

8. FIELD DEFINITIONS

8.1. Introduction

The purpose of this section is to describe in detail the definitions and formats of the fields contained in CHESS messages, and any associated editing rules and valid values for those fields.

The fields are listed in alphabetical order. As there is not a one-for-one relationship between a CHESS field name and a bit map position, Section 4 of this specification must be referenced to determine where a field occurs in the bit map of a particular message.

The generic usage of a particular bit position is constant throughout the specification, e.g., although bit 16 is used for different types of HINs in different messages, it is always used for a HIN.

8.2. Editing Rules

8.2.1. Registration Details

The guidelines for formatting registration details are fully explained and maintained in the various industry procedures. The details have been withdrawn from the EIS so that the procedures form the single point of reference for them.

CHESS validates a subset of these recommendations, and these are given in the following section.

8.2.2. CHESS Validation of Registration Details

The validation CHESS performs on the submitted registration details necessarily forms only a subset of the rules specified in the relevant procedure guidelines. These rules are used to validate all registration details submitted by participants.

The validation rules implemented by CHESS for registration details are as follows:

- the name must consist of at least 5 characters;
- for designations, if angle brackets are used, there must be one and only one pair of angle brackets with a left angle bracket (<) followed by a right angle bracket (>);
- only one comma (,) may be present, and this must appear between the name and address. When a designation is present, the comma should follow the closing bracket;
- if the comma (,) is the first character on a 'line', the address may start on the same 'line';
- the address must consist of at least 2 words excluding the post code/country code (a word must consist of at least 1 character);
- the address must not start on the same 'line' as the name (a line is one of the 6 lines of 30 characters that constitute the registration details);
- the backslash (\) character must not be used;
- full stops (.) must not be used;
- a space must not proceed the holder name;
- double double or double single quotes must not be used;

- abbreviations with no full stops should be used for Australian states. These abbreviations are as follows:
QLD NSW SA WA NT ACT VIC TAS
- Australian state abbreviations must not appear on a line by themselves unless there is insufficient space to appear on the previous line;
- a valid post code or country code must be specified in the last eight characters of the registration details (i.e. in positions 173 - 180);
- a post code must be in the range 0200 to 0299, 0800 to 7499, or 7800 to 9799 and must be valid for the suburb entered; and
- the 3 character country code is validated against values given in Section 8.4.
- the address text will be validated to ensure the country name description corresponds to the country code provided.

For example:

Country code 'NZL' represents New Zealand. If NZL is specified, the text received will be validated to check that New Zealand or an acceptable abbreviation or convention is specified as part of the registration address. If no acceptable text is found, the message received will be rejected.

8.2.3. Edit Rules for Stamp Duty

Stamp duty on the purchase and sale of quoted marketable securities was abolished from 1 July 2001 in all Australian States and Territories.

Stamp duty will continue to be levied on transfers of unquoted securities where applicable. Stamp duty returns for off-market transactions are no longer processed by ASX Settlement.

CHESS processing has been modified to minimise the impact on participants by removing most of the validation of stamp duty fields without changing any CHESS messages.

The processing off-market of Dual Entry Settlement Notifications (CHESS message 101) **containing a settlement amount** and a transaction basis of '0' will continue to be subject to validation of the Duty Type field where applicable. All other duty related fields will not be validated. There are only three valid duty types which can be submitted if the off-market transaction contains a settlement amount namely:

- 'K' = Exempt - Duty Paid,
- 'L' = Exempt - Stock Loan, or
- 'M' = Exempt - Stock Loan Return.

CHESS will disable any validation of stamp duty related fields for off-market transactions except for transactions containing a settlement amount as described above. This will apply to all transactions where the transaction basis is not 'M'. Where the stamp duty fields are optional on a message they will no longer need to be supplied. If participants' systems automatically supply data to these fields, CHESS will still accept the data and will not reject the message. For the Takeover Transfer message (CHESS message 431), which has mandatory stamp duty fields, these fields will still need to be supplied but the fields will not be validated.

CHESS matching criteria for dual entry messages will still include the Transaction Basis.

Summary of Changes

The table below summarises the new edit rules that CHESS applies to Dual Entry Settlement Notification (101) messages for differing values of the Transaction Basis field.

Transaction Basis	Settlement Amount	Trade Date	Stamp Duty Data Fields
Market (M)	zero	not permitted	not applicable
	not zero	required	not applicable
Off-market (O)	zero	not permitted	not applicable
	not zero	not permitted	Duty Paid (K), Loan (L), or Loan Return (M); other fields not applicable
Facility for Non Reportable Transactions (F)	zero	optional	not applicable
	not zero	optional	not applicable
IPO (I)	zero	optional	not permitted
	not zero	optional	not permitted
Stock Lending Activity (L)	zero	not permitted	not applicable
	not zero	required	not applicable

8.2.4. Edit Rules for Override Basis of Movement on Participant Submitted Settlement Instructions

Override Basis of Movement can only be specified on settlement instructions submitted by participants if the settlement date falls within a relevant Ex Period (e.g., the Ex Period of a dividend for 'CD' or 'XD').

A settlement instruction with Override Basis of Movement can be rescheduled to a settlement date after the Record Date.

8.3. Transaction Identifiers and Reference Fields

Throughout the messages described in Section 4, there is the concept of the **Transaction Id**. This is used to both initially identify and subsequently refer to specific transactions.

When a user submits a transaction containing either data to be processed by CHESS or a request for action on previously submitted data, there must be an unambiguous and unique identifier attached to this transaction. This identifier will be used in the following ways:

CHESS will attach the identifier to the stored transaction.

When CHESS returns a response to the user, the user's identifier will be included in the response so that the user can match this response to the original input transaction (to mark that the input transaction has been processed).

- When a user wishes to amend an earlier submitted transaction, the original identifier will be used to inform CHESS which transaction is to be amended.
- Where “dual-entry” type transactions are used, CHESS will allocate an identifier with which to tag the transaction and will inform both parties that this is now the identifier with which to refer to the transaction.

There is the further concept of **reference fields** in several messages. This serves a different purpose to the transaction identifier. A reference field is free format and is for the users' information only and is **never used by CHESS to identify or locate a specific transaction**. It is passed to CHESS for the following reasons:

- Reference fields in general are stored with the transaction and passed back to the user when performing certain reports and statement generation.
- A Supplementary or Registry Reference field is also passed to counterparty for their information and to aid the counterparty in matching the notified data with their own records.

Specific definitions of the various transaction identifiers are given below.

Note that examples of these are given in Section 6.

8.3.1. Format of an Input Transaction Identifier

Where a User submits a **Target Transaction Id** in a message, this field is **copied entirely** from a Transaction Id previously notified by CHESS.

However, every message submitted by a user to CHESS must have a **user-generated Transaction Id** which has the following format:

A Transaction Id is composed of 16 characters. The sub-fields within these characters are as follows:

- **UIC** (5 numerics) - users must insert their own User Identification Code in this sub-field (refer to Section 10 for description of the UIC).
- **User Defined Identifier** (9 characters) - The user may insert any data in this area. However, it must be unique across all other transactions submitted by that user currently held by CHESS.
- **Accrual Number** (2 numerics) - This sub-field must contain numeric zeros. (CHESS may generate non-zero accrual number subfields on some messages sent back to the user.)

8.3.2. Format of an Output Transaction Identifier

The format of the Transaction Id in CHESS output messages to users will usually follow the above format and its use is explained in the following sections. However, when CHESS generates its own transaction identifiers and sends these to users, **the user should make no assumptions about the content of the sub-fields**, particularly the UIC portion.

The following exception applies:

The CHESS-generated Transaction ID on the **Notified Trade (MT 164)** message contains the trade number generated by the trading platform i.e. an ASX trading platform or another Approved Market Operator's (AMO) trading platform. The Transaction ID comprises of the following sub-fields:

- **Filler** (1 numeric) - This sub-field will always contain a numeric nine ("9").
- **Serial Trade Qualifier** (4 character) - An identifier to aid in the identification of trade. The first character of this subfield is the assigned prefix value to identify the originating trading platform. The remaining characters are primed from the ASX Signal 'B' field of the same name for ASX trades, or provided by AMO for non ASX trades (may not be unique).
- **Trade Serial Number** (6 - numeric) - A serial number generated by the trading platform. This sub-field is primed from the six-digit ASX Signal 'B' field of the same name for ASX trades, or provided by AMO for non ASX trades. This value must be unique to that business day.

- **Trade Date** (3 character) - Represents the Julian date reflecting the trade capture date. The format is YND where Y is year within decade (i.e. 0 to 9), N represents the first two digits of the Julian date (e.g. 01 = 1, 02 = 2, 10 = A, 11 = B... 35 = Z, 36 = *) and D represents the last digit of the Julian date.

Example: Trade Capture Date is 4th October 2010 (day 277). The YND will appear as 0R7.

Example: Trade Capture Date is 31st December 2010 (365). The YND will appear as 0*5.

- **Filler** (2 numeric) - This sub-field will always contain numeric zeroes ("0").

8.3.3. Messages to CHESS

Transaction Id

- This is the unique identifier for this input message.
- For the input of original data or amendments, it will also form the identifier of the transaction when stored in CHESS (e.g. trade, transfer or conversion details).
- If the message is to change (update or cancel) a transaction, the Transaction Id identifies this change request only, and the message must also include a Target Transaction Id (see below) to identify the primary transaction.

Target Transaction Id

- This is the unique identifier of a transaction that is to be changed or actioned.
- If updating details of a transaction where the transaction has already had amendments applied (and in the case of dual-entry transactions, these have been matched), the Target Transaction Id refers to the **Transaction Id of the original transaction**.

For example, consider a broker to non-broker trade which has had a settlement date change request matched and applied to the scheduled obligation. Another subsequent settlement date change request will have its Target Transaction Id referring to the original broker to non-broker trade's Transaction Id.

For dual-entry transactions, where a change request has been submitted (but not yet matched) to act on a primary transaction (e.g. a settlement date change request on a matched broker to non-broker trade), and a further transaction is submitted to cancel the unmatched change request (e.g. cancellation of the date change request), this "further" transaction has the **Transaction Id of the change request transaction** as its Target Transaction Id.

Set Transaction ID

mFund specific. When used for Annual Income Statements for a sponsored holder the details align with the ATO standard statement data set. To facilitate movement of data, CHESS provides a series of messages that mirror the ATO income statement sections. The total message count value in the MT971 will indicate the number of messages transmitted that make up the message set. This value will be used by CHESS to confirm and link the individual Income Statement messages. The Set Transaction ID links the messages.

Linking Transaction

mFund specific. When used in an 'Initial' application request this field equals the Transaction Id of the MT726 Application Request. When used in a 'Subsequent' application request or a standalone request this field equals the Transaction Id of the requesting message. When used in a transfer request this value is the Transaction Id of the originating MT851 CHESS To CHESS Fund Transfer Request.

8.3.4. Messages from CHES

Transaction Id, Cancelling Transaction Id, Matching Transaction Id or Updating Transaction Id

- If one of these fields is present on an output message, then it identifies the **subject transaction** to which this message relates. This may be in response to user input or as a result of processing generated by CHES itself, e.g. as a result of settlement processing. It is then used in any subsequent amendment input transactions as a Target Transaction Id.
- Where a message notifies CHES of new data (e.g. trade notification, or a demand transfer) the output Transaction Id identifies this transaction.
- Where an amendment to previously stored data is submitted, the output updating or cancelling Transaction Id identifies the change request.

Target Transaction Id

- Where actions are taken on existing transactions (e.g. amendment or cancellation of previously entered data) this field specifies the Transaction Id of the primary transaction on which this action has been taken.

Origin Transaction Id

- This field relates the CHES output message to a specific user input message or identifies some processing performed by CHES. It does not imply anything about identifiers CHES may have allocated to any transaction. It contains one of the following :
 - the Transaction Id from the user's corresponding input message to which this is a response. The user can then unambiguously match this message as the application response to a particular input message; or
 - the Transaction Id from the message input by a third party which has resulted in the sending of this message. (For example, when CHES processes an unmatched trade notification, a message is sent to the counterparty with the Origin Transaction Id field containing the Transaction Id from the first notifier's message.)

This can be easily recognised because the UIC subfield (see below for Transaction Id format) will contain a UIC different from the user's own UIC. Thus, the user knows that this message is not a direct response to one of the user's own input messages; or

- the Transaction Id of an earlier (or standing) reporting request from the user ; or
- an identifier assigned by CHESS where this message is a result of CHESS generated processing rather than being a response to any user input transaction.

8.4. Country Codes and Descriptions

As listed by the International Organisation on Standardisation and the United Nations Statistics Division

Country Name Description	Country Code
AFGHANISTAN	AFG
ALAND ISLANDS	ALA
ALBANIA	ALB
ALGERIA	DZA
AMERICAN SAMOA	ASM
ANDORRA	AND
ANGOLA	AGO
ANGUILLA	AIA
ANTARCTICA	ATA
ANTIGUA AND BARBUDA	ATG
ARGENTINA	ARG
ARMENIA	ARM
ARUBA	ABW
AUSTRALIA	AUS
AUSTRIA	AUT
AZERBAIJAN	AZE
BAHAMAS	BHS
BAHRAIN	BHR
BANGLADESH	BGD
BARBADOS	BRB
BELARUS	BLR
BELGIUM	BEL
BELIZE	BLZ
BENIN	BEN
BERMUDA	BMU
BHUTAN	BTN
BOLIVIA	BOL
BOSNIA AND HERZEGOVINA	BIH
BOTSWANA	BWA
BOUVET ISLAND	BVT
BRAZIL	BRA
BRITISH IND OCEAN TERR	IOT
BRITISH VIRGIN ISLANDS	VGB
BRUNEI DARUSSALAM	BRN
BULGARIA	BGR
BURKINA FASO	BFA
BURUNDI	BDI
CAMBODIA	KHM
CAMEROON	CMR

Country Name Description	Country Code
CANADA	CAN
CAPE VERDE	CPV
CAYMAN ISLANDS	CYM
CENTRAL AFRICAN REP	CAF
CHAD	TCD
CHILE	CHL
CHINA	CHN
CHRISTMAS ISLAND	CXR
COCOS KEELING ISLANDS	CCK
COLOMBIA	COL
COMOROS	COM
CONGO	COG
CONGO DEMOCRATIC REP OF	COD
COOK ISLANDS	COK
COSTA RICA	CRI
COTE D'IVOIRE	CIV
CROATIA	HRV
CUBA	CUB
CURACAO	CUW
CYPRUS	CYP
CZECH REPUBLIC	CZE
DEMOCRATIC PEOPLES REP KOREA	PRK
DEMOCRATIC REP OF CONGO	COD
DENMARK	DNK
DJIBOUTI	DJI
DOMINICA	DMA
DOMINICAN REPUBLIC	DOM
ECUADOR	ECU
EGYPT	EGY
EL SALVADOR	SLV
EQUATORIAL GUINEA	GNQ
ERITREA	ERI
ESTONIA	EST
ETHIOPIA	ETH
FALKLAND ISL MALVINAS	FLK
FAROE ISLANDS	FRO
FIJI	FJI
FINLAND	FIN
FRANCE	FRA
FRENCH GUIANA	GUF
FRENCH POLYNESIA	PYF
FRENCH SOUTHERN TERR	ATF
GABON	GAB
GAMBIA	GMB

Country Name Description	Country Code
GEORGIA	GEO
GERMANY	DEU
GHANA	GHA
GIBRALTAR	GIB
GREECE	GRC
GREENLAND	GRL
GRENADA	GRD
GUADELOUPE	GLP
GUAM	GUM
GUATEMALA	GTM
GUERNSEY	GGY
GUINEA	GIN
GUINEA-BISSAU	GNB
GUYANA	GUY
HAITI	HTI
HEARD AND MCDONALD ISL	HMD
HOLY SEE	VAT
HONDURAS	HND
HONG KONG	HKG
HUNGARY	HUN
ICELAND	ISL
INDIA	IND
INDONESIA	IDN
IRAN ISLAMIC REPUBLIC OF	IRN
IRAQ	IRQ
IRELAND	IRL
ISLAMIC REP OF IRAN	IRN
ISLE OF MAN	IMN
ISRAEL	ISR
ITALY	ITA
JAMAICA	JAM
JAPAN	JPN
JERSEY	JEY
JORDAN	JOR
KAZAKHSTAN	KAZ
KENYA	KEN
KIRIBATI	KIR
KOREA DPR	PRK
KOREA REPUBLIC OF	KOR
KUWAIT	KWT
KYRGYZSTAN	KGZ
LAO PEOPLES DEMOCRATIC REP	LAO
LATVIA	LVA
LEBANON	LBN

