instruction Status	1 Character	M
34	Participant Reference	16 Character	O
35	Receiving Supplementary Reference	16 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
49	Parent Transaction Id	16 Character	O
52	Unit Quantity	11 Numeric	M
53	Outstanding Unit Quantity	11 Numeric	O
58	Delivering Supplementary Reference	16 Character	O
62	Origin Transaction Id	16 Character	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
87	Initial Timestamp	22 Character	M

89	Cancelling Transaction Id	16	Character	O
90	Matching Transaction Id	16	Character	O
91	Settled Timestamp	22	Character	O
92	Receiving Net Movement Transaction Id	16	Character	O
93	Scheduled Timestamp	22	Character	O
112	Delivering Net Movement Transaction Id	16	Character	O
113	Netted Obligation Transaction Id	16	Character	O
114	Net Funds Transaction Id	16	Character	O
125	Cancelled Timestamp	22	Character	O
176	Guaranteed Foreign Indicator	2	Character	O

*   **Purpose**

To notify the full details of a settlement instruction that is due to settle or has been settled in CHESS. This message is sent to a Participant as a result of a reporting request and is likely to be used by the Participant in reconciliation processes.

*   **Sender**

CHESS

*   **Recipient**

Participant

*   **Transmission Cut-off Times**

None

*   **Prerequisites**

Receipt of a 503 Reporting Request

Collateral Removal to CP Notification

1	**2**	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	**19**	20	**21**	22	**23**	**24**	25	26	27	28	29	30	**31**	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	**52**	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
19	Controlling PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
23	ASX Clear Account	12 Character	M
24	ASX Clearing Member	6 Numeric	M
31	ASX Clear Lodgement Number	8 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
62	Origin Transaction ID	16 Character	M

- **Purpose**

  To notify ASX Clear that a Collateral removal authorisation request has been forwarded to the Clearing Participant.

- **Sender**

  CHESS

- **Recipient**

  ASX Clear

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 168 CP Collateral Removal Authorisation

Trade Registration Acceptance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
12	Settlement Date	8	Numeric	M
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify an Approved Market Operator that the trade which is the subject of a Trade Registration Request was approved for acceptance into the Clearing and Settlement facilities by ASX Clear/ASX Settlement.

- **Sender**

  CHESS

- **Recipient**

  Approved Market Operator

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 161 - Trade Registration Request from an Approved Market Operator

Net Trust Amount Movement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
12	Settlement Date	8	Numeric	M
21	Processing Timestamp	22	Character	M
29	Payment Facility Id	6	Numeric	M
62	Origin Transaction Id	16	Character	M
73	Trust Amount	15	±Numeric, 2 decimal	M

- **Purpose**

  The purpose of this message is to notify the participant of the actual net movement that has been notified to the payment provider that is required to be transferred to or from the participants trust bank account.

  This notification is provided at the payment facility level for that settlement day

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-Off Times**

  None as CHESS sends messages after the completion of settlement reporting

- **Prerequisites**

  MT107-01 Scheduled Settlement CHESS to CHESS Transfer Request settlement instructions settled in settlement process

Full Adjusted Settlement Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Previous Security Code	12 Character	O
3	Previous Settlement Amount	15 ±Numeric, 2 decimal	O
4	Revised Settlement Amount	15 ±Numeric, 2 decimal	O
7	Corporate Action Type Id	2 Character	O
10	Revised Security Code	12 Character	O
11	Previous Transaction Basis	1 Character	O
12	Previous Settlement Date	8 Numeric	O
13	Revised Settlement Date	8 Numeric	O
16	Previous Delivering HIN	10 Numeric	O
17	Previous Receiving HIN	10 Numeric	O
21	Processing Timestamp	22 Character	M
27	Revised Status	1 Character	O
30	Previous Status	1 Character	O
38	Previous Override Basis of Move 1	2 Character	O
39	Previous Override Basis of Move 2	2 Character	O
40	Previous Override Basis of Move 3	2 Character	O
41	Previous Override Basis of Move 4	2 Character	O
42	Previous Override Basis of Move 5	2 Character	O
48	Transaction Id	16 Character	M
52	Previous Unit Quantity	11 Numeric	O
53	Revised Unit Quantity	11 Numeric	O
58	Revised Underlying Reference	16 Character	O
62	Origin Transaction Id	16 Character	M
66	Revised Delivering HIN	10 Numeric	O
67	Previous Stamping Consideration	14 Numeric, 2 decimal	O
68	Revised Stamping Consideration	14 Numeric, 2 decimal	O
69	Previous Duty Type	1 Character	O
70	Previous Underlying Reference	16 Character	O
80	Ex Date	8 Numeric	O

81	Diary Adjustment Type	1	Character	O
86	Diary Adjustment Number	1	Numeric	O
87	Timestamp	22	Character	M
89	Cancelling Transaction Id	16	Character	O
90	Matching Transaction Id	16	Character	O
91	Matched Timestamp	22	Character	O
92	Updating Transaction Id	16	Character	M
107	Adjustment History Status	1	Character	M
108	Accrual Number	2	Numeric	O
109	Adjustment Reason	1	Character	M
125	Cancelled Timestamp	22	Character	O
130	Revised Override Basis of Move 1	2	Character	O
131	Revised Override Basis of Move 2	2	Character	O
132	Revised Override Basis of Move 3	2	Character	O
133	Revised Override Basis of Move 4	2	Character	O
134	Revised Override Basis of Move 5	2	Character	O
135	Revised Duty Type	1	Character	O
136	Previous Duty Payable	14	Numeric, 2 decimal	O
137	Revised Duty Payable	14	Numeric, 2 decimal	O
138	Revised Receiving HIN	10	Numeric	O
139	Revised Transaction Basis	1	Character	O
176	Previous Guaranteed Foreign Indicator	2	Character	O
177	Revised Guaranteed Foreign Indicator	2	Character	O

- **Purpose**

To notify the full details of an adjustment to a settlement instruction that has either been made, been requested to be made, or been requested and then cancelled. The adjustment may be as a result of:
  - a Participant request;
  - a diary adjustment resulting from a corporate action; or
  - the rescheduling of a settlement instruction.

This message is sent to a Participant as a result of a reporting request and is likely to be used by the Participant in reconciliation processes.

- **Sender**

CHESS

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 503 Reporting Request

Predicted Partial Fail Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	**52**	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
52	Failing Unit Quantity	11	Numeric	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant of a settlement instruction that has been flagged to partly fail in the current settlement cycle.

  Note: This message advises of PREDICTED partial fails. The actual results from settlement may be changed following a payment failure.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  A settlement instruction has been flagged to partly fail in the settlement process

Part-Settled Settlement Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
3	Previous Settlement Amount	15	±Numeric, 2 decimal	M
4	Revised Settlement Amount	15	±Numeric, 2 decimal	M
12	Settlement Date	8	Numeric	M
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
49	Part-Settled Transaction Id	16	Character	M
52	Previous Unit Quantity	11	Numeric	M
53	Revised Unit Quantity	11	Numeric	M
62	Origin Transaction Id	16	Character	M
91	Settled Timestamp	22	Character	M
92	Receiving Net Movement Transaction Id	16	Character	O
112	Delivering Net Movement Transaction Id	16	Character	O
114	Net Funds Transaction Id	16	Character	O

- **Purpose**

    To notify a Participant of a netted settlement instruction that has been partly settled in the settlement process.

    The partial settlement may be due to some units failing to be delivered in a settlement cycle or the partial settlement of funds as a result of Standard Settlement Pricing.

