

Registry to CHES Conversion Authorisation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
34	Registry Reference	16 Character	O
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**
 To authorise a request to convert securities in a Certificated or Issuer Sponsored holding to the CHES subregister. The request was previously advised to the Registry via the CHES.
- **Sender**
 Registry
- **Recipient**
 CHES
- **Transmission Cut-off Times**
 Settlement Cut-off Time
 - if the message is to be processed prior to the next settlement process

 End of Day
 - if the message is to be processed prior to the end of day process
- **Prerequisites**
 A 402 Certificated to CHES or a 404 Issuer Sponsored to CHES Conversion Authorisation Request message sent to the Registry

Certificated to CHES Conversion Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
8	Certificate Number	16 Character	M
16	Receiving HIN	10 Numeric	M
19	Receiving PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
36	Broker Non broker Indicator	1 Character	M
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
59	Receiving Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
175	Receiving Residency Indicator	1 Character	O
176	Guaranteed Foreign Indicator	2 Character	O

- Purpose**

To request a Registry to authorise a certificated to CHES conversion request received from a Participant.

- **Sender**
CHESS
- **Recipient**
Registry
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 019 Certificated to CHESS Conversion Request

Registry to CHES Conversion Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
34	Registry Reference	16 Character	O
37	Rejected Movement Reason	1 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**
To reject a Certificated or Issuer Sponsored to CHES Conversion Authorisation Request back to the CHES. The originating Participant is notified of the reason that the transaction was rejected by the Registry.
- **Sender**
Registry
- **Recipient**
CHES
- **Transmission Cut-off Times**
Settlement Cut-off Time
- if the message is to be processed prior to the next settlement process

End of Day
- if the message is to be processed prior to the end of day process
- **Prerequisites**
A 402 Certificated to CHES or a 404 Issuer Sponsored to CHES Conversion Authorisation Request message sent to the Registry

Issuer Sponsored to CHES Conversion Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	Receiving HIN	10 Numeric	M
19	Receiving PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
36	Broker Non broker Indicator	1 Character	M
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
46	PIN	4 Numeric	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	M
52	Unit Quantity	11 Numeric	M
59	Receiving Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
175	Receiving Residency Indicator	1 Character	O
176	Guaranteed Foreign Indicator	2 Character	O

- Purpose**

To request a Registry to authorise an Issuer sponsored to CHES conversion request received from a Participant.

- **Sender**
CHESS
- **Recipient**
Registry
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 017 Issuer Sponsored to CHES Conversion Request

Registry to CHES Transfer Authorisation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
8	Marking Number	16 Character	O
34	Registry Reference	16 Character	O
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
59	Delivering Registration Details	180 Character	M

- **Purpose**

To authorise a request to transfer securities in a certificated or Issuer Sponsored Holding to the CHES subregister. The request was previously advised to the Registry via the CHES.

- **Sender**

Registry

- **Recipient**

CHES

- **Transmission Cut-off Times**

Settlement Cut-off Time

- if the message is to be processed prior to the next settlement process

End of Day

- if the message is to be processed prior to the end of day process

- **Prerequisites**

Receipt of a 406 Certificated to CHES Transfer Authorisation Request or
 Receipt of a 408 Issuer Sponsored to CHES Transfer Authorisation Request

Certificated to CHESS Transfer Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
5	Balance Instruction	1 Character	O
8	Certificate Number	16 Character	M
16	Receiving HIN	10 Numeric	M
19	Receiving PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
36	Broker Non broker Indicator	1 Character	M
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
54	Certificate Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M
176	Guaranteed Foreign Indicator	2 Character	O

- Purpose**

To request a Registry to authorise a certificated to CHESS transfer request received from a Participant.

- **Sender**
CHESS
- **Recipient**
Registry
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 021 Certificated to CHESS Transfer Request

Registry to CHES Transfer Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
34	Registry Reference	16 Character	O
37	Rejected Movement Reason	1 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**
 To reject a Certificated or Issuer Sponsored to CHES Transfer Authorisation Request back to the CHES. The originating Participant is notified of the reason that the transaction was rejected by the Registry.
- **Sender**
 Registry
- **Recipient**
 CHES
- **Transmission Cut-off Times**
 Settlement Cut-off Time
 - if the message is to be processed prior to the next settlement process

 End of Day
 - if the message is to be processed prior to the end of day process
- **Prerequisites**
 Receipt of a 406 Certificated to CHES Transfer Authorisation Request or
 Receipt of a 408 Issuer Sponsored to CHES Transfer Authorisation Request

Issuer Sponsored to CHES Transfer Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	Receiving HIN	10 Numeric	M
19	Receiving PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
36	Broker Non broker Indicator	1 Character	M
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
46	PIN	4 Numeric	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	M
52	Unit Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M
176	Guaranteed Foreign Indicator	2 Character	O

• **Purpose**

To request a Registry to authorise an Issuer Sponsored to CHES transfer request received from a Participant.

- **Sender**
CHESS
- **Recipient**
Registry
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 015 Issuer Sponsored to CHESS Transfer Request

Requested Settlement Type Change

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
12	Settlement Date	8 Numeric	M
21	Processing Timestamp	22 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
190	Destination Settlement System	1 Character	M

- **Purpose**
To notify to a Participant that the counterparty to a settlement instruction has requested a change to settlement type, which requires matching by the counterparty. The change must be agreed by the Participant before the change is applied to the settlement instruction.
- **Sender**
CHES
- **Recipient**
Participant
- **Transmission Cut-off Times**
None

- **Prerequisites**

Receipt of a 497 Settlement Type Change Request

CHES to Certificated Conversion

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
17	Delivering HIN	10 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
36	Broker Non broker Indicator	1 Character	M
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
59	Delivering Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
176	Guaranteed Foreign Indicator	2 Character	O

- Purpose**

To notify a Registry of the details of a CHES to Certificated conversion. The units to be converted have been deducted from the CHES holding.

- **Sender**
CHESS
- **Recipient**
Registry
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 013 CHESS to Certificated Conversion Request or
Action by ASX Settlement

CHES to Certificated Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
17	Delivering HIN	10 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
36	Broker Non broker Indicator	1 Character	M
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
59	Receiving Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
100	Tax File Number / ABN 1	12 Character	O
101	Tax File Number / ABN 2	12 Character	O
102	Tax File Number / ABN 3	12 Character	O
175	Receiving Residency Indicator	1 Character	O
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

To notify a Registry of the details of a CHES to Certificated transfer. The units being transferred have been deducted from the CHES holding.

- **Sender**

CHES

- **Recipient**

Registry

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 009 Demand CHES to Certificated Transfer Request

CHES to Issuer Sponsored Conversion

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
17	Delivering HIN	10 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
36	Broker Non broker Indicator	1 Character	M
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
59	Delivering Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
176	Guaranteed Foreign Indicator	2 Character	O

- Purpose**

To notify a Registry of the details of a CHES to Issuer Sponsored Conversion. The units being converted have been deducted from the CHES holding.

- **Sender**
CHESS
- **Recipient**
Registry
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 011 CHESS to Issuer Sponsored Conversion Request or
Action by ASX Settlement

CHES to Issuer Sponsored Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
17	Delivering HIN	10 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
25	Movement Reason	1 Character	M
28	Location of Register	3 Character	O
36	Broker Non broker Indicator	1 Character	M
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	O
52	Unit Quantity	11 Numeric	M
59	Receiving Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
100	Tax File Number / ABN 1	12 Character	O
101	Tax File Number / ABN 2	12 Character	O
102	Tax File Number / ABN 3	12 Character	O
175	Receiving Residency Indicator	1 Character	O
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**
To notify a Registry of the details of a CHES to Issuer Sponsored Transfer. The units being transferred have been deducted from the CHES holding.
- **Sender**
CHES
- **Recipient**
Registry
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 007 Demand CHES to Issuer Sponsored Transfer Request

Securities Transformation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Reducing Security Code	12 Character	M
10	Increasing Security Code	12 Character	M
16	HIN	10 Numeric	M
33	Reason	3 Character	M
34	Registry Reference	16 Character	O
48	Transaction Id	16 Character	M
52	Reducing Unit Quantity	11 Numeric	M
53	Increasing Unit Quantity	11 Numeric	M

- **Purpose**
 To enable a Registry to apply adjustments to CHESSE holdings as a result of corporate actions such as convertible note conversions, options exercises, calls and rights. The securities transformation involves the reduction of one CHESSE holding with a corresponding increase in another CHESSE holding.
- **Sender**
 Registry
- **Recipient**
 CHESSE
- **Transmission Cut-off Times**
 End of Day
 - if the message is to be processed prior to the end of day process
- **Prerequisites**
 None

Applied Registry Transaction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To notify the Registry that a transaction sourced by them has been processed to completion.

(Note: Transactions sourced by the Registry do not include responses to authorisation requests.)

- **Sender**

CHES

- **Recipient**

Registry

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 425 Holding Adjustment or
Receipt of a 421 Securities Transformation

Holding Adjustment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
33	Reason	3 Character	M
34	Registry Reference	16 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
43	Increase or Decrease	1 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M

- **Purpose**
To notify an adjustment to a holding balance. This is generally notified as a result of a corporate action.
- **Sender**
Registry
- **Recipient**
CHESS
- **Transmission Cut-off Times**
End of Day
- if the message is to be processed prior to the end of day process
- **Prerequisites**
None

Applied Registry Authorisation Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To notify the Registry that an authorisation/rejection of a Registry to CHES movement has been processed successfully.

- **Sender**

CHES

- **Recipient**

Registry

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of one of the following:

- 401 Registry to CHES Conversion Authorisation
- 403 Registry to CHES Conversion Rejection
- 405 Registry to CHES Transfer Authorisation
- 407 Registry to CHES Transfer Rejection

Applied Code Change

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
2	Previous Security Code	12 Character	M
10	Revised Security Code	12 Character	M
21	Processing Timestamp	22 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To notify a Registry that a security code change has been effected as a result of corporate action processing. All holdings on the CHESSE subregister in the old security code have been changed to the new security code. Any Registry to CHESSE movements in the old security code that are awaiting authorisation by the Registry have been changed to the new security code.

To notify a third party that a security code change has been effected as a result of corporate action processing. All subpositions held for CHESSE holdings in the old security code have been changed to the new security code. Any requests to reduce subpositions that are awaiting authorisation by the third party have been changed to the new security code.

- **Sender**

CHESSE

- **Recipient**

Registry or third party

- **Transmission Cut-off Times**

None

- **Prerequisites**

Security code changed as a result of corporate action processing

Takeover Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
16	Receiving HIN	10 Numeric	O
17	Delivering HIN	10 Numeric	M
19	Receiving PID	5 Numeric	M
34	Participant Reference	16 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
47	Takeover Code	6 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
67	Stamping Consideration	14 Numeric, 2 decimal	M
68	Duty Payable	14 Numeric, 2 decimal	M
69	Duty Type	1 Character	M
70	Underlying Reference	16 Character	O

- **Purpose**

To enable an offeror to effect the transfer of securities from the acceptor's CHES holding to the offeror's CHES holding.

- **Sender**

Offeror

- **Recipient**

CHESS

- **Transmission Cut-off Times**

End of Day

- if the message is to be processed prior to the end of day process

- **Prerequisites**

Receipt of a 031 Takeover Acceptance

Cancelled Offeror Initiated TKO Acceptance Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction ID	16 Character	M

- **Purpose**
To notify the participant and registry that an offeror initiated takeover acceptance request has not been actioned by the participant and has been cancelled as a result of CHES housekeeping actions.
- **Sender**
CHES
- **Recipient**
Participant, Registry
- **Transmission/Cutoff Times**
None
- **Prerequisites**
None.

Cancel Settlement Type Change Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**
 To allow the cancellation of a previously entered change settlement type request. If the change settlement type requests submitted by counterparties have already been matched, this cancellation request is rejected and the Participant requesting cancellation is advised accordingly. If the change request is unmatched, the cancellation is applied and both counterparties are advised of the effected cancellation.
- **Sender**
 Participant
- **Recipient**
 CHES
- **Transmission Cut-off Times**
 End of Day
- **Prerequisites**
 Receipt of a 497 Settlement Type Change Request

Cancelled Change RTGS Instruction Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
89	Cancelling Transaction Id	16 Character	M
127	Cancellation Reason	1 Character	M

- **Purpose**

To notify that a change requested to a settlement instruction has been cancelled.

- **Sender**

CHESS

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 491 Change RTGS Instruction Cancellation Request

Unmatched Change Settlement Type Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
12	Settlement Date	8 Numeric	O
16	HIN	10 Numeric	O
21	Processing Timestamp	22 Character	M
24	Cash Account Identifier	6 Numeric	O
49	Target Transaction Id	16 Character	M
56	Part-Settlement	1 Character	O
62	Origin Transaction Id	16 Character	M
189	Schedule Transaction for Settlement	1 Character	O
190	Destination Settlement System	1 Character	M

- **Purpose**
To notify a Participant that a request to change settlement type entered by them has not yet been matched with a corresponding transaction from the counterparty.
- **Sender**
CHES
- **Recipient**
Participant

- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 497 Settlement Type Change Request

Updated RTGS Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
92	Updating Transaction Id	16 Character	M

- **Purpose**
To notify a Participant that the settlement detail of a scheduled RTGS settlement instruction has been updated as requested.
- **Sender**
CHES
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 493 Maintain RTGS Instruction Request

Adjusted Settlement Type

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
12	Previous Settlement Date	8 Numeric	O
13	Revised Settlement Date	8 Numeric	O
16	Previous HIN	10 Numeric	O
17	Revised HIN	10 Numeric	O
21	Processing Timestamp	22 Character	M
24	Cash Account Identifier	6 Numeric	O
49	Target Transaction Id	16 Character	M
56	Part-Settlement	1 Character	O
62	Origin Transaction Id	16 Character	M
90	Matching Transaction Id	16 Character	M
92	Updating Transaction Id	16 Character	M
189	Schedule Transaction for Settlement	1 Character	O
190	Previous Destination Settlement System	1 Character	O
191	Revised Destination Settlement System	1 Character	O

- ### Purpose

To notify both counterparty Participants that change of settlement type, including change to settlement type related attributes, has been effected.

CHES advises of attributes pertinent to the destination settlement type, as initially advised to CHES on the settlement type change request. Settlement Date and HIN are advised to the Participant whether these values have been changed or not. If a value has not been changed, the previous value and the revised value will be the same. Cash Account Identifier, Part-Settlement, Schedule Transaction for Settlement, Previous HIN, and Revised HIN are only advised to the relevant Participant.

- **Sender**
CHESS
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of matched 497 Settlement Type Change Requests

Cancelled Request to Change Settlement Type

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
89	Cancelling Transaction Id	16 Character	M
90	Matching Transaction Id	16 Character	O
127	Cancellation Reason	1 Character	M

- **Purpose**
To notify that a change requested to the settlement type of a scheduled settlement instruction has been cancelled.
- **Sender**
CHES
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 441 Cancel Settlement Type Change Request

Participant to CHES SRN Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
48	Transaction Id	16 Character	M
59	Registration Details	180 Character	M

- **Purpose**
 To allow a Participant to request a Registry or Product Issuer Settlement Participant to provide Securityholder Reference Number (SRN) details for a specific holder.
 For the mFund Settlement Service, the Security Code represents the Fund Code.
- **Sender**
 Participant
- **Recipient**
 CHES
- **Prerequisites**
 None

CHES to Participant SRN Request Acknowledgment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To advise a Participant that a Participant to CHES SRN Request message has been received by CHES and passed to the relevant Registry to be processed.
- **Sender**
CHES
- **Recipient**
Participant
- **Prerequisites**
Receipt of a 451 Participant to CHES SRN Request

Registry to CHES SRN Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
19	Target UIC	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	M
59	Registration Details	180 Character	M

- **Purpose**

To acknowledge the receipt of a CHES to Registry SRN Request. Note that the Registration Details provided are the Registration Details maintained on the Issuer Sponsored subregister.