Country Name Description	Country Code
LESOTHO	LSO
LIBERIA	LBR
LIBYAN ARAB JAMAHIRIYA	LBY
LIECHTENSTEIN	LIE
LITHUANIA	LTU
LUXEMBOURG	LUX
MACAO	MAC
MACEDONIA EX YUGOSLAV REP OF	MKD
MADAGASCAR	MDG
MALAWI	MWI
MALAYSIA	MYS
MALDIVES	MDV
MALI	MLI
MALTA	MLT
MARSHALL ISLANDS	MHL
MARTINIQUE	MTQ
MAURITANIA	MRT
MAURITIUS	MUS
MAYOTTE	MYT
MEXICO	MEX
MICRONESIA FEDERATED STATES	FSM
MOLDOVA	MDA
MONACO	MCO
MONGOLIA	MNG
MONTENEGRO	MNE
MONTSERRAT	MSR
MOROCCO	MAR
MOZAMBIQUE	MOZ
MYANMAR	MMR
NAMIBIA	NAM
NAURU	NRU
NEPAL	NPL
NETHERLANDS	NLD
NETHERLANDS ANTILLES	ANT
NEW CALEDONIA	NCL
NEW ZEALAND	NZL
NICARAGUA	NIC
NIGER	NER
NIGERIA	NGA
NIUE	NIU
NORFOLK ISLAND	NFK
NORTHERN MARIANA ISL	MNP
NORWAY	NOR
OCCUPIED PALESTINIAN TERR	PSE

Country Name Description	Country Code
OMAN	OMN
PAKISTAN	PAK
PALAU	PLW
PALESTINIAN TERR OCCUPIED	PSE
PANAMA	PAN
PAPUA NEW GUINEA	PNG
PARAGUAY	PRY
PERU	PER
PHILIPPINES	PHL
PITCAIRN	PCN
POLAND	POL
PORTUGAL	PRT
PUERTO RICO	PRI
QATAR	QAT
REPUBLIC OF KOREA	KOR
REUNION	REU
ROMANIA	ROU
RUSSIAN FEDERATION	RUS
RWANDA	RWA
SAMOA	WSM
SAN MARINO	SMR
SAO TOME AND PRINCIPE	STP
SAUDI ARABIA	SAU
SENEGAL	SEN
SERBIA	SRB
SEYCHELLES	SYC
SIERRA LEONE	SLE
SINGAPORE	SGP
SINT MAARTEN	SXM
SLOVAKIA	SVK
SLOVENIA	SVN
SOLOMON ISLANDS	SLB
SOMALIA	SOM
SOUTH AFRICA	ZAF
SPAIN	ESP
SRI LANKA	LKA
ST BARTHELEMY	BLM
ST HELENA	SHN
ST KITTS AND NEVIS	KNA
ST LUCIA	LCA
ST MARTIN	MAF
ST PIERRE AND MIQUELON	SPM
ST VINCENT AND THE GRENADINES	VCT
STH GEORGIA & STH SANDWICH ISL	SGS

Country Name Description	Country Code
SUDAN	SDN
SURINAME	SUR
SVALBARD AND JAN MAYEN	SJM
SWAZILAND	SWZ
SWEDEN	SWE
SWITZERLAND	CHE
SYRIAN ARAB REPUBLIC	SYR
TAIWAN, PROVINCE OF CHINA	TWN
TAJIKISTAN	TJK
TANZANIA, UNITED REP OF	TZA
THAILAND	THA
THE EX YUGOSLAV REP MACEDONIA	MKD
TIMOR-LESTE	TLS
TOGO	TGO
TOKELAU	TKL
TONGA	TON
TRINIDAD AND TOBAGO	TTO
TUNISIA	TUN
TURKEY	TUR
TURKMENISTAN	TKM
TURKS AND CAICOS ISL	TCA
TUVALU	TUV
UGANDA	UGA
UKRAINE	UKR
UNITED ARAB EMIRATES	ARE
UNITED KINGDOM	GBR
UNITED REP OF TANZANIA	TZA
UNITED STATES	USA
UNITED STATES OF AMERICA	USA
UNITED STATES VIRGIN ISL	VIR
URUGUAY	URY
US MINOR OUTLYING ISL	UMI
UZBEKISTAN	UZB
VANUATU	VUT
VENEZUELA	VEN
VIET NAM	VNM
VIRGIN ISLANDS BRITISH	VGB
VIRGIN ISLANDS US	VIR
WALLIS AND FUTUNA	WLF
WESTERN SAHARA	ESH
YEMEN	YEM
ZAMBIA	ZMB
ZIMBABWE	ZWE

Abbreviations or Other CHESS Acceptable Country Names

Country Name Description	Country Code
ANTIGUA	ATG
BOSNIA	BIH
BRITISH ISLES	GBR
BRITISH VIRGIN IS	VGB
COCOS ISL	CCK
CONGO DEM REP OF	COD
ENGLAND	GBR
FALKLAND ISL	FLK
FED STATES MICRONESIA	FSM
GBR	GBR
GREAT BRITAIN	GBR
IRAN	IRN
LAO PDR	LAO
LAOS	LAO
LIBYA	LBY
MACEDONIA	MKD
NORTH KOREA	PRK
NORTHERN IRELAND	IRL
NZ	NZL
NZL	NZL
PALESTINIAN TERR	PSE
PNG	PNG
RUSSIA	RUS
SAINT VINCENT	VCT
SCOTLAND	GBR
STH GEORGIA	SGS
STH KOREA	KOR
STH SANDWICH ISL	SGS
TAIWAN	TWN
UK	GBR
USA	USA
WALES	GBR

8.5. Codes and Meanings for 'Rejected Reason'

The following error codes are used by CHESS in the Rejected Transaction message (518) when certain validation checks have failed. Note that where a similar error message meaning is given against more than one code, this reflects the different parts of the CHESS system involved in the validation and processing and will assist in problem determination by CHESS where necessary.

01001 HOLDER NOT AUTHORISED
01002 INVALID CHESS SECURITY CODE
01004 INVALID OVERRIDE BASIS - SECURITY NOT IN EX PERIOD
01005 DELIVERING PID DOES NOT CONTROL HIN
01006 RECEIVING PID DOES NOT CONTROL HIN
01007 SUBREGISTER NOT OPEN FOR PARTICIPANT SECURITIES MOVEMENT
01011 TRANSFEROR CUM ENTITLEMENT HOLDING NOT FOUND
01012 INSUFFICIENT CUM ENTITLEMENTS TO TRANSFER OR FOR SETTLEMENT
01013 TRANSFEROR HOLDING NOT FOUND
01014 INSUFFICIENT UNITS TO TRANSFER OR AVAILABLE FOR SETTLEMENT
01015 HOLDING NOT FOUND
01016 INVALID EX OR CUM IN OVERRIDE
01018 OVERRIDE CORPORATE ACTION TYPE NOT IN EX PERIOD
01019 SUBMITTING PID DOES NOT CONTROL HIN
01020 SUBMITTING PID NOT FOUND
01021 INVALID SUBMITTING PID STATUS - NOT AUTHORISED
01023 INVALID HOLDER TYPE - MUST BE 'D', 'S' OR 'E'
01024 INVALID SUBMITTING UIC - NOT PARTICIPANT TYPE
01025 DELIVERING PID NOT FOUND
01026 DELIVERING PID NOT AUTHORISED
01027 AT LEAST ONE HIN MUST BE SPECIFIED
01028 REGISTRATION DETAILS MUST BE SPECIFIED
01029 INVALID SUBMITTING PID - MUST BE BBS
01030 RECEIVING PID NOT FOUND
01031 RECEIVING PID NOT AUTHORISED
01032 DELIVERING PID MUST NOT BE THE SAME AS RECEIVING PID
01036 COUNTERPARTY PID NOT AUTHORISED
01037 DE TRANSFER FOR CANCELLATION NOT FOUND
01038 DE TRANSFER FOR CANCELLATION IS NOT UNMATCHED
01039 INSUFFICIENT UNITS TO CREATE THE SUBPOSITION
01040 HOLDING SUBPOSITION NOT FOUND
01041 INSUFFICIENT UNITS RESERVED IN THE SUBPOSITION
01042 INVALID PID
01043 INVALID QUANTITY
01044 SECURITY NOT FOUND
01045 HIN NOT FOUND
01047 INVALID OVERRIDE BASIS OF MOVEMENT

01048 INVALID BALANCE INSTRUCTIONS
01049 CERTIFICATE QUANTITY LESS THAN (<) TRANSFER QUANTITY
01050 PARTICIPANT DOES NOT CONTROL HIN
01051 CHESS SUBREGISTER CLOSED FOR THIS SECURITY
01052 INVALID REGISTRATION DETAILS - NAME TERMINATOR (COMMA) IS MISSING
01053 INVALID REGO DETAILS - START ADDRESS LINE AFTER COMMA
01054 INVALID REGISTRATION DETAILS - NAME & ADDRESS ON SAME LINE
01055 INVALID REGISTRATION DETAILS - ADDRESS NOT FOUND AFTER COMMA
01056 INVALID REGISTRATION DETAILS - NAME LESS THAN (<) 5 CHARACTERS
01057 INVALID REGISTRATION DETAILS - MUST CONTAIN MINIMUM OF 2 LINES
01058 INVALID REGISTRATION DETAILS - INVALID POSTCODE OR COUNTRY CODE
01060 INVALID PID - NOT AN ACCEPTABLE PARTICIPANT TYPE
01062 TARGET TRANSACTION ID NOT FOUND
01063 TARGET TRANSACTION NOT UNAUTHORISED
01064 CERTIFICATED SUBREGISTER NOT OPEN
01065 DUPLICATE TRANSACTION ID IN TRANSFER (PSM/SPH/SE/CMMS)
01066 NON-NUMERIC DATA DETECTED - INVALID TRANSACTION FORMAT
01067 SOURCE UIC DIFFERENT FROM 1ST 5 CHARACTERS OF (SET) TRANSACTION ID
01068 LAST TWO CHARACTERS OF TRANSACTION ID (ACCRUAL NUMBER) NOT ZERO
01069 DELIVERING HIN NOT FOUND
01070 RECEIVING HIN NOT FOUND
01071 INVALID HIN STATUS - NOT ALLOCATED BY FAST
01073 PARTICIPANT DOES NOT CONTROL DELIVERING HIN
01074 PARTICIPANT DOES NOT CONTROL RECEIVING HIN
01075 SECURITY NOT ELIGIBLE FOR CHESS
01076 SUBREGISTER BARRED FROM MOVEMENT
01077 INVALID REASON CODE
01078 INVALID MOVEMENT REASON
01080 ISSUER SPONSORED SUBREGISTER NOT AVAILABLE
01081 DELIVERING HIN MUST NOT BE ZEROES FOR CONVERSION
01084 TRANSFER UNITS ARE ZERO
01085 CUM ENTITLEMENT HAS BEEN CANCELLED
01086 SIGNED FIELD DOES NOT CONTAIN LEADING '+' OR '-'
01087 DELIVERING PID NOT A BROKER
01088 SECURITY NOT FOUND
01089 REGISTRY IS NOT ACTIVE
01091 USER IS NOT AN ISSUER REGISTRY
01094 SUBREGISTER IS ARCHIVED
01095 DELIVERING HIN STATUS NOT ACTIVE/AUTHORISED
01096 RECEIVING HIN STATUS NOT ACTIVE/AUTHORISED
01103 SUBREGISTER CANNOT BE ARCHIVED AS SECURITY IS LISTED
01104 SUBREGISTER CANNOT BE ARCHIVED AS IT IS ALREADY ARCHIVED
01105 SUBREGISTER IS CONTROLLED BY ANOTHER USER
01106 CANNOT ARCHIVE SUBREGISTER - OUTSTANDING MOVEMENTS EXIST
01124 CORPORATE ACTION NOT PASSED RECORD DATE

01125 INVALID CORPORATE ACTION
01129 INVALID TRANSACTION BASIS - MUST BE 'L', 'M', 'O', 'I' OR 'F'
01134 CHESS HOLDING IS LOCKED/NOT ACTIVE
01135 RECEIVING UIC NOT A BROKER
01140 RECEIVING UIC NOT A PARTICIPANT
01141 TRANSFEROR HOLDING IS LOCKED
01142 TRANSFEREE HOLDING IS LOCKED
01146 INVALID AS AT DATE FOR REPORTING REQUEST
01147 INVALID START DATE FOR REPORTING REQUEST
01148 INVALID END DATE FOR REPORTING REQUEST
01149 SOURCE UIC IS NOT THE SAME AS UIC PARAMETER FOR REPORT REQUEST
01150 AT LEAST ONE TAX FILE NUMBER / ABN MUST BE PRESENT
01151 REPORT REQUEST STATUS IS NOT CURRENT
01152 INVALID ENTREPOT TYPE - MUST BE 'A' OR 'S'
01153 INVALID USER TYPE - MUST BE PARTICIPANT OR REGISTRY
01154 DAYS SINCE LAST MOVED GREATER THAN (>) MAXIMUM ARCHIVED DAYS
01155 INVALID SUBPOSITION TYPE - MUST BE 'O', 'A' OR 'X'
01156 END DATE LESS THAN (<) START DATE
01157 INVALID USER STATUS - CANCELLED
01158 TRANS OFF MARKET/NON-REPORTABLE - STAMP DUTY FIELDS ARE REQUIRED
01159 TRANS ON MARKET/IPO - STAMP DUTY FIELDS NOT REQUIRED
01160 TRANS OFF MARKET/NON-REPORTABLE - STAMP DUTY TYPE INVALID
01161 TRANS OFF MARKET/NON-REPORTABLE,TYPE IS EXEMPT,DUTY PAYABLE = 0
01162 TRANS OFF MARKET/NON-REPORTABLE UNDERLYING REF REQUIRED FOR TYPE'R'
01163 INVALID CORP ACT TYPE ID/EX DATE
01164 INVALID PROCESSING FREQUENCY
01165 INVALID PROCESSING DAY - MUST BE MONDAY - FRIDAY
01166 MUST SPECIFY BOTH CORP TYPE ID & EX DATE TOGETHER
01167 INVALID PROCESSING DAY - MUST BE 01 - 31
01168 INVALID REPORT START DATE
01169 INVALID REPORT END DATE
01170 INVALID PROCESSING PERIOD - MUST BE SPACE OR 'E'
01171 INVALID PARAMETERS FOR IMMEDIATE PROCESSING
01172 INVALID REGO DETAILS - ADDRESS LINES LESS THAN (<) TWO WORDS LONG
01173 INVALID REGO DETAILS - DESIGNATION/ACCOUNT REFERENCE
01174 TRANS OFF MARKET/NON-REPORTABLE,TYPE IS AD VALOREM,DUTY PAYABLE = 0
01175 TRANSACTION ID NOT ALLOWED FOR THIS REPORTING ID
01176 ENTREPOT TYPE NOT ALLOWED FOR HOLDER
01177 REPORT END DATE < START DATE
01178 REPORT END DATE < CURRENT DATE
01179 UNKNOWN TRANSACTION ID
01180 REPORTING ID PARAMETER NOT FOUND
01181 NO DEFAULT ACCUMULATION HIN SPECIFIED
01182 REDUCING SECURITY NOT ELIGIBLE FOR CHESS
01183 INCREASING SECURITY NOT ELIGIBLE FOR CHESS

01184 UNKNOWN REDUCING SECURITY CODE
01185 UNKNOWN INCREASING SECURITY CODE
01186 REDUCING SUBREGISTER BARRED FROM MOVEMENT
01187 INCREASING SUBREGISTER BARRED FROM MOVEMENT
01188 SUBMITTING USER IS NOT AN ISSUER REGISTRY
01189 INVALID PROCESSING PERIOD FOR STANDING REQUEST
01190 REDUCING SUBREGISTER IS ARCHIVED
01191 INCREASING SUBREGISTER IS ARCHIVED
01194 HOLDING BALANCE INDICATOR MUST BE 'Y' OR 'N'
01196 USER IS NOT DERIVATIVES
01197 NO CHESS USER APPOINTED AS TAKEOVER OFFEROR
01198 TRANSACTION ID DOES NOT REFERENCE A TAKEOVER ACCEPTANCE
01199 SECURITY NOT ASSOCIATED WITH THIS TAKEOVER
01200 INVALID FORMAT FOR EX DATE
01201 CUM PROCESSING HAS ALREADY BEEN TERMINATED
01202 NO CUM ENTITLEMENT BALANCES TO COMPLETE
01203 UNIT QTY < CERTIFICATE QTY - BALANCE INST MUST BE 'H' OR 'I'
01204 TARGET TRANSACTION HAS NOT BEEN EFFECTED
01205 CERTIFICATE NUMBER IS SPACES
01206 INVALID REPORTING ID
01207 TYPE IS AD VALOREM - STAMPING CONSIDERATION REQUIRED
01208 TYPE IS AD VALOREM - UNDERLYING REFERENCE REQUIRED
01209 TYPE IS CONCESSIONAL - UNDERLYING REFERENCE REQUIRED
01210 INVALID STATE INDICATOR
01211 TAKEOVER NOT ADMINISTERED BY SUBMITTING PARTICIPANT
01212 TRANSACTION ID NOT CONTROLLED BY SUBMITTING PARTICIPANT
01213 PID PARAMETER IS DIFFERENT FROM SENDER UIC
01214 INVALID EX DATE
01215 INVALID TAKEOVER CODE
01216 NOT A COLLATERAL TRANSACTION
01217 NOT A TAKEOVER TRANSACTION
01218 INCOMPATIBLE SUBPOSITION TYPE
01219 RESCHEDULE DATE CANNOT BE LESS THAN (<) REOPEN DATE
01222 STAMP DUTY MUST BE SUBMITTED BY RECEIVING PID ONLY FOR DUAL ENTRY
01223 SUBMITTING UIC MUST BE THE DELIVERING UIC OR THE RECEIVING UIC
01224 SENDER NOT THE ORIGINAL SUBMITTER OF UNMATCHED DE TRANSFER
01225 AS AT DATE MUST NOT BE EARLIER THAN LAST TRANS PURGE DATE
01226 AS AT DATE MUST NOT BE SPECIFIED FOR STANDING REPORT REQUEST
01227 BOTH HINS ARE IDENTICAL
01228 S.R.N. IS MANDATORY FOR THIS SECURITY
01229 CANNOT AMEND DETAILS ON SINGLE ENTRY SETTLEMENT TRANSFER
01230 USER TYPE OF SOURCE UIC IS NOT ASX CLEAR
01231 PID PARAMETER NOT SPECIFIED
01232 MARKET SUPPORT CAN ONLY SUBMIT ONE-OFF REQUEST
01233 TARGET TRANSACTION NOT FOUND