    Both the Delivering and Receiving Net Movement Transaction Ids are provided on transactions resulting from 105 Single Entry Settlement CHESS to CHESS Transfer Requests. Only one of the Delivering or Receiving Net Movement Transaction Ids is used on dual entry transactions, depending on whether the transaction is delivering or receiving units.

    The outstanding portion of the settlement instruction has been rescheduled to the next settlement cycle.

The settled portion of the settlement instruction has been settled as part of the identified Net Securities Movement and, if for value, the identified Net Funds Movement.

Note: A Participant does NOT receive a 124 Rescheduled Settlement Instruction for the rescheduled portion, nor do they receive a 156 Settled Settlement Instruction for the settled portion.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None. CHESS sends message after completion of settlement reporting.

- **Prerequisites**

  Settlement instructions that are scheduled for the settlement process and are indicated as eligible for part settlement

Unmatched Dual Entry Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that a Dual Entry message previously submitted to CHESS has been processed but cannot currently be matched against a corresponding instruction submitted by the counterparty.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 101 Dual Entry Settlement Notification or
  Receipt of a 005 Demand Dual Entry CHESS to CHESS Transfer Request

Block Trade Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To enable a Participant to request that a Broker/Broker Trade not be included in the Broker/Broker netting process. The Participant must be the delivering Participant of the Broker/Broker Trade. If the request is successful, both settlement counterparties are advised that the trade is now blocked from Broker/Broker netting.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Prior to Netting Cut-off applicable to the settlement date of the Broker/Broker Trade

- **Prerequisites**

  Receipt of a Broker/Broker Trade from the ASX trading system

Blocked Broker Broker Trade

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
89	Blocking Transaction Id	16	Character	M

- **Purpose**

   To notify a Participant that a Broker/Broker Trade to which they are a settlement counterparty has been blocked from the netting process. The trade has been blocked at the request of the delivering Participant. The trade will settle on a line-for-line basis.

- **Sender**

   CHESS

- **Recipient**

   Participant

- **Transmission Cut-off Times**

   None

- **Prerequisites**

   Receipt of a 195 Block Trade Request from the delivering Participant

Unblock Trade Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To enable a Participant to request that a Broker/Broker Trade be included in the Broker/Broker netting process. The Participant must be the delivering Participant of the Broker/Broker Trade. If the request is successful, both settlement counterparties are advised that the trade is now to be included in Broker/Broker netting.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Netting Cut-off applicable to the settlement date of the Broker/Broker Trade

- **Prerequisites**

  Receipt of a Broker/Broker Trade from the ASX trading system

Unblocked Broker Broker Trade

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
90	Unblocking Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that a Broker/Broker Trade to which they are a settlement counterparty is now included in the netting process. The trade has been unblocked at the request of the delivering Participant. The trade will settle on a netted basis.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 197 Unblock Trade Request from the delivering Participant

New Registration Details

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	**18**	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	**59**	60	61	62	63	64

65	66	67	68	69	70	**71**	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	**175**	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	**200**	201	202	203	204	205	206	207	**208**
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/ Optional
18	Holder Type	1 Character	M
48	Transaction Id	16 Character	M
59	Registration Details	180 Character	M
71	Entrepot Type	1 Character	O
175	Residency Indicator	1 Character	M
200	Email Address	50 Character	O
208	Email Purpose	1 Character	O

- **Purpose**

  To allow a Participant to setup a new holder on the CHESS subregister. A HIN is allocated to the holder by CHESS as part of the setup procedure.

  The Entrepot Type is only specified if the Holder Type is an entrepot.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

Settlement Cut-off Time
- if the message is to be processed prior to the next settlement process

End of Day
- if the message is to be processed prior to the end of day process

- **Prerequisites**

    None

Accepted New Registration Details

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
16	HIN	10	Numeric	M
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

    To notify a Participant that a new CHESS holder has been set up as requested. The HIN allocated to the holder is advised to the Participant.

- **Sender**

    CHESS

- **Recipient**

    Participant

- **Transmission Cut-off Times**

    None

- **Prerequisites**

    Receipt of a 201 New Registration Details

Registration Details Update Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format		Mandatory/ Optional
12	Date of Event	8	Numeric	O
16	HIN	10	Numeric	M
34	Contact Reference	16	Character	O
48	Transaction Id	16	Character	M
59	Registration Details	180	Character	O
142	Registration Name Update Reason 1	1	Character	O
145	Registration Name Update Reason 2	1	Character	O
173	Holder Status Change	1	Character	O
175	Residency Indicator	1	Character	O
179	Holder Lock Release Reason	1	Character	O
200	Email Address	50	Character	O
208	Email Purpose	1	Character	O
209	Email Cancellation	1	Character	O

- **Purpose**

    To enable the registration details of a CHESS holder to be maintained on the CHESS subregister.

    Changes involving registration name, other than those involving select Account Designation changes only are passed to ASX Settlement for authorisation.

    Changes involving the registration address, residency indicator or email details do not require authorisation by ASX Settlement.

Changes involving application and release of death and bankruptcy holder record locks do not require authorisation as long as valid holder status change values are provided and valid values for other required field designations are provided. Refer to the APG section 5 for further detail.

Changes involving a change in a holder's status may require authorisation by ASX Settlement, depending on the status change requested.

Multiple registration updates are possible on one message.

Date of Event must only be populated for:

  • Date of bankruptcy upon application of a bankruptcy lock.

  • Date of death upon release of a death holder lock.

Note: If any change submitted on the message requires authorisation by ASX Settlement, all relevant changes specified on the message are authorised or rejected together.

Refer to Section 8 of this manual for more information on CHESS validation of registration details.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  None

Locked CHESS Holding

1	**2**	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
16	HIN	10	Numeric	M
21	Processing Timestamp	22	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

   To notify a Participant that a CHESS holding that they control has been locked from any non-registry-initiated securities movements (including sub-position movements).

   The lock is placed on a holding by the CHESS on receiving instructions (e.g. a court order) from an authorised third party.

- **Sender**

   CHESS

- **Recipient**

   Participant

- **Transmission Cut-off Times**

   None

- **Prerequisites**

   CHESS holding locked by the CHESS

Unlocked CHESS Holding

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
16	HIN	10	Numeric	M
21	Processing Timestamp	22	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

    To notify a Participant that a CHESS holding that was previously locked is now unlocked and is available for non-Registry-initiated securities movements.

- **Sender**

    CHESS

- **Recipient**

    Participant

- **Transmission Cut-off Times**

    None

- **Prerequisites**

    CHESS holding unlocked by the CHESS

Accepted Registration Details Update

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

  To notify the Participant that their request to update the registration details of a CHESS holder has been accepted.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 203 Registration Details Update Request

Authorised Registration Details

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
16	HIN	10	Numeric	O
18	Holder Type	1	Character	O
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
59	Registration Details	180	Character	O
62	Origin Transaction Id	16	Character	M
71	Entrepot Type	1	Character	O
174	Holder Status	1	Character	O
175	Residency Indicator	1	Character	O
179	Registration Details Update Reason	1	Character	M

- **Purpose**

  To notify the Participant that their request to update the registration details of a CHESS holder has been authorised.