For the mFund Settlement Service, the Security Code represents the Fund Code.

- **Sender**

Registry

- **Recipient**

CHES

- **Prerequisites**

Receipt of a 454 CHES to Registry SRN Request

CHES to Registry SRN Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
19	Target UIC	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
59	Registration Details	180 Character	M

- **Purpose**

To advise a Registry of the details of a Securityholder Reference Number (SRN) enquiry submitted by a Participant.

For the mFund Settlement Service, the Security Code represents the Fund Code.
- **Sender**

CHES
- **Recipient**

Registry
- **Prerequisites**

Receipt of a 451 Participant to CHES SRN Request

Registry to CHES SRN Reject

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
6	Registry Reject Reason	2 Character	M
19	Target UIC	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

To advise the CHES that a CHES to Registry SRN Request could not be processed by a Registry.

For the mFund Settlement Service, the Security Code represents the Fund Code.
- **Sender**

Registry
- **Recipient**

CHES
- **Prerequisites**

Receipt of a 454 CHES to Registry SRN Request

CHES to Registry SRN Response Acknowledgment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To advise a Registry that a Registry to CHES SRN Response message has been received by CHES and passed to the relevant Participant.
- **Sender**
CHES
- **Recipient**
Registry
- **Prerequisites**
Receipt of a 453 Registry to CHES SRN Response

Participant to CHES Holding Balance Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
48	Transaction Id	16 Character	M
50	Verification Name	12 Character	M
51	Securityholder Reference Number	12 Character	M

- **Purpose**
To enable a Participant to request a Registry to provide an Issuer Sponsored holding balance.
- **Sender**
Participant
- **Recipient**
CHES
- **Prerequisites**
None

CHES to Participant SRN Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	M
59	Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To provide a Participant with a Securityholder Reference Number (SRN) as requested. Note that the Registration Details provided are the Registration Details maintained on the Issuer Sponsored subregister.
- **Sender**
CHES
- **Recipient**
Participant
- **Prerequisites**
Receipt of a 453 Registry to CHES SRN Response

Registry to CHES Holding Balance Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
19	Target UIC	5 Numeric	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	M
53	Holding Balance	11 Numeric	M
59	Registration Details	180 Character	M
87	Holding Balance Timestamp	22 Character	M

- **Purpose**

To provide a Participant with Issuer Sponsored holding balance details as requested. Note that the Registration Details provided are the Registration Details maintained on the Issuer Sponsored subregister.
- **Sender**

Registry
- **Recipient**

CHES
- **Prerequisites**

Transmission of a 466 CHES to Registry Holding Balance Request

CHES to Registry SRN Reject Acknowledgment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To advise a Registry that a Registry to CHES SRN Reject message has been received by CHES and passed to the relevant Participant.
- **Sender**
CHES
- **Recipient**
Registry
- **Prerequisites**
Receipt of a 455 Registry to CHES SRN Reject

Registry to CHES Holding Balance Reject

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
6	Registry Reject Reason	2 Character	M
19	Target UIC	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
50	Verification Name	12 Character	M
51	Securityholder Reference Number	12 Character	M

- **Purpose**
To advise the CHES that a Participant to CHES Holding Balance Request could not be processed by a Registry.
- **Sender**
Registry
- **Recipient**
CHES
- **Prerequisites**
Transmission of a 466 CHES to Registry Holding Balance Request

CHES to Participant SRN Reject

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
6	Registry Reject Reason	2 Character	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To advise a Participant that a Participant to CHES SRN Request message could not be processed by a Registry.
- **Sender**
CHES
- **Recipient**
Participant
- **Prerequisites**
Receipt of a 455 Registry to CHES SRN Reject

CHES to Participant Holding Balance Request Acknowledgment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To advise a Participant that a Participant to CHES Holding Balance Request message has been received by CHES and passed to the relevant Registry to be processed.
- **Sender**
CHES
- **Recipient**
Participant
- **Prerequisites**
Receipt of a 457 Participant to CHES Holding Balance Request

CHES to Registry Holding Balance Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
19	Target UIC	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
50	Verification Name	12 Character	M
51	Securityholder Reference Number	12 Character	M

- **Purpose**

To request a Registry to provide an Issuer Sponsored holding balance as requested by a Participant.

- **Sender**

CHES

- **Recipient**

Registry

- **Prerequisites**

Receipt of a 457 Participant to CHES Holding Balance Request

CHESS to Registry Holding Balance Response Acknowledgment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To advise a Registry that a Registry to CHES Holding Balance Response message has been received by CHES and passed to the relevant Participant.
- **Sender**
CHES
- **Recipient**
Registry
- **Prerequisites**
Receipt of a 459 Registry to CHES Holding Balance Response

CHES to Participant Holding Balance Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
53	Holding Balance	11 Numeric	M
59	Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
87	Holding Balance Timestamp	22 Character	M

- **Purpose**
To provide a Participant with an Issuer Sponsored holding balance as requested. Note that the Registration Details provided are the Registration Details maintained on the Issuer Sponsored subregister.
- **Sender**
CHES
- **Recipient**
Participant
- **Prerequisites**
Receipt of a 459 Registry to CHES Holding Balance Response

CHES to Registry Holding Balance Reject Acknowledgment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To advise a Registry that a Registry to CHES Holding Balance Reject message has been received at CHES and passed to the relevant Participant.
- **Sender**
CHES
- **Recipient**
Registry
- **Prerequisites**
Receipt of a 461 Registry to CHES Holding Balance Reject

CHES to Participant Holding Balance Reject

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
6	Registry Reject Reason	2 Character	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To advise a Participant that a Participant to CHES Holding Balance Request message could not be processed by a Registry.
- **Sender**
CHES
- **Recipient**
Participant
- **Prerequisites**
Receipt of a 461 Registry to CHES Holding Balance Reject

Authorise RTGS Instruction Confirmation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To advise a Participant who has submitted an Authorise Scheduled RTGS Instruction message that their authorisation has been processed, but that the target settlement instruction is not as yet 'ready to settle' because an authorisation from the counterparty Participant remains outstanding.
- **Sender**
CHES
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 495 Authorise Scheduled RTGS Instruction

Unmatched Change RTGS Instruction Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
92	Updating Transaction Id	16 Character	M

- **Purpose**
To notify a Participant that a change RTGS settlement instruction request entered by them has not yet been matched with a corresponding transaction from the counterparty.
- **Sender**
CHESS
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 489 Change RTGS Instruction Request

Requested RTGS Instruction Change

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	O
3	Settlement Amount	15 ±Numeric, 2 decimal	M
11	Transaction Basis	1 Character	O
12	Settlement Date	8 Numeric	O
21	Processing Timestamp	22 Character	M
27	Settlement Instruction Status	1 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
49	Target Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M
92	Updating Transaction Id	16 Character	M
180	Update Guaranteed Foreign Indicator	1 Character	O

- **Purpose**

To notify to a Participant that the counterparty to an RTGS settlement instruction has requested a change to the settlement detail which requires matching by the counterparty. The change must be agreed by the Participant before the change is applied to the RTGS settlement instruction.

- **Sender**
CHESS
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 489 Change RTGS Instruction Request

RTGS Dual Entry Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
3	Settlement Amount	15 ±Numeric, 2 decimal	M
11	Transaction Basis	1 Character	M
12	Settlement Date	8 Numeric	M
13	Trade Date	8 Numeric	O
16	HIN	10 Numeric	O
19	Receiving PID	5 Numeric	M
20	Delivering PID	5 Numeric	M
24	Cash Account Identifier	6 Numeric	O
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
176	Guaranteed Foreign Indicator	2 Character	O
189	Schedule Transaction for Settlement	1 Character	O

- **Purpose**

To notify to the CHES the details of an RTGS settlement between two Participants. Each Participant independently notifies with settlement details which are matched by CHES. This notification cannot be used for free-of-value transactions. Once submitted, the instruction is flagged as unmatched and the counterparty is advised of the details. If a match is made, the instruction is scheduled for settlement and status is advised to both Participants. Schedule Transaction for Settlement is determined by each Participant as appropriate to their operations, and is therefore not matchable. If Schedule Transaction for Settlement is not applied, the default value or 'yes' is applied.

- **Sender**

Participant

- **Recipient**

CHES

- **Transmission Cut-off Times**

End of CHES RTGS Business Day

- **Prerequisites**

None

Unmatched RTGS Dual Entry Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
3	Settlement Amount	15 ±Numeric, 2 decimal	O
11	Transaction Basis	1 Character	M
12	Settlement Date	8 Numeric	M
13	Trade Date	8 Numeric	O
19	Receiving PID	5 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
35	Supplementary Reference	16 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M
176	Guaranteed Foreign Indicator	2 Character	O

- **Purpose**

To notify a Participant that a dual entry settlement notification has been received from the counterparty to the settlement. The Participant is required to submit a corresponding notification in order for the CHES to match the two notifications and schedule an RTGS settlement instruction for settlement.

- **Sender**
CHESS
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 481 RTGS Dual Entry Notification

RTGS Notification Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**
To request the cancellation of a dual entry RTGS settlement instruction which is unmatched. Cancellation is effected by the submission of this request by the Participant who submitted the initial cancellation request. Both parties are advised of the cancellation.
- **Sender**
Participant
- **Recipient**
CHESS
- **Transmission Cut-off Times**
End of Day
- **Prerequisites**
None

Unmatched RTGS Dual Entry Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
189	Schedule Transaction for Settlement	1 Character	O

- **Purpose**
To notify a Participant that a dual entry RTGS settlement instruction previously submitted the CHES has been processed, but cannot currently be matched against a corresponding instruction, as this instruction has not yet been submitted by the counterparty.
- **Sender**
CHES
- **Recipient**
Participant
- **Transmission Cut-off Times**
- None
- **Prerequisites**
Receipt of a 481 RTGS Dual Entry Notification

RTGS Dual Entry Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

To request the cancellation of a dual entry RTGS settlement instruction which is scheduled for settlement. Once submitted, the cancellation request is flagged as unmatched, the submitting Participant is advised that the request is unmatched and the counterparty is advised of the details of the cancellation. Both counterparties to the dual entry settlement must submit requests which are matched by the CHES.

If a match is made, and the dual entry settlement instruction is still in CHES, the settlement instruction is cancelled and both Participants are advised accordingly. If the settlement instruction has passed queue processing in CHES and has progressed to RITS, CHES sends a Recall Request to RITS to recall the settlement instruction from its queue, and advises Participants that RITS has been so requested. RITS then advises CHES whether the settlement instruction was able to be recalled, and CHES passes an advice on to both Participants.

- **Sender**

Participant

- **Recipient**

CHES

- **Transmission Cut-off Times**

End of CHES RTGS Business Day

- **Prerequisites**

A RTGS settlement instruction scheduled for settlement

RTGS Dual Entry Cancellation Removal

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

To enable a Participant to remove an as-yet-unmatched RTGS dual entry settlement instruction cancellation request which was previously entered by this same Participant. If the original dual entry settlement cancellation request is unmatched, it is cancelled, and both Participants are advised. If the cancellation request has already matched, the request cannot be removed and the Participant is advised accordingly.
- **Sender**

Participant
- **Recipient**

CHESS
- **Transmission Cut-off Times**

End of Day
- **Prerequisites**

Receipt of a 485 RTGS Dual Entry Cancellation Request

Change RTGS Instruction Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	O
3	Settlement Amount	15 ±Numeric, 2 decimal	M
11	Transaction Basis	1 Character	O
12	Settlement Date	8 Numeric	O
27	Settlement Instruction Status	1 Character	O
38	Override Basis of Movement 1	2 Character	O
39	Override Basis of Movement 2	2 Character	O
40	Override Basis of Movement 3	2 Character	O
41	Override Basis of Movement 4	2 Character	O
42	Override Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
180	Update Guaranteed Foreign Indicator	1 Character	O

- **Purpose**

To allow a Participant to request a change to the settlement obligations of a RTGS settlement instruction that is scheduled for settlement. Settlement obligations can be altered for Broker-Broker transactions and dual-entry transactions. Matching is done on the Target Transaction ID and the settlement attribute(s) to be changed. If basis of movement is changed, all overrides must be provided, not just the ones that are changing. Existing overrides are discarded. Settlement Instruction Status

can only be changed from suspended to scheduled. (Foreign-to-foreign restrictions are as standard.)

Settlement Amount and Unit Quantity must be provided, even if they are not being changed.

- **Sender**

Participant

- **Recipient**

CHESS

- **Transmission Cut-off Times**

- For settlement on today's date:
End of the CHESS RTGS Business Day
- For settlement on a future date:
End of Day

- **Prerequisites**

A RTGS settlement instruction scheduled for settlement

Unmatched RTGS Instruction Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
89	Cancelling Transaction Id	16 Character	M

- **Purpose**

To notify both Participants that a request to cancel an RTGS dual entry settlement made by one of the Participants has not been matched with an equivalent request submitted by the counterparty to the settlement. The request is held in CHES until it is matched with the counterparty's request, the Participant cancels it, or the settlement instruction is settled.

- **Sender**

CHES

- **Recipient**

Participants

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 485 RTGS Dual Entry Cancellation Request

Change RTGS Instruction Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**
 To allow the cancellation of a previously entered change RTGS settlement instruction request. If the change request submitted by counterparties has already been matched, this cancellation request is rejected and the Participant requesting cancellation is advised accordingly. If the change request is unmatched, the cancellation is applied and both counterparties are advised of the effected cancellation.
- **Sender**
 Participant
- **Recipient**
 CHESSE
- **Transmission Cut-off Times**
 End of Day
- **Prerequisites**
 Receipt of a 489 Change RTGS Instruction Request

Removed RTGS Instruction Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
89	Cancelling Transaction Id	16 Character	M
127	Cancellation Reason	1 Character	M

- **Purpose**
To notify both Participants that a previously entered request to cancel a scheduled RTGS settlement instruction has been removed. Settlement of the target settlement instruction will proceed as originally scheduled. The removal was either requested by the Participant who originally submitted the cancellation request, or occurs as a result of CHES housekeeping actions.
- **Sender**
CHES
- **Recipient**
Participants
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 487 RTGS Dual Entry Cancellation Removal

Maintain RTGS Instruction Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
16	HIN	10 Numeric	O
24	Cash Account Identifier	6 Numeric	O
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O
189	Schedule Transaction for Settlement	1 Character	O

- Purpose**

To allow a Participant to request a change to the settlement detail of a scheduled settlement instruction. The settlement detail of the settlement instruction can be modified by the Participant without recourse to the counterparty to the settlement instruction, as it does not affect the settlement obligation. This request will be matched to the original settlement instruction by the Target Transaction ID. The Participant is notified whether the change has been effected or rejected.

At least one of the optional fields must be completed. Settlement Date, HIN, stamp duty detail, and/or Cash Subrecord may be changed. If stamp duty detail is being changed, all stamp duty fields must be completed as per stated interdependencies. Stamp duty can only be changed by the transferee Participant (buying Participant). Unlike transactions scheduled for the net batch, there is no part-settlement on an RTGS transaction.