01234 PARTICIPANT NOT COUNTERPARTY TO TRADE
01235 TARGET NOT A TRADE
01236 TRADE NOT SCHEDULED FOR SETTLEMENT
01237 TRADE ALREADY BLOCKED
01238 TRADE IS NOT BLOCKED
01239 PIN IS MANDATORY FOR THIS SECURITY
01240 UNIT QUANTITY MUST BE GREATER THAN (>) ZERO
01241 NO HOLDINGS FOR SPECIFIED HIN/ISIN
01242 TRANSACTION-ID DOES NOT REFERENCE CORRECT FUNDS AUTH REQUEST
01243 TARGET FUNDS OBLIGATION FOR DIFFERENT SETTLEMENT DATE
01244 FUNDS OBLIGATION ALREADY AUTHORISED
01245 REJECTED DUE TO CANCELLATION OF SETTLEMENT
01246 ALL REQUIRED AUTHORISATIONS ALREADY RECEIVED
01247 TARGET TRANSACTION ALREADY ACKNOWLEDGED
01248 ZERO SUM ALREADY RECEIVED FOR TODAY
01249 TRANSACTION TARGETS INCORRECT SETTLEMENT DATE
01250 INCORRECT SETTLEMENT DATE
01253 FUNDS TRANSFER PROCESSING COMPLETE
01254 SUBMITTING UIC INVALID FOR TARGET TRANSACTION
01255 FUNDS TRANSFER PROCESSING ALREADY STARTED
01256 FUNDS TRANSFER PROCESSING HAS NOT STARTED
01257 ONLY DELIVERING BROKER CAN BLOCK/UNBLOCK TRADES
01258 NETTING ALREADY RUN FOR THIS SETTLEMENT DATE
01259 SUBREGISTER IS NOT OPEN, SUSPENDED OR BARRED
01260 START DATE MUST NOT BE EARLIER THAN LAST TRANS PURGE DATE
01261 SUBMITTING UIC MUST BE A BROKER OR NON-BROKER PARTICIPANT
01262 SECURITY IS NOT ELIGIBLE FOR SLS
01263 SUBREGISTER IS NOT OPEN
01264 LOAN APPLICATION VALUE EXCEEDS CURRENT THRESHOLD FOR SECURITY
01265 LOAN APPLICATION EXCEEDS UNIT THRESHOLD FOR SECURITY
01266 OUTSTANDING LOAN APPLICATIONS EXCEED BROKER LENDING LIMIT
01267 OUTSTANDING LOAN APPLICATIONS EXCEED MARKET LENDING POOL THRESHOLD
01268 LOAN APPLICATION EXCEEDS UNITS AVAILABLE FOR SECURITY
01269 DUPLICATE LOAN APPLICATION
01270 LOAN APPLICATION CANNOT BE CANCELLED AS IT IS NOT ACTIVE
01271 TARGET LOAN APPLICATION NOT FOUND
01272 LOAN APPLICATION CUT-OFF HAS BEEN REACHED
01273 LOAN RETURN CUT-OFF HAS BEEN REACHED
01274 LOAN RETURN CANNOT BE CANCELLED AS IT IS NOT ACTIVE
01275 TARGET LOAN RETURN WAS NOT FOUND
01276 CANNOT AMEND DETAILS ON A NET OBLIGATION
01279 USER OF THIS TYPE NOT ALLOWED TO REQUEST REPORTS
01280 INVALID LOAN TRANSACTION ID - NOT AN OUTSTANDING LOAN
01281 OUTSTANDING LOAN IS NOT ACTIVE
01282 OUTSTANDING LOAN NOT ASSOCIATED WITH SUBMITTING BROKER

01283 TOTAL LOAN RETURN UNITS HAS EXCEEDED LOAN BALANCE
01284 INVALID TRANS ID PARAMETER - INCORRECT PSM TYPE FOR REPORTING ID
01285 INCORRECT REPORTING ID - SIN TYPE IS O/S LOAN, USE OSL OR FLN
01286 INCORRECT REPORTING ID - SIN TYPE IS NOT O/S LOAN, USE FSI
01288 INVALID UNBLOCK TRADE REQUEST - TRADE HAS BEEN NETTED
01289 INVALID BLOCK TRADE REQUEST - TRADE HAS BEEN NETTED
01290 CANNOT UNBLOCK TRADE - DELIVERING HIN DIFFERENT TO DEFAULT HIN
01291 CANNOT UNBLOCK TRADE - RECEIVING HIN DIFFERENT TO DEFAULT HIN
01292 INVALID PROCESSING FREQUENCY - ONLY DAILY ALLOWED
01293 SUBREGISTER CANNOT BE ARCHIVED AS IT IS BARRED
01294 SUBREGISTER CANNOT BE ARCHIVED AS IT IS OPENED
01295 SUBREGISTER CANNOT BE ARCHIVED AS IT IS SUSPENDED
01296 SECURITY CODE DOES NOT MATCH ORIGINAL LOAN REQUEST
01297 FUNDS OBLIGATION ALREADY REJECTED
01298 INVALID REGO DETAILS - BACKSLASH IS NOT PERMITTED
01299 FUNDS OBLIGATION CANNOT BE REJECTED
01300 UNITS SUPPLIED ARE GREATER THAN (>) UNITS REQUIRED
01302 CDI CANNOT BE TRANSFERRED TO CERTIFICATED SUBREGISTER ON EX BASIS
01303 CONVERSIONS/TRANSFERS OF CDI SECURITIES CANNOT BE ON AN EX BASIS
01304 INVALID POSITION TYPE
01305 TRANSFER MUST BE BETWEEN SUPER-PID & RELATED SUBORDINATE PID
01306 INVALID TRANSACTION CLASS PARAMETER FOR SPECIFIED REPORT REQUEST
01307 CUM ENTITLEMENT STATUS NOT FROZEN
01308 PID PARAMETER CANNOT BE THE SAME AS SENDING UIC
01309 INVALID PID PARAMETER
01310 INVALID ADDITIONAL REPORTING PARAMETER
01311 INVALID PROCESSING POINT - MUST BE 01-12
01312 INVALID TAKEOVER ACCEPTANCE REMOVAL REASON
01313 INVALID NUMBER OF DAYS PARAMETER
01314 DEFAULT HINS CANNOT BE CHANGED BY THIS METHOD
01316 DEFAULTING BROKER MUST MATCH DEL UIC OR REC UIC OF THE SETT INS
01317 TRADE REJECTED - BROKER IS A DEFAULTING BROKER
01318 INVALID REGO DETAILS - COUNTRY AND COUNTRY CODE MISMATCH
01319 INVALID AS AT DATE/START DATE (BEFORE EARLIEST REPORT DATE/NO DATA)
01320 SETTLEMENT AMOUNT REQUIRED FOR TRANSACTION BASIS 'I' AND 'F'
01321 USER NOT ALLOWED TO REQUEST SPECIFIED REPORT TYPE
01322 LOCKED HOLDER - TAKEOVER ACCEPTANCE REMOVAL REQUEST NOT POSSIBLE
01323 UNAUTHORISED HOLDER - TAKEOVER ACCEPTANCE REMOVAL REQ NOT POSSIBLE
01329 DUPLICATE SET TRANSACTION ID
01333 WARRANT ISSUER AGENT NOT AUTHORISED TO REQUEST FOR THIS REPORT ID
01336 ENTRY REQUEST SET CONTAINS VALIDATION ERRORS
01337 INVALID HIN – ENTREPOT TYPE CANNOT BE 'P'
01338 INVALID UPDATE-REASON-1
01339 INVALID UPDATE-REASON-2
01340 INVALID HOLDER LOCK RELEASE REASON

01341 INVALID DATE OF EVENT
01342 REGO NAME MUST CONTAIN 'EST' WHEN APPLYING A DECEASED HOLDER LOCK
01343 REGO NAME MAY NOT CHANGE WHEN APPLYING A DECEASED HOLDER LOCK
01501 CANNOT CHANGE SETTLEMENT INSTRUCTIONS OF THIS SIN TYPE
01502 NO FIELDS SUBMITTED WILL CHANGE TARGET SETTLEMENT INSTRUCTION
01503 SETTLEMENT STATUS INVALID FOR TARGET SETTLEMENT INSTRUCTION
01504 TARGET TRANSACTION ID NOT FOUND
01505 CANNOT CANCEL TARGET SETTLEMENT INSTRUCTION - INVALID STATUS
01506 MORE THAN ONE BASIS OF OVERRIDE FOR SAME CORPORATE ACTION
01507 TRADE DATE GREATER THAN (>) PROCESSING DATE
01508 TRADE DATE NOT FOUND
01509 OVERRIDE BASIS SPECIFIED FOR A SETTLEMENT OUTSIDE 'EX' PERIOD
01510 INVALID PART SETTLEMENT INDICATOR VALUE
01511 DIARY ADJUSTMENT NUMBER NOT FOUND
01512 INVALID PAYMENT FACILITY
01513 DELIVERING PID OR RECEIVING PID MUST BE A BROKER
01514 SETTLEMENT UNITS MUST BE GREATER THAN (>) ZERO
01515 TRADE DATE IS NOT A VALID BUSINESS DAY
01516 TRADE DATE NOT REQUIRED FOR THIS SETTLEMENT TRANSACTION
01517 TRADE DATE REQUIRED FOR THIS SETTLEMENT TRANSACTION
01518 SETTLEMENT DATE SUPPLIED IS NOT A VALID SETTLEMENT DATE
01519 SETTLEMENT DATE AFTER RECORD DATE FOR CUM MOVEMENT
01520 UNKNOWN CORPORATE ACTION FOR SPECIFIED OVERRIDE BASIS
01521 SETTLEMENT ALREADY COMPLETED FOR THIS SETTLEMENT DATE
01522 SETTLEMENT DATE PRIOR TO FIRST SETTLEMENT FOR THIS SECURITY
01523 SECURITY IS NOT ELIGIBLE FOR PHASE 2 OF CHES
01529 TARGET TRANSACTION WAS NOT SUBMITTED BY SOURCE UIC
01530 TRADE ALREADY EXISTS
01531 STATUS OF SETTLEMENT INSTRUCTION MUST BE SCHEDULED
01532 SETTLEMENT CUT-OFF HAS BEEN REACHED
01533 TRADE CUT-OFF HAS BEEN REACHED
01534 TARGET SETTLEMENT INSTRUCTION MUST BE SINGLE ENTRY
01535 PAYMENT FACILITY IS NOT ACTIVE
01545 HIN ON NETTED OBLIGATION CANNOT BE MODIFIED
01546 VALUE SETTLEMENT ALREADY CUT-OFF FOR THIS SETTLEMENT DATE
01547 CANNOT CHANGE HIN FOR A SCHEDULED UNBLOCKED TRADE
01548 SETTLEMENT AMOUNT CANNOT BE SUPPLIED ON THIS TRANSACTION
01549 AS AT DATE FOR REPORT ID OBL & PPS MUST BE FOR A FUTURE DATE
01550 AS AT DATE FOR REPORT ID OBL & PPS SHOULD BE THE NEXT SETT DATE
01551 PROCESSING PERIOD IS INVALID FOR THE SPECIFIED REPORTING ID
01552 SETTLEMENT INSTRUCTIONS WITH STAMP DUTY CANNOT BE PART SETTLED
01555 LOAN APPLICATION ALREADY PROCESSED
01559 TRANSACTION BASIS CANNOT BE MODIFIED
01560 PAYMENT FACILITY DOES NOT MATCH TARGET TRANSACTION
01561 HOLDER HAS AN ASSOCIATED PAYMENT FACILITY

01562 HOLDER HAS OUTSTANDING SETTLEMENT INSTRUCTIONS
01563 HOLDER HAS UNMATCHED SETTLEMENT INSTRUCTIONS
01564 TARGET TRANSACTION MUST BE LONG A NET POSITION
01565 TARGET TRANSACTION MUST HAVE FAILED SETTLEMENT
01566 TARGET TRANSACTION MUST BE SCHEDULED OR SUSPENDED
01567 UNITS REQUESTED EXCEED UNITS OUTSTANDING
01568 INVALID DVP DECLARATION TIMESTAMP
01569 SECURITY MUST BE SUBJECT TO FOREIGN OWNERSHIP RESTRICTIONS
01570 INVALID GUARANTEED FOREIGN INDICATOR
01571 HIN MUST BE DESIGNATED FOREIGN FOR 'OR' TRANSACTION
01572 INSUFFICIENT GUARANTEED FOREIGN BALANCE
01573 SETTLEMENT INSTRUCTION ALREADY GUARANTEED FOREIGN
01574 SETTLEMENT INSTRUCTION IS NOT CURRENTLY GUARANTEED FOREIGN
01575 HIN MUST BE FOREIGN
01576 SECURITY MUST BE FOREIGN
01577 REASON "AFO" HIN AND SECURITY MUST BE FOREIGN
01578 HOLDER IS DEFAULT FOREIGN SETTLEMENT HIN OF THE OLD SPONSOR
01579 HIN CANNOT BE CHANGED FOR A TRADE THAT IS NETTABLE
01581 INVALID REGISTRY REJECT REASON
01582 INVALID TIMESTAMP
01583 TARGET UIC OR REQUESTING PID DID NOT MAKE THE ORIGINAL REQUEST
01584 BOTH THE AS AT DATE AND THE NUMBER OF DAYS WERE SPECIFIED
01585 TRANSACTION EXCEEDS SETTLEMENT VALUE CAP FOR THIS SECURITY TYPE
01586 INVALID DEBIT CAP ACTIVITY FLAG - MUST BE 'A' OR 'I'
01587 INVALID DEBIT CAP LIMIT - MUST BE NUMERIC
01588 RTGS-NET POSITION RECORD EXISTS - STATUS IS ACTIVE
01589 RTGS-NET POSITION RECORD EXISTS - HOWEVER STATUS IS INACTIVE
01590 RTGS-NET POSITION RECORD EXISTS - HOWEVER STATUS IS UNAUTHORISED
01592 SUBMITTING UIC MUST BE AN ASI FOREIGN MARKET BROKER
01593 RTGS-INVALID CASH SUBRECORD ID - LENGTH EXCEEDS 19 CHARACTERS
01594 RTGS-INVALID CASH SUBRECORD ID - CANNOT BE SPACES
01600 SUBMITTING UIC MUST BE A PAYMENT PROVIDER
01601 SPECIFIED PID DOES NOT CONTROL PAYMENT FACILITY ID
01602 SUBMITTING PAYMENT PROVIDER IS NOT ASSOCIATED WITH PAYFAC ID
01603 RTGS-AN UNAUTHORISED CASH SUBRECORD ALREADY EXISTS
01604 RTGS-CASH SUBRECORD ALREADY EXISTS - STATUS IS ACTIVE
01605 RTGS-CASH SUBRECORD ALREADY CANCELLED
01606 RTGS-CASH SUBRECORD TYPE INVALID
01607 RTGS-DEBIT CAP EXCLUSION FLAG INVALID
01608 RTGS-NET POSITION RECORD IS NOT ACTIVE
01609 RTGS-TARGET TRANS ID UNMATCHED FOR GIVEN PAYFAC ID/CASH SUBREC ID
01610 RTGS-INVALID RECALL TYPE - MUST BE 1, 2 OR 3
01611 RTGS-ESA LEVEL INVALID
01612 RTGS-CREDIT LEVEL INVALID
01613 RTGS-INVALID PRE-SETTLEMENT ADVICE - ESA LEVEL