  The updated registration details are passed to the Registry that controls the principal register of any securities that the holder holds in CHESS.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  One of the following:

  - Receipt of a 203 Registration Details Update Request

  or

  - An update performed by ASX Settlement

  or

  - Registration Address Cleansing performed by ASX Settlement

Holder Detail

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format		Mandatory/ Optional
16	HIN	10	Numeric	M
18	Holder Type	1	Character	M
19	Controlling PID	5	Numeric	M
21	Processing Timestamp	22	Character	M
56	Statement Required	1	Character	M
59	Registration Details	180	Character	M
62	Origin Transaction Id	16	Character	M
71	Entrepot Type	1	Character	O
154	Advice Reason	1	Character	M
174	Holder Status	1	Character	O
175	Residency Indicator	1	Character	O
200	Email Address	50	Character	O
208	Email Purpose	1	Character	O

- **Purpose**

    To notify a Participant of the current details of a holder that they control on the CHESS subregister.

- **Sender**

    CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  - Receipt of a 503 Reporting Request

  or

  - Transfer of control of an existing HIN to the recipient

Holder History

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format		Mandatory/ Optional
16	HIN	10	Numeric	M
18	Holder Type	1	Character	M
19	Controlling PID	5	Numeric	M
21	Processing Timestamp	22	Character	M
56	Statement Required	1	Character	M
59	Registration Details	180	Character	M
62	Origin Transaction Id	16	Character	M
71	Entrepot Type	1	Character	O
87	Timestamp	22	Character	M
88	Response Timestamp	22	Character	M
174	Holder Status	1	Character	O
175	Residency Indicator	1	Character	O
200	Email Address	50	Character	O
208	Email Purpose	1	Character	O

- **Purpose**

  To notify a Participant of historical details of a holder that they control on the CHESS subregister. A series of these messages is received in response to a Participant's reporting request.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

User Detail

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
9	Contact	30	Character	M
16	Default Settlement HIN	10	Numeric	O
17	Default Demand HIN	10	Numeric	O
19	UIC	5	Numeric	M
20	Master UIC	5	Numeric	O
21	Processing Timestamp	22	Character	M
62	Origin Transaction Id	16	Character	M
66	Default Accumulation HIN	10	Numeric	O
77	Participant Type	1	Character	O
96	Name	90	Character	M
97	Address	90	Character	M
103	Security Code Preference	1	Character	M
104	User Status	1	Character	M
105	User Type	1	Character	M

- **Purpose**

  To notify a user of their current details held by the CHESS.

- **Sender**

  CHESS

- **Recipient**

  User

- **Transmission Cut-off Times**

  None

- **Prerequisites**

Receipt of a 503 Reporting Request

User History

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
9	Contact	30	Character	M
16	Default Settlement HIN	10	Numeric	O
17	Default Demand HIN	10	Numeric	O
19	UIC	5	Numeric	M
20	Master UIC	5	Numeric	O
21	Processing Timestamp	22	Character	M
62	Origin Transaction Id	16	Character	M
66	Default Accumulation HIN	10	Numeric	O
77	Participant Type	1	Character	O
87	Timestamp	22	Character	M
96	Name	90	Character	M
97	Address	90	Character	M
103	Security Code Preference	1	Character	M
104	User Status	1	Character	M
105	User Type	1	Character	M

- **Purpose**

  To notify a user of their past details held by the CHESS. A series of these messages are received in response to a user's reporting request.

- **Sender**

  CHESS

- **Recipient**

  User

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

Payment Facility Detail

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	**19**	20	**21**	22	23	24	25	26	27	28	**29**	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	**56**	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
16	HIN	10 Numeric	O
19	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
29	Payment Facility Id	6 Numeric	M
56	Default Payment Facility Indicator	1 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

  To notify a Participant of the current details of a payment facility that they control.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

Payment Facility History

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
16	HIN	10	Numeric	O
19	PID	5	Numeric	M
21	Processing Timestamp	22	Character	M
29	Payment Facility Id	6	Numeric	M
56	Default Payment Facility Indicator	1	Character	M
62	Origin Transaction Id	16	Character	M
76	Payment Facility Change Reason	1	Character	M
87	Timestamp	22	Character	M

- **Purpose**

  To notify a participant the past details of a payment facility that they control. A series of these messages are received in response to a participant's reporting request.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cutoff Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

Reporting Request Detail

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code Parameter	12 Character	O
7	Corporate Action Type Id Parameter	2 Character	O
12	Reporting Start Date	8 Numeric	O
13	Reporting End Date	8 Numeric	O
16	HIN Parameter	10 Numeric	O
19	PID Parameter	5 Numeric	O
21	Processing Timestamp	22 Character	M
29	Payment Facility Parameter	6 Numeric	O
45	Subposition Type Parameter	1 Character	O
47	Takeover Code Parameter	6 Character	O
48	Transaction Id	16 Character	M
49	Transaction Id Parameter	16 Character	O
62	Origin Transaction Id	16 Character	M
72	Reporting Request Status	1 Character	M
78	Reporting Id	3 Character	M
79	Processing Frequency	2 Character	M
80	Ex Date Parameter	8 Numeric	O
82	Number of Days Parameter	3 Numeric	O
83	Position Type Parameter	1 Character	O
84	Processing Point	2 Character	O
85	Processing Period	1 Character	O
94	Start Date Parameter	8 Numeric	O
95	End Date Parameter	8 Numeric	O
98	As At Date Parameter	8 Numeric	O
99	Reporting Id Parameter	3 Character	O
144	Transaction Class Parameter	1 Character	O
152	Additional Reporting Parameter	1 Character	O

- **Purpose**

  To notify a user of the details of a scheduled reporting request.

- **Sender**

  CHESS

- **Recipient**

  User

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

Created Payment Facility

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	**19**	**20**	**21**	22	23	24	25	26	27	28	**29**	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	**56**	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
16	HIN	10	Numeric	O
19	PID	5	Numeric	M
20	Bank UIC	5	Numeric	M
21	Processing Timestamp	22	Character	M
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
56	Default Payment Facility Indicator	1	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To advise a Participant or a Payment Provider of the details of a payment facility that has been established on CHESS. If the payment facility is not the Participant's default payment facility but covers one or more specific HINs, one message is sent to the Participant for each HIN that is covered. HIN details are not provided to the Payment Provider.

- **Sender**

  CHESS

- **Recipient**

  Participant, Payment Provider

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  - Payment Facility Creation Request lodged by the Participant with ASX Settlement and authorised by the relevant Payment Provider

  or

  - Payment Facility Administration Request lodged by the Participant to change the bank associated with a payment facility and authorised by the new Payment Provider
  or

  - Derivatives Payment Service Request lodged by the Participant will automatically create a payment facility (Entrepot Type 'P'). This will be authorised by the relevant payment provider.

Applied Payment Facility Update

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
16	HIN	10	Numeric	O
19	PID	5	Numeric	M
20	Bank UIC	5	Numeric	M
21	Processing Timestamp	22	Character	M
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
76	Payment Facility Change Reason	1	Character	M

- **Purpose**

  To advise a Participant of the revised details of a payment facility.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Payment Facility Amendment Request lodged by the Participant with ASX Settlement and authorised by the relevant Payment Provider.

Cancelled Payment Facility

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	**29**	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To advise a Participant that a payment facility has been cancelled.

  To advise a Payment Provider that a payment facility that they provide for their client is no longer required.

- **Sender**

  CHESS

- **Recipient**

  Participant or Payment Provider

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  - Payment Facility Cancellation Request lodged by the Participant with ASX Settlement

  or

  - Payment Facility Amendment Request lodged by Participant to change the Payment Provider associated with a payment facility and authorised by the new Payment Provider

Change Controlling Participant Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	**19**	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
16	HIN	10 Numeric	M
19	Controlling PID	5 Numeric	M
48	Transaction Id	16 Character	M

- **Purpose**

    To enable a Participant to request control of an existing HIN from the current Controlling Participant.