- **Sender**
Participant
- **Recipient**
CHESS
- **Transmission Cut-off Times**
 - Current Settlement Date:
End of CHESS RTGS Business Day
 - For future Date:
End of Day
- **Prerequisites**
A RTGS settlement instruction scheduled for settlement

Authorise Scheduled RTGS Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

To allow a Participant, on Settlement Date, or for a future Settlement Date, to authorise for settlement a scheduled RTGS settlement instruction which, when initially created, was marked by this Participant as not able to settle until this authorisation is submitted. Note that this authorisation may not be cancelled.

- **Sender**

Participant

- **Recipient**

CHESS

- **Transmission Cut-off Times**

- Current Settlement Date:
End of CHESS RTGS Business Day
- Future Date:
End of Day

- **Prerequisites**

A RTGS settlement instruction scheduled for settlement

Checking RBA Funds Queue Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**
 To advise both Participants that, as the settlement instruction targeted for cancellation has progressed to the RBA queue for funds checking, CHES is sending a Recall Request to RBA to attempt to remove the target settlement instruction from the queue. Participants are advised only that the RBA funds queue is being checked to see if the target transaction may be removed, NOT that the transaction is able to be removed unsettled from the funds queue.
- **Sender**
 CHES
- **Recipient**
 Participants
- **Transmission Cut-off Times**
 None
- **Prerequisites**
 Receipt of a 485 RTGS Dual Entry Cancellation Request

Settlement Type Change Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
12	Settlement Date	8 Numeric	O
16	HIN	10 Numeric	O
24	Cash Account Identifier	6 Numeric	O
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
56	Part-Settlement	1 Character	O
189	Schedule Transaction for Settlement	1 Character	O
190	Destination Settlement System	1 Character	M

- Purpose**

To allow a Participant to request a change of settlement type for a scheduled settlement instruction from net batch settlement to RTGS settlement or vice versa. In preparation for future requirements this message may be used to switch/change a transaction from an ex-CHESS system to CHESS, or CHESS to ex-CHESS. Settlement type can be changed for settlement instructions created either as a result of Broker-Broker trades or dual-entry matched instructions.

Default settlement date is the Settlement Date in the current settlement type. Participants may, however, indicate a different Settlement Date. Participants may also specify a different HIN.

RTGS applicable fields may be supplied if the transaction is being changed to RTGS settlement type. Net applicable fields may be supplied if the transaction is being changed to net settlement type. If fields relevant to the destination settlement system are not supplied, CHESS uses default settings for these fields.

- **Sender**
Participant
- **Recipient**
CHESS
- **Transmission Cut-off Times**
End of Day
- **Prerequisites**
A scheduled settlement instruction

RTGS Instruction Ready to Settle Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
3	Settlement Amount	15 ±Numeric, 2 decimal	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To notify both Participants that all required authorisation/s have been submitted and the target transaction is now 'ready to settle', i.e. to enter RTGS settlement processing.
- **Sender**
CHESS
- **Recipient**
Participants
- **Transmission Cut-off Times**
None
- **Prerequisites**
 - Where one or two Participants have requested authorisation:
495 Authorise Scheduled RTGS Instruction from one or both Participants
 - If no authorisation requested:
481 RTGS Dual Entry Notification

Holding Subposition Detail

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
45	Subposition Type	1 Character	M
47	Takeover Code	6 Character	O
52	Unit Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M
154	Advice Reason	1 Character	M

- **Purpose**
To provide a Participant or a third party with the detail of a holding subposition held against a CHES holding.
- **Sender**
CHES
- **Recipient**
Participant or third party
- **Transmission Cut-off Times**
None

- **Prerequisites**

Receipt of a 503 Reporting Request or
Transfer of control of an existing HIN to the recipient

Reporting Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code Parameter	12 Character	O
7	Corporate Action Type Id Parameter	2 Character	O
12	Reporting Start Date	8 Numeric	O
13	Reporting End Date	8 Numeric	O
16	HIN Parameter	10 Numeric	O
19	PID Parameter	5 Numeric	O
29	Payment Facility Parameter	6 Numeric	O
45	Subposition Type Parameter	1 Character	O
47	Takeover Code Parameter	6 Character	O
48	Transaction Id	16 Character	M
49	Transaction Id Parameter	16 Character	O
78	Reporting Id	3 Character	M
79	Processing Frequency	2 Character	M
80	Ex Date Parameter	8 Numeric	O
82	Number of Days Parameter	3 Numeric	O
83	Position Type Parameter	1 Character	O
84	Processing Point	2 Character	O
85	Processing Period	1 Character	O
94	Start Date Parameter	8 Numeric	O
95	End Date Parameter	8 Numeric	O
98	As At Date Parameter	8 Numeric	O
99	Reporting Id Parameter	3 Character	O
144	Transaction Class Parameter	1 Character	O
152	Additional Reporting Parameter	1 Character	O

- **Purpose**

To enable Users to submit a request for reporting functions in CHESS.

For the mFund Settlement Service, the Security Code Parameter represents the Fund Code.

- **Sender**

User

- **Recipient**

CHESS

- **Transmission Cut-off Times**

End of Day

- if the message is to be processed prior to the end of day process

- **Prerequisites**

None

Cum Entitlement Daily Balance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
7	Corporate Action Type Id	2 Character	M
12	Date	8 Numeric	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
54	Closing Balance	11 Numeric	M
62	Origin Transaction Id	16 Character	M
80	Ex Date	8 Numeric	M

- Purpose**
 To notify a User of the cum entitlement balance of a holding at the end of a specific day.
- Sender**
 CHES
- Recipient**
 User
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of a 503 Reporting Request

Reporting Request Cancellation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**
To cancel an existing reporting request that has been scheduled to run as a one-off or on a regular basis.
- **Sender**
User
- **Recipient**
CHESS
- **Transmission Cut-off Times**
End of Day
- if the message is to be processed prior to the end of day process
- **Prerequisites**
Receipt of a 503 Reporting Request

Cum Entitlement Net Movement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
7	Corporate Action Type Id	2 Character	M
12	Date	8 Numeric	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
52	Net Offs	11 Numeric	M
53	Net Ons	11 Numeric	M
54	Closing Balance	11 Numeric	M
55	Movement From Registry	11 Numeric	M
62	Origin Transaction Id	16 Character	M
64	Movement To Registry	11 Numeric	M
80	Ex Date	8 Numeric	M

- **Purpose**
To provide registries with the net movements on a cum entitlement balance for a specific day.
- **Sender**
CHES
- **Recipient**
Registry
- **Transmission Cut-off Times**
None

- **Prerequisites**
Receipt of a 503 Reporting Request

Holding Daily Balance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
12	Date	8 Numeric	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
54	Closing Balance	11 Numeric	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To notify a User of the closing balance on a holding on a specific day.
- **Sender**
CHESS
- **Recipient**
User
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 503 Reporting Request

Holding Net Movement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
12	Date	8 Numeric	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
52	Net Offs	11 Numeric	M
53	Net Ons	11 Numeric	M
54	Closing Balance	11 Numeric	M
55	Movement From Registry	11 Numeric	M
62	Origin Transaction Id	16 Character	M
64	Movement To Registry	11 Numeric	M

- **Purpose**
To provide a Registry with the net movements for a holding for a particular day.
- **Sender**
CHESS
- **Recipient**
Registry
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 503 Reporting Request

Holding Registration Details

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
18	Holder Type	1 Character	M
19	Controlling PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
26	Registration Details Indicator	1 Character	M
59	Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
174	Holder Status	1 Character	O
175	Residency Indicator	1 Character	O
200	Email Address	50 Character	O
208	Email Purpose	1 Character	O
209	Email Cancellation	1 Character	O

- **Purpose**

To notify a Registry of

- new registration details on creation of a new CHES holding;
- changed registration details on notification by a Participant;
- changed email details on notification by a Participant;
- the cancellation of existing email details on notification by a Participant;
- changed residency of the holder on notification by a Participant (only provided when the security is subject to Foreign Ownership Restrictions);

- changed holder status on notification by a Participant;
- the application or release of a holding lock;
- the registration details of a CHESS holding that has moved to a zero balance; or
- the Controlling PID of a holder that has changed its Controlling Participant.

- **Sender**

CHESS

- **Recipient**

Registry

- **Transmission Cut-off Times**

None

- **Prerequisites**

One of the following:

- Creation of a new holding
- An authorised change to registration details, email details, residency and/or holder status of a CHESS holder (203 Update Registration Details)
- Reduction of an existing holding to a zero balance
- A change of Controlling Participant of a holding
- Registration Address Cleansing performed by ASX Settlement
- Conversion of Holdings

Aggregated Foreign to Foreign Allocations

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
12	Date	8 Numeric	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
52	Guaranteed Foreign Net Offs	11 Numeric	M
53	Guaranteed Foreign Net Ons	11 Numeric	M
54	Guaranteed Foreign Closing Balance	11 Numeric	M
55	Guaranteed Movement from Registry	11 Numeric	M
62	Origin Transaction Id	16 Character	M
64	Guaranteed Movement to Registry	11 Numeric	M

- **Purpose**

To provide a User with the net foreign to foreign movements and confirmed foreign balance for a holding for a particular day.

Applies only to securities subject to CHES foreign ownership restrictions and to CHES holders with a residency designated as "foreign".

- **Sender**

CHES

- **Recipient**

Participant, Registry

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 503 Reporting Request

Rights Accepted

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
52	Unit Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To notify a Participant of the total units that have been deducted from a nil-paid rights holding by the Registry following acceptance of the rights by the holder.
- **Sender**
CHESS
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 503 Reporting Request

Rejected Transaction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
61	Rejected Reason	5 Numeric	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To notify a User that a message which has been sent to CHESS has been rejected.
- **Sender**
CHESS
- **Recipient**
User
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a message submitted by a User for which certain validation checks have failed. See Section 8 of the EIS for more information on codes and meaning for 'rejected reasons' and 'rejected order reasons'

Cum Entitlement Balance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
7	Corporate Action Type Id	2 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
52	Entitlement Balance	11 Numeric	M
62	Origin Transaction Id	16 Character	M
80	Ex Date	8 Numeric	M
154	Advice Reason	1 Character	M

- **Purpose**
To provide a Participant or a Registry with the current cum entitlement balance of a CHES holding.
- **Sender**
CHES
- **Recipient**
User
- **Transmission Cut-off Times**
None
- **Prerequisites**

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Receipt of a 503 Reporting Request or
Transfer of control of an existing HIN to the recipient

Holding Balance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
53	Total Unit Balance	11 Numeric	M
62	Origin Transaction Id	16 Character	M
154	Advice Reason	1 Character	M

- **Purpose**
To notify a Participant or a Registry of the current balance for a holding.
- **Sender**
CHESS
- **Recipient**
User
- **Transmission Cut-off Times**
None

- **Prerequisites**

One of the following:

- Receipt of a 503 Reporting Request
- Transfer of control of an existing HIN to the recipient
- Receipt of 501 Sub-Register Archive Request from Registry in which a requirement for a holding balance is specified

Total Cum Entitlement Balance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
7	Corporate Action Type Id	2 Character	M
21	Processing Timestamp	22 Character	M
52	Unit Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M
80	Ex Date	8 Numeric	M

- **Purpose**
To notify the Registry of the current total of all cum entitlement balances held on the CHESSE subregister for a specific corporate action.
- **Sender**
CHESSE
- **Recipient**
Registry
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 503 Reporting Request

Accepted Reporting Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To notify a User that a submitted reporting request has been accepted by the CHES and scheduled to be processed at the requested time and frequency.
- **Sender**
CHES
- **Recipient**
User
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 503 Reporting Request

Archived Sub-Register

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
 To notify a Registry and all Participants that a subregister has been archived by CHES.
 For the mFund Settlement Service, the Security Code represents the Fund Code.
- **Sender**
 CHES
- **Recipient**
 Registries and Participants
- **Transmission Cut-off Times**
 None
- **Prerequisites**
 CHES archives subregister

Cancelled Reporting Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- Purpose**

To notify a User that a scheduled reporting request has been cancelled as requested by the User.
- Sender**

CHESS
- Recipient**

User
- Transmission Cut-off Times**

None
- Prerequisites**

Receipt of a 505 Reporting Request Cancellation

Total Security Balance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
21	Processing Timestamp	22 Character	M
52	Total Units On Sub-Register	11 Numeric	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To notify the Registry of the current total of all holding balances held on the CHES subregister for a specific security.
- **Sender**
CHES
- **Recipient**
Registry
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 503 Reporting Request

Tax File Number / Australian Business Number Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
48	Transaction Id	16 Character	M
100	Tax File Number / ABN 1	12 Character	O
101	Tax File Number / ABN 2	12 Character	O
102	Tax File Number / ABN 3	12 Character	O

- **Purpose**
To request CHESS to pass tax information on to the relevant Registry.
At least one of the Tax File Number / ABN fields must be present.
- **Sender**
Participant
- **Recipient**
CHESS
- **Transmission Cut-off Times**
End of Day
- if the message is to be processed prior to the end of day process
- **Prerequisites**
None

Notified Tax File Number / Australian Business Number

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
62	Origin Transaction Id	16 Character	M
100	Tax File Number / ABN 1	12 Character	O
101	Tax File Number / ABN 2	12 Character	O
102	Tax File Number / ABN 3	12 Character	O

- Purpose**
 To notify a Registry of tax information related to a specific CHES holding.
 For the mFund Settlement Service, the Security Code represents the Fund Code.
- Sender**
 CHES
- Recipient**
 Registry
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of a 533 Tax File Number / Australian Business Number Advice

Accepted Tax File Number / Australian Business Number Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction ID	16 Character	M

- **Purpose**
To notify to a Participant that the tax information has been passed to the relevant Registry.
- **Sender**
CHESS
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 533 Tax File Number / Australian Business Number Advice

End of Reporting

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
57	Total Message Count	11 Numeric	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To notify a User that a reporting request submitted by them has been processed to completion, i.e. all messages which make up the report have been sent to the User, with no intervening messages between the reporting messages and this message.
- **Sender**
CHESS
- **Recipient**
User
- **Transmission Cut-off Times**
None
- **Prerequisites**
A reporting request submitted by a User has been processed to completion.

Invalid Transaction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
110	Invalid Reason	5 Numeric	M
111	Invalid Message Header	32 Character	M

- ### Purpose

To notify a User that a CHES data message has been received which has such fundamental validation errors that it cannot be passed to an application program for normal application validation or processing. (Normal validation problems detected by an application result in a 518 Rejected Transaction being sent to the User.)

Examples of such problems include errors in bitmap formatting, truncated messages, messages received for which the User is unauthorised, invalid UIC in the message header, etc. See Section 10 of the EIS for more information on circumstances in which this message is sent.

The nature of this type of error means that data contents may not be reliably extracted from the message and hence fields such as Transaction Id are not available for return to the User. Hence, the fixed header section of the offending message (Length to Message Identifier inclusive as defined in EIS section 10.5.3) is returned to the User. From this the User can extract the MSN (as defined in EIS section 10.8.3) and locate and examine the offending message. Note that although parts of the User's message may have been unrecognisable, the MSN within the returned header will be valid or this response will not be sent.

- ### Sender

CHES

- **Recipient**

User

- **Transmission Cut-off Times**

None

- **Prerequisites**

A CHESS data message submitted by a User with fundamental validation problems.

CHESSEvent Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
62	Origin Transaction Id	16 Character	M
142	CHESSEvent Type	1 Character	M

- **Purpose**

To notify a user that a specific event has occurred in CHESSEvent.