01614 RTGS-INVALID PRE-SETTLEMENT ADVICE - CREDIT LEVEL
01615 RTGS-INVALID POST SETTLEMENT ADVICE
01616 RTGS-NET POSITION RECORD NOT FOUND FOR PAYMENT FACILITY ID
01617 RTGS-NET POSITION ACTIVITY FLAG IS INVALID
01618 ACTIVE CASH SUBRECORD NOT FOUND FOR PAYFAC/CASH SUBRECORD ID
01619 UNAUTH CASH SUBRECORD NOT FOUND FOR PAYFAC/CASH SUBRECORD ID
01620 ACTIVE CASH SUBRECORD NOT FOUND FOR PAYFAC/CASH ACCOUNT IDENTIFIER
01621 NO MODIFICATIONS HAVE BEEN MADE
01622 CANNOT BLOCK/UNBLOCK RTGS SETTLEMENT INSTRUCTION
01623 RTGS SETTLEMENT STATUS INVALID FOR TARGET TRANS ID
01624 A RECALL ALREADY EXISTS FOR THE TARGET TRANSACTION ID
01625 INVALID RTGS SIN STATUS- WAITING RBA FUNDS RESPONSE OR NOT ACTIVE
01626 INVALID PERIOD - MUST BE 'C' FOR CSD & CST REPORTS
01627 INVALID PERIOD - PERIOD 'C' CAN ONLY BE USED FOR CSD & CST REPORTS
01628 THIS IS NOT A FOREIGN MARKET SETTLEMENT INSTRUCTION
01629 SECURITY CODE IS NOT A FOREIGN MARKET SECURITY
01630 HIN IS NOT THE PORTAL HIN FOR THE FOREIGN MARKET SECURITY
01631 DELIVERING OR RECEIVING PID MUST BE EITHER A BROKER OR NON-BROKER
01640 UNITS MUST BE ZERO FOR PAYMENT ONLY SETTLEMENT INSTRUCTION
01641 DATA SUPPLIED IN FIELDS THAT ARE NOT RELEVANT TO PAYMENT ONLY TXNS
01642 INVALID SECURITY FOR MISCELLANEOUS PAYMENTS
01643 SECURITY USED FOR MISCELLANEOUS PAYMENTS ONLY
01644 SETTLEMENT AMOUNT MUST BE GREATER THAN ZERO FOR PAYMENT ONLY TXNS
01645 TRANSACTION BASIS MUST BE 'F' FOR THIS TRANSACTION
01646 CANNOT CHANGE THE SECURITY FOR PAYMENT ONLY SETTLEMENT INSTRUCTION
01647 PART SETTLE INDICATOR MUST BE 'Y' FOR PAYMENT ONLY SETTLEMENT INST
01648 REPORT PARAMETERS SPECIFIED ARE INADEQUATE
01649 CANNOT CHANGE HIN FOR SIN TYPE 'T' – NON-RESCHEDULING PAYMENTS
01650 SOURCE UIC MUST BE A PARTICIPANT TYPE 'PO'
01651 SOURCE UIC DIFFERENT FROM THE OFFEROR UIC FOR TAKEOVER CODE
01652 INVALID DISTINCT PORTION INDICATOR – MUST BE 'Y', 'N' OR SPACE
01653 CONTROLLING UIC MUST BE DIFFERENT FROM SOURCE UIC
01654 HIN IS DIFFERENT FROM TARGET TRANSACTION HIN
01655 UNITS ACCEPTED ARE DIFFERENT FROM TARGET TRANSACTION UNITS ACCEPTED
01656 DISTINCT PORTION IND IS DIFFERENT FROM THAT OF TARGET TRANSACTION
01657 SECURITY CODE IS DIFFERENT FROM TARGET TRANSACTION SECURITY CODE
01658 TARGET TRANS IS NOT AN OFFEROR INITIATED TRANSACTION
01659 TAKEOVER OFFEROR UIC IS DIFFERENT FROM SENDER OF TARGET TRANS ID
01660 TARGET TRANSACTION CANNOT BE AN OFFEROR TAKEOVER ACCEPTANCE
01661 TARGET TRANSACTION MUST BE AN EFFECTED TAKEOVER ACCEPTANCE
01662 DEMAND STATEMENT REQUEST ALREADY EXISTS
01663 SECURITY CODE IS MANDATORY
01664 HOLDING STATEMENTS ARE CURRENTLY SUPPRESSED FOR THIS HIN
01665 INVALID PROCESSING FREQUENCY – MUST BE ONE-OFF FOR 'DSM'
01666 INVALID PROCESSING FREQUENCY - CANNOT BE YEARLY FOR 'TSH'

01667 TOP SHARE HOLDER REPORT REQUEST ALREADY EXISTS
01669 EMAIL ADDRESS MUST NOT CONTAIN SPACES
01670 EMAIL ADDRESS MUST CONTAIN AT LEAST ONE DOT
01671 EMAIL ADDRESS MUST CONTAIN ONE @ SIGN
01672 EMAIL ADDRESS MUST BE THE SAME AS CURRENT EMAIL ADDRESS FOR CANCEL
01673 EMAIL CANCELLATION MUST BE "Y" OR SPACE
01674 EMAIL PURPOSE MUST BE "A" OR "B" OR "C"
01675 EMAIL PURPOSE MUST BE AS CURRENT EMAIL PURPOSE FOR CANCEL
01676 EMAIL PURPOSE MUST BE SPACES WHEN EMAIL ADDRESS IS SPACES
01677 EMAIL PURPOSE AND EMAIL ADDRESS MUST BE PRESENT FOR A CANCELLATION
01812 USER STATUS IS CANCELLED
01813 USER STATUS IS UNAUTHORISED
01814 USER TYPE MUST BE 'PB' OR 'PN'
01815 UNKNOWN BSB NUMBER (FORMAT NNN-NNN)
01816 INVALID ACCOUNT PURPOSE
01817 INVALID ACCOUNT CANCELLATION
01818 ACCOUNT CANCELLATION REQUIRES ALL ACCOUNT DETAILS TO BE PRESENT
01819 NOT ALL ACCOUNT DETAILS ARE PRESENT
01820 INVALID EMAIL ADDRESS FORMAT
01821 INVALID EMAIL PURPOSE
01822 INVALID EMAIL CANCELLATION
01823 EMAIL CANCELLATION REQUIRES ALL EMAIL DETAILS TO BE PRESENT
01824 NOT ALL EMAIL DETAILS ARE PRESENT
01825 SUBMITTING UIC IS NOT AN ISSUER REGISTRY
01826 UNKNOWN TARGET UIC
01827 TARGET UIC IS NOT ACTIVE
01828 INVALID REJECT HOLDING ADVICE REASON
01829 CANNOT CANCEL AND ADD IN THE SAME MESSAGE
01830 NO HOLDING ADVICE DETAILS SUPPLIED
01833 INVALID DUTY TYPE – MUST BE K,L,M OR BLANK
01834 INVALID DUTY TYPE – MUST BE K,L OR M
02001 SUBMITTING RID DOES NOT ADMINISTER SECURITY
02005 INVALID HIN STATUS - NOT ACTIVE
02009 HOLDING NOT FOUND FOR DECREASE ADJUSTMENT
02011 INSUFFICIENT UNITS TO ADJUST
02012 DELIVERING HOLDING NOT FOUND
02013 INSUFFICIENT UNITS FOR TAKEOVER
02016 INSUFFICIENT UNITS FOR SECURITIES TRANSFORMATION
02017 REDUCING HOLDING NOT FOUND
02018 TAKEOVER CODE NOT FOUND
02019 INVALID CONTROLLING UIC
02020 TAKEOVER MUST BE UNCONDITIONAL OR CLOSED FOR A TAKEOVER TRANSFER
02021 INVALID INCREASE-OR-DECREASE CODE
02022 SUBREGISTER IS BARRED OR ARCHIVED OR CLOSED
02023 INVALID REJECTED MOVEMENT REASON

02024 INVALID SECURITY CODE ON MESSAGE
02025 TAKEOVER SUBPOSITION NOT FOUND
02026 REDUCING UNIT QUANTITY CANNOT BE ZERO
02027 INCREASING UNIT QUANTITY CANNOT BE ZERO
02028 ONLY CONVERSIONS CAN BE AUTHORISED WITH THIS MESSAGE
02029 ONLY TRANSFERS CAN BE AUTHORISED WITH THIS MESSAGE
02030 INVALID TRANSACTION BASIS - MUST BE 'L', 'M' OR 'O'
02031 SECONDARY MATCHING FLAG MUST BE 'Y' OR 'N' OR SPACE
02032 SUPPLEMENTARY REF. MUST NOT BE SPACES WHEN MATCHING FLAG IS 'Y'
03007 INSUFFICIENT UNITS RESERVED IN THE SUBPOSITION
03010 INCORRECT TARGET TRANSACTION TYPE
03011 TARGET TRANSACTION ALREADY AUTHORISED
03012 SUBMITTING RID DOES NOT ADMINISTER REDUCING SECURITY
03013 SUBMITTING RID DOES NOT ADMINISTER INCREASING SECURITY
03015 MARGIN LENDER MUST BE Y OR N OR SPACE
03016 SPECIFIC COVER MUST BE Y OR N OR SPACE
03017 TOTAL IN OCH PAYMENT HEADER DIFFERS FROM NO OF PAYMENTS RECEIVED
03019 NO DERIV CROSS REFERENCE RECORD FOUND FOR PAYER CLEARING MEMBER
03021 NO DERIV CROSS REFERENCE RECORD FOUND FOR PAYEE CLEARING MEMBER
03024 SCHEDULED SINS EXIST FOR OLD HIN OF CLEAR MEM/PAY CATEGORY
03025 OCH TOTAL RECORDS RECEIVED DISAGREES WITH TOTAL IN TRAILER RECORD
03027 OCH PAYMENT SET REJECTED BY MARKET SUPPORT
03028 NO RECORDS IN OCH PAYMENT SET
03029 EITHER PAYEE OR PAYER MUST BE THE OCH CLEARING MEMBER
03030 PAYMENT AMOUNT MUST BE ZERO OR GREATER
03031 INVALID CHES UIC TYPE FOR PAYER/PAYEE CLEARING MEMBER
03032 UNKNOWN CHES UIC FOR PAYEE CLEARING MEMBER
03033 CHES UIC FOR PAYEE CLEARING MEMBER NOT AUTHORISED
03034 UNKNOWN CHES UIC FOR PAYER CLEARING MEMBER
03035 CHES UIC FOR PAYEE CLEARING MEMBER NOT AUTHORISED
03036 PAYER HIN NOT CONTROLLED BY PAYER UIC
03037 PAYEE HIN NOT CONTROLLED BY PAYEE UIC
03038 INVALID PAYMENT FACILITY FOR PAYER NOMINATED OR DEFAULT SETT HIN
03039 INVALID PAYMENT FACILITY FOR PAYEE NOMINATED OR DEFAULT SETT HIN
03043 DERIVATIVES PAYMENT MESSAGE CAN ONLY BE SENT BY ASX CLEAR
03045 INCOMPLETE MESSAGE SET - TRAILER TOTAL DISAGREES OR MISSING
03046 SET CONTAINS MIXED REASONS (WARRANT)
03047 SET HEADER CONTAINS INCORRECT MESSAGE COUNT FOR PROCESS TYPE
03048 SOURCE UIC MUST BE SAME AS DELIVERING UIC FOR WARRANT WDL OR WUX
03049 DELIVERING/RECEIVING HIN CANNOT BE ZEROES (WARRANT)
03050 SOURCE UIC IS NOT AN AGENT FOR THE WARRANT ISSUER
03051 SECURITY TYPE IS NOT A WARRANT
03052 COUNT OF WEX TRANS IN SET NOT = 1
03053 DELIVERY TYPE CODE FOR WEX MUST BE 'D' – (WARRANT)
03054 SOURCE UIC MUST BE RECEIVING PID FOR WARRANT 'WEX'

03055 COUNT OF WUX TRANS IN SET NOT = NO. OF UNDERLYING SEC IN BASKET
03056 WUX SECURITY DOES NOT EXIST IN EXERCISE SECURITY TABLE
03057 WUX RECEIVING UIC MUST BE SAME AS WEX DELIVERING PID
03058 WUX DELIVERING UIC MUST BE SAME AS WEX RECEIVING PID
03059 WUX UNITS (+/-1) NOT= WAR EXERCISED / WAR PER PARCEL * SHARES/PARCEL
03060 SOURCE UIC NOT = DELIVERING OR RECEIVING PID FOR WARRANT ROLLOVER
03061 WARRANT ROLLOVER SET MUST ONLY CONTAIN 1 ROLL-IN & 1 ROLL-OUT TRANS
03062 WARRANT SECURITY IN ROLL-IN MUST BE DIFFERENT FROM ROLL-OUT TRANS
03063 SECURITY TYPE FOR ROLL-IN AND ROLL-OUT TRANS MUST BE THE SAME
03064 RECEIVING PID ON ROLL-IN NOT = DELIVERING PID ON ROLL-OUT TRANS
03065 RECEIVING PID ON ROLL-OUT NOT = DELIVERING PID ON ROLL-IN TRANS
03066 USER IS NOT ISSUER REGISTRY OR WARRANT ISSUER AGENT
03067 CHES UIC FOR CLEARING MEMBER CANNOT BE A SYSTEM UIC
03068 SET DOES NOT CONTAIN ALL THE BASKET WARRANT SECURITIES
03069 SECURITY TYPE MUST BE 46 OR 48 (CALL WARRANT)
04008 RECEIVING PID NOT FOUND
04015 INVALID CONFIRMATION RESPONSE - MUST BE 'A', 'R' OR 'B'
04016 INVALID BBS DELIVERY TYPE
04017 DEFAULT SETT OR ACCUM HIN IS ZERO FOR RECEIVING BROKER
04018 DEFAULT SETTLEMENT HIN IS ZERO FOR DELIVERING BROKER
05020 UIC NOT FOUND
05021 INVALID UIC STATUS - NOT ACTIVE
05026 REGO UPDATE REASON WAS PROVIDED BUT HOLDER NAME WAS NOT CHANGED
05033 INVALID REGISTRY STATUS – NOT AUTHORISED
05051 HOLDER LOCKED
05053 HIN IS THE DEFAULT SETTLEMENT HIN OF SPONSOR
05055 HOLDER HAS UNAUTHORISED SUBPOSITIONS UNDER PREVIOUS SPONSOR
05056 HOLDER HAS UNAUTHORISED REGISTRY TRANSACTIONS UNDER OLD SPONSOR
05062 HIN IS THE DEFAULT DEMAND HIN OF SPONSOR
05066 INVALID TAKEOVER STATUS
05067 ORIGINAL TAKEOVER IS FOR A DIFFERENT ISSUER
05069 TAKEOVER CODE NOT FOUND
05071 HIN – CHECK DIGIT ERROR
05072 NEW SPONSOR MUST BE DIFFERENT TO OLD SPONSOR
05073 NEW SPONSOR MUST BE PARTICIPANT
05089 HIN MUST BE SUPPLIED
05090 UIC MUST BE SUPPLIED
05098 EFFECTIVE DATE IS NOT A BUSINESS DATE
05113 INVALID DATE*
05114 INVALID DATE – AS AT DATE IS EQUAL OR GREATER THAN CURRENT DATE
05115 INVALID JULIAN DATE
05126 PAYMENT FACILITY NOT FOUND
05134 INVALID CONDITION CODE
05135 INVALID BASIS OF QUOTATION
05136 TRADE UNITS CANNOT BE ZERO*

05137 INVALID PAYMENT FACILITY FOR RECEIVING HIN
05138 INVALID PAYMENT FACILITY FOR DELIVERING HIN
05139 LAST HIN – PAYMENT FACILITY MUST BE CANCELLED
05149 UNKNOWN TRADE – CANNOT CANCEL*
05150 SETTLEMENT INSTRUCTION NOT A BRK/BRK TRADE – CANNOT CANCEL*
05151 TRADE NOT SCHEDULED – CANNOT BE CANCELLED*
05152 TRADE ALREADY CANCELLED*
05153 TRADE HAS BEEN PART DELIVERED – CANNOT CANCEL*
05154 ACCRUED TRADE STILL ACTIVE – CANNOT CANCEL PARENT*
05157 PRICE AND AMOUNT CANNOT BE ZERO*
05158 PRICE AND VALUE MISMATCH*
05159 DELIVERY FAIL TRADES – TRADE NOT FOR CHESS*
05165 SUBMITTING UIC DOES NOT CONTROL PAYMENT FACILITY
05166 HOLDER IS CANCELLED
05167 HOLDER IS NOT AUTHORISED
05168 HOLDER IS NOT SPONSORED
05170 HOLDER HAS UNAUTHORISED REGISTRY TRANSACTIONS
05171 HOLDER HAS UNAUTHORISED SUB-POSITIONS
05172 HOLDER FOR CANCELLATION HAS HOLDINGS
05179 TAKEOVER ACCEPTANCE UNITS MUST BE GREATER THAN (>) ZERO
05180 TRANSACTION UNITS MUST BE GREATER THAN (>) ZERO
05183 SUBREGISTER NOT CLOSED YET
05187 INVALID AS AT DATE*
05191 HOLDER HISTORY STATUS IS NOT UNAUTHORISED
05192 SOURCE UIC NOT THE REQUESTING PARTICIPANT OF CHANGE OF CONTROLLER
05193 INVALID REJECT REASON - MUST BE 'A', 'B' OR 'C'
05198 LOCK CANNOT BE APPLIED - PREVIOUSLY BANKRUPT
05199 LOCK CANNOT BE APPLIED - PREVIOUSLY DEAD
05203 REGISTRY DOES NOT OFFER ISSUER SPONSORSHIP
05204 COUNTERPARTY DOES NOT CATER FOR THIS FUNCTION
05207 INVALID AS AT DATE FOR IMMEDIATE REPORTING - DATA ALREADY PURGED
05208 AS AT DATE FOR IMMEDIATE REPORTING MUST BE FOR TODAY OR ZEROES
05210 CANNOT CANCEL TODAY AS THERE ARE MOVEMENTS - TRY TOMORROW
05301 NO ACTIVE CASH POSITION COULD BE FOUND
05302 NO DEFAULT BUY CASH ACCOUNT IDENTIFIER
05303 NO DEFAULT SELL CASH ACCOUNT IDENTIFIER
05304 NO ACTIVE CASH SUBRECORD COULD BE FOUND
05305 RTGS TRANSACTIONS HAVE BEEN DISABLED
05306 NBP TO NBP RTGS TRANSACTIONS NOT ALLOWED
05307 SETTLEMENT AMOUNT IS LESS THAN THE MINIMUM RTGS SETTLEMENT AMOUNT
05308 INVALID STATUS ON THE RTGS TRANSACTION
05309 PART SETTLEMENT NOT ALLOWED ON RTGS TRANSACTIONS
05310 CANNOT CHANGE SCHEDULE FOR SETTLEMENT - USE AUTHORISE FUNCTION
05311 INVALID RTGS TRANSACTION STATUS FOR CANCELLATION
05312 INVALID RTGS TRANSACTION STATUS FOR CHANGE