- **Sender**

    Requesting Participant

- **Recipient**

    CHESS

- **Transmission Cut-off Times**

    None

- **Prerequisites**

    None

Accepted Change Controlling Participant Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

  To notify the requesting Participant that the request to change the Controlling Participant of a HIN has been accepted and passed on to the current Controlling Participant of the HIN for authorisation.

- **Sender**

  CHESS

- **Recipient**

  Requesting Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 245 Change of Controlling Participant Request

Change Controlling Participant Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To enable the requesting Participant to cancel an outstanding change of Controlling Participant request.

- **Sender**

  Requesting Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 245 Change Controlling Participant Request

Change Controlling Participant Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	**19**	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
16	HIN	10 Numeric	M
19	Requesting Participant	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

  To advise the current Controlling Participant of a HIN that a request has been received for the transfer of control of the HIN to another Participant. The current Controlling Participant must accept or reject the request.

- **Sender**

  CHESS

- **Recipient**

  Relinquishing Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 245 Change of Controlling Participant Request

Cancelled Change Controlling Participant Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
89	Cancelling Transaction Id	16	Character	M

- **Purpose**

  To advise the current Controlling Participant of a HIN and the requesting Participant of a change Controlling Participant request that the request has been cancelled.

- **Sender**

  CHESS

- **Recipient**

  Controlling and Requesting Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 247 Change of Controlling Participant Cancellation Request

Change Controlling Participant Authorisation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To advise CHESS that a change of Controlling Participant has been authorised by the current Controlling Participant.

- **Sender**

  Relinquishing Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 248 Change Controlling Participant Authorisation Request by the current Controlling Participant

Effected Controlling Participant Change

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	**19**	**20**	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
16	HIN	10 Numeric	M
19	Old Controlling PID	5 Numeric	M
20	New Controlling PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

  To advise the relinquishing and the new Controlling Participant of a HIN that change of control of the nominated HIN has occurred.

- **Sender**

  CHESS

- **Recipient**

  Relinquishing and Controlling Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  - Receipt of a 251 Change of Controlling Participant Authorisation

  or

- Bulk change of Controlling Participant performed by ASX Settlement

Change Controlling Participant Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
153	Rejected Change Reason	1 Character	M

- **Purpose**

  To advise CHESS that a change Controlling Participant request has been rejected by the Controlling Participant of the HIN.

- **Sender**

  Controlling Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 248 Change Controlling Participant Authorisation Request

Rejected Change Controlling Participant

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
153	Rejected Change Reason	1	Character	M

- **Purpose**

  To advise the requesting participant that their request to change the controlling participant of a HIN has been rejected by the current controlling participant of the HIN.

- **Sender**

  CHESS

- **Recipient**

  Requesting Participant

- **Transmission Cutoff Times**

  None

- **Prerequisites**

  Receipt of a 253 Change Controlling Participant Rejection

Loan Application

1	**2**	3	4	5	6	7	8	9	10	11	12	13	14	15	**16**
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	**34**	35	36	37	**38**	**39**	**40**	**41**	**42**	43	44	45	46	47	**48**
49	50	51	**52**	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
16	Receiving HIN	10	Numeric	O
34	Participant Reference	16	Character	O
38	Override Basis of Movement 1	2	Character	O
39	Override Basis of Movement 2	2	Character	O
40	Override Basis of Movement 3	2	Character	O
41	Override Basis of Movement 4	2	Character	O
42	Override Basis of Movement 5	2	Character	O
48	Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M

- **Purpose**

  To enable a broker to request a loan of securities through the Securities Lending Service. Loans can only be requested for the next settlement cycle. The broker is notified whether the Loan Application is accepted or rejected. If the Loan Application is accepted, it is held until the next Loan Application Cut-off when it is combined with other applications for the same security (and basis of movement) and one bulk loan application is made to the SLS Provider.

- **Sender**

  Broker

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Loan Application Cut-off

  - if the loan is required in the current settlement cycle

- **Prerequisites**

  None

Accepted Loan Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

   To notify the broker or SLS Provider that their message has been accepted and processed.

- **Sender**

   CHESS

- **Recipient**

   Broker, SLS Provider

- **Transmission Cut-off Times**

   None

- **Prerequisites**

   Receipt of one of the following:

   - 271   Loan Application (message from broker)
   - 275   Loan Return Notification (message from broker)
   - 281   Bulk Loan Approval message from the SLS Provider

Loan Application Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To enable a broker to cancel a Loan Application that has been made previously. The Loan Application can only be cancelled before the next Loan Application Cut-off; once the Cut-off has been reached the Loan Application cannot be cancelled. The broker is advised if the Loan Application has been cancelled or not.

- **Sender**

  Broker

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Loan Application Cut-off

- **Prerequisites**

  A Loan Application has been made.

Adjusted Loan

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
2	Previous Security Code	12	Character	M
3	Previous Loan Consideration	15	±Numeric, 2 decimal	M
4	Revised Loan Consideration	15	±Numeric, 2 decimal	M
10	Revised Security Code	12	Character	M
21	Processing Timestamp	22	Character	M
52	Previous Unit Quantity	11	Numeric	M
53	Revised Unit Quantity	11	Numeric	M
62	Origin Transaction Id	16	Character	M
74	Loan Transaction Id	16	Character	M
81	Diary Adjustment Type	1	Character	M
86	Diary Adjustment Number	1	Numeric	M
92	Updating Transaction Id	16	Character	M
108	Accrual Number	2	Numeric	M

- **Purpose**

  To advise a broker or the SLS Provider that an outstanding SLS Loan has been adjusted due to the application of a corporate action.

- **Sender**

  CHESS

- **Recipient**

  Broker, SLS Provider

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  An SLS Loan that attracts the entitlement being outstanding on the record date of the corporate action

Loan Return Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
17	Delivering HIN	10	Numeric	O
48	Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
74	Loan Transaction Id	16	Character	M

- **Purpose**

  To enable a broker to advise that securities are to be returned for an outstanding SLS loan in the next settlement cycle. Partial returns of SLS loans are permitted. The broker is advised if the loan return is accepted or rejected. Loan consideration is returned on a pro-rata basis.

- **Sender**

  Broker

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Loan Return Cut-off of the appropriate settlement cycle

- **Prerequisites**

  An SLS Loan has been made but has not been completely returned

Accrued Loan

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
3	Loan Consideration	15	±Numeric, 2 decimal	M
21	Processing Timestamp	22	Character	M
38	Override Basis of Movement 1	2	Character	O
39	Override Basis of Movement 2	2	Character	O
40	Override Basis of Movement 3	2	Character	O
41	Override Basis of Movement 4	2	Character	O
42	Override Basis of Movement 5	2	Character	O
49	Parent Transaction Id	16	Character	M
52	Unit Quantity	11	Numeric	M
62	Origin Transaction Id	16	Character	M
74	Loan Transaction Id	16	Character	M
81	Diary Adjustment Type	1	Character	M
92	Updating Transaction Id	16	Character	M

- **Purpose**

    To notify the broker that an accrued SLS loan has been created following the diary adjustment of an outstanding SLS loan.

- **Sender**

    CHESS

- **Recipient**

    Broker, SLS Provider

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  An outstanding SLS Loan that attracts an entitlement as of record date of the corporate action

Loan Return Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To enable a broker to cancel a Loan Return that has been previously notified to the CHESS. The Loan Return can only be cancelled before the next Loan Return Cut-off; once the Cut-off has been reached the Loan Return cannot be cancelled. The broker is advised if the Loan Return has been cancelled.