These events are currently notified: the End of CHESSEvent Settlement Reporting; the End of Netting Reporting; the End of Netting Reversal Reporting and the End of Overnight Reporting.

This message is queued to a user after all the other relevant messages and confirms that all relevant reporting related to the event has been queued to all other Participants. There may be intervening messages between the last relevant reporting message and the CHESSEvent Notification.

For administrative reasons the End of Overnight Reporting message will not be sent to any Offeror.

- **Sender**

CHESSEvent

- **Recipient**

User

- **Transmission Cut-off Times**

None

- **Prerequisites**

Completion of one of the following CHES processes:

- End of CHES Settlement Reporting
- End of Netting Reporting
- End of Netting Reversal Reporting
- End of Overnight Reporting

- **Note**

This message is intended as a reconciliation point and NOT as a trigger for a user's internal processing.

Levy Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	O
3	Shortfall Valuation	15 ±Numeric, 2 decimal	O
4	Levy Value	15 ±Numeric, 2 decimal	M
7	Corporate Action Type Id	2 Character	O
12	Dispatch Date	8 Numeric	O
13	Transaction Date	8 Numeric	O
16	HIN	10 Numeric	O
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	O
52	Securities Shortfall	11 Numeric	O
57	Number Holders Affected	11 Numeric	O
62	Origin Transaction Id	16 Character	M
94	Settlement Date	8 Numeric	O
145	Levy Type	1 Character	M

- ### Purpose

To advise a User that a Levy has been charged to the User for breaching the ASX Settlement Operating Rules. Depending on the Levy Type specified, different information is provided.

For the following Levy Types, optional information is provided as shown:

Levy Type	Optional Information
'A' = Settlement Fail - Net Batch	Security Code Shortfall Validation Corporate Action Type ID HIN Securities Shortfall Settlement Date
'B' = RTGS Settlement Fail	Security Code Shortfall Validation Corporate Action Type ID HIN Securities Shortfall Settlement Date
'C'	Transaction Date Target Transaction ID
'D'	Transaction Date Target Transaction ID
'E'	Security Code Transaction Date HIN

- **Sender**
CHESS
- **Recipient**
User
- **Transmission Cut-off Times**
None
- **Prerequisites**

A Levy may be charged after the automatic detection of a breach of the ASX Settlement Operating Rules, or after investigation of a rule breach by Settlement Regulation.

Revised Holder Registration Details

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
47	Takeover Code	6 Character	M
59	Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To advise the Offeror of revised Holder Registration Details for a Holder that has accepted a Takeover offer made by the Offeror.

- **Sender**

CHESS

- **Recipient**

Offeror

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 203 Registration Details Update Request submitted by the Controlling Participant and a Takeover offer accepted by the holder (advised by 704 Takeover Acceptance Notification)

Reversed Levy Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
4	Reversed Levy Value	15 ±Numeric, 2 decimal	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
145	Levy Type	1 Character	M

- **Purpose**
To advise the User that a previously charged Levy has been reversed.
- **Sender**
CHESS
- **Recipient**
User
- **Transmission Cut-off Times**
None

- **Prerequisites**

Reversal of a previously charged Levy (advised by 544 Levy Notification) by ASX Settlement

Scheduled Payment Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
3	Settlement Amount	15 ±Numeric, 2 decimal	M
12	Settlement Date	8 Numeric	M
19	Payer PID	5 Numeric	M
20	Payee PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
29	Payment Facility Id	6 Numeric	M
48	Transaction Id	16 Character	M
78	Payment Type	3 Character	M

- **Purpose**

To notify a Participant that a CHES initiated settlement payment instruction has been scheduled for batch settlement.
- **Sender**

CHES
- **Recipient**

Participant
- **Transmission Cut-off Times**

None
- **Prerequisites**

None

Aggregated Payment Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
12	Settlement Date	8 Numeric	M
14	Payment Set Transaction Id	10 Character	M
48	Transaction Id	16 Character	M
67	Amount	14 Numeric, 2 decimal	M
194	Payer Clearing Member	6 Numeric	M
195	Payer Payment Category	4 Character	M
196	Payee Clearing Member	6 Numeric	M
197	Payee Payment Category	4 Character	M

- **Purpose**

Allows ASX Clear-Derivatives to send an aggregated payment request to CHES for inclusion in daily batch settlement. One message per account type and per clearing participant.

- **Sender**

ASX Clear-Derivatives

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

None

Accepted Payment Set

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
3	Payments to ASX Clear	15 ±Numeric, 2 decimal	M
4	Payments from ASX Clear	15 ±Numeric, 2 decimal	M
12	Settlement Date	8 Numeric	M
14	Payment Set Transaction Id	10 Character	M

- **Purpose**

To notify ASX Clear that CHESS has successfully validated a set of CHESS 551 Aggregated Payment Requests for inclusion in the day's batch settlement.

The Payment Set Transaction Id value must be the same as that used for all CHESS 551 messages included in the day's batch settlement.

- **Sender**

CHESS

- **Recipient**

ASX Clear

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 553 Payment Set Total Record

Payment Set Total Record

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
12	Settlement Date	8 Numeric	M
14	Payment Set Transaction Id	10 Character	M
48	Transaction Id	16 Character	M
198	Total Payment Records	5 Numeric	M

- Purpose**

Allows ASX Clear-Derivatives to send a finalisation. This message signifies that all CHES 551 Aggregated Payment Requests to CHES for inclusion in daily batch settlement have been sent. This message triggers CHES to validate all CHES 551 messages.

The Payment Set Transaction Id value must be the same for all CHES 551 & 553 messages to be included in the day's batch settlement.

The Total Payment Records value must be the total of all CHES 551 messages to be included in the day's batch settlement.

- Sender**

ASX Clear-Derivatives

- Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

Transmission of all daily 551 Aggregated Payment Requests

Close Out Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
3	Outstanding Settlement Amount	15 ±Numeric, 2 decimal	M
11	Transaction Basis	1 Character	M
12	Original Settlement Date	8 Numeric	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
52	Outstanding Unit Quantity	11 Numeric	M
146	Basis of Quotation 1	2 Character	O
147	Basis of Quotation 2	2 Character	O
148	Basis of Quotation 3	2 Character	O
149	Basis of Quotation 4	2 Character	O
150	Basis of Quotation 5	2 Character	O

- **Purpose**

To advise a Settlement Participant where a Batch Instruction has been rescheduled for settlement on two consecutive Business Days and subsequently requires the Settlement Participant pursuant to ASX Settlement Rule 10.11.12, to enter into one or more transactions to close out the Settlement Shortfall.

- **Sender**

CHES

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

None

Cancelled Payment Set Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
12	Settlement Date	8 Numeric	M
14	Payment Set Transaction Id	10 Character	M

- **Purpose**
 This message notifies ASX Clear-Derivatives that all CHES 551 Aggregated Payment Requests to CHES for inclusion in daily batch settlement have been cancelled.
- **Sender**
 CHES
- **Recipient**
 ASX Clear-Derivatives
- **Transmission Cut-off Times**
 None
- **Prerequisites**
 Receipt of a 553 Payment Set Total Record

Top Security Holder Report

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
12	Opening Balance Date	8 Numeric	M
13	Closing Balance Date	8 Numeric	M
16	HIN	10 Numeric	M
18	Holder Type	1 Character	M
19	Controlling PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
52	Total Movements Off	11 Numeric	M
53	Total Movements On	11 Numeric	M
54	Closing Balance	11 Numeric	M
55	Opening Balance	11 Numeric	M
59	Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
175	Residency Indicator	1 Character	M

- **Purpose**
To provide an issuer or their agent with securityholder details held on the CHES subregister for a specified security.
- **Sender**
CHES
- **Recipient**
Issuer or their agent

- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 503 Reporting Request

Collateral Release Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	M
16	Receiving HIN	10 Numeric	M
17	Delivering HIN	10 Numeric	M
23	ASX Clear Account	12 Character	M
24	ASX Clearing Member	6 Numeric	M
31	ASX Clear Lodgement Number	8 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
67	Stamping Consideration	14 Numeric, 2 decimal	O
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
70	Underlying Reference	16 Character	O

- **Purpose**

To enable ASX Clear to request the release of securities in a CHES holding pledged as Collateral and the transfer of the securities to another CHES holding.

- **Sender**

ASX Clear

- **Recipient**

CHES

- **Transmission Cut-off Times**

Settlement Cut-off Time

- if the message is to be processed prior to the next settlement process

End of Day

- if the message is to be processed prior to the end of day process

- **Prerequisites**

Securities in the CHES holding must be pledged as Collateral.

Effected ASX Clear Transaction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To notify ASX Clear that a message previously submitted by ASX Clear has been processed successfully to completion.

Basis of Movement fields are only applicable to ASX Clear transactions that result in a transfer of units.

- **Sender**

CHESS

- **Recipient**

ASX Clear

- **Transmission Cut-off Times**

None

- **Prerequisites**

One of the following submitted by ASX Clear: -

- Receipt of a 023 ASX Clear Collateral Removal Request or
- Receipt of a 601 Collateral Release Request; or
- Receipt of a 603 Collateral Removal Authorisation; ; or
- Receipt of a 605 Collateral Removal Rejection; or
- Receipt of a 607 Accepted Collateral; or
- Receipt of a 609 Rejected Collateral; or
- Receipt of a 873 Margin Cover Creation Authorisation; or
- Receipt of a 875 Margin Cover Creation Rejection; or
- Receipt of a 879 Margin Cover Removal Authorisation; or
- Receipt of a 883 ASX Clear Removal Margin Cover; or
- Receipt of a 885 ASX Clear Margin Cover Removal Request; or
- Receipt of a 911 Daily Interest Earned Notification; or
- Receipt of a 913 Excess Cash Standing Instruction Authorisation; or
- Receipt of a 915 Excess Cash Standing Instruction Rejection; or
- Receipt of a 917 Margin Settlement Notification; or
- Receipt of a 923 Margin Settlement Amount Change Authorisation; or
- Receipt of a 925 Margin Settlement Amount Change Rejection; or
- Receipt of a 927 Collateral Statement Notification; or
- Receipt of a 929 End Statement Notification; or
- Receipt of a 931 Margin Cover Removal Rejection

0

Collateral Removal Authorisation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**
To authorise a Participant's request to release securities in a CHES holding pledged as Collateral. The Participant's request was previously advised to ASX Clear by CHES.
- **Sender**
ASX Clear
- **Recipient**
CHES
- **Transmission Cut-off Times**
Settlement Cut-off Time
- if the message is to be processed prior to the next settlement process

End of Day
- if the message is to be processed prior to the end of day process
- **Prerequisites**
Receipt of a 612 Collateral Removal Authorisation Request

Collateral Removal Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
37	Collateral Rejected Reason	1 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- Purpose**

To reject the Participant's request to release securities in a CHES holding pledged as Collateral. The Participant's request was previously advised to ASX Clear by CHES.
- Sender**

ASX Clear
- Recipient**

CHES
- Transmission Cut-off Times**

Settlement Cut-off Time
 - if the message is to be processed prior to the next settlement process

End of Day
 - if the message is to be processed prior to the end of day process
- Prerequisites**

Receipt of a 612 Collateral Removal Authorisation Request

Accepted Collateral

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**
To accept a Participant request for the creation of Collateral in a CHES Holding. The Participant request was previously advised to ASX Clear by CHES.
- **Sender**
ASX Clear
- **Recipient**
CHES
- **Transmission Cut-off Times**
Settlement Cut-off Time
- if the message is to be processed prior to the next settlement process

End of Day
- if the message is to be processed prior to the end of day process
- **Prerequisites**
Receipt of a 610 Collateral Request

Rejected Collateral

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
37	Collateral Rejected Reason	1 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**
To enable ASX Clear to reject a Collateral pledge made by a Participant. The securities are released back to the Participant.
- **Sender**
ASX Clear
- **Recipient**
CHESS
- **Transmission Cut-off Times**
Settlement Cut-off Time
- if the message is to be processed prior to the next settlement process

End of Day
- if the message is to be processed prior to the end of day process
- **Prerequisites**
Receipt of a 610 Collateral Request

Collateral Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
22	Margin Lender	1 Character	O
23	ASX Clear Account	12 Character	M
24	ASX Clearing Member	6 Numeric	M
31	ASX Clear Lodgement Number	8 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
56	Specific Cover	1 Character	O
59	Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To notify ASX Clear of Collateral that has been created in CHES at a Participant's request. The Collateral is pledged on securities in a CHES holding; this may be the first Collateral pledged on this holding or it may be additional to existing Collateral.

- **Sender**

CHES

- **Recipient**

ASX Clear

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 027 Collateral Creation Request

Collateral Removal Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
23	ASX Clear Account	12 Character	M
24	ASX Clearing Member	6 Numeric	M
31	ASX Clear Lodgement Number	8 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M

- Purpose**
 To request ASX Clear to authorise the release of securities in a CHESS holding pledged as Collateral.
- Sender**
 CHESS
- Recipient**
 ASX Clear
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of a 029 Collateral Removal Request

Cash Subrecord Details

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
12	Settlement Date	8 Numeric	M
21	Processing Timestamp	22 Character	M
24	Cash Account Identifier	6 Numeric	O
29	Payment Facility Id	6 Numeric	M
44	Pay Receive Indicator	1 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
67	Amount	14 Numeric, 2 decimal	M
91	Settled Timestamp	22 Character	M
185	Cash Subrecord Id	35 Character	O

- **Purpose**
Provides details of transactions targeting all Cash Subrecords under a Net Position Record.
- **Sender**
CHESS
- **Recipient**
Participant

- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 503 Report Request

Cash Subrecord Total

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
12	Settlement Date	8 Numeric	M
21	Processing Timestamp	22 Character	M
24	Cash Account Identifier	6 Numeric	O
29	Payment Facility Id	6 Numeric	M
44	Pay Receive Indicator	1 Character	M
62	Origin Transaction Id	16 Character	M
67	Balance	14 Numeric, 2 decimal	M
185	Cash Subrecord Id	35 Character	O

- **Purpose**

Provides the balance of each Cash Subrecord under the Net Position Record associated with the nominated payment facility. 'Balance' in reference to a Cash Subrecord means the total of confirmed incomings (i.e. receipts of funds for sales) and outgoings (i.e. payments for purchases) which have targeted that Cash Subrecord. No pending debits (or credits) are taken into consideration when the Balance is calculated. Where a Bank or Participant requires a balance pertaining to the Net Position Record, they should take the balances for all Cash Subrecords under a Net Position Record and add these balances together in their own systems.

- **Sender**

CHESS

- **Recipient**
Participant or Bank
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 503 Report Request

ETC Trade Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	O
12	ETC Settlement Date	8 Numeric	O
13	Trade Date	8 Numeric	M
19	ETC Target UIC	5 Numeric	M
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
67	Trade Amount	14 Numeric, 2 decimal	M
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
87	Trade Timestamp	22 Character	O
115	Condition Code 1	2 Character	O
116	Condition Code 2	2 Character	O
117	Condition Code 3	2 Character	O
118	Condition Code 4	2 Character	O
119	Condition Code 5	2 Character	O
120	Condition Code 6	2 Character	O
121	Condition Code 7	2 Character	O
122	Condition Code 8	2 Character	O
146	Basis of Quotation 1	2 Character	O
147	Basis of Quotation 2	2 Character	O
148	Basis of Quotation 3	2 Character	O
149	Basis of Quotation 4	2 Character	O
150	Basis of Quotation 5	2 Character	O
155	Unit Price	11 Numeric, 6 decimal	O
156	Buy/Sell Indicator	1 Character	M
157	Order Reference	16 Character	M
158	Trading Broker	4 Numeric	M

159	Crossing Indicator	1 Character	○
160	Principal Indicator	1 Character	○
164	Settlement Matching Date	8 Numeric	○
171	As At Date	8 Numeric	○

- **Purpose**

To advise CHESS of the details of a block trade booked by the Broker on behalf of a Fund/Investment Manager. Details of the block trade are passed on to the target Fund/Investment Manager.