05313 DESTINATION SETTLEMENT SYSTEM IS NOT A VALID ONE
05314 RTGS SETTLEMENT IS CLOSED FOR SETTLEMENTS FOR TODAY
05315 RTGS TRANSACTION IS ALREADY APPROVED
05316 SETTLEMENT AMOUNT FOR A RTGS TRANSACTION CANNOT BE ZERO
05317 SCHEDULE FOR SETTLEMENT MUST BE 'Y' 'N' OR BLANK
05318 INVALID RBA SETTLEMENT REJECT CODE
05319 FOREIGN MARKET SECURITIES CANNOT BE SETTLED IN RTGS
05320 TRANSACTION MUST BE OFF-MARKET AND DUTY TYPE 'K', 'L' OR 'M'
05900 TRANSACTION IS FOR RTGS SETTLEMENT - MUST USE RTGS MESSAGES
05901 TRANSACTION IS TARGETING A NON RTGS TRANSACTION
05902 TARGET TRANSACTION IS ALREADY NET
05903 CORRESPONDENT IS NOT AUTHORISED TO USE THE RTGS SYSTEM
05904 RTGS SETT HAS NOT CLOSED YET - CANNOT CHANGE NET POS ACTIVITY FLAG
05910 RECEIVING PID ON MESSAGE NOT THE SAME AS ORIGINAL TRADE
05911 DELIVERING PID ON MESSAGE NOT THE SAME AS ORIGINAL TRADE
05912 SECURITY CODE ON MESSAGE NOT THE SAME AS ORIGINAL TRADE
05913 TRUST AMOUNT MUST BE A POSITIVE OR A ZERO VALUE
05914 TRUST AMOUNT MUST BE A NEGATIVE OR A ZERO VALUE
06039 PARTICIPANT SECURITY MOVEMENT NOT FOUND
06042 INVALID HOLDER STATUS
06043 ORIGINAL SPONSOR HAS CHANGED
06047 REQUEST PREVIOUSLY RECEIVED FOR THIS HIN
06048 PAY/RECEIVE INDICATOR MUST BE 'P' OR 'R'
06049 DELIVER/RECEIVE INDICATOR MUST BE 'D' OR 'R'
06050 ALLOCATION STATUS MUST BE 'I' OR 'F'
06051 ALLOCATION CANCEL INDICATOR MUST BE 'C' OR 'T'
06052 CONTRACT NOTE REJECT INDICATOR MUST BE 'C' OR 'T'
06053 CONTRACT NOTE STATUS MUST BE 'C' OR 'P'
06054 PRINCIPAL INDICATOR MUST BE 'P' OR 'A'
06055 CROSSING INDICATOR MUST BE 'C' OR 'P'
06056 BUY/SELL INDICATOR MUST BE 'B' OR 'S'
06057 INVALID TIMESTAMP
06058 INVALID SETTLEMENT MATCHING DATE
06059 INVALID REJECT REASON MUST BE 'A' THRU 'T'
06060 ETC TARGET UIC CANNOT BE THE SAME AS THE SUBMITTING UIC
06061 INVALID DUTY TYPE
06062 INVALID ASX MEMBER INDICATOR
06063 MOVEMENT REASON MUST BE 'F','G' OR 'Z'
06064 INVALID REGO DETAILS - FULLSTOP IS NOT PERMITTED
06065 INVALID RESIDENCY INDICATOR
06066 LESS THAN (<) AND OR GREATER THAN (>) SYMBOLS NOT ALLOWED IN ADDRESS
06067 BROKER NOT FOUND
06068 INITIATOR NAME & ADDRESS MUST BE ENTERED
06069 INVALID HOLDER STATUS
06070 INVALID NAME PREFIX - ONLY 'EST' MAY BE USED AS A NAME PREFIX

06071 HOLDER LOCKED
06072 TRANSFEROR HOLDER IS LOCKED
06073 TRANSFEREE HOLDER IS LOCKED
06074 OTHER OPTIONAL FIELDS MUST BE BLANK FOR CANCEL HOLDER REQUEST
06075 OUTSTANDING REQUEST/S EXIST FOR THIS HIN
06076 ENTREPOT HINS CANNOT BE LOCKED
06077 HOLDER LOCKED - CONVERSION NOT POSSIBLE
06078 PID IS NOT AUTHORISED
06079 PID NOT FOUND
06080 PID DOES NOT CONTROL THIS HOLDER
06091 AT LEAST ONE OPTIONAL FIELD MUST CONTAIN A VALUE
06092 INVALID AUSTRALIAN STATE
06093 AUSTRALIAN STATE MUST NOT BE ON A LINE BY ITSELF
06094 DEFAULT ACCUMULATION HIN CAN ONLY HAVE A DOMESTIC RESIDENCY VALUE
06095 DEFAULT SETTLEMENT HIN CAN ONLY HAVE A DOMESTIC RESIDENCY VALUE
06096 HOLDER IS LOCKED - CANNOT CANCEL
06097 INVALID RECEIVING RESIDENCY INDICATOR
06098 INVALID REGO DETAILS - SUBURB/STATE/POSTCODE COMBINATION IS INVALID
06099 PROPOSED RESIDENCY VALUE SAME AS OLD VALUE
06103 RECEIVING RESIDENCY INDICATOR MUST BE BLANK - SECURITY NOT FOREIGN
06106 OFFEROR HIN CANNOT BE SPONSORED
06107 ENTREPOT AND DIRECT HINS CANNOT BE LOCKED
06119 UNAUTHORISED CHANGE OF SPONSOR REQUEST ALREADY EXISTS
06120 UNAUTHORISED CHANGE OF REGO DETAILS REQUEST EXISTS FOR THE HIN
06127 INVALID INVESTOR TYPE IN TAX FILE NUMBER/ABN
06128 SHAREHOLDER NAME MUST NOT START WITH SPACE
06129 REGO DETAILS CAN NOT CONTAIN DOUBLE SINGLE OR DOUBLE DOUBLE QUOTES
06130 INVALID REGO DETAILS – ONLY ONE COMMA MAY BE USED
06133 INVALID COLLATERAL REJECT REASON
06134 ENTREPOT HOLDER NOT VALID FOR CHANGE OF SPONSOR
06137 TAX FILE NUMBER/ABN MUST BE LEFT JUSTIFIED
06135 NAUTHORISED CHANGE REQUEST EXISTS FOR THIS HIN
06137 TAX FILE NUMBER/ABN MUST BE LEFT JUSTIFIED
06141 UIC DOES NOT HAVE ACCESS TO THIS SECURITY FOR 'TSH' REPORT
06142 INVALID PARAMETER LIST SUPPLIED FOR 'TSH' REPORT
06143 UNBALANCE DETECTED – CANNOT PROCESS REQUEST
06144 INSUFFICIENT UNITS TO COVER LONG POSITION – REQUEST REJECTED
06146 SUBREGISTER IS BARRED OR ARCHIVED
06401 TAX DEDUCTED MUST BE GREATER THAN ZERO WHEN UNITS>ZERO
06402 NO INVESTOR INFORMATION PRESENT (NO HIN/SRN/REGO DETAILS)
06403 ORDER AMOUNT MUST BE GREATER THAN ZERO
06404 DUPLICATE TRANSACTION ID (ORIGIN)
06405 DUPLICATE TRANSACTION ID
06406 UNKNOWN FUND SECURITY
06407 PISP PID NOT ACTIVE

06408 PISP PID NOT TYPE PARTICIPANT REGISTRY
06409 FUND CODE IS NOT AN AMFS SECURITY
06410 NO AMFS PAYMENT FACILITY FOUND FOR SUBMITTER OR THEIR AGENT
06411 CANNOT SUPPLY REGISTRATION DETAILS WITH HIN/SRN
06412 INVALID TRANSACTION STATUS
06413 INVALID ORDER TYPE
06414 INVALID SUBMITTING PISP
06415 ORDER STATUS, PID, PISP, TYPE, INVESTOR OR SECS DO NOT MATCH TRANS DATA
06416 ORIGIN TRANS ID NOT FOUND IN FUND ORDERS
06417 INVALID ALLOTMENT DATE - NOT A BUSINESS DAY
06418 INVALID PRICING DATE
06419 INVALID REJECTED REASON CODE
06420 NO AMFS PAYMENT FACILITY FOUND FOR COUNTER PARTY OR THEIR AGENT
06421 AMOUNT DIFFERENT TO THAT REQUESTED
06422 TRANS ID AND ORIGIN TRANS ID MUST BE = ON INITIAL RRP ORDER
06423 AMOUNT AND UNITS CAN NOT BE BOTH PRESENT
06424 INSUFFICIENT UNITS HELD FOR REDEMPTION OR SWITCH
06425 RESPONSE PAYMENT DATE NOT EQUAL TO REDEMPTION ORDER PAYMENT DATE
06426 INVALID HOLD REASON CODE
06427 INPUT UNITS NOT EQUAL TO ORDER UNITS
06428 INVALID AMOUNT
06429 APPLICATION PISP NOT SAME AS REDEMPTION PISP
06430 REDEMPTION FUND CODE IS NOT AN AMFS SECURITY
06431 APPLICATION FUND CODE IS NOT AN AMFS SECURITY
06432 UNKNOWN REDEMPTION FUND SECURITY
06433 UNKNOWN APPLICATION FUND SECURITY
06434 REDEMPTION FUND HOLDING IS LOCKED
06435 APPLICATION FUND HOLDING IS LOCKED
06436 UNIT REGISTRY PID NOT FOUND
06437 NO DEFAULT DEMAND HIN SPECIFIED
06446 SOURCE UIC NOT THE SAME AS PARTICIPANT PID IN FUND ORDERS TABLE
06447 ORDER STATUS IS NOT ON HOLD
06449 REQUEST PAYMENT DATE IS DIFFERENT FROM FUND ORDERS SETTLEMENT DATE
06450 MISMATCH IN UIC, PID AND ORDER STATUS
06451 ALLOTMENT DATE CANNOT BE BEFORE TODAY
06452 TRANSACTION ORDER TYPE IS DIFFERENT FROM ORIGINAL ORDER TYPE
06453 PAYMENT DATE CANNOT BE BEFORE TODAY
06454 PAYMENT DATE CANNOT BE MODIFIED AS ORDER PAYMENT HAS BEEN SETTLED
06455 ORDER TYPE INAPPROPRIATE FOR PAYMENT DATE CHANGE
06456 ORDER TYPE INAPPROPRIATE FOR ALLOTMENT DATE CHANGE
06457 ISSUER CODE DOES NOT EXIST
06458 SECURITY NOT FOUND FOR ISSUER OR REGISTRY NOT MATCHING SOURCE UIC
06459 APPLICATION HIN NOT FOUND
06460 SUBMITTING REGISTRY NOT THE CONTROLLING UIC FOR APPLICATION HIN
06461 APPLICATION HIN NOT ACTIVE

06462 INVALID PAYMENT FACILITY FOR APPLICATION HIN
06463 REDEMPTION HIN NOT FOUND
06464 SUBMITTING REGISTRY NOT THE CONTROLLING UIC FOR REDEMPTION HIN
06465 REDEMPTION HIN NOT ACTIVE
06466 INVALID PAYMENT FACILITY FOR REDEMPTION HIN
06467 DISTRIBUTION HIN NOT FOUND
06468 SUBMITTING REGISTRY NOT THE CONTROLLING UIC FOR DISTRIBUTION HIN
06469 DISTRIBUTION HIN NOT ACTIVE
06470 INVALID PAYMENT FACILITY FOR DISTRIBUTION HIN
06471 SOURCE UIC NOT PARTICIPANT REGISTRY
06472 NO PAYMENT HIN LINK RECORD FOUND FOR ISSUER
06473 UNKNOWN USER REGISTRY
06474 INCREASE OR DECREASE VALUE DOES NOT MATCH FUND REASON
06475 ORIGINAL FUND CODE DOES NOT MATCH FUND CODE ON UNIT ADJUSTMENT
06476 PID ON MESSAGE NOT THE SAME AS PID ON ORDER
06477 ORDER IS NOT OPEN
06479 INVALID RECORD DATE
06480 INVALID PAYMENT DATE
06481 INPUT DISTRIBUTION PAYMENT DETAILS DO NOT MATCH EXISTING HEADER
06482 DISTRIBUTION HEADER NOT FOUND
06483 INPUT DIST TOTAL DOES NOT MATCH CHESS RECORD DISTRIBUTION TOTAL
06484 INVALID ALLOTMENT DATE
06485 CANNOT CHANGE AMFS SECURITY TYPES
06486 INVALID NET OR GROSS FLAG VALUE
06487 INVALID AML FLAG VALUE
06489 INVALID REDEMPTION REQUEST REASON
06490 INVALID AML CHECK FLAG
06491 FEES MUST BE PRESENT WHEN UNITS>ZERO
06492 ONCE NOTIFIED CANNOT CHANGE ALLOTMENT/PAYMENT DATE - USE MSG 810
06493 PISP PID NOT A RECOGNISED USER
06494 CANNOT FIND THE PISP FOR THIS SECURITY
06495 CANNOT CHANGE STATUS OF PREVIOUSLY ACCEPTED ORDER
06496 UNIT PRICING DATE MUST BE PRESENT ON FIRST ORDER ACCEPTANCE
06497 MUST SUPPLY SRN FOR NEW INVESTOR
06498 PID MUST BE A BROKER OR A NON-BROKER PARTICIPANT
06499 MUST SUPPLY HIN OR SRN ON INITIAL REGULAR PAYMENT PLAN
06500 INVALID VALUE FOR 'PDS PROVIDED' FIELD
06501 HIN ON ORDER DIFFERENT TO HIN ON MESSAGE
06502 CANNOT CHANGE AMFS PAYMENT
06503 INVALID FUND REASON CODE
06504 ORDER STATUS MUST BE ON-HOLD
06505 INVALID TRANSACTION STATUS
06506 UNIT PRICE MUST BE > ZERO
06507 INVALID UNIT PRICING DATE
06508 INVALID SECURITY HOLDER REFERENCE NUMBER