- **Sender**

  Broker

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Loan Return Cut-off of the appropriate settlement cycle

- **Prerequisites**

  A Loan Return has been notified by the broker for inclusion in the next settlement cycle

Scheduled Loan Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
3	Loan Consideration	15 ±Numeric, 2 decimal	M
12	Settlement Date	8 Numeric	M
16	Receiving HIN	10 Numeric	O
17	Delivering HIN	10 Numeric	O
19	Receiving PID	5 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M
74	Loan Transaction Id	16 Character	M

- **Purpose**

  To notify a broker or the SLS Provider that a settlement instruction has been scheduled to effect a Loan or Loan Return. The Loan settlement instruction is scheduled to be settled in the next settlement process.

  Note: If the notification refers to a loan, an outstanding obligation for the Loan Return should be established in the Participant's system.

- **Sender**

  CHESS

- **Recipient**

  Broker, SLS Provider

- **Transmission Cut-off Times**

  Prior to the settlement process commencing

- **Prerequisites**

  - Receipt of

    a 281 Bulk Loan Approval from the SLS Provider with a non-zero unit quantity or a 275 Loan Return Notification from a broker

  or

  - a Loan Return is enforced by the Securities Lending Department

Bulk Loan Application

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
3	Loan Consideration	15 ±Numeric, 2 decimal	M
21	Processing Timestamp	22 Character	M
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

  To request the SLS provider for the loan of securities through Clearing and Settlement Operations. The bulk loan application represents the sum of all individual loan applications made by broker participants in a particular security (and basis of movement) prior to the loan application cutoff.

- **Sender**

  CHESS

- **Recipient**

  SLS Provider

- **Transmission Cutoff Times**

  Subsequent to loan application cutoff but prior to settlement instruction cutoff

-      **Prerequisites**

Receipt of a 271 Loan Application

Bulk Loan Application

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
3	Loan Consideration	15 ±Numeric, 2 decimal	M
21	Processing Timestamp	22 Character	M
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

  To enable the SLS Provider to approve (or reject) a bulk loan application for securities. Only one approval can be given for each bulk loan application. If the application is totally rejected by the SLS provider, the unit quantity must be zero. As soon as the approval is received, the corresponding SLS loans between ASX Settlement Operations and the broker participants are established.

- **Sender**

  SLS Provider

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Prior to settlement instruction Cut-off

- **Prerequisites**

  Receipt of a 280 Bulk Loan Application

Rejected Loan Application

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify a broker that a Loan Application has been rejected by the SLS Provider.

- **Sender**

  CHESS

- **Recipient**

  Broker

- **Transmission Cut-off Times**

  Prior to the settlement process commencing

- **Prerequisites**

  Receipt of a 271 Loan Application message from a broker and approval is not received from the SLS Provider

Cancelled Loan Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
49	Target Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
89	Cancelling Transaction Id	16	Character	M

- **Purpose**

  To notify a broker that the previously submitted request has been cancelled at their request.

- **Sender**

  CHESS

- **Recipient**

  Broker

- **Transmission Cut-off Times**

  None

- **Prerequisites**

Receipt from a broker of a :

- 273 Loan Application Cancellation Request

or

- 277 Loan Return Cancellation Request

Full Loan Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
2	Security Code	12	Character	M
3	Loan Consideration	15	±Numeric, 2 decimal	M
16	Receiving HIN	10	Numeric	O
17	Delivering HIN	10	Numeric	O
19	Receiving PID	5	Numeric	M
20	Delivering PID	5	Numeric	M
21	Processing Timestamp	22	Character	M
34	Participant Reference	16	Character	O
38	Override Basis of Movement 1	2	Character	O
39	Override Basis of Movement 2	2	Character	O
40	Override Basis of Movement 3	2	Character	O
41	Override Basis of Movement 4	2	Character	O
42	Override Basis of Movement 5	2	Character	O
48	Transaction Id	16	Character	O
49	Parent Transaction Id	16	Character	O
52	Unit Quantity	11	Numeric	M
53	Outstanding Unit Quantity	11	Numeric	O
62	Origin Transaction Id	16	Character	M
73	Outstanding Loan Consideration	15	±Numeric, 2 decimal	O
74	Loan Transaction Id	16	Character	M
87	Approved Timestamp	22	Character	O
88	Effected Timestamp	22	Character	O
89	Cancelling Transaction Id	16	Character	O
92	Approved Transaction Id	16	Character	O
125	Cancelled Timestamp	22	Character	O
141	SLS Loan Instruction Status	1	Character	M

- **Purpose**

To notify the full details of an SLS Loan and its associated returns. One message is sent for each SLS Loan and one for each of its associated Loan Returns.

The message is sent to a broker or SLS Provider as a result of a reporting request and is likely to be used for reconciliation purposes.

- **Sender**

  CHESS

- **Recipient**

  Broker, SLS Provider

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 503 Reporting Request

Participant Funds Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
12	Settlement Date	8 Numeric	M
19	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
29	Payment Facility Id	6 Numeric	M
44	Pay Receive Indicator	1 Character	M
48	Transaction Id	16 Character	M
67	Amount	14 Numeric, 2 decimal	M
96	Participant Name	90 Character	M

- **Purpose**

  To notify the Payment Provider of the expected net funds to be paid or received by the CHESS Participant through a payment facility on the next settlement day.

- **Sender**

  CHESS

- **Recipient**

  Payment Provider

- **Transmission Cut-off Times**

  Prior to end of day on the business day before the next settlement day

- **Prerequisites**

  Payment facility established in CHESS

Bank Funds Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
12	Settlement Date	8	Numeric	M
21	Processing Timestamp	22	Character	M
44	Pay Receive Indicator	1	Character	M
57	Total Message Count	11	Numeric	M
67	Amount	14	Numeric, 2 decimal	M

- **Purpose**

  To notify the Payment Provider of the expected net funds to be transferred to or from the CHESS clearing account on the next settlement day; the amount represents the net of the net funds obligations of the Participant clients of the Payment Provider.

- **Sender**

  CHESS

- **Recipient**

  Payment Provider

- **Transmission Cut-off Times**

  Prior to end of day on the business day before the next settlement day

- **Prerequisites**

  Bank authorised in CHESS and a client Participant involved in settlement in the current settlement process

Participant Funds Obligation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format		Mandatory/ Optional
12	Settlement Date	8	Numeric	M
19	PID	5	Numeric	M
21	Processing Timestamp	22	Character	M
29	Payment Facility Id	6	Numeric	M
44	Pay Receive Indicator	1	Character	M
48	Transaction Id	16	Character	M
56	Authorisation Required	1	Character	M
67	Amount	14	Numeric, 2 decimal	M
73	Trust Amount	15	±Numeric, 2 decimal	M
96	Participant Name	90	Character	M
126	Authorisation Reason	10	Character	M

- **Purpose**

  To notify the Payment Provider of the actual net funds obligation to be paid or received by the CHESS Participant through the payment facility on the settlement day. A net funds obligation to be paid requires an authorisation response from the Payment Provider. A net funds obligation to be received requires an acknowledgement response from the Payment Provider. A failure by a Participant to have funds available may cause a second round of these messages to be sent.

  The Payment Provider (as part of the CHESS batch process) is required to transfer the trust amount to or from the participants trust bank account.