- **Sender**

Broker

- **Recipient**

CHESS

- **Transmission/Cut-off Times**

End of Day

- if the message is to be processed prior to the end of day process

- **Prerequisites**

Creation of a block trade by the Broker on behalf of the Fund/Investment Manager

ETC Trade

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
11	Transaction Basis	1 Character	O
12	ETC Settlement Date	8 Numeric	O
13	Trade Date	8 Numeric	M
19	ETC Source UIC	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M
67	Trade Amount	14 Numeric, 2 decimal	M
68	Duty Payable	14 Numeric, 2 decimal	O
69	Duty Type	1 Character	O
87	Trade Timestamp	22 Character	O
115	Condition Code 1	2 Character	O
116	Condition Code 2	2 Character	O
117	Condition Code 3	2 Character	O
118	Condition Code 4	2 Character	O
119	Condition Code 5	2 Character	O
120	Condition Code 6	2 Character	O
121	Condition Code 7	2 Character	O
122	Condition Code 8	2 Character	O
146	Basis of Quotation 1	2 Character	O
147	Basis of Quotation 2	2 Character	O
148	Basis of Quotation 3	2 Character	O
149	Basis of Quotation 4	2 Character	O
150	Basis of Quotation 5	2 Character	O
155	Unit Price	11 Numeric, 6 decimal	O
156	Buy/Sell Indicator	1 Character	M

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157	Order Reference	16	Character	M
158	Trading Broker	4	Numeric	M
159	Crossing Indicator	1	Character	O
160	Principal Indicator	1	Character	O
164	Settlement Matching Date	8	Numeric	O
171	As At Date	8	Numeric	O

- **Purpose**

To advise a Fund/Investment Manager of the details of a block trade booked by a broker on behalf of the Fund/Investment Manager.

- **Sender**

CHESS

- **Recipient**

Fund/Investment Manager

- **Transmission/Cut-off Times**

End of Day

- if the message is to be processed prior to the end of day process

- **Prerequisites**

Receipt of a 651 ETC Trade Advice

ETC Trade Allocation Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
19	ETC Target UIC	5 Numeric	M
20	Settlement Counterparty PID	5 Numeric	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
52	Allocation Quantity	11 Numeric	M
87	Allocation Timestamp	22 Character	O
161	Account Id	20 Character	M
162	Allocation Status	1 Character	M
165	Brokerage	14 Numeric, 2 decimal	O

- **Purpose**

To advise CHES of an allocation required for a block trade that was executed by the target Broker [UIC]. Details of the allocation are passed on to the target Broker.
- **Sender**

Fund/Investment Manager
- **Recipient**

CHES

- **Transmission/Cut-off Times**

End of Day

- if the message is to be processed prior to the end of day process

- **Prerequisites**

Fund/Investment Manager has received an ETC Trade from CHES.

ETC Trade Advice Acknowledgment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To advise the Broker that an ETC Trade Advice has been received by CHES and passed on to the target Fund/Investment Manager.
- **Sender**

CHES
- **Recipient**

Broker
- **Transmission/Cut-off Times**

End of Day
- if the message is to be processed prior to the end of day process
- **Prerequisites**

Receipt of a 651 ETC Trade Advice

ETC Trade Rejection Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
19	ETC Target UIC	5 Numeric	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
87	Rejection Timestamp	22 Character	O
163	ETC Rejection Reason	2 Character	M

- **Purpose**
To advise CHES that an ETC Trade has been rejected. Details of the rejection are passed to the target Broker.
- **Sender**
Fund/Investment Manager
- **Recipient**
CHES
- **Transmission/Cut-off Times**
End of Day
- if the message is to be processed prior to the end of day process

- **Prerequisites**

The source Fund/Investment Manager has received an ETC Trade from CHES.

ETC Trade Allocation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
19	ETC Source UIC	5 Numeric	M
20	Settlement Counterparty PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
52	Allocation Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M
87	Allocation Timestamp	22 Character	O
161	Account Id	20 Character	M
162	Allocation Status	1 Character	M
165	Brokerage	14 Numeric, 2 decimal	O

- Purpose**
 To advise a target Broker of an allocation for a block trade that was executed by the Broker for the source Fund/Investment Manager.
- Sender**
 CHES
- Recipient**
 Broker

- **Transmission/Cut-off Times**

End of Day

- if the message is to be processed prior to the end of day process

- **Prerequisites**

Receipt of a 653 ETC Trade Allocation Advice

Contract Note Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
3	Settlement Amount	15 ±Numeric, 2 decimal	M
12	ETC Settlement Date	8 Numeric	O
13	Trade Date	8 Numeric	M
19	ETC Target UIC	5 Numeric	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
59	Initiator Name and Address	180 Character	M
67	Trade Amount	14 Numeric, 2 decimal	M
68	Duty Payable	14 Numeric, 2 decimal	M
87	Contract Note Timestamp	22 Character	O
115	Condition Code 1	2 Character	O
116	Condition Code 2	2 Character	O
117	Condition Code 3	2 Character	O
118	Condition Code 4	2 Character	O
119	Condition Code 5	2 Character	O
120	Condition Code 6	2 Character	O
121	Condition Code 7	2 Character	O
122	Condition Code 8	2 Character	O
146	Basis of Quotation 1	2 Character	O
147	Basis of Quotation 2	2 Character	O
148	Basis of Quotation 3	2 Character	O
149	Basis of Quotation 4	2 Character	O
150	Basis of Quotation 5	2 Character	O
155	Unit Price	11 Numeric, 6 decimal	O

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156	Buy/Sell Indicator	1	Character	M
159	Crossing Indicator	1	Character	O
160	Principal Indicator	1	Character	O
161	Account Id	20	Character	M
162	Contract Note Status	1	Character	M
164	Settlement Matching Date	8	Numeric	O
165	Brokerage	14	Numeric, 2 decimal	M
166	Other Amount	14	Numeric, 2 decimal	O
167	Recipient Name	80	Character	M
168	Member ASX Indicator	1	Character	M
171	As At Date	8	Numeric	O
172	Remarks	40	Character	O

- **Purpose**

To advise CHESS of the details of a Contract Note created by the source Broker for the source Fund/Investment Manager. Details of the Contract Note are passed to the target Fund/Investment Manager.

- **Sender**

Broker

- **Recipient**

CHESS

- **Transmission/Cut-off Times**

End of Day

- if the message is to be processed prior to the end of day process

- **Prerequisites**

- None. ETC Users can choose to only utilise the contract note messaging functionality. In this case there will be no prerequisites for the 657 message and the **Transaction Id** and **Target Transaction Id** of the message must be populated with the same value.

Or

- Receipt of a 656 ETC Trade Allocation

- **Processing Note**

The receiving party will need to process the 657 message differently depending on the Transaction Id and Target Transaction Id values.

- If the Transaction Id and Target Transaction Id are different then the receiving party is required to locate a previously submitted ETC Trade Allocation.
- If the Transaction Id and Target Transaction Id are the same then the receiving party is not required to locate a previously submitted ETC Trade Allocation.

ETC Trade Allocation Acknowledgment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- Purpose**

To advise a Fund/Investment Manager that an ETC Trade Allocation has been accepted by CHES and passed on to the target Broker.
- Sender**

CHES
- Recipient**

Fund/Investment Manager
- Transmission/Cut-off Times**

End of Day
- if the message is to be processed prior to the end of day process
- Prerequisites**

Receipt of a 653 ETC Trade Allocation Advice

Contract Note Rejection Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
19	ETC Target UIC	5 Numeric	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
87	Rejection Timestamp	22 Character	O
163	ETC Rejection Reason	2 Character	M
169	Contract Note Rejection Level	1 Character	M

- **Purpose**
To advise CHES that a specific Contract Note or all Contract Notes related to a specific ETC Trade have been rejected by the Fund/Investment Manager. Details of the rejection are passed to the target Broker.
- **Sender**
Fund/Investment Manager
- **Recipient**
CHES
- **Transmission/Cut-off Times**
End of Day
- if the message is to be processed prior to the end of day process

- **Prerequisites**

Source Fund/Investment Manager has received a Contract Note from CHESS.

ETC Trade Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
19	ETC Source UIC	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
87	Rejection Timestamp	22 Character	O
163	ETC Rejection Reason	2 Character	M

- **Purpose**
To advise a Broker that an ETC Block Trade has been rejected by the Fund/Investment Manager.
- **Sender**
CHES
- **Recipient**
Broker
- **Transmission/Cut-off Times**
End of Day
- if the message is to be processed prior to the end of day process

- **Prerequisites**

Receipt of a 655 ETC Trade Rejection Advice

Allocation Cancellation Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/ Optional
19	ETC Target UIC	5 Numeric	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
87	Allocation Cancellation Timestamp	22 Character	O
169	Allocation Cancellation Level	1 Character	M

- **Purpose**

To advise CHES that an ETC Trade Allocation or all allocations relating to an ETC Trade have been cancelled by the Fund/Investment Manager. Details of the cancellation are passed to the target Broker.

- **Sender**

Fund/Investment Manager

- **Recipient**

CHES

- **Transmission/Cut-off Times**

End of Day

- if the message is to be processed prior to the end of day process

- **Prerequisites**

Receipt of a 653 ETC Trade Allocation Advice

ETC Trade Rejection Acknowledgment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To advise a Fund/Investment Manager that an ETC Trade Rejection Advice has been accepted by CHESSE and passed on to the target Broker.
- **Sender**
CHESSE
- **Recipient**
Fund/Investment Manager
- **Transmission/Cut-off Times**
End of Day
- if the message is to be processed prior to the end of day process
- **Prerequisites**
Receipt of a 655 ETC Trade Rejection Advice

ETC Settlement Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
3	Settlement Amount	15 ±Numeric, 2 decimal	M
11	Transaction Basis	1 Character	M
12	ETC Settlement Date	8 Numeric	O
13	Trade Date	8 Numeric	M
19	ETC Target UIC	5 Numeric	M
20	Settlement Counterparty PID	5 Numeric	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
44	Pay Receive Indicator	1 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
67	Trade Amount	14 Numeric, 2 decimal	M
68	Duty Payable	14 Numeric, 2 decimal	M
69	Duty Type	1 Character	O
87	Settlement Advice Timestamp	22 Character	O
115	Condition Code 1	2 Character	O
116	Condition Code 2	2 Character	O
117	Condition Code 3	2 Character	O
118	Condition Code 4	2 Character	O
119	Condition Code 5	2 Character	O
120	Condition Code 6	2 Character	O
121	Condition Code 7	2 Character	O
122	Condition Code 8	2 Character	O
146	Basis of Quotation 1	2 Character	O
147	Basis of Quotation 2	2 Character	O
148	Basis of Quotation 3	2 Character	O
149	Basis of Quotation 4	2 Character	O

150	Basis of Quotation 5	2	Character	O
155	Unit Price	11	Numeric, 6 decimal	O
161	Account Id	20	Character	M
164	Settlement Matching Date	8	Numeric	O
165	Brokerage	14	Numeric, 2 decimal	M
170	Deliver/Receive Units Indicator	1	Character	M

- **Purpose**

To advise CHES of settlement details to be sent to the target CHES Participant (e.g. custodian).

- **Sender**

Fund/Investment Manager

- **Recipient**

CHES

- **Transmission/Cut-off Times**

End of Day

- if the message is to be processed prior to the end of day process

- **Prerequisites**

Fund/Investment Manager has received a Contract Note from CHES.

Contract Note

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
3	Settlement Amount	15 ±Numeric, 2 decimal	M
12	ETC Settlement Date	8 Numeric	O
13	Trade Date	8 Numeric	M
19	ETC Source UIC	5 Numeric	M
21	Processing Timestamp	22 Character	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
59	Initiator Name and Address	180 Character	M
62	Origin Transaction Id	16 Character	M
67	Trade Amount	14 Numeric, 2 decimal	M
68	Duty Payable	14 Numeric, 2 decimal	M
87	Contract Note Timestamp	22 Character	O
115	Condition Code 1	2 Character	O
116	Condition Code 2	2 Character	O
117	Condition Code 3	2 Character	O
118	Condition Code 4	2 Character	O
119	Condition Code 5	2 Character	O
120	Condition Code 6	2 Character	O
121	Condition Code 7	2 Character	O
122	Condition Code 8	2 Character	O
146	Basis of Quotation 1	2 Character	O
147	Basis of Quotation 2	2 Character	O
148	Basis of Quotation 3	2 Character	O
149	Basis of Quotation 4	2 Character	O

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150	Basis of Quotation 5	2	Character	O
155	Unit Price	11	Numeric, 6 decimal	O
156	Buy/Sell Indicator	1	Character	M
159	Crossing Indicator	1	Character	O
160	Principal Indicator	1	Character	O
161	Account Id	20	Character	M
162	Contract Note Status	1	Character	M
164	Settlement Matching Date	8	Numeric	O
165	Brokerage	14	Numeric, 2 decimal	M
166	Other Amount	14	Numeric, 2 decimal	O
167	Recipient Name	80	Character	M
168	Member ASX Indicator	1	Character	M
171	As At Date	8	Numeric	O
172	Remarks	40	Character	O

- **Purpose**

To advise a Fund/Investment Manager of the details of a Contract Note received from the source Broker.

- **Sender**

CHESS

- **Recipient**

Fund/Investment Manager

- **Transmission/Cut-off Times**

End of Day

- if the message is to be processed prior to the end of day process

- **Prerequisites**

Receipt of a 657 Contract Note Advice

ETC Settlement Cancellation Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/Optional
19	ETC Target UIC	5 Numeric	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
125	ETC Settlement Cancel Timestamp	22 Character	O

- Purpose**

To advise CHESS that an ETC Settlement has been cancelled by the Fund/Investment Manager. Details of the cancellation are passed to the target CHESS Participant (e.g. custodian).
- Sender**

Fund/Investment Manager
- Recipient**

CHESS
- Transmission/Cut-off Times**

End of Day
 - if the message is to be processed prior to the end of day process
- Prerequisites**

Receipt of a 663 ETC Settlement Advice

Contract Note Advice Acknowledgment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- Purpose**

To advise a Broker that a Contract Note Advice has been accepted by CHESSE and passed on to the target Fund/Investment Manager.
- Sender**

CHESSE
- Recipient**

Broker
- Transmission/Cut-off Times**

End of Day
- if the message is to be processed prior to the end of day process
- Prerequisites**

Receipt of a 657 Contract Note Advice

ETC Trade Cancellation Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/Optional
19	ETC Target UIC	5 Numeric	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
125	Cancellation Timestamp	22 Character	O

- **Purpose**
To advise CHES that an ETC Trade has been cancelled by the initiating Broker. Details of the cancellation are passed to the target Fund/Investment Manager.
- **Sender**
Broker
- **Recipient**
CHES
- **Transmission/Cut-off Times**
End of Day
- if the message is to be processed prior to the end of day process
- **Prerequisites**
Source Broker has sent an ETC Trade Advice to CHES

Contract Note Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
19	ETC Source UIC	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
87	Rejection Timestamp	22 Character	O
163	ETC Rejection Reason	2 Character	M
169	Contract Note Rejection Level	1 Character	M

- **Purpose**

To advise a Broker that a specific Contract Note or all Contract Notes related to an ETC Trade have been rejected by the source Fund/Investment Manager.