06509 ORDER IS NOT COMPLETED
06510 SUBMITTING PID DOES NOT CONTROL DELIVERING HIN
06511 SUBMITTING PID DOES NOT CONTROL RECEIVING HIN
06512 HOLDING STATUS NOT ACTIVE
06513 ORIGINAL FUND MANAGER PID IS NOT THE SUBMITTING PID
06514 ORIGINAL PARTICIPANT CANCEL REQUEST NOT FOUND
06515 CANNOT SUPPLY REJECT REASON FOR THIS TRANSACTION STATUS
06516 CANNOT SUPPLY CANCEL REASON FOR THIS TRANSACTION STATUS
06517 REJECT REASON MUST BE SUPPLIED FOR THIS TRANSACTION STATUS
06518 ORDER STATUS MUST BE 'OPEN'
06519 MUST SUPPLY EITHER PAYMENT DATE OR ALLOTMENT DATE
06520 CAN ONLY CHANGE PREVIOUSLY SUPPLIED PAYMENT-DATE
06521 CAN ONLY CHANGE PREVIOUSLY SUPPLIED ALLOTMENT DATE
06522 ORDER STATUS MUST BE OPEN OR ON-HOLD
06523 MUST SUPPLY ORDER CANCELLATION REASON
06524 PAYMENT/ALLOTMENT DATE CANNOT BE UPDATED BY THIS TRANSACTION
06525 ALLOTMENT/PAYMENT DATE CHANGE INCONSISTENT WITH ORDER TYPE
06526 INVALID CANCEL REASON
06527 CANNOT SUPPLY REJECTED OR HOLD REASON ON AN ACCEPTED TRANSACTION
06528 CONFLICTING DATA SUPPLIED ON A REJECTED/HOLD MESSAGE
06529 MUST SUPPLY ALLOTMENT DATE ON INITIAL ACCEPTANCE MESSAGE
06530 FUND CODES MUST BE DIFFERENT FOR SWITCH ORDERS
06531 CANNOT SUPPLY PRICING OR ALLOTMENT INFORMATION ON HOLD ORDER
06532 MUST SUPPLY PAYMENT DATE ON INITIAL ACCEPTANCE MESSAGE
06533 SETTLEMENT-AMOUNT + TAX + FEES NOT = AMOUNT REQUESTED
06534 NETT OR GROSS INDICATOR DIFFERS FROM THAT REQUESTED
06535 ALLOTMENT DATE BEFORE UNIT PRICING DATE
06536 HIN CANNOT BE ANY OF THE DEFINED DEFAULT HINS
06537 CANNOT SUPPLY SRN WHEN ORIGINAL REQUEST WAS FOR A HIN
06538 CANNOT SUPPLY HIN WHEN ORIGINAL REQUEST WAS FOR A SRN
06539 INVALID FUND REASON FOR TARGETED ORDER
06540 INVALID TRANSACTION ID FOR MISCELLANEOUS ADJUSTMENT
06541 EITHER HIN OR SECURITY-HOLDER-REF-NO NEED TO BE SUPPLIED, NOT BOTH
06542 UNIT PRICING DATE CANNOT BE ZERO
06543 ORIGINAL FUND REVERSAL/RE-APPLY TRANSACTION NOT F
06544 DATE OF BIRTH 1, 2 OR 3 IS INVALID
06545 INVALID TAX RESIDENCY 1, 2 OR 3
06546 INVALID PARTICIPANT ADVISOR EMAIL
06547 INVALID INITIAL APPLICATION FLAG
06548 INITIAL APPLICATION MUST BE 'Y' OR 'N'
06549 INVESTOR TYPE MANDATORY ON INITIAL APPLICATION
06550 DATE-OF-BIRTH MANDATORY FOR CORRESPONDING INVESTOR TYPE "I"
06551 TAX RESIDENCY MANDATORY FOR CORRESPONDING INVESTOR
06552 PDS ISSUE DATE INVALID
06553 DRP PREFERENCE MUST BY 'Y' OR 'N'

06554 MUST SUPPLY BANK DETAILS WHEN DRP PREFERENCE IS 'N'
06555 DATE OF BIRTH SUPPLIED WITHOUT CORRESPONDING INVESTOR TYPE
06556 FULL REDEMPTION FIELD MUST BE 'Y' OR 'N'
06557 CANNOT SUPPLY AMOUNT OR UNITS WITH FULL REDEMPTION
06558 MUST SUPPLY UNITS OR AMOUNT OR FULL-REDEMPTION EQUAL TO 'Y'
06559 FULL SWITCH FIELD MUST BE 'Y' OR 'N'
06560 MUST SUPPLY UNITS OR AMOUNT OR FULL-SWITCH EQUAL TO 'Y'
06561 CANNOT SUPPLY AMOUNT OR UNITS WITH FULL SWITCH
06562 INVALID DISTRIBUTION-NOTIF-FLAG
06575 PENDING ORDER CANCELLATION REQUEST ALREADY EXISTS
06581 HOLDER HAS COLLATERAL SUBPOSITION UNITS > ZERO
06583 HIN HAS UNAUTHORISED OR PENDING STP COLLATERAL TRANSACTION
06586 MOVEMENT BETWEEN THESE ACCOUNT TYPES IS RESTRICTED
06587 CANNOT CHANGE TO ACCUMULATION ACCOUNT – MOVEMENT TYPE RESTRICTED

06600 ADDITIONAL PARTIES FLAG ONLY RELATES TO JOINT ACCOUNTS
06627 MUST SUPPLY TAX RESIDENCY COUNTRY CODE
06629 FOREIGN PERSON INDICATOR MUST BY Y OR N
06643 TRANSACTION ID MUST BE SUPPLIED
06644 TIN NOT SUPPLIED - REASON MUST BE PROVIDED
06645 INVALID ACCOUNT TYPE
06646 VALID ENTITY TYPE MUST BE PROVIDED
06647 INCOMPLETE TAX STATUS DETAILS
06648 ADDITIONAL PARTIES = Y WHEN MORE THAN 3 PARTIES ARE REPORTABLE
06649 A VALID SUPER FUND ABN MUST BE SUPPLIED
06650 INVALID COUNTRY CODE
06652 FOREIGN TAX STATUS DETAILS MUST BE SUPPLIED
06653 INCOMPLETE FOREIGN TAX STATUS DETAILS
06654 APPLICATION HIN MUST BE SUPPLIED
06655 TIN-ABSENT NOT REQUIRED WHEN TIN IS SUPPLIED
06658 ADDRESS TYPE INVALID FOR ENTERED ACCOUNT TYPE
06659 ADDRESS DETAILS MUST BE SUPPLIED
06660 DATE OF BIRTH NOT REQUIRED FOR THIS INVESTOR TYPE
06661 ENTITY TYPE INCOMPATIBLE WITH ACCOUNT TYPE
06662 INVALID ADVISOR MOBILE NUMBER
06663 INVALID INVESTOR MOBILE NUMBER
06665 INVALID INVESTOR EMAIL ADDRESS
06666 HOLDING MUST HAVE NON-ZERO BALANCE
06667 BANK ACCOUNT DETAILS NOT COMPATIBLE WITH DRP PREFERENCE
06668 FUND CODE MUST BE SAME AS THAT FOR LINKED TRANSACTION
06669 HIN NOT CONSISTENT WITH LINK TRANSACTION DETAILS
06670 FOREIGN RESIDENCY INDICATOR MUST BE Y OR N
06671 ORIGIN TRANSACTION ID DOES NOT REFERENCE A COMPLETE MESSAGE
06673 FOREIGN RESIDENT DETAILS NOT REQUIRED FOR THIS ACCOUNT TYPE
06674 AUSTRALIA IS NOT A FOREIGN COUNTRY
06675 FOREIGN RESIDENT DETAILS ARE REQUIRED FOR THIS ACCOUNT TYPE

06676 ONLY FIRST INSTANCE OF FOREIGN DETAILS REQUIRED FOR INDIVIDUAL
06677 ABN NOT VALID FOR THIS ACCOUNT TYPE
06678 INVALID REASON FOR ABSENCE OF TIN
06679 FOREIGN RESIDENCY INDICATOR NOT REQUIRED FOR SUPER FUND
06680 FOREIGN RESIDENCY DETAILS NOT CONSISTENT WITH FOREIGN PERSON
06681 ONLY THE ABN SHOULD BE SUPPLIED FOR ACCOUNT TYPE S
06682 UNITS REQUIRED FOR PART TRANSFER
06683 UNITS NOT REQUIRED FOR FULL TRANSFER
06684 FULL TRANSFER MUST BE Y OR N
06685 CANNOT SUPPLY TAX DEDUCTED WITH NETT
06686 DUPLICATE TIN NOT ALLOWED
06687 MESSAGE SET ALREADY CANCELLED
06688 ADDITIONAL PARTIES CANNOT BE Y WHEN FOREIGN RESIDENCY IS N
06689 RECEIVING HIN MUST BE SPONSORED
06690 INITIAL APPLICATION MUST BE Y WHERE TRANSACTIONS ARE LINKED
06691 ONLY ADDRESS DETAILS 1 IS REQUIRED FOR THIS ACCOUNT TYPE
07001 SUBMITTING UIC IS NOT AN AUTHORISED AMO*
07002 CONDITION CODE NOT VALID FOR THIS AMO*
07003 QUALIFIER PREFIX NOT VALID FOR THIS AMO*
07004 AMO NOT AUTHORISED TO SEND TRADE FOR THIS RECEIVING PID*
07005 MESSAGE TYPE MUST BE TA OR TG*
07006 AMO/RECEIVING CP NOT AUTHORISED TO TRADE IN THIS SECURITY GROUP*
07007 INVALID PRICE RUN TYPE - MUST BE I OR C*
07009 PRICE RUN DATE MUST BE NON-ZERO AND A BUSINESS DATE*
07010 TRADE DATE OR AS AT DATE NOT IN EX PERIOD*
07011 TRADE DATE OR AS AT DATE BEFORE EX DATE*
07012 ONLY AMO USER TYPE CAN SUBMIT CLOSING PRICES
07013 AMO TRADE RECEIVED OUT OF HOURS*
07014 AMO/DELIVERING CP NOT AUTHORISED TO TRADE IN THIS SECURITY GROUP*
07015 TRADE DATE MUST BE TODAY, EXCEPT FOR CANCELS*
07016 AMO NOT AUTHORISED TO SEND TRADE FOR THIS DELIVERING PID*
07017 LAST DATE TRADED MUST BE NON-ZERO AND A BUSINESS DATE*
07018 PRICE RUN DATE MUST BE LESS THAN OR EQUAL TO CURRENT DATE*
07019 LAST DATE TRADED MUST BE LESS THAN OR EQUAL TO CURRENT DATE*
07020 NEW CONTROLLER NOT AMFS ACCREDITED OR ORDERS STILL ACTIVE
07022 INVALID COLLATERAL TYPE FOR THIS TRANSACTION
07023 INTEREST DESCRIPTION MUST BE ENTERED FOR THIS TRANSACTION
07024 INVALID MARKET FOR THIS TRANSACTION
07025 INVALID NOTIFICATION TYPE FOR THIS TRANSACTION
07026 INVALID STATEMENT TYPE – MUST BE 'I' OR 'C'
07027 TOTAL STATEMENT COUNT NOT EQUAL TO NUMBER OF NOTIFICATIONS
07028 DATE MUST BE CURRENT BUSINESS DATE MINUS 1 BUSINESS DATE
07029 MARGIN PAYMENT DATE MUST BE A BUSINESS DATE
07030 INVALID LODGEMENT DATE
07031 EXCESS CASH STANDING INSTRUCTION CANNOT BE NEGATIVE

07032 REMOVE EXCESS CASH STANDING INSTRUCTION MUST BE Y OR BLANK
07033 EXCESS CASH STANDING INSTRUCTION CANNOT BE GREATER THAN (>) ZERO
07034 INVALID REJECTED CHANGE REASON
07035 MARGIN PAYMENT DATE MUST EQUAL PROCESS DATE
07036 MARGIN SETTLEMENT NOTIFICATION ALREADY EXISTS FOR PID/PAYMENT DATE
07038 SET TRANSACTION ID NOT VALID FOR THIS NOTIFICATION
07039 PID MUST BE A PARTICIPANT BROKER - TYPE PB
07040 INVALID CURRENCY FOR THIS TRANSACTION
07041 CONTROLLING UIC FOR HIN NOT SAME AS PID FOR THIS TRANSACTION
07042 INVALID EXPIRY DATE FOR THIS TRANSACTION
07043 DUPLICATE NOTIFICATION FOR THIS DATE/PID/SET/NOTIFICATION TYPE
07060 SOURCE UIC MUST BE ASX CLEAR PID
07061 HOLDER TYPE MUST BE 'D'
07062 HOLDER STATUS IS NOT ACTIVE OR LOCKED
07063 CHES HOLDING STATUS MUST BE ACTIVE
07064 INCORRECT REASON FOR ASXCL TO REMOVE MARGIN COVER
07076 ACCOUNT MUST BE ENTERED FOR THIS TRANSACTION
07084 SUBPOSITION TYPE PARAMETER MUST BE PROVIDED FOR THIS REPORTING ID
07085 MARGIN SUBPOSN EXISTS FOR DIRECT HIN - HLD TYP CHNG REJECTED

* Indicates that only AMO can receive error code

8.6. Field Definitions

Account

Format	10 Characters
Definition	Indicates whether the collateral is covering a House or Client obligation. Currently, only House is valid.
Allowable Values	"HOUSE" – House Account

Account Id

Format	20 Characters
Definition	Identifies the account to which an allocation is to be made. The Account Id is known to the parties of the allocation.
Allowable Values	

Account Cancellation

Format	1 Character
Definition	Denotes that the nominated Bank Account is to be cancelled.
Allowable Values	'Y' = Yes Blank

Additional Foreign Parties

Format	1 Character
Definition	Indicates that there are more than 3 Foreign Tax Residents associated with an Account Type.
Allowable Values	'Y' = Yes 'N' = No

Account Name

Format	32 Characters
Definition	A name used to identify the Bank Account of an entity held by a financial institution. This is the standard APCA direct credit format.
Allowable Values	

Account Number

Format	9 Characters
Definition	A number used to identify the Bank Account of an entity held by a financial institution.
Allowable Values	

Account Type

Format	1 Character
Definition	Indicates the type of entity for regulatory foreign tax status determination.
Allowable Values	'C' = Company 'I' = Individual 'J' = Joint 'S' = Superannuation Fund (includes SMSF) 'T' = Trust

Account Purpose

Format	1 Character
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Definition	The purpose for which this Bank Account may be used by an issuer registry.
Allowable Values	'E' = Electronic Direct Credit

Accrual Number

Format	2 Numerics
Definition	Identifies the accrual number appended to the transaction id of the parent transaction to uniquely identify an accrual transaction created as a result of a diary adjustment.
Allowable Values	01 to 99

Address Details 1

Format	180 Character
Definition	Describes a residential, registered or trust address for foreign tax purposes.
Allowable Values	Refer <i>Section 8.2</i> of the <i>EIS</i> , or 'N/A'

Address Details 2

Format	180 Character
Definition	Describes a residential address for foreign tax purposes for additional parties to Account Type of 'Joint'.
Allowable Values	Refer <i>Section 8.2</i> of the <i>EIS</i> , or 'N/A'

Address Details 3

Format	180 Character
Definition	Describes a residential address for foreign tax purposes for additional parties to Account Type of 'Joint'.
Allowable Values	Refer <i>Section 8.2</i> of the <i>EIS</i> , or 'N/A'

Additional Reporting Parameter

Format	1 Character
Definition	The form of suppression required on a report request to restrict the output received.
Allowable Values	<p>'A' = Suppress Zero Balances</p> <p>'B' = Select Scheduled and Suspended Settlement Instructions Only</p> <p>The following values only apply when requesting a 'TSH' report:</p> <p>'1' = Top 100 Securityholders</p> <p>'2' = Top 200 Securityholders</p> <p>'3' = Top 300 Securityholders</p> <p>'4' = Top 400 Securityholders</p> <p>'5' = Top 500 Securityholders</p> <p>'6' = Top 600 Securityholders</p> <p>'7' = Top 700 Securityholders</p> <p>'8' = Top 800 Securityholders</p> <p>'9' = Top 900 Securityholders</p> <p>'0' = Top 1000 Securityholders</p> <p>'T' = For Chi-X use only</p>

Address Type

Format	1 Character
Definition	Indicates the type of address entered for foreign tax purposes. This depends on the Account Type.
Allowable Values	<p>'G' = Registered</p> <p>'R' = Residential</p> <p>'T' = Trust</p>

Additional Units

Format	18 Numerics, 6 decimal
Definition	The number of units described for Fund adjustments resulting from a DRP
Allowable Values	

Address

Format 90 Characters

Definition Destination of all communication with a CHESS user when using non-electronic means.

Allowable Values

Adjustment History Status

Format 1 Character

Definition The current status of an adjustment to a settlement instruction.

Allowable Values 'A' = Applied

'U' = Unmatched

Adjustment Reason

Format 1 Character

Definition The cause of an adjustment to a settlement instruction.

Allowable Values 'D' = Diary adjustment

'I' = Isolated counterparty

'P' = Participant request

Adjustment Units

Format 18 Numerics, 6 decimal

Definition The number of units described for Fund adjustments

Allowable Values

Advice Reason

Format	1 Character
Definition	Indicates the reason for the transmission of information.
Allowable Values	'A' = Reporting 'B' = Change of Controlling Participant 'C' = Archived Sub-Register

Advisor Code

Format	30 Characters
Definition	Identifies an Advisor by code.
Allowable Values	'N/A' is allowable.

Advisor Email

Format	50 Characters
Definition	An Email address specified for an Advisor.
Allowable Values	Must include an @ symbol, at least one full stop and no spaces. 'N/A' is allowable.

Advisor Mobile

Format	16 Character
Definition	The mobile phone number for an Advisor. Include mobile country code prefix where appropriate.
Allowable Values	'N/A' is allowable.

Advisor Name

Format	30 Characters
Definition	Identifies an Advisor by name.
Allowable Values	'N/A' is allowable.

AI Dividends Franked Amount Cash

Format	14 Numeric, 2 decimal
Definition	Australian Income: Total net cash amount for franked dividends. An ATO Tax Statement value.
Allowable Values	

AI Dividends Franked Amount Tax

Format	14 Numeric, 2 decimal
Definition	Australian Income: Total tax credit for franked dividends. An ATO Tax Statement value.
Allowable Values	

AI Dividends Franked Amount Taxable

Format	14 Numeric, 2 decimal
Definition	Australian Income: Total taxable amount for franked dividends. An ATO Tax Statement value.
Allowable Values	

AI Dividends Unfranked Amount Cash

Format 14 Numeric, 2 decimal

Definition Australian Income: Total net cash amount for unfranked dividends.
An ATO Tax Statement value.

Allowable Values

AI Dividends Unfranked Amount Taxable

Format 14 Numeric, 2 decimal

Definition Australian Income: Total taxable amount of unfranked dividends. An
ATO Tax Statement value.