- **Sender**

  CHESS

- **Recipient**

  Payment Provider

- **Transmission Cut-off Times**

  During the authorisation stage of the settlement process

- **Prerequisites**

  Payment facility established in CHESS

Participant Funds Obligation Acknowledgement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	**29**	30	31	32
33	**34**	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
29	Payment Facility Id	6	Numeric	M
34	Bank Reference	16	Character	O
48	Transaction Id	16	Character	M
49	Target Transaction Id	16	Character	M

- **Purpose**

  To authorise the net funds obligation of the Participant client where the client is due to pay funds or to acknowledge the net funds obligation of the Participant client where the client is due to receive funds.

  For clients of the bank that are due to pay funds, this message is a guarantee by the sending bank that the requested funds are available.

- **Sender**

  Bank

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Prior to the Authorisation or Default Authorisation Cut-off Time

- **Prerequisites**

  Receipt of a 310 Participant Funds Obligation

Bank Funds Obligation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
12	Settlement Date	8 Numeric	M
21	Processing Timestamp	22 Character	M
44	Pay Receive Indicator	1 Character	M
57	Total Message Count	11 Numeric	M
67	Amount	14 Numeric, 2 decimal	M
126	Authorisation Reason	10 Character	M

- **Purpose**

  To notify the bank of the actual net funds to be transferred to or from the CHESS clearing account on the settlement day. A failure by a Participant to have funds available may cause a second round of these messages to be sent. The amount represents the net of the actual net fund obligations of the Participant clients of the bank.

- **Sender**

  CHESS

- **Recipient**

  Bank

- **Transmission Cut-off Times**

  During the authorisation stage of the settlement process

- **Prerequisites**

  Bank authorised in CHESS and a client Participant involved in settlement in the current settlement process

Participant Funds Obligation Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	**29**	30	31	32
33	**34**	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
29	Payment Facility Id	6	Numeric	M
34	Bank Reference	16	Character	O
48	Transaction Id	16	Character	M
49	Target Transaction Id	16	Character	M

- **Purpose**

  To reject the net funds obligation of the Participant client where the client is due to pay funds.

- **Sender**

  Payment Provider

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Prior to the Authorisation or Default Authorisation Cut-off Time

- **Prerequisites**

  Receipt of a 310 Participant Funds Obligation

Bank Funds Transfer Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
12	Settlement Date	8 Numeric	M
21	Processing Timestamp	22 Character	M
44	Pay Receive Indicator	1 Character	M
48	Transaction Id	16 Character	M
67	Amount	14 Numeric, 2 decimal	M

- **Purpose**

   To direct the Payment Provider to initiate a request for the irrevocable transfer of funds to or from the CHESS clearing account and the payment to or from each Participant client's accounts as previously authorised/acknowledged by the Payment Provider during the authorisation phase of the settlement process.

- **Sender**

   CHESS

- **Recipient**

   Payment Provider

- **Transmission Cut-off Times**

   During the transfer phase of the settlement process

- **Prerequisites**

   Receipt of a 312 Bank Funds Obligation

Bank Funds Transfer Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	**34**	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
34	Bank Reference	16 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To confirm that the Payment Provider has initiated the request for the irrevocable funds transfer to or from the CHESS clearing account and is unconditionally committed to make the payment to or from each Participant clients' accounts.

- **Sender**

  Payment Provider

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  During the transfer phase of the settlement process

- **Prerequisites**

  Receipt of a 332 Bank Funds Transfer Request

Effected Create Net Position

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	**29**	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
49	Target Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that a request to create a Net Position Record with attributes as specified has been authorised by the Bank, and that CHESS has subsequently created the Net Position Record.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

  Receipt of a 343 Create Net Position Authorisation

Create Net Position Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
181	Debit Cap Limit	14	Numeric, 2 decimal	O
182	Debit Cap Activity Flag	1	Character	M

- **Purpose**

  To request CHESS to establish a Net Position Record for RTGS settlement. The create Net Position Record request requires authorisation by the Participant's Bank. The Participant is advised if the create Net Position Record request has been passed to the Bank for authorisation or has been rejected by CHESS. If accepted by CHESS, the details of the Net Position Record requirements are passed to the Bank. The request is then held at CHESS until a response is received from the Bank.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

  None

Create Net Position Record Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
19	PID	5	Numeric	M
21	Processing Timestamp	22	Character	M
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
181	Debit Cap Limit	14	Numeric, 2 decimal	O
182	Debit Cap Activity Flag	1	Character	M

- **Purpose**

  To request the Bank to authorise the creation of a Net Position Record with attributes as specified by the Participant. Note that on the Bank's reply to CHESS following receipt of this message, Bank specific details must be supplied (i.e. details which were not provided by the Participant).

- **Sender**

  CHESS

- **Recipient**

  Bank

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

  Receipt of a 341 Create Net Position Request

Create Net Position Authorisation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
19	PID	5	Numeric	M
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
49	Target Transaction Id	16	Character	M
184	BIC	12	Character	M

- **Purpose**

  To authorise a Participant's request to establish a Net Position Record for RTGS settlement as per parameters specified by the Participant in the initial request (which is presumed by CHESS to have been submitted on the basis of prior agreement between the Participant and the Bank). The Participant's request was previously advised to the Bank by CHESS. In this authorisation, the Bank supplies Bank related details.

- **Sender**

  Bank

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

  Receipt of a 342 Create Net Position Authorisation Request

Accepted Create Net Position Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that their request to create a Net Position Record has been accepted by CHESS and has been passed to the Bank for authorisation.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

  Receipt of a 341 Create Net Position Request

Create Net Position Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	**19**	20	21	22	23	24	25	26	27	28	**29**	30	31	32
33	34	35	36	**37**	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
19	PID	5 Numeric	M
29	Payment Facility Id	6 Numeric	M
37	Rejected Net Position Reason	1 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To reject a Participant's request to establish a Net Position Record for RTGS settlement as per parameters specified by the Participant in the initial request. The Participant's request was previously advised to the Bank by CHESS.

- **Sender**

  Bank

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

  Receipt of a 342 Create Net Position Record Authorisation Request

Applied Create Net Position Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify the Bank that an authorisation/rejection of a Participant's create Net Position Record request has been processed successfully.

- **Sender**

  CHESS

- **Recipient**

  Bank

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

  Receipt of a 343 Create Net Position Authorisation or
  Receipt of a 345 Create Net Position Rejection

Rejected Create Net Position

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	**29**	30	31	32
33	34	35	36	**37**	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
29	Payment Facility Id	6 Numeric	M
37	Rejected Net Position Reason	1 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To notify a Participant that a request to create a Net Position Record with attributes as specified has been rejected by the Bank, and that CHESS has not subsequently created the Net Position Record.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

  Receipt of a 345 Create Net Position Rejection

Clearing Account Transfer Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
12	Settlement Date	8	Numeric	M
20	Bank UIC	5	Numeric	M
21	Processing Timestamp	22	Character	M
44	Pay Receive Indicator	1	Character	M
67	Amount	14	Numeric, 2 decimal	M
96	Name	90	Character	M
143	Settlement Step	1	Character	O

- **Purpose**

  To advise the CHESS clearing bank of the net amount to be received or paid from the clearing account by a Payment Provider.

  The amount is either:

  - a projection of the eventual amount that is anticipated will be received or paid to the clearing account, or

  - a firm amount to be transferred to or from the clearing account during the settlement process.

  The purpose of the message is determined by the stage of the settlement cycle that produced the message.