- **Sender**

CHESS

- **Recipient**

Broker

- **Transmission/Cut-off Times**

End of Day

- if the message is to be processed prior to the end of day process

- **Prerequisites**

Receipt of a 659 Contract Note Rejection Advice

ETC Contract Note Cancellation Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/Optional
19	ETC Target UIC	5 Numeric	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
125	Cancellation Timestamp	22 Character	O

- Purpose**
 To advise CHES that an ETC Contract Note has been cancelled by the Broker. Details of the cancellation are passed to the target Fund/Investment Manager.
- Sender**
 Broker
- Recipient**
 CHES
- Transmission/Cut-off Times**
 End of Day
 - if the message is to be processed prior to the end of day process
- Prerequisites**
 Receipt of a 657 ETC Contract Note Advice

Contract Note Rejection Acknowledgment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To advise a Fund/Investment Manager that a Contract Note Rejection Advice has been accepted by CHESS and passed on to the target Broker.
- **Sender**
CHESS
- **Recipient**
Broker
- **Transmission/Cut-off Times**
End of Day
- if the message is to be processed prior to the end of day process
- **Prerequisites**
Receipt of a 657 Contract Note Advice

Allocation Cancellation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
19	ETC Source UIC	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
87	Allocation Cancellation Timestamp	22 Character	O
169	Allocation Cancellation Level	1 Character	M

- **Purpose**
To advise a Broker that an ETC Trade Allocation or all allocations relating to an ETC Trade have been cancelled by the source Fund/Investment Manager.
- **Sender**
CHES
- **Recipient**
Broker
- **Transmission/Cut-off Times**
End of Day
- if the message is to be processed prior to the end of day process

- **Prerequisites**

Receipt of a 661 Allocation Cancellation Advice

Allocation Cancellation Acknowledgment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To advise a Fund/Investment Manager that an Allocation Cancellation Advice has been processed by CHES and sent to the target Broker.
- **Sender**
CHES
- **Recipient**
Fund/Investment Manager
- **Transmission/Cut-off Times**
End of Day
- if the message is to be processed prior to the end of day process
- **Prerequisites**
Receipt of a 661 Allocation Cancellation Advice

ETC Settlement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
3	Settlement Amount	15 ±Numeric, 2 decimal	M
11	Transaction Basis	1 Character	M
12	ETC Settlement Date	8 Numeric	O
13	Trade Date	8 Numeric	M
19	ETC Source UIC	5 Numeric	M
20	Settlement Counterparty PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
44	Pay Receive Indicator	1 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M
67	Trade Amount	14 Numeric, 2 decimal	M
68	Duty Payable	14 Numeric, 2 decimal	M
69	Duty Type	1 Character	O
87	Settlement Advice Timestamp	22 Character	O
115	Condition Code 1	2 Character	O
116	Condition Code 2	2 Character	O
117	Condition Code 3	2 Character	O
118	Condition Code 4	2 Character	O
119	Condition Code 5	2 Character	O
120	Condition Code 6	2 Character	O
121	Condition Code 7	2 Character	O
122	Condition Code 8	2 Character	O
146	Basis of Quotation 1	2 Character	O
147	Basis of Quotation 2	2 Character	O

148	Basis of Quotation 3	2 Character	O
149	Basis of Quotation 4	2 Character	O
150	Basis of Quotation 5	2 Character	O
155	Unit Price	11 Numeric, 6 decimal	O
161	Account Id	20 Character	M
164	Settlement Matching Date	8 Numeric	O
165	Brokerage	14 Numeric, 2 decimal	M
170	Deliver/Receive Units Indicator	1 Character	M

- **Purpose**

To advise a target CHES Participant of the settlement details received from a Fund/Investment Manager.

- **Sender**

ASX Settlement

- **Recipient**

Participant

- **Transmission/Cut-off Times**

End of Day

- if the message is to be processed prior to the end of day process

- **Prerequisites**

Receipt of a 663 ETC Settlement Advice

ETC Settlement Advice Acknowledgment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To advise a Fund/Investment Manager that an ETC Settlement Advice has been accepted by CHES and passed on to the target CHES Participant.

- **Sender**

ASX Settlement

- **Recipient**

Fund/Investment Manager

- **Transmission/Cut-off Times**

End of Day
- if the message is to be processed prior to the end of day process

- **Prerequisites**

Receipt of a 663 ETC Settlement Advice

ETC Settlement Cancellation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/Optional
19	ETC Source UIC	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
125	ETC Settlement Cancel Timestamp	22 Character	O

- Purpose**

To advise a CHESSE Participant that an ETC Settlement has been cancelled by the source Fund/Investment Manager.
- Sender**

ASX Settlement
- Recipient**

Participant
- Transmission/Cut-off Times**

End of Day
- if the message is to be processed prior to the end of day process
- Prerequisites**

Receipt of a 665 ETC Settlement Cancellation Advice

ETC Settlement Cancellation Acknowledgment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To advise a Fund/Investment Manager that an ETC Settlement Cancellation Advice has been processed by CHES and sent to the target Participant.
- **Sender**
ASX Settlement
- **Recipient**
Fund/Investment Manager
- **Transmission/Cut-off Times**
End of Day
- if the message is to be processed prior to the end of day process
- **Prerequisites**
Receipt of a 665 ETC Settlement Cancellation Advice

ETC Trade Cancellation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/ Optional
19	ETC Source UIC	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
125	Cancellation Timestamp	22 Character	O

- **Purpose**
To advise a Fund/Investment Manager that an ETC trade has been cancelled by the source Broker.
- **Sender**
ASX Settlement
- **Recipient**
Fund/Investment Manager
- **Transmission/Cut-off Times**
End of Day
- if the message is to be processed prior to the end of day process
- **Prerequisites**
Receipt of a 667 ETC Trade Cancellation Advice

ETC Trade Cancellation Acknowledgment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To advise a Broker that an ETC Trade Cancellation Advice has been processed by CHESSE and sent to the target Fund/Investment Manager.
- **Sender**
ASX Settlement
- **Recipient**
Broker
- **Transmission/Cut-off Times**
End of Day
- if the message is to be processed prior to the end of day process
- **Prerequisites**
Receipt of a 667 ETC Trade Cancellation Advice

ETC Contract Note Cancellation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/Optional
19	ETC Source UIC	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
125	Cancellation Timestamp	22 Character	O

- Purpose**
 To advise a Fund/Investment Manager that an ETC Contract Note has been cancelled by the source Broker.
- Sender**
 ASX Settlement
- Recipient**
 Fund/Investment Manager
- Transmission/Cut-off Times**
 End of Day
 - if the message is to be processed prior to the end of day process
- Prerequisites**
 Receipt of a 669 ETC Contract Note Cancellation Advice

ETC Contract Note Cancellation Acknowledgment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To advise a Broker that an ETC Contract Note Cancellation Advice has been processed by CHESS and sent to the target Fund/Investment Manager.
- **Sender**
ASX Settlement
- **Recipient**
Broker
- **Transmission/Cut-off Times**
End of Day
- if the message is to be processed prior to the end of day process
- **Prerequisites**
Receipt of a 688 ETC Contract Note Cancellation

Controlling PID and Holder Status Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
16	HIN	10 Numeric	M
48	Transaction Id	16 Character	M

- **Purpose**
To enable a participant to request the controlling PID, controlling PID status and holder status for a holder they don't control.
- **Sender**
Participant
- **Recipient**
CHESS
- **Transmission/Cutoff Times**
None
- **Prerequisites**
None

Controlling PID and Holder Status Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
16	HIN	10 Numeric	M
19	Controlling PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction ID	16 Character	M
104	Controlling PID Status	1 Character	M
174	Holder Status	1 Character	M

- **Purpose**
To notify a participant of the controlling PID, controlling PID status and holder status of a holder they don't control.
- **Sender**
CHES
- **Recipient**
Participant
- **Transmission/Cutoff Times**
None
- **Prerequisites**

692-01

Receipt of a 691 controlling PID and holder status request.

Cancelled Transfer or Conversion Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction ID	16 Character	M

- **Purpose**
To notify the participant and registry that a unactioned transfer or conversion request older than five business days has been cancelled as a result of CHES housekeeping actions.
- **Sender**
CHES
- **Recipient**
Participant, Registry
- **Transmission/Cutoff Times**
None
- **Prerequisites**
None.

Takeover Acceptance Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
47	Takeover Code	6 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**
To enable the Offeror to reject an offer acceptance made through CHES. The pledge over the units in the CHES holding that was created as a result of the original offer acceptance is reversed.
- **Sender**
Offeror
- **Recipient**
CHES
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 031 Takeover Acceptance

Takeover Acceptance Removal Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
47	Takeover Code	6 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity Accepted	11 Numeric	M
62	Origin Transaction Id	16 Character	M
178	Takeover Acceptance Removal Reason	1 Character	O

- Purpose**
 To request the Offeror to authorise the removal of the offer acceptance pledge over a specified number of units in a CHESS holding.
- Sender**
 CHESS
- Recipient**
 Offeror
- Transmission Cut-off Times**
 None

- **Prerequisites**

Receipt of a 085 Takeover Acceptance Removal Request

Takeover Acceptance Removal Authorisation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- Purpose**

To authorise a Participant's request to remove the offer acceptance pledge over securities in a CHES holding. The Participant's request was previously advised to the Offeror by the CHES.
- Sender**

Offeror
- Recipient**

CHES
- Transmission Cut-off Times**

Settlement Cut-off Time
- if the message is to be processed prior to the next settlement process

End of Day
- if the message is to be processed prior to the end of day process
- Prerequisites**

Receipt of a 702 Takeover Acceptance Removal Authorisation Request

Takeover Acceptance Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
47	Takeover Code	6 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity Accepted	11 Numeric	M
56	Distinct Portion Indicator	1 Character	O
59	Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To notify the Offeror of an offer acceptance submitted to CHESSESS by a Participant. A pledge in favour of the Offeror has been created over the units in a CHESSESS holding.
- **Sender**
CHESSESS
- **Recipient**
Offeror
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 031 Takeover Acceptance

Takeover Acceptance Removal Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- Purpose**
 To reject a Participant's request to remove the offer acceptance pledge over securities in a CHES holding. The Participant's request was previously advised to the Offeror by the CHES.
- Sender**
 Offeror
- Recipient**
 CHES
- Transmission Cut-off Times**
 Settlement Cut-off Time
 - if the message is to be processed prior to the next settlement process

 End of Day
 - if the message is to be processed prior to the end of day process
- Prerequisites**
 Receipt of a 702 Takeover Acceptance Removal Authorisation Request

Effected Offeror Transaction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
53	New Holding Balance	11 Numeric	O
62	Origin Transaction Id	16 Character	M

- **Purpose**

To notify the Offeror that a message previously submitted by the Offeror has been processed successfully to completion.

Basis of Movement fields are only applicable to Offeror transactions that result in a transfer of units.

- **Sender**

CHESS

- **Recipient**

Offeror

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of the following submitted by the Offeror:

- 701 Takeover Acceptance Rejection
- 703 Takeover Acceptance Removal Authorisation
- 705 Takeover Acceptance Removal Rejection
- 431 Takeover Transfer

Offeror Takeover Acceptance Removal

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
47	Takeover Code	6 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity Accepted	11 Numeric	M
178	Takeover Acceptance Removal Reason	1	Character
O			

- **Purpose**
To enable an offeror to request the removal of a pledge over a number of units in a CHES holding that have been pledged to the offeror as acceptance for an offer.
- **Sender**
Offeror
- **Recipient**
CHES
- **Transmission Cutoff Times**
End of day if the message is to be processed prior to the End of Day processing

- **Prerequisite**

There must be a takeover acceptance for the takeover offer related to the CHES holding.

Rejected Offeror Takeover Acceptance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
47	Takeover Code	6 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction ID	16 Character	M

- **Purpose**
To notify an offeror that an offer acceptance made by the offeror on behalf of the holder has been rejected by the participant, as the offer acceptance was invalid.
- **Sender**
CHESS
- **Recipient**
Offeror
- **Transmission Cutoff Times**
None
- **Prerequisites**
Receipt of a 035 Offeror Takeover Acceptance Rejection

Offeror Takeover Acceptance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
47	Takeover Code	6 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity Accepted	11 Numeric	M
56	Distinct Portion Indicator	1 Character	O

- **Purpose**
 To enable an offeror to indicate acceptance of an offer on behalf of a holder.

 The offeror is notified that the acceptance is rejected if there are insufficient units available.
- **Sender**
 Offeror
- **Recipient**
 CHESS
- **Transmission Cutoff Times**
 Settlement Cutoff Time
 - if the message is to be processed prior to the next settlement process

 End of Day
 - if the message is to be processed prior to the end of day process
- **Prerequisites**
 None

CHES to Issuer Sponsored Fund Conversion Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
17	Delivering HIN	10 Numeric	M
25	Movement Reason	1 Character	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
48	Transaction Id	16 Character	M
51	Security Holder Reference Number	12 Character	O
100	Tax File Number / ABN 1	12 Character	O
101	Tax File Number / ABN 2	12 Character	O
102	Tax File Number / ABN 3	12 Character	O
232	Number of Units	18 Numeric, 6 decimal	M
245	APIR Code	9 Character	O

• **Purpose**

To allow Fund Units in a CHES holding to be converted to Issuer Sponsored form. The Participant is advised whether the conversion request has been effected or rejected.

If the conversion is effected, the conversion details are advised to the Product Issuer Settlement Participant.

- **Sender**
Participant
- **Recipient**
CHESS
- **Transmission Cut-off Times**
None
- **Prerequisites**
None

CHES Conversion Request to Issuer Sponsored Fund

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
17	Delivering HIN	10 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
25	Movement Reason	1 Character	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
36	Broker Non broker Indicator	1 Character	M
48	Transaction Id	16 Character	M
51	Security Holder Reference Number	12 Character	O
59	Delivering Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
100	Tax File Number / ABN 1	12 Character	O
101	Tax File Number / ABN 2	12 Character	O
102	Tax File Number / ABN 3	12 Character	O
200	Email Address	50 Character	O
208	Email Purpose	1 Character	O
232	Number of Units	18 Numeric, 6 decimal	M
245	APIR Code	9 Character	O

- **Purpose**

To notify a Production Issuer Settlement Participant of the details of a CHESS to Issuer Sponsored Fund Conversion Request.

The Fund Units being converted have been deducted from the CHESS holding.

Note: To notify the Issuer Registry of the details of the CHESS to Issuer Sponsored Fund Conversion Request that was initiated by ASX Settlement.

- **Sender**

CHESS

- **Recipient**

Production Issuer Settlement Participant

Or

Registry - (Refer to "Note" within Purpose section)

- **Transmission Cut-off Times**

None

- **Prerequisites**

- Receipt of a 715 CHESS to Issuer Sponsored Fund Conversion Request message submitted by a Participant

Or

- Action by ASX Settlement

Effected CHESS Conversion to Issuer Sponsored Fund

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
17	Delivering HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
25	Movement Reason	1 Character	O
34	Participant Reference	16 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
232	Number of Units	18 Numeric, 6 decimal	M
233	New Fund Holding Balance	18 Numeric, 6 decimal	M
245	APIR Code	9 Character	O

- **Purpose**

To notify a Participant that Fund units from a CHESS holding have been moved to an Issuer Sponsored Register as requested by the Participant or as deemed necessary by ASX Settlement.