Allowable Values

AI Interest Cash

Format 14 Numeric, 2 decimal

Definition Australian Income: Total net cash amount for interest paid. An ATO
Tax Statement value.

Allowable Values

AI Interest Taxable

Format 14 Numeric, 2 decimal

Definition Australian Income: Total taxable amount for interest paid. An ATO Tax Statement value.

Allowable Values

AI Non Primary Income Cash

Format 14 Numeric, 2 decimal

Definition Australian Income: Total net cash amount of non-primary income. An ATO Tax Statement value.

Allowable Values

AI Non Primary Income Taxable

Format 14 Numeric, 2 decimal

Definition Australian Income: Total taxable amount of non-primary income. An ATO Tax Statement value.

Allowable Values

AI Other Income Cash

Format 14 Numeric, 2 decimal

Definition Australian Income: Total net cash amount for other income paid.
Australian Income: Total net cash amount for other income paid.

Allowable Values

AI Other Income Taxable

Format 14 Numeric, 2 decimal

Definition Australian Income: Total taxable amount for other income paid. An ATO Tax Statement value.

Allowable Values

AI Trust Deductions Cash

Format 14 Numeric, 2 decimal

Definition Australian Income: Total net cash amount for trust related deductions. An ATO Tax Statement value.

Allowable Values

AI Trust Deductions Taxable

Format 14 Numeric, 2 decimal

Definition Australian Income: Total taxable amount for trust related deductions. An ATO Tax Statement value.

Allowable Values

Allocation Cancellation Level

Format	1 Character
Definition	Specifies the level of allocation cancellation that has been carried out by the sending fund/investment manager (usually an investment manager). The sending fund/investment manager can cancel a specific allocation or all allocations associated with a specific [block] trade.
Allowable Values	'C' = Cancellation of a specific allocation 'T' = Cancellation of all allocations associated with a specific [block] trade

Allocation Cancellation Timestamp

Format	22 Characters
Definition	Records the business date, processing date and processing time on which the sending UIC completes the processing of an allocation cancellation.
Allowable Values	(See Timestamp)

Allocation Quantity

Format	11 Numerics
Definition	The quantity of units of a security for a specific allocation.
Allowable Values	Greater or equal to zero (ie ≥ 0)

Allocation Status

Format	1 Character
Definition	Identifies the position of the allocation within the overall set of allocations for a specific block trade. An "intermediate" allocation refers to any allocation that is not the "final" allocation for that same block trade.
Allowable Values	'I' = Intermediate 'F' = Final

Allocation Timestamp

Format	22 Characters
Definition	Records the business date, processing date and processing time on which the sending UIC completes the processing of an allocation.
Allowable Values	(See Timestamp)

AML/CTF Check Flag

Format	1 Character
Definition	Indicates that the appropriate AML (Anti-Money Laundering) and CTF (Counter Terrorism Financing) checks have been conducted by the Sponsoring Participant
Allowable Values	'Y' = Yes 'N' = No

Amount

Format 14 Numerics, 2 decimal places
 Definition The dollar value of a transaction in CHESS.
 Allowable Values

Annual Capital Gain

Format 14 Numeric, 2 decimal
 Definition Total capital gain for the current financial year. An ATO Tax Statement value.
 Allowable Values

Annual Capital Gain Total

Format 14 Numeric, 2 decimal
 Definition Total capital gain for the current financial year. An ATO Tax Statement value.
 Allowable Values

APIR Code

Format 9 Characters
 Definition An independently assigned Code widely used within the Funds industry
 Allowable Values

Application APIR Code

Format 9 Characters
 Definition An independently assigned Code widely used within the Funds industry. May be used where a Switch request is initiated
 Allowable Values

Application Fund Code

Format 12 Characters
 Definition Identifies the fund that is the subject of an application request message sent between a user and ASX Clear. May be either an ISIN security code or an ASX code. On input, either is accepted, on output, the previously requested format is used.
 Allowable Values A valid ISIN code in the format prescribed by ISO Standard 6166; or a valid security code issued by ASX, left justified and followed by spaces.

Application Unit Pricing Date

Format 8 Numerics
 Definition The date on which a Product Issuer Settlement Participant will provide pricing details for Fund units requested via a Participant Fund application request.
 Allowable Values (Refer *Date* in *CHESS Message Field Formats –Existing*)

Application Product Name

Format 50 Characters
 Definition The name used to define a Fund targeted for Fund applications
 Allowable Values

Application Unit Price

Format 11 Numerics, 6 decimal
 Definition The unit price in cents of a Fund unit targeted for Fund applications
 Allowable Values

Application Units

Format 18 Numerics, 6 decimal
 Definition The number of units described for Fund applications.
 Allowable Values

Approved Timestamp

Format 22 Characters
 Definition The time that an SLS loan is recorded as approved in the CHESS database.
 Allowable Values (See Timestamp)

Approved Transaction Id

Format 16 Characters
 Definition The identifier of the transaction that approves a requested SLS loan.
 Allowable Values (See Transaction Id)

As At Date

Format 8 Numerics
 Definition Specifies the date on which a trade was transacted.
 Allowable Values (See Date)

As At Date Parameter

Format 8 Numerics
 Definition Specifies the date to which snapshot reporting relates, e.g. Holding Balance Reporting can be for a specified date in the past.
 Allowable Values (See Date)

Ask Price

Format 15 Numerics, 4 decimal places (expressed as cents to four decimal places).
 Definition The price in which someone is prepared to sell the security.
 Allowable Values

Ask Time

Format	6 Numerics
Definition	Actual time in which the Ask Price was generated.
Allowable Values	A valid time in the format of HHMMSS

Assessable Foreign Income

Format	14 Numeric, 2 decimal
Definition	Assessable foreign sourced income. An ATO Tax Statement value.
Allowable Values	

ASX Clear Account

Format	12 Characters
Definition	Identifies the ASX Clear account (first 10 characters) held by a clearing member of ASX Clearing Pty Ltd (ASX Clear) to enable ASX Clear to correctly record the collateral against an open position. (The final 2 characters are currently space filled.)
Allowable Values	

ASX Clearing Member

Format	6 Numerics
Definition	Unique identifier of a clearing member of ASX Clear Pty Ltd (ASX Clear).
Allowable Values	

ASX Clear Lodgement Number

Format	8 Characters
Definition	Identifies the ASX Clear Lodgement Number, to enable ASX Clear Pty Ltd (ASX Clear) to correctly record the collateral into an account of the clearing participant.
Allowable Values	<p>ASX Clear participants may use the following values:</p> <ul style="list-style-type: none"> • The pre-printed number appearing on the 'Notice of Lodgement/Withdrawal of Securities as Collateral' (C3) form. • The value 'P0000000' indicating pooled paperless collateral lodgement. • The value 'Pnnnnnnn' indicating numbered paperless collateral lodgement (where 'nnnnnnn' is a participant defined number). • No value, where no value is submitted, ASX Clear will assign an ASX Clear Lodgement Number.

Authorisation Reason

Format	10 Characters
Definition	Indicates why a participant funds obligation authorisation is being requested from a Payment provider.
Allowable values	'PRIMARY' 'FAILURE'

Authorisation Required

Format 1 Character

Definition Indicates if a Payment provider is required to explicitly authorise a participant funds obligation. Authorisation is required for any short participant funds obligation that is greater than any previous amount authorised.

Allowable values 'Y' = Yes 'N'
= No

Authorised Amount

Format 15 Signed Numerics, 2 decimal places

Definition The dollar value of funds that a payment provider intermediary has authorised for settlement and placed in escrow pending transfer.

Allowable values

Balance

Format 14 Numerics, 2 decimal

Definition The balance of each Cash Record held under the Net Position Record associated with the nominated Payment Facility. For RTGS.

Allowable Values

Balance Instruction

Format 1 Character

Definition Participant instruction stating how the balance of a certificated holding should be processed following the dematerialisation of a portion of a certificated holding.

Allowable Values 'H' = Hold
'I' = Issue

Bank Reference

Format 16 Characters

Definition A reference supplied by the Payment Provider for their own reference that is passed on to ASX Clear.

Allowable Values Any alphabetic, numeric or special characters

Bank UIC

Format 5 Numerics

Definition The PID of the Payment Provider acting on behalf of the participant.

Allowable Values (See PID)

Basis Of Movement 1

Format 2 Characters

Definition States the actual relationship of an effected securities movement to current corporate actions for the underlying security.

Allowable Values (See Override Basis of Movement 1)

Basis Of Movement 2

Format	2 Characters
Definition	States the actual relationship of an effected securities movement to current corporate actions for the underlying security.
Allowable Values	(See Override Basis of Movement 1)

Basis Of Movement 3

Format	2 Characters
Definition	States the actual relationship of an effected securities movement to current corporate actions for the underlying security.
Allowable Values	(See Override Basis of Movement 1)

Basis Of Movement 4

Format	2 Characters
Definition	States the actual relationship of an effected securities movement to current corporate actions for the underlying security.
Allowable Values	(See Override Basis of Movement 1)

Basis Of Movement 5

Format	2 Characters
Definition	States the actual relationship of an effected securities movement to current corporate actions for the underlying security.
Allowable Values	(See Override Basis of Movement 1)

Basis Of Quotation 1

Format	2 Characters
Definition	States the basis of quotation of a trade if effected outside the default basis of quotation.

Allowable Values

'CD'	Cum Dividend	'CL'	Call Due
'XD'	Ex Dividend	'CP'	Call Paid
'CR'	Cum Rights Issue	'CT'	Conditional Trading
'XR'	Ex Rights Issue	'NX'	New Ex Interest
'CB'	Cum Bonus Issue	'PA'	Protection Available
'XB'	Ex Bonus Issue	'PU'	Protection Unavailable
'CE'	Cum Entitlement	'RA'	Receiver Appointed
'XE'	Ex Entitlement	'RE'	Reconstructed
'CC'	Cum Capital return	'T1'	Trade Date Plus 1 Business Day
'XC'	Ex Capital return	'T2'	Trade Date Plus 2 Business Days
'CM'	Cum Premium return	'T3'	Trade Date Plus 3 Business Days
'XM'	Ex Premium return	'TA'	Trade Date Plus 10 Business Days
'CF'	Cum Takeover Offer	'TB'	Trade Date Plus 15 Business Days
'XF'	Ex Takeover Offer	'TC'	Trade Date Plus 20 Business Days
'CZ'	Cum Non Pro Rata Balance	'TD'	Trade Date Plus 25 Business Days
'XZ'	Ex Non Pro Rata Balance	'TE'	Trade Date Plus 30 or over Business Days
'CI'	Cum Interest		
'XI'	Ex Interest		
'CQ'	Cum Equal Access Buyback		
'XQ'	Ex Equal Access Buyback		

Basis Of Quotation 2

Format	2 Characters
Definition	States the actual relationship of a securities movement to current corporate actions for the underlying security.
Allowable Values	(See Basis of Quotation 1)

Basis Of Quotation 3

Format	2 Characters
Definition	States the actual relationship of a securities movement to current corporate actions for the underlying security.
Allowable Values	(See Basis of Quotation 1)

Basis Of Quotation 4

Format	2 Characters
Definition	States the actual relationship of a securities movement to current corporate actions for the underlying security.
Allowable Values	(See Basis of Quotation 1)

Basis Of Quotation 5

Format	2 Characters
Definition	States the actual relationship of a securities movement to current corporate actions for the underlying security.
Allowable Values	(See Basis of Quotation 1)

BIC

Format	12 Characters
Definition	SWIFT identification code for a payment provider, supplied upon submission of an authorisation request for creation of a client participant's Net Position Record. This value is used by CHES when submitting settlement requests to RITS for RTGS settlement.
Allowable Values	This field must comprise the following: chars 1-4 SWIFT allocated payment provider (financial institution) Code 5-6 Country Code 7-8 Location Code 9-11 Branch code or XXX if no branch code is defined 12 Space

Bid Price

Format	15 Numerics, 4 decimal places (expressed as cents to four decimal places)
Definition	The price in which someone is prepared to buy the security.
Allowable Values	

Bid Time

Format	6 Numerics
Definition	Actual time at which the Bid Price was generated.
Allowable Values	A valid time in the format of HHMMSS

Blocking Transaction Id

Format	16 Characters
Definition	The identifier of the transaction which blocks a Trade from the netting process.
Allowable Values	(See Transaction Id)

Brokerage

Format	14 Numerics, 2 decimal places
Definition	The dollar amount of the brokerage associated with a specific contract advice.
Allowable Values	

Broker/Non-Broker Indicator

Format	1 Character
Definition	Indicates if the CHESS participant involved in the intersubregister securities movement is a market or non-market participant.
Allowable Values	'B' = Broker 'N' = Non-Broker

BSB Number

Format	7 Characters
Definition	The Bank/State/Branch identifying the financial institution at which a bank account is held.
Allowable Values	Validated against official APCA BSB list. In the format NNN-NNN.

Buy/Sell Indicator

Format	1 Character
Definition	Indicates whether the transaction is for a "Buy" or "Sell."
Allowable Values	'B' = Buy 'S' = Sell

Buyer Id

Format	4 Numerics
Definition	Identifies the buying broker who made the trade.
Allowable Values	

Buyer Order Reference Number

Format	10 Characters
Definition	The buying brokers own reference for in-house processing of the trade (Example: relate a trade to a particular account or client).
Allowable Values	

Cancel Reason

Format	3 Characters
Definition	The transaction cancellation reason
Allowable Values	'OCF' = Order cannot be fulfilled

Cancellation Reason

Format	1 Character
Definition	The reason a settlement instruction has been cancelled.
Allowable Values	'A' = Invalid Trade – ASX Clear Discretion* 'C' = CHESSE housekeeping 'D' = Cancelled Diary Adjustment 'I' = Isolated counterparty 'P' = Participant request 'S' = ASX Trading System Cancellation 'T' = Invalid Trade Receipt Time* 'V' = Invalid Price Variance* 'W' = TBA* 'X' = TBA* 'Y' = TBA* 'Z' = TBA*

* Value applies to Approved Market Operator (other than ASX) only.

Cancellation Timestamp

Format	22 Characters
Definition	The time that the cancellation of an ETC transaction is recorded in the CHESSE Database.
Allowable Values	(See Timestamp)

Cancelled Timestamp

Format	22 Characters
Definition	The time that the cancellation of a transaction is recorded in the CHESSE database.
Allowable Values	(See Timestamp)

Cancelling Transaction Id

Format	16 Characters
Definition	The identifier of the transaction which cancels another transaction which has not been processed to completion.
Allowable Values	(See Transaction Id)

Capital Gain Discounted

Format	14 Numeric, 2 decimal
Definition	Total capital gain using a discounted method. An ATO Tax Statement value.
Allowable Values	

Capital Gain Other

Format 14 Numeric, 2 decimal

Definition Total capital gain using another method. An ATO Tax Statement value.

Allowable Values

Cash Account Identifier

Format 6 Numerics

Definition An RTGS related value which stands for the 35-char Cash Subrecord ID, and is only used in RTGS related participant messaging with CHES once the Cash Subrecord is set up. (This value allows for additional security in internal participant handling.) The Cash Account Identifier is unique to a payment facility; therefore, the Payment Facility ID is always required wherever the Cash Account Identifier is supplied.

Allowable Values

Cash Balance Bought Forward

Format 15 +/- Numeric, 2 decimals

Definition The cash balance prior to the previous settlement. Refer to Cash Balance Carried Forward.

Allowable Values

Cash Balance Carried Forward

Format 15 +/- Numeric, 2 decimals

Definition The cash balance prior to today's settlement. This is equal to the cash balance prior to yesterday's settlement plus or minus any cash flow resulting from settlement on the previous day.
Cash Balance Carried Forward = "Cash Balance Brought Forward" + "Cash Payment"

Allowable Values

Cash Payment / Withdrawal

Format 15 +/- Numeric, 2 decimals

Definition The cash flow resulting from the payment or withdrawal made in the previous settlement.

Allowable Values

Cash Subrecord ID

Format 35 Characters¹

Definition A value which stands for an actual account maintained for the Participant by the payment provider sponsoring the Participant to participate in RTGS settlement. This value is only used in Participant messaging with CHESS once, when the Cash Subrecord is set up. The Cash Subrecord ID must begin with the BSB (6 numeric). The remainder of the Cash Subrecord ID is composed of up to 29 characters. (Cash Account Identifier is the account reference used between the Participant and CHESS. Cash Subrecord ID is the account reference used between the payment provider and CHESS.)

Allowable Values

Cash Subrecord Type

Format 1 Character

Definition Identifies whether the Cash Subrecord is the default Cash Subrecord which CHESS will apply for buy RTGS transactions, or the default Cash Subrecord which CHESS will apply for sell RTGS transactions, or a nominated Cash Subrecord. A Cash Subrecord must be designated as either default, a common buy and sell default, or neither default. A maximum of one sell default type Cash Subrecord and one buy default type Cash Subrecord (or one common default) can be nominated for a given Net Position Record.

Allowable Values
 'B' = Default buy
 'C' = Common default: both buy and sell
 'N' = Nominated: neither buy nor sell
 'S' = Default sell

Certificate Number

Format 16 Characters

Definition The unique number of a certificate issued for a particular class of security, or the Held Balance Reference Number of a balance held at the issuer pursuant to a partial transfer, or the marking number of a marked transfer.