- **Sender**

  CHESS

- **Recipient**

  CHESS Clearing Bank

- **Transmission Cut-off Times**

  Prior to Start of Day, after Settlement Cut-off and during the transfer phase of the settlement process

- **Prerequisites**

  - 302 Bank Funds Notification

  or

  - 312 Bank Funds Obligation

  or

  - 332 Bank Funds Transfer Request sent to a Payment Provider

Create Cash Subrecord Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
185	Cash Subrecord Id	35	Character	M
186	Cash Subrecord Type	1	Character	M
187	Debit Cap Exclusion Flag	1	Character	M

- **Purpose**

  To request CHESS to establish a Cash Subrecord for RTGS settlement linked to a Net Position Record (nominated as per the Payment Facility to which the NPR is associated). This request requires authorisation by the Participant's Bank. The Participant is advised if the request has been passed to the Bank for authorisation or has been rejected by CHESS. If accepted by CHESS, the details are passed to the Bank. The request is then held by CHESS until a response is received from the Bank.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

  None

Create Cash Subrecord Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
19	PID	5	Numeric	M
21	Processing Timestamp	22	Character	M
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
185	Cash Subrecord Id	35	Character	M
186	Cash Subrecord Type	1	Character	M
187	Debit Cap Exclusion Flag	1	Character	M

- **Purpose**

  To request the Bank to authorise the creation of a Cash Subrecord with attributes as specified by the Participant. Note that on the Bank's reply to CHESS following receipt of this flow, Bank specific details must be supplied (i.e. details which were not provided by the Participant).

- **Sender**

  CHESS

- **Recipient**

  Bank

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

  Receipt of a 351 Create Cash Subrecord Request

Create Cash Subrecord Authorisation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	**19**	20	21	22	23	24	25	26	27	28	**29**	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	**83**	84	**85**	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	**144**
145	146	147	148	149	150	151	**152**	153	154	155	156	157	158	159	160
161	**162**	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	**185**	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
19	PID	5	Numeric	M
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
49	Target Transaction Id	16	Character	M
83	ESA Status	1	Character	M
85	Credit Status	1	Character	M
144	Pre-Settlement Advice - ESA Level	1	Character	M
152	Pre-Settlement Advice - Credit Level	1	Character	M
162	Post-Settlement Advice	1	Character	M
185	Cash Subrecord Id	35	Character	M

- **Purpose**

  To authorise a Participant's request to establish a Cash Subrecord for RTGS settlement as per parameters specified by the Participant in the initial request (which is presumed by CHESS to have been submitted on the basis of prior agreement between the Participant and the Bank). The Participant's request was previously advised to the Bank by CHESS. In this authorisation, the Bank supplies settings requirements pertaining to RITS Pre-Settlement and Post-Settlement Advices and RITS Statuses.

- **Sender**

  Bank

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

  352 Create Cash Subrecord Authorisation Request sent to Bank

Accepted Create Cash Subrecord Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

  To notify a Participant that their request to create a Cash Subrecord has been accepted by CHESS and has been passed to the Bank for authorisation.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

  Receipt of a 351 Create Cash Subrecord Request

Effected Create Cash Subrecord

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
24	Cash Account Identifier	6	Numeric	M
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
49	Target Transaction Id	16	Character	M
185	Cash Subrecord Id	35	Character	M

- **Purpose**

  To notify a Participant that a request to create a Cash Subrecord with attributes as specified has been authorised by the Bank, and that CHESS has subsequently created the Cash Subrecord and associated it with the nominated Net Position Record (as specified by Payment Facility ID). CHESS supplies a Cash Account Identifier for the Participants' use in future messaging to CHESS concerning the Cash Subrecord.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

  Receipt of a 353 Create Cash Subrecord Authorisation

Create Cash Subrecord Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
19	PID	5	Numeric	M
29	Payment Facility Id	6	Numeric	M
37	Create Cash Subrecord Reject Reason	1	Character	M
48	Transaction Id	16	Character	M
49	Target Transaction Id	16	Character	M
185	Cash Subrecord Id	35	Character	M

- **Purpose**

  To reject a Participant's request to establish a Cash Subrecord for RTGS settlement as per parameters specified by the Participant in the initial request. The Participant's request was previously advised to the Bank by CHESS.

- **Sender**

  Bank

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

  352 Create Cash Subrecord Authorisation Request sent to Bank

358-01

Applied Create Cash Subrecord Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify the Bank that an authorisation or a rejection of a Participant's create Cash Subrecord request has been processed successfully.

- **Sender**

  CHESS

- **Recipient**

  Bank

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

  Receipt of a 353 Create Cash Subrecord Authorisation or
  Receipt of a 357 Create Cash Subrecord Rejection

Rejected Create Cash Subrecord

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
29	Payment Facility Id	6 Numeric	M
37	Create Cash Subrecord Reject Reason	1 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
185	Cash Subrecord Id	35 Character	M

- **Purpose**

  To notify a Participant that a request to create a Cash Subrecord with attributes as specified has been rejected by the Bank, and that CHESS has not created the Cash Subrecord.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

Receipt of a 357 Create Cash Subrecord Rejection

Zero Sum Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
12	Settlement Date	8 Numeric	M
48	Transaction Id	16 Character	M
87	DvP Declaration Timestamp	22 Character	M

- **Purpose**

  To advise CHESS that all payments have been registered by the Payment Providers as previously advised to the CHESS Clearing Bank. Transfer is irrevocable and transfer of securities can be completed for settlement.

- **Sender**

  CHESS Clearing Bank

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  During the transfer phase of the settlement process

- **Prerequisites**

  A set of 350 Clearing Account Transfer Requests sent to the CHESS Clearing Bank.

CHESS DvP Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
12	Settlement Date	8 Numeric	M
21	Processing Timestamp	22 Character	M
87	DvP Declaration Timestamp	22 Character	M

- **Purpose**

    To notify the Payment Provider that the interbank funds transfer process has occurred successfully. Transfer is irrevocable and transfer of securities can be completed for settlement.

- **Sender**

    CHESS

- **Recipient**

    Payment Provider

- **Transmission Cut-off Times**

    During the transfer phase of the settlement process

- **Prerequisites**

    Receipt of a 361 Zero Sum Notification

Change Net Position Attributes Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
19	PID	5 Numeric	M
29	Payment Facility Id	6 Numeric	M
48	Transaction Id	16 Character	M
180	Net Position Activity Flag	1 Character	O
181	Current or New Debit Cap Limit	14 Numeric, 2 decimal	M
182	Debit Cap Activity Flag	1 Character	O

- **Purpose**

  To allow a Bank to request CHESS to change a Bank-related attribute of an existing Participant Net Position Record. More than one attribute may be changed via a single submission. If accepted by CHESS, the Participant is advised of the change to the Net Position Record.

- **Sender**

  Bank

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  For change to debit cap limit or debit cap activity: end of CHESS RTGS Business Day; Change of Net Position Record activity may only be transmitted and effected between end of CHESS RTGS Business Day and end of CHESS processing day.

- **Prerequisites**

  None

Changed Net Position Attributes Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
180	Net Position Activity Flag	1	Character	O
181	Current or New Debit Cap Limit	14	Numeric, 2 decimal	M
182	Debit Cap Activity Flag	1	Character	O

- **Purpose**

  To advise the Participant of attributes of their Net Position Record which have been changed by the Bank.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  None

- **Prerequisites**

Receipt of a 371 Change Net Position Attributes Request

Bank Change Cash Subrecord Attributes Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	**19**	20	21	22	23	24	25	26	27	28	**29**	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	**83**	84	**85**	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	**144**
145	146	147	148	149	150	151	**152**	153	154	155	156	157	158	159	160
161	**162**	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	**185**	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
19	PID	5	Numeric	M
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
83	ESA Status	1	Character	O
85	Credit Status	1	Character	O
144	Pre-Settlement Advice - ESA Level	1	Character	O
152	Pre-Settlement Advice - Credit Level	1	Character	O
162	Post-Settlement Advice	1	Character	O
185	Cash Subrecord Id	35	Character	M

- **Purpose**

  To allow a Bank to request CHESS to change a Bank related attribute of an existing Participant Cash Subrecord. More than one attribute may be changed via a single submission. If accepted by CHESS, the Bank is advised of the change to the Cash Subrecord.