- **Sender**

CHESS

- **Recipient**
Participant

- **Transmission Cut-off Times**
None

- **Prerequisites**
 - Receipt of a 715 CHES to Issuer Sponsored Fund Conversion Request submitted by the Participant

Or
 - *Action by ASX Settlement*

Issuer Sponsored Fund to CHES Conversion Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
16	Receiving HIN	10 Numeric	M
25	Movement Reason	1 Character	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	M
70	Underlying Reference	16 Character	O
232	Number of Units	18 Numeric, 6 decimal	M
245	APIR Code	9 Character	O

• **Purpose**

To enable Fund Units in an Issuer Sponsored holding to be converted to the CHES sub-register. The conversion request requires authorisation by the Product Issuer Settlement Participant.

The Participant is advised if the conversion request has been passed to the Product Issuer Settlement Participant or rejected by CHES. The conversion request details are forwarded to the Product Issuer Settlement Participant. The conversion request is held in CHES and not actioned until a response is received from the Product Issuer Settlement Participant.

- **Sender**
Participant
- **Recipient**
CHES
- **Transmission Cut-off Times**
None
- **Prerequisites**
None

Issuer Sponsored Fund to CHES Conversion Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit	Position	Field Name	Format	Mandatory/ Optional
	2	Fund Code	12 Character	M
	16	Receiving HIN	10 Numeric	M
	19	Receiving PID	5 Numeric	M
	21	Processing Timestamp	22 Character	M
	25	Movement Reason	1 Character	M
	36	Broker Non broker Indicator	1 Character	M
	48	Transaction Id	16 Character	M
	51	Securityholder Reference Number	12 Character	M
	59	Receiving Registration Details	180 Character	M
	62	Origin Transaction Id	16 Character	M
	232	Number of Units	18 Numeric, 6 decimal	M
	245	APIR Code	9 Character	O

- **Purpose**

To request a Production Issuer Settlement Participant to authorise an Issuer Sponsored Fund to CHESS Conversion Request received from a Participant.

- **Sender**

CHESS

- **Recipient**

Production Issuer Settlement Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 718 Issuer Sponsored Fund to CHESS Conversion Request message submitted by a Participant

Accepted Participant Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To notify the Participant that their request for any of the following transactions has been accepted: -
 - lodgement of an application to purchase Fund units, or
 - redemption of Fund units; or
 - switch of Fund units; or
 - cancellation of redemption or switch order that was previously placed 'On-Hold' by the Product Issuer Settlement Participant; or
 - Issuer Sponsored Fund to CHES Conversion Request; or
 - Issuer Sponsored Fund holding balance request

- **Sender**

CHES

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of one of the following:

- 718 Issuer Sponsored Fund to CHESS Conversion Request
- 726 Application Request
- 800 Redemption Request
- 804 Funds Order Cancellation Request
- 806 Switch Request
- 837 Participant to CHESS Fund Balance Request

Conversion Authorisation Issuer Sponsored Fund to CHES

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
34	Registry Reference	16 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
245	APIR Code	9 Character	O

- **Purpose**

To authorise a request to convert Fund Units from an Issuer Sponsored holding to the CHES sub-register. The request was previously advised to the Production Issuer Settlement Participant via CHES.

- **Sender**

Production Issuer Settlement Participant

- **Recipient**

CHES

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 719 Issuer Sponsored Fund to CHES Conversion Authorisation Request message sent to the Production Issuer Settlement Participant

Fund Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
An acknowledgment that a response from a Product Issuer Settlement Participant has been received by CHES
- **Sender**
CHES
- **Recipient**
Product Issuer Settlement Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of one of the following:
 - 721 Fund to CHES Conversion Authorisation
 - 724 Fund to CHES Conversion Rejection
 - 729 Application Order / Payment Plan Application Response
 - 732 Unit Adjustment
 - 802 Redemption Order Response
 - 808 Switch Order Response

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- 810 Order Update
- 813 Distribution Statement
- 817 Distribution Reinvestment Notification
- 839 Registry to CHESSE Fund Balance Response
- 841 Registry to CHESSE Fund Balance Rejection
- 845 Unit Reversal Notification

Effected Conversion Issuer Sponsored Fund to CHES

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
34	Registry Reference	16 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
233	New Fund Holding Balance	18 Numeric, 6 decimal	M

- **Purpose**
To notify a participant that an Issuer Sponsored Fund to CHES Conversion Request has been authorised by the Production Issuer Settlement Participant and the Fund Units have been moved to the specified CHES Holding.
- **Sender**
CHES
- **Recipient**
Participant
- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 718 Issuer Sponsored Fund to CHESS Conversion Authorisation Request message submitted by the Participant and authorised by the Production Issuer Settlement Participant

Conversion Rejection Issuer Sponsored Fund to CHES

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
34	Registry Reference	16 Character	O
37	Rejected Movement Reason	1 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
245	APIR Code	9 Character	O

- **Purpose**

To reject an Issuer Sponsored Fund to CHES Conversion Authorisation Request from CHES. The requesting Participant is notified of the reason that the request was rejected by the Production Issuer Settlement Participant.

- **Sender**

Production Issuer Settlement Participant

- **Recipient**

CHES

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 719 Issuer Sponsored Fund to CHES Conversion Authorisation Request message sent to the Production Issuer Settlement Participant

Rejected Conversion Issuer Sponsored Fund to CHES

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
34	Registry Reference	16 Character	O
37	Rejected Movement Reason	1 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To notify a participant that an Issuer Sponsored Fund to CHES Conversion Request that was previously submitted has been rejected by the Production Issuer Settlement Participant.

- **Sender**

CHES

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 718 Issuer Sponsored Fund to CHES Conversion Authorisation Request message submitted by the Participant and rejected by the Production Issuer Settlement Participant.

Application Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
3	Fund Amount	15 ±Numeric, 2 decimal	M
11	Initial Application	1 Character	M
16	HIN	10 Numeric	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
48	Transaction Id	16 Character	M
209	AML/CTF Flag	1 Character	M
231	Current PDS Provided	1 Character	M
234	Product Name	50 Character	O
236	PDS Issue Date	8 Numeric	M
245	APIR Code	9 Character	O

- Purpose**

To allow a Participant to request the lodgement of an application to purchase a dollar amount of units in an ASX admitted Fund.

Note: Where an investor submits an mFund application request for a particular mFund product for the first time or where a CHES mFund holding is moving from 0 units (an 'Initial' application), the following messages must be provided to ensure that the application has the appropriate supporting data to be accepted by the PISP:

- MT726 Application Request
- MT735 Foreign Tax Status
- MT737 Investor Details

- **Sender**

Participant

- **Recipient**

CHES

- **Transmission Cut-off Times**

Fund Request Cut-off Time

- If the request is to be processed in the next settlement process

- **Prerequisites**

None

Application Order Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
3	Fund Amount	15 ±Numeric, 2 decimal	M
11	Initial Application	1 Character	M
16	HIN	10 Numeric	M
20	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
35	Supplementary Reference	16 Character	O
48	Transaction Id	16 Character	M
59	Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
209	AML/CTF Flag	1 Character	M
231	Current PDS Provided	1 Character	M
234	Product Name	50 Character	O
236	PDS Issue Date	8 Numeric	M
245	APIR Code	9 Character	O

- **Purpose**

To notify a Product Issuer Settlement Participant of a request for lodgement of an application order to purchase a dollar amount of Fund units in a Fund under their control.

- **Sender**

CHESS

- **Recipient**

Product Issuer Settlement Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 726 Application Request submitted by a Participant

Application Order/Payment Plan Application Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
3	Fund Amount	15 ±Numeric, 2 decimal	M
16	HIN	10 Numeric	M
20	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
22	Transaction Status	1 Character	M
48	Transaction Id	16 Character	M
61	Rejected Order Reason	5 Numeric	O
62	Origin Transaction Id	16 Character	M
155	Unit Price	11 Numeric, 6 decimal	O
157	Order Reference	16 Character	O
222	Order Type	3 Character	M
232	Number of Units	18 Numeric, 6 decimal	O
236	Unit Pricing Date	8 Numeric	O
237	Fees	15 Numeric, 2 decimal	O
239	Unit Allotment Date	8 Numeric	O
245	APIR Code	9 Character	O

- **Purpose**

To allow a Product Issuer Settlement Participant:

- To respond to an application request; or
- To pass a holder's Payment Plan application details through to the Controlling Participant.

- **Sender**

Product Issuer Settlement Participant

- **Recipient**

CHESS

- **Transmission Cut-off Times**

Settlement Cut-off Time

- If the message is to be processed prior to the next settlement process

- **Prerequisites**

Receipt of a 727 Application Order Request sent to the Product Issuer Settlement Participant

Note: for a Payment Plan there is no pre-requisite as the message is initiated by the Product Issuer Settlement Participant

Effected Application Order/Payment Plan Application Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
3	Fund Amount	15 ±Numeric, 2 decimal	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
22	Transaction Status	1 Character	M
48	Transaction Id	16 Character	M
61	Rejected Order Reason	5 Numeric	O
62	Origin Transaction Id	16 Character	M
155	Unit Price	11 Numeric, 6 decimal	O
157	Order Reference	16 Character	O
222	Order Type	3 Character	M
232	Number of Units	18 Numeric, 6 decimal	O
236	Unit Pricing Date	8 Numeric	O
237	Fees	15 Numeric, 2 decimal	O
239	Unit Allotment Date	8 Numeric	O
245	APIR Code	9 Character	O

- **Purpose**

To notify a Participant of either of the following:-

- Application Order Request has been accepted or rejected by the Product Issuer Settlement Participant;
 - If Accepted, the Product Issuer Settlement Participant must initially provide “Unit Pricing Date” and “Unit Allotment Date” details
 - If Rejected, a valid Rejected Reason is to be provided by the Product Issuer Settlement Participant;

Or

- Additional details for a previously accepted Application Order Request (e.g. Unit Price, Number of Units and Fees);

Note: Subsequent 729 Application Order / Payment Plan Application Response messages can be sent by the Product Issuer Settlement Participant to provide additional detail data to complete the transaction.

Or

- Payment Plan details submitted to CHES by the Product Issuer Settlement Participant

- **Sender**

CHES

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 729 Application Order / Payment Plan Application Response submitted by the Product Issuer Settlement Participant

Scheduled Settlement Payment Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
3	Settlement Amount	15 ±Numeric, 2 decimal	M
12	Settlement Date	8 Numeric	M
16	Payment HIN	10 Numeric	M
19	Paying PID	5 Numeric	M
20	Receiving PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
157	Order Reference	16 Character	O
245	APIR Code	9 Character	O

- Purpose**

To notify the Participant and the Product Issuer Settlement Participant that CHES has scheduled a Payment Settlement Instruction for a future Settlement cycle.

- Sender**

CHES

- **Recipient**

Participant & Product Issuer Settlement Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of either of the following: -

729 Application Order / Payment Plan Application Response sent from a Product Issuer Settlement Participant with a Transaction Status of "A" – Accepted

802 Redemption Order Response sent from an Product Issuer Settlement Participant with a Transaction Status of "A" – Accepted

Unit Adjustment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
16	HIN	10 Numeric	M
20	PID	5 Numeric	M
33	Fund Reason	3 Character	M
43	Increase or Decrease	1 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
155	Unit Price	11 Numeric, 6 decimal	O
157	Registry Reference	16 Character	O
232	Adjustment Units	18 Numeric, 6 decimal	M
245	APIR Code	9 Character	O

- Purpose**

To notify CHESS of a unit adjustment to a CHESS holding. A Fund Reason must be included to provide the context for the adjustment.

Note: The unit adjustment is associated with the following message suites:

- Application Order
- Distribution Re-Investment Notification
- Miscellaneous Adjustment
- Redemption Order
- Regular Payment Plan Application
- Switch Order
- Unit Reversal Notification (Reversal / Reapply)

- **Sender**

Product Issuer Settlement Participant

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

None

Effected Fund Unit Adjustment

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
16	HIN	10 Numeric	M
33	Fund Reason	3 Character	M
43	Increase or Decrease	1 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
88	Effected Timestamp	22 Character	M
155	Unit Price	11 Numeric, 6 decimal	O
157	Registry Reference	16 Character	O
232	Adjustment Units	18 Numeric, 6 decimal	M
233	New Fund Holding Balance	18 Numeric, 6 decimal	M
245	APIR Code	9 Character	O

• **Purpose**

To notify a Participant that a unit adjustment has been made to a CHESS holding under its control.

Unit adjustment is associated with the following message suites:

- Application Order
- Distribution Re-Investment Plan (DRP) Notification
- Miscellaneous Adjustment
- Redemption Order
- Switch Order
- Unit Reversal Notification (Reversal / Reapply)

- **Sender**

CHESS

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 732 Unit Adjustment submitted by a Product Issuer Settlement Participant

Foreign Tax Status

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
11	Foreign Residency Indicator	1 Character	O
16	HIN	10 Numeric	M
22	Address Type	1 Character	O
48	Transaction Id	16 Character	M
49	Linking Transaction Id	16 Character	M
59	Address Details 1	180 Character	O
100	Superannuation Fund ABN	12 Character	O
226	Address Details 2	180 Character	O
227	Address Details 3	180 Character	O
245	APIR Code	9 Character	O
265	Account Type	1 Character	M
266	Entity Type	1 Character	O
276	Foreign Person 1	1 Character	O
277	Foreign Person Date of Birth 1	8 Numeric	O
278	Foreign Country Code 1A	3 Character	O
279	Foreign Country Code 1B	3 Character	O

280	Foreign Country Code 1C	3	Character	O
281	Foreign TIN 1A	30	Character	O
282	Foreign TIN 1B	30	Character	O
283	Foreign TIN 1C	30	Character	O
284	TIN Absent 1A	1	Character	O
285	TIN Absent 1B	1	Character	O
286	TIN Absent 1C	1	Character	O
287	Foreign Person 2	1	Character	O
288	Foreign Person Date of Birth 2	8	Numeric	O
289	Foreign Country Code 2A	3	Character	O
290	Foreign Country Code 2B	3	Character	O
291	Foreign Country Code 2C	3	Character	O
292	Foreign TIN 2A	30	Character	O
293	Foreign TIN 2B	30	Character	O
294	Foreign TIN 2C	30	Character	O
295	TIN Absent 2A	1	Character	O
296	TIN Absent 2B	1	Character	O
297	TIN Absent 2C	1	Character	O
298	Foreign Person 3	1	Character	O
299	Foreign Person Date of Birth 3	8	Numeric	O
300	Foreign Country Code 3A	3	Character	O
301	Foreign Country Code 3B	3	Character	O
302	Foreign Country Code 3C	3	Character	O
303	Foreign TIN 3A	30	Character	O
304	Foreign TIN 3B	30	Character	O
305	Foreign TIN 3C	30	Character	O
306	TIN Absent 3A	1	Character	O
307	TIN Absent 3B	1	Character	O
308	TIN Absent 3C	1	Character	O
309	Additional Foreign Parties	1	Character	O

- **Purpose**

To convey the foreign tax status of a HIN to a PISP/Registry to allow the fund issuer meet FATCA and CRS regulatory obligations.

This message may be used in the following scenarios:

- Where an investor submits an mFund application request for a particular mFund product for the first time or where a CHES holding is moving from zero units (both constitute an 'Initial' application).
- Where an investor's foreign tax status has changed. New foreign tax status details will override what has previously been transmitted.
- Where the backfill of existing investors is required to be provided.
- Where a CHES to CHES HIN transfer is requested.