Allowable Values

Certificate Quantity

Format 11 Numerics

Definition The number of units in a security for which a certificate is issued or the held balance of a balance, held at the issuer, pursuant to a partial transfer, or the unit quantity on a marked transfer.

Allowable Values

¹ Currently RITS is limited to 19 characters only

CG Discount Cash

Format 14 Numeric, 2 decimal

Definition Capital Gain: Total net cash reductions made to the taxable Australian property component of capital gain. An ATO Tax Statement value.

Allowable Values

CG Discount Tax

Format 14 Numeric, 2 decimal

Definition Capital Gain: Total tax paid or tax offset reductions made to the taxable Australian property component of capital gain. An ATO Tax Statement value.

Allowable Values

CG Discount Taxable

Format 14 Numeric, 2 decimal

Definition Capital Gain: Total taxable reductions made to the taxable Australian property component of capital gain. An ATO Tax Statement value.

Allowable Values

CG Distributed Cash

Format 14 Numeric, 2 decimal

Definition Capital Gain: Total net cash payments made for distributions. An ATO Tax Statement value.

Allowable Values

CG Distributed Tax

Format 14 Numeric, 2 decimal

Definition Capital Gain: Total tax paid or tax offset made for distributions. An ATO Tax Statement value.

Allowable Values

CG Distributed Taxable

Format 14 Numeric, 2 decimal

Definition Capital Gain: Total taxable payments made for distributions. An ATO Tax Statement value.

Allowable Values

CG Net

Format 14 Numeric, 2 decimal

Definition Capital Gain: Total capital gain. An ATO Tax Statement value.

Allowable Values

CG Other Method Cash

Format 14 Numeric, 2 decimal

Definition Capital Gain: Total net cash reductions made to the taxable Australian property component of capital gain using neither the discount nor the indexation method. An ATO Tax Statement value.

Allowable Values

CG Other Method Tax

Format 14 Numeric, 2 decimal

Definition Capital Gain: Total tax paid or tax offset reductions made to the taxable Australian property component of capital gain using neither the discount nor the indexation method. An ATO Tax Statement value.

Allowable Values

CG Other Method Taxable

Format 14 Numeric, 2 decimal

Definition Capital Gain: Total taxable reductions made to the taxable Australian property component of capital gain using neither the discount nor the indexation method. An ATO Tax Statement value.

Allowable Values

CG Tax Concession Cash

Format 14 Numeric, 2 decimal

Definition Capital Gain: Total net cash concessions applicable to the taxable Australian property component of capital gain. An ATO Tax Statement value.

Allowable Values

CG Tax Concession Taxable

Format 14 Numeric, 2 decimal

Definition Capital Gain: Total taxable concessions applicable to the taxable Australian property component of capital gain. An ATO Tax Statement value.

Allowable Values

CGT Concession

Format 14 Numeric, 2 decimal

Definition The amount of CGT (Capital Gains Tax) concession. An ATO Tax Statement value.

Allowable Values

CHESS Event Type

Format 1 Character

Definition The type of event that has occurred during CHESS processing.

Allowable Values
 'N' = End of Netting Reporting
 'O' = End of Overnight Reporting
 'R' = End of Netting Reversal Reporting

'S' = End of Settlement Reporting
 'A' = Settlement Cut-Off extended to 11:45
 'B' = Settlement Cut-Off extended to 12:00
 'C' = Settlement Cut-Off extended to 12:15
 'D' = Settlement Cut-Off extended to 12:30
 'E' = Settlement Cut-Off extension to be advised

Change Cash Subrecord Reject Reason

Format 1 Character
 Definition The reason that a change to the attributes of a Cash Subrecord has been rejected by the Payment Provider.
 Allowable Values
 'A' = Cash Subrecord ID does not match Payment Provider for which Payment Facility ID is supplied
 'B' = Debit cap limit amount is incorrect
 'C' = Debit cap activity value is incorrect
 'D' = Cash Subrecord ID is incorrect
 'E' = Payment Facility ID is incorrect
 'F' = Exclusion Flag is incorrect for this Cash Subrecord
 'G' = Cannot nominate this Cash Subrecord as sell default
 'H' = Cannot nominate this Cash Subrecord as buy default

Closing Balance

Format 11 Numerics
 Definition The total unit quantity registered for a CHESS holding at the end of a processing day or at the end of a reporting period.
 Allowable Values

Closing Balance Date

Format 8 Numerics
 Definition Specifies the date on which the closing balance (total unit quantity registered for a CHESS holding) is based.
 Allowable Values (See Date)

Closing Fund Balance

Format 18 Numerics, 6 decimal
 Definition The total unit quantity registered for a CHESS Fund holding at the end of a processing day.
 Allowable Values

Collateral Rejected Reason

Format 1 Character

Definition Indicates the reason ASX Clear or the clearing participant has rejected a participant's request.

Allowable Values The following table identifies which Collateral Rejected Reason is applicable to which CHESS message. (for Derivatives Collateral)

Collateral Rejected Reason	609 Rejected Collateral ASX Clear	605 Collateral Rejection ASX Clear	109 CP Collateral Removal Rejection
'A' = As requested by Member	Y	Y	Y
'B' = Collateral previously withdrawn by Member	N	Y	Y
'C' = Invalid stock code	Y	Y	Y
'D' = Invalid sender PID	Y	Y	Y
'E' = Authorisation not in place for this account/HIN combination/s	Y	Y	N
'G' = Number of units for withdrawal is more than the available number of units lodged	N	Y	Y
'H' = Invalid HIN	Y	Y	Y
'I' = Invalid Account	Y	Y	Y
*'J' = The stock is not accepted as cover at the present time	Y	N	N
'K' = Invalid participant	Y	Y	N
'L' = Invalid Lodgement Number	Y	Y	Y
'M' = Incorrect message type sent by participant	Y	Y	N
'N' = Account or lodgement has been locked by ASX Clear	Y	Y	N
'O' = Original lodgement number cannot be found	Y	Y	Y
'P' = Account not set up for paperless processing	Y	N	N
'Q' = Collateral holding does not exist in this account	N	Y	Y
'R' = The stock has reached its maturity date	Y	N	N
'S' = Message not set to specific cover	Y	N	N
'T' = Lodgement of Super Fund stock into a Non Super Fund account not permitted	Y	N	N

Collateral Rejected Reason	609 Rejected Collateral ASX Clear	605 Collateral Rejection ASX Clear	109 CP Collateral Removal Rejection
'U' = Invalid number of units	Y	Y	Y
'Z' = Other	Y	Y	Y

*Collateral Rejected Reason Code "J" also utilised for a CMM Collateral Messages – MT878 Reduced margin Cover

Collateral Type

Format 3 Characters
Definition The type of collateral that is being lodged by the Clearing Participant.

Allowable Values "ACC" – ASX Collateral
"BKG" – Bank Guarantee
"CGS" – Commonwealth Government Security
"CSH" – Cash
"EQY" – Equity Collateral

(Note: Collateral type "BKG" is not accepted currently, but may be included as an acceptable value in a later phase of Cash Market Margining).

Collateral Value

Format 15 +/- Numeric, 2 decimals
Definition The value of the collateral determined by ASX Clear after a haircut is applied to the current Market Value.

Allowable Values

Condition Code 1

Format 2 Characters
Definition Indicates the condition under which the sale was effected.
Allowable Values

A1	Reserved for admittance of Approved Market Operator
A2	Reserved for admittance of Approved Market Operator
A3	Reserved for admittance of Approved Market Operator
A4	Reserved for admittance of Approved Market Operator
A5	Reserved for admittance of Approved Market Operator
A6	Reserved for admittance of Approved Market Operator
A7	Reserved for admittance of Approved Market Operator
A8	Reserved for admittance of Approved Market Operator
A9	Reserved for admittance of Approved Market Operator
AB	ASX Bookbuild
AM	ASX Match
BB	Bulletin Board Trade
BC	Preferred Any Price Block Trade
BK	Buy Back

BL	Blocked from Transaction Netting
BP	Booking Purposes Only
BT	Any Price Block Trade
BV	Book Value Switch
BW	Buy Write
BZ	Board Broker Sale
CM	Single Sided Combination
CP	Centre Point Preference Trade
CT	Combination Trade
CX	Centre Point Trade
DR	Directed Reporting
EC	Exercise of Call
EF	Delivery of a Future
EQ	Equity/Option Combination
EP	Exercise of Put
ET	EFT Special Trades
FD	Forward Delivery
FM	Foreign Market
IA	Interstate Accounting
LN	Loan
LR	Loan Return
LT	Late Trade - Post 5PM
L1	Late Trade - Book Squaring
L2	Late Trade - Hedging Trades
L3	Late Trade - Order Completion
L4	Late Trade - Error Rectification
L5	Late Trade - Put Through
MI	Market Information
NX	NBBO Crossing Trade Report
OC	OTC Contingent Equity Trade
OD	Overseas Delivery
OL	Odd Lot
ON	Overnight
OR	Overseas Resident (Foreign to Foreign)
OS	Overseas
PR	Prompt Re-booking
PS	Prompt Sale
P1	Put Through - \$1M
P2	Put Through - \$0.5M
SA	Special Crossing Sale
SH	Short
SM	SMSF Crossing Trade Report
SO	Other Special Sale
SP	Special - Derivatives
S1	Special - \$2.5M
S2	Special - \$1M
S3	Special - \$0.5M
ST	Price Stabilisation
SX	Special Sale Portfolio
TM	Tailor Made Combination
VM	Volume Match Trade
VW	VWAP Trade
XT	Crossed Trade

Condition Code 2

Format 2 Characters
 Definition Indicates the condition under which the sale was effected.
 Allowable Values (See Condition Code 1 A1 through to A9 will not be available for this field)

Condition Code 3

Format 2 Characters
 Definition Indicates the condition under which the sale was effected.
 Allowable Values (See Condition Code 1. A1 through to A9 will not be available for this field)

Condition Code 4

Format 2 Characters
 Definition Indicates the condition under which the sale was effected.
 Allowable Values (See Condition Code 1. A1 through to A9 will not be available for this field)

Condition Code 5

Format 2 Characters
 Definition Indicates the condition under which the sale was effected.
 Allowable Values (See Condition Code 1. A1 through to A9 will not be available for this field)

Condition Code 6

Format 2 Characters
 Definition Indicates the condition under which the sale was effected.
 Allowable Values (See Condition Code 1. A1 through to A9 will not be available for this field)

Condition Code 7

Format 2 Characters
 Definition Indicates the condition under which the sale was effected.
 Allowable Values (See Condition Code 1. A1 through to A9 will not be available for this field)

Condition Code 8

Format 2 Characters
 Definition Indicates the condition under which the sale was effected.
 Allowable Values (See Condition Code 1. A1 through to A9 will not be available for this field)

Contact

Format 30 Characters
 Definition Name of person or business unit within a participant organisation through which personal contact may be made.
 Allowable Values

Contact Reference

Format 16 Characters

Definition A contact value supplied by the participant. May be a name, phone, Email or other. This value may be used by Market Operations to contact the appropriate individual within the participant organisation.

Allowable Values

Contract Note Rejection Level

Format 1 Character

Definition Specifies the level of rejection that has been carried out by the sending fund/investment manager (usually an investment manager). The sending fund/investment manager can reject a specific contract or all contracts associated with a specific [block] trade.

Allowable Values 'C' = Rejection of a specific contract

'T' = Rejection of all contracts associated with a specific [block] trade

Contract Note Status

Format 1 Character

Definition Specifies the status of the contract note.

Allowable Values 'C' = Complete

'P' = Paper Contract Note to follow

Contract Note Timestamp

Format 22 Characters

Definition Records the business date, processing date and processing time on which the sending UIC completes the processing of a contract note advice.

Allowable Values (See Timestamp)

Controlling PID

Format 5 Numerics

Definition The PID of a participant which controls a CHESS holder.

Allowable Values (See PID)

Controlling PID Status

Format 1 Character

Definition The current status of a CHESS PID.

Allowable Values (See User Status)

Corporate Action Type Id

Format	2 Characters
Definition	Identifies a type of corporate action. Used in conjunction with Ex Date to identify a specific corporate action (eg., a dividend with an ex period commencing on the Ex Date).
Allowable Values	'CD' =Dividend 'CQ' =Equal Access Buyback 'CR' =Rights issue 'CB' = Bonus issue 'CE' = Entitlement 'CC' =Capital return 'CM' =Premium return 'CI' = Interest 'CZ' = Non Pro Rata Balance

Corporate Action Type Id Parameter

Format	2 Characters
Definition	Restricts the scope of a reporting request to a specific corporate action. Used in conjunction with Ex Date Parameter.
Allowable Values	(See Corporate Action Type Id)

Create Cash Subrecord Reject Reason

Format	1 Character
Definition	The reason that the creation of a Cash Subrecord has been rejected by the Payment Provider.
Allowable Values	'A' = Cash Subrecord ID does not match Payment Provider for which Payment Facility ID is supplied 'B' = Debit cap limit amount is incorrect 'C' = Debit cap activity value is incorrect 'D' = Cash Subrecord ID is incorrect 'E' = Payment Facility ID is incorrect 'F' = Exclusion Flag is incorrect for this Cash Subrecord 'G' = Cannot nominate this Cash Subrecord as sell default 'H' = Cannot nominate this Cash Subrecord as buy default

Credit Status

Format	1 Character
Definition	Identifies the client credit approval setting selected by the payment provider for all buy transactions on a given Cash Subrecord which are to be sent to the RBA (i.e. RITS) for RTGS settlement.
Allowable Values	'A' = Active 'D' = Deferred

Crossing Indicator

Format	1 Character
Definition	Indicates whether the transaction has been crossed.
Allowable Values	'C' = Crossed 'P' = Part-crossed

Currency

Format	3 Character
Definition	The collateral currency of denomination.
Allowable Values	"AUD" – Australian Dollars

Current or New Debit Cap Limit

Format	14 Numerics, 2 Decimal Places
Definition	Indicates current or new debit cap limit amount where a change to Net Position Record is required.
Allowable Values	

Current PDS Provided

Format	1 Character
Definition	Indicates that the investor has been provided with the latest copy of the fund PDS (Product Disclosure Statement), including any supplements, that have been lodged on the ASX website. Applies to all Application and Switch requests.
Allowable Values	'Y' = Yes 'N' = No 'I' = Not applicable

Date

Format	8 Numerics
Definition	Specifies the date that an entity was created in CHESS. An entity may be a transaction (eg. transfer) or a historical record (eg. holding daily balance).
Allowable Values	A valid date in the format YYYYMMDD, eg. 19930625

Date of Birth 1

Format	8 Numerics
Definition	Specifies the birth date of the requesting Fund investor.
Allowable Values	(See Date)

Date of Birth 2

Format	8 Numerics
Definition	Specifies the birth date of the requesting Fund investor.
Allowable Values	(See Date)

Date of Birth 3

Format	8 Numerics
Definition	Specifies the birth date of the requesting Fund investor.
Allowable Values	(See Date)

Date of Event

Format 8 Numerics
 Definition Specifies the date of the holder status change event.
 Allowable Values (see Date)

Dealer Group Name

Format 30 Characters
 Definition Identifies a Dealer Group by name.
 Allowable Values 'N/A' is allowable.

Dealer Group Advisor Code

Format 30 Characters
 Definition Identifies a Dealer Group Advisor by code.
 Allowable Values

Dealer Group Advisor Email

Format 50 Characters
 Definition An Email address specified for a Dealer Group Advisor.
 Allowable Values Must include an @ symbol, at least one full stop and no spaces

Dealer Group Advisor Name

Format 30 Characters
 Definition Identifies a Dealer Group Advisor by name.
 Allowable Values

Dealer Group AFSL Number

Format 10 Characters
 Definition Identifies a Dealer Group by their Dealer's AFSL (Australian Financial Services License) identification number.
 Allowable Values 'N/A' is allowable.

Debit Cap Activity Flag

Format 1 Character
 Definition Indicates whether the debit cap on a Net Position Record is active or inactive. This value is determined at set-up of a Net Position Record and may be modified from Active to Inactive or vice versa intraday. (Debit Cap Limit may be supplied whether the Debit Cap Activity Flag is Active or Inactive.)
 Allowable Values 'A' = Active
 'I' = Inactive

Debit Cap Exclusion Flag

Format 1 Character

Definition Identifies whether the Cash Subrecord is included or excluded from RTGS debit cap consideration when credit and debit amounts on transactions targeting this Cash Subrecord are added to available credit. Only effective where the debit cap is Active.

Allowable Values 'X' = Exclude
'I' = Include

Debit Cap Limit

Format 14 Numerics, 2 decimal

Definition The debit cap is an RTGS related structure which may be active or inactive on a Net Position Record. The debit cap limit is the net total amount of a participant's pending and finalised debits, and finalised credits, for transactions targeting a Cash Subrecord associated with that Net Position Record, which is not allowed to exceed the limit. This value is specified at the time of Net Position Record set-up, and may be modified intraday by the payment provider. Where this optional value is not specified at set up and the Debit Cap Activity Flag is subsequently set to 'A' (active), the default