- **Sender**

  Bank

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  End of CHESS RTGS Business Day

- **Prerequisites**

  None

Changed Net Position Attributes Confirmation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify a Bank that the attribute or attributes of a Participant Net Position Record have been updated as requested.

- **Sender**

  CHESS

- **Recipient**

  Bank

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 371 Change Net Position Attributes Request

Participant Change Cash Subrecord Attributes Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
24	Cash Account Identifier	6	Numeric	M
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
186	Cash Subrecord Type	1	Character	O
187	Debit Cap Exclusion Flag	1	Character	O

- **Purpose**

  To request CHESS to change attribute/s of an existing Cash Subrecord used for RTGS settlement. The change Cash Subrecord request requires authorisation by the Participant's Bank. The Participant is advised if the change Cash Subrecord request has been passed to the Bank for authorisation or has been rejected by CHESS. If accepted by CHESS, the details of the Cash Subrecord change requirements are passed to the Bank. The request is then held at CHESS until a response is received from the Bank.

- **Sender**

  Participant

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Between end of CHESS RTGS Business Day and end of CHESS processing day

- **Prerequisites**

  None

Bank Change Cash Subrecord Attributes Confirmation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	**62**	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify a Bank that the attribute or attributes of a Participant Cash Subrecord have been updated as requested.

- **Sender**

  CHESS

- **Recipient**

  Bank

- **Transmission Cut-off Times**

  None

- **Prerequisites**

  Receipt of a 373 Bank Change Cash Subrecord Attributes Request

Participant Change Cash Subrecord Attributes Authorisation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
19	PID	5	Numeric	M
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
49	Target Transaction Id	16	Character	M
185	Cash Subrecord Id	35	Character	M

- **Purpose**

  To authorise a Participant's request to make changes to attribute/s of a Cash Subrecord for RTGS settlement, as per parameters specified by the Participant in the initial request. The Participant's request was previously advised to the Bank by CHESS.

- **Sender**

  Bank

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Between end of CHESS RTGS Business Day and end of CHESS processing day

- **Prerequisites**

  380 Participant Change Cash Subrecord Attributes Authorisation Request sent to Bank

Accepted Participant Change Cash Subrecord Attributes

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that their request to change Cash Subrecord attribute/s has been accepted by CHESS and has been passed to the Bank for authorisation.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  Between end of CHESS RTGS Business Day and end of CHESS processing day

- **Prerequisites**

  Receipt of a 375 Participant Change Cash Subrecord Attributes

Participant Change Cash Subrecord Attributes Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
19	PID	5	Numeric	M
29	Payment Facility Id	6	Numeric	M
37	Change Cash Subrecord Reject Reason	1	Character	M
48	Transaction Id	16	Character	M
49	Target Transaction Id	16	Character	M
185	Cash Subrecord Id	35	Character	M

- **Purpose**

  To reject a Participant's request to change attribute/s of a Cash Subrecord as per parameters specified by the Participant in the initial request. The Participant's request was previously advised to the Bank by CHESS.

- **Sender**

  Bank

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  Between end of CHESS RTGS Business Day and end of CHESS processing day

- **Prerequisites**

380 Participant Change Cash Subrecord Attributes Authorisation Request sent to Bank

Participant Change Cash Subrecord Attributes Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format		Mandatory/ Optional
19	PID	5	Numeric	M
21	Processing Timestamp	22	Character	M
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
185	Cash Subrecord Id	35	Character	M
186	Cash Subrecord Type	1	Character	O
187	Debit Cap Exclusion Flag	1	Character	O

- **Purpose**

  To request the Bank to authorise changes to attribute/s of a Cash Subrecord, as specified by the Participant.

- **Sender**

  CHESS

- **Recipient**

  Bank

- **Transmission Cut-off Times**

  Between end of CHESS RTGS Business Day and end of CHESS processing day

- **Prerequisites**

Receipt of a 375 Participant Change Cash Subrecord Attributes

Effected Participant Change Cash Subrecord Attributes

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	**24**	25	26	27	28	**29**	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format		Mandatory/ Optional
21	Processing Timestamp	22	Character	M
24	Cash Account Identifier	6	Numeric	M
29	Payment Facility Id	6	Numeric	M
48	Transaction Id	16	Character	M
49	Target Transaction Id	16	Character	M

- **Purpose**

  To notify a Participant that a request to change attribute/s of a Cash Subrecord as specified has been authorised by the Bank, and that CHESS has subsequently updated the Cash Subrecord.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  Between end of CHESS RTGS Business Day and end of CHESS processing day

- **Prerequisites**

  Receipt of a 377 Participant Change Cash Subrecord Attributes Authorisation

Applied Participant Change Cash Subrecord Attributes

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

  To notify the Bank that an authorisation/rejection of a Participant's change Cash Subrecord attribute/s request has been processed successfully.

- **Sender**

  CHESS

- **Recipient**

  Bank

- **Transmission Cut-off Times**

  Between end of CHESS RTGS Business Day and end of CHESS processing day

- **Prerequisites**

  Receipt of a 377 Participant Change Cash Subrecord Attributes Authorisation or
  Receipt of a 379 Participant Change Cash Subrecord Attributes Rejection

Rejected Participant Change Cash Subrecord Attributes

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	**21**	22	23	**24**	25	26	27	28	**29**	30	31	32
33	34	35	36	**37**	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
24	Cash Account Identifier	6 Numeric	M
29	Payment Facility Id	6 Numeric	M
37	Change Cash Subrecord Reject Reason	1 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To notify a Participant that a request to change a Cash Subrecord with attribute/s as specified has been rejected by the Bank, and that CHESS has not changed the Cash Subrecord.

- **Sender**

  CHESS

- **Recipient**

  Participant

- **Transmission Cut-off Times**

  Between end of CHESS RTGS Business Day and end of CHESS processing day

- **Prerequisites**

  Receipt of a 379 Participant Change Cash Subrecord Attributes Rejection

Settlement Cancellation Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
12	Settlement Date	8 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M

- **Purpose**

  To advise the Payment Provider and CHESS Clearing Bank that settlement cannot proceed and has been cancelled. Depending on the stage settlement has reached, the Payment Provider should remove any client funds placed in escrow for the purposes of settlement, remove any request initiated to pay the client, and recall the request for interbank transfer of funds.

- **Sender**

  CHESS

- **Recipient**

  Payment Provider, CHESS Clearing Bank

- **Transmission Cut-off Times**

  During the settlement process

- **Prerequisites**

  CHESS Administration has authorised the cancellation of the settlement process.

Settlement Cancellation Acknowledgement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	**48**
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

  To acknowledge to CHESS that the notification of settlement cancellation has been received by the Payment Provider or CHESS Clearing Bank.

- **Sender**

  Payment Provider, CHESS Clearing Bank

- **Recipient**

  CHESS

- **Transmission Cut-off Times**

  During the settlement process

- **Prerequisites**

  A 398 Settlement Cancellation Notification message sent to the Payment Provider or CHESS Clearing Bank