There are a number of considerations that should be taken into account when providing Foreign Tax Status data:

- The Linking Transaction Id value must be populated with either:
 - For 'Initial' applications; the Linking Transaction ID must equal the Transaction Id of the MT726 Application Request.
 - For standalone updates to the Foreign Tax Status; the Linking Transaction ID must equal the Transaction Id of the MT735 Foreign Tax Status.
 - For transfers, the Linking Transaction ID must equal the Transaction Id of the MT851 CHESS To CHESS Fund Transfer Request.
- Where Account Type 'S' is identified, the provision of an ABN or the value 'N/A' for the Superannuation Fund will be required. No further details are required as 'S' is not reportable from a Foreign Tax perspective.
- For all other Account Types, regardless of foreign tax residency considerations, an address, addresses in the case of Account Type 'J', or 'N/A' are required:
 - Address Details do not need to match those provided as Registration Details when the HIN was originally setup. The Address Details are intended as a means of confirming the Foreign Residency status and will not be stored or used by CHESS for mailing or contact purposes. Note that Address Details are bounded by Address Type:
 - Address Type 'R' (Residential) applies to Account Types 'I' and 'J'. Where Account Type 'J' is identified, the provision of Address Details apply to each party in the account and must match the order in which the joint individuals appear in the HIN Registration Details. For example the first named individual in the HIN Registration Details must have be aligned Address Details 1 and so on. Up to 3 Address Details may be included.
 - Address Type 'G' (Registered) applies to Account Type 'C'.
 - Address Type 'T' (Trust) applies to Account Type 'T'.
 - Where Account Type 'C' or 'T', Entity Type must be provided
 - Where the 'Foreign Tax Residency' is declared as 'N' no further data is required.
 - Where the 'Foreign Tax Residency' is declared as 'Y':
 - Where Account Type 'I' or 'J', for each instance of foreign residency identified the following must be provided:
 - Foreign Person (Y(es))
 - Foreign Person Date of Birth
 - Foreign Country Code7 (3 character ISO Country Code)
 - Foreign TIN (foreign tax identifier) or TIN Absent (reason why no Foreign TIN provided)

Note where Account Type 'J' is identified and any party to the account is identified as having foreign residency, the provision of foreign tax status must match the order in which the joint

parties appear in the HIN's Registration Details. If for example the first named party is not foreign, but the second is; Foreign Person 1 should show 'N' and Foreign Person 2 'Y' with supporting data populated.

□ Where more than 3 instances of foreign residency are identified, 'Y' must be entered in the 'Additional Foreign Parties' field to alert the registry to follow up.

- **Sender**

Participant

- **Recipient**

- **Transmission Cutoff Times**

CHESS

- **Prerequisites**

If conveyed for an initial mFund investor application, must be transmitted in concert with 726 Application Request and 737 Investor Details.

If conveyed for an mFund transfer, must be transmitted in concert with 851 CHESS to CHESS Fund Transfer Request and 737 Investor Details.

If conveyed as a standalone maintenance request, no prerequisite is required.

Foreign Tax Status Details

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
11	Foreign Residency Indicator	1 Character	O
16	HIN	10 Numeric	M
20	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
22	Address Type	1 Character	O
48	Transaction Id	16 Character	M
49	Linking Transaction Id	16 Character	M
59	Address Details 1	180 Character	O
62	Origin Transaction Id	16 Character	M
100	Superannuation Fund ABN	12 Character	O
226	Address Details 2	180 Character	O
227	Address Details 3	180 Character	O
245	APIR Code	9 Character	O
265	Account Type	1 Character	M
266	Entity Type	1 Character	O
276	Foreign Person 1	1 Character	O
277	Foreign Person Date of Birth 1	8 Numeric	O

278	Foreign Country Code 1A	3	Character	O
279	Foreign Country Code 1B	3	Character	O
280	Foreign Country Code 1C	3	Character	O
281	Foreign TIN 1A	30	Character	O
282	Foreign TIN 1B	30	Character	O
283	Foreign TIN 1C	30	Character	O
284	TIN Absent 1A	1	Character	O
285	TIN Absent 1B	1	Character	O
286	TIN Absent 1C	1	Character	O
287	Foreign Person 2	1	Character	O
288	Foreign Person Date of Birth 2	8	Numeric	O
289	Foreign Country Code 2A	3	Character	O
290	Foreign Country Code 2B	3	Character	O
291	Foreign Country Code 2C	3	Character	O
292	Foreign TIN 2A	30	Character	O
293	Foreign TIN 2B	30	Character	O
294	Foreign TIN 2C	30	Character	O
295	TIN Absent 2A	1	Character	O
296	TIN Absent 2B	1	Character	O
297	TIN Absent 2C	1	Character	O
298	Foreign Person 3	1	Character	O
299	Foreign Person Date of Birth 3	8	Numeric	O
300	Foreign Country Code 3A	3	Character	O
301	Foreign Country Code 3B	3	Character	O
302	Foreign Country Code 3C	3	Character	O
303	Foreign TIN 3A	30	Character	O
304	Foreign TIN 3B	30	Character	O
305	Foreign TIN 3C	30	Character	O
306	TIN Absent 3A	1	Character	O
307	TIN Absent 3B	1	Character	O
308	TIN Absent 3C	1	Character	O
309	Additional Foreign Parties	1	Character	O

- **Purpose**
To notify a Product Issuer Settlement Participant and registry of an mFund investors foreign tax status as ascertained by a Participant.
- **Sender**
CHESS
- **Recipient**
Product Issuer Settlement Participant, Registry
- **Transmission Cutoff Times**
None
- **Prerequisites**
Receipt of a 735 Foreign Tax Status submitted by a Participant.

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Investor Details

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
16	HIN	10 Numeric	M
18	DRP Preference	1 Character	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
48	Transaction Id	16 Character	M
49	Linking Transaction Id	16 Character	M
92	Advisor Mobile	16 Character	M
94	Date of Birth 1	8 Numeric	O
95	Date of Birth 2	8 Numeric	O
98	Date of Birth 3	8 Numeric	O
100	Tax File Number / ABN 1	12 Character	M
101	Tax File Number / ABN 2	12 Character	M
102	Tax File Number / ABN 3	12 Character	M
157	Investor Mobile Contact	16 Character	M
200	Investor Email Address	50 Character	M
201	BSB Number	7 Character	O
203	Account Number	9 Character	O

204	Account Name	32	Character	O
205	Account Purpose	1	Character	O
208	Investor Email Purpose	1	Character	O
217	Dealer Group AFSL Number	10	Character	M
243	Advisor Email	50	Character	M
245	APIR Code	9	Character	O
247	Dealer Group Name	30	Character	M
248	Advisor Name	30	Character	M
249	Advisor Code	30	Character	M

- **Purpose**

To convey the investor details of a HIN to a PISP/Registry.

This message may be used in the following scenarios:

- Where an investor submits an mFund application request for a particular mFund product for the first time or where a CHESS holding is moving from zero units (both constitute an 'Initial' application).
- Where investor details have changed. New Investor details will override what has previously been transmitted.
- Where the backfill of existing investors is required to be provided.
- Where a CHESS to CHESS HIN transfer is requested.

The Linking Transaction Id value must be populated with either:

- For 'Initial' applications; the Linking Transaction ID must equal the Transaction Id of the MT726 Application Request.
- For standalone updates to the Investor Details; the Linking Transaction ID must equal the Transaction Id of the MT737 Investor Details.
- For transfers, the Linking Transaction ID must equal the Transaction Id of the MT851 CHESS To CHESS Fund Transfer Request.

Note that for the mandatory Tax File Number/ABN, Investor Email Address, Investor Mobile Contact and a number of Dealer Group and Advisor fields, 'N/A' is allowable.

- **Sender**
Participant

- **Recipient**
CHESS

- **Transmission Cutoff Times**
None

- **Prerequisites**

If conveyed for an initial mFund investor application, must be transmitted in concert with 726 Application Request and 735 Foreign Tax Status.

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If conveyed for an mFund transfer, must be transmitted in concert with 851 CHES to CHES Fund Transfer Request and 735 Foreign Tax Status.

If conveyed as a standalone maintenance request, no prerequisite is required.

Participant Investor Details

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
16	HIN	10 Numeric	M
18	DRP Preference	1 Character	M
20	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
48	Transaction Id	16 Character	M
49	Linking Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
92	Advisor Mobile	16 Character	M
94	Date of Birth 1	8 Numeric	O
95	Date of Birth 2	8 Numeric	O
98	Date of Birth 3	8 Numeric	O
100	Tax File Number / ABN 1	12 Character	M
101	Tax File Number / ABN 2	12 Character	M
102	Tax File Number / ABN 3	12 Character	M

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157	Investor Mobile Contact	16	Character	M
200	Investor Email Address	50	Character	M
201	BSB Number	7	Character	O
203	Account Number	9	Character	O
204	Account Name	32	Character	O
205	Account Purpose	1	Character	O
208	Investor Email Purpose	1	Character	O
217	Dealer Group AFSL Number	10	Character	O
243	Advisor Email	50	Character	M
245	APIR Code	9	Character	O
247	Dealer Group Name	30	Character	M
248	Advisor Name	30	Character	M
249	Advisor Code	30	Character	M

- **Purpose**
To notify a Product Issuer Settlement Participant and registry of new investor details to support an initial mFund Application request, or amend existing investor details.
- **Sender**
CHESS
- **Recipient**
Product Issuer Settlement Participant, Registry
- **Transmission Cutoff Times**
None
- **Prerequisites**
Receipt of a 737 Investor Details from a Participant.

Canceled Fund Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**
To notify the participant that a un-actioned fund application request older than five business days has been cancelled as a result of CHES housekeeping actions.
- **Sender**
CHES
- **Recipient**
Participant
- **Transmission Cutoff Times**
None
- **Prerequisites**
None

Requesting Funds Approval

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To advise counterparty Participants that the CHES has sent RBA a request to enter the transaction into the Funds Queue in RITS/RTGS for the purpose of either settling the funds component of an interbank transaction, or obtaining credit approval for an intrabank AIF transaction. This request may also be sent to RBA for any transaction for which the Participant's Bank has requested a pre-settlement advice or a post-settlement advice.
- **Sender**

CHES
- **Recipient**

Participant
- **Transmission Cut-off Times**

None
- **Prerequisites**

An existing RTGS settlement instruction

RTGS Settlement Movement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
43	Increase or Decrease	1 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
53	New Holding Balance	11 Numeric	M
62	Origin Transaction Id	16 Character	M
176	Guaranteed Foreign Indicator	2 Character	O
188	Movement Type	1 Character	M

- **Purpose**

To advise a Participant that the CHES has reserved securities relating to an RTGS transaction or has unreserved securities relating to an RTGS transaction which was cancelled at Participant's request, was recalled by the CHES, or which could not settle; or has transferred securities following completion of successful settlement.

- **Sender**

CHESS

- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
An existing RTGS settlement instruction

RTGS Funds Movement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
3	Settlement Amount	15 ±Numeric, 2 decimal	M
12	Settlement Date	8 Numeric	M
21	Processing Timestamp	22 Character	M
24	Cash Account Identifier	6 Numeric	M
29	Payment Facility Id	6 Numeric	M
44	Pay Receive Indicator	1 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To advise Participants that the funds component of an RTGS settlement instruction has settled (i.e. funds have been transferred or marked appropriately at CHES). Note that the Participant receives the Funds Movement advice as well as the Settlement Movement Advice (for securities) upon completion of successful RTGS settlement.

- **Sender**

CHES

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

An existing RTGS settlement instruction

Holding Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
48	Transaction Id	16 Character	M
201	BSB Number	7 Character	O
203	Account Number	9 Character	O
204	Account Name	32 Character	O
205	Account Purpose	1 Character	O
206	Account Cancellation	1 Character	O

- **Purpose**
 To advise an issuer registry of bank account details for a participant controlled holder.
 A cancellation option is provided.
- **Sender**
 Participant
- **Recipient**
 CHES
- **Transmission Cut-off Times**

None

- **Prerequisites**

None

Holding Advice Acknowledgement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction ID	16 Character	M

- **Purpose**
To advise a participant that holding advice details have been received by CHES and passed to the relevant registry.
- **Sender**
CHES
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 757 Holding Advice

Holding Advice Acceptance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
19	Target UIC	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**
To accept participant transmitted bank account details.
- **Sender**
Registry
- **Recipient**
CHES
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 760 Holding Advice Notification

Holding Advice Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
19	Target UIC	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction ID	16 Character	M
201	BSB Number	7 Character	O
202	FI Code	3 Character	O
203	Account Number	9 Character	O
204	Account Name	32 Character	O
205	Account Purpose	1 Character	O
206	Account Cancellation	1 Character	O

- Purpose**

To notify the registry of bank account details submitted to CHES by a participant. The registry is expected to either accept or reject these details.

- **Sender**
CHESS
- **Recipient**
Registry
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 757 Holding Advice

Holding Advice Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
19	Target UIC	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
210	Rejected Holding Advice Reason	1 Character	M

- **Purpose**
To enable the registry to reject bank account details sent by a participant.
- **Sender**
Registry
- **Recipient**
CHES

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 760 Holding Advice Notification

Effected Registry Transaction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction ID	16 Character	M

- **Purpose**

To notify a registry that a message previously submitted by the registry has been processed successfully.

- **Sender**

CHESS

- **Recipient**

Registry

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of the following by the registry:

759 Holding Advice Acceptance; or

865 Distribution Election Registry Authorisation; or

867 Distribution Election Registry Rejection

Accepted Holding Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction ID	16 Character	M

- **Purpose**
To notify a participant that bank account details have been accepted by the relevant registry.
- **Sender**
CHESS
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 759 Holding Advice Acceptance

Rejected Holding Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction ID	16 Character	M
210	Rejected Holding Advice Reason	1 Character	M

- **Purpose**
To notify a participant that bank account details have been rejected by the registry. A rejection reason is included.
- **Sender**
CHES
- **Recipient**
Participant

- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 761 Holding Advice Rejection

Redemption Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit	Position	Field Name	Format	Mandatory/ Optional
	2	Fund Code	12 Character	M
	3	Fund Amount	15 ±Numeric, 2 decimal	O
	11	Full Redemption	1 Character	M
	16	HIN	10 Numeric	M
	34	Participant Reference	16 Character	O
	35	Supplementary Reference	16 Character	O
	48	Transaction Id	16 Character	M
	190	Nett or Gross	1 Character	M
	191	Redemption Request Reason	1 Character	O
	232	Number of Units	18 Numeric, 6 decimal	O
	234	Product Name	50 Character	O
	245	APIR Code	9 Character	O

- **Purpose**

To allow a Participant to request the redemption of a:

- Nominated Number of Units
- Nominated Fund Amount
- Full Redemption

Under the following conditions:

- Where Full Redemption = 'Y', if neither Fund Amount or Number of Units populated - CHESS will accept Participant's Redemption Request
- Where Full Redemption = 'N', if either Fund Amount or Number of Units populated - CHESS will accept Participant's Redemption Request
- Where Full Redemption = 'Y', if either Fund Amount or Number of Units populated – CHESS will reject Participant's Redemption Request
- Where Full Redemption = 'N', If both Fund Amount and Number of Units populated - CHESS will reject Participant's Redemption Request
- Where Full Redemption = 'N', if neither Fund Amount or Number of Units populated - CHESS will reject Participant's Redemption Request

- **Sender**

Participant

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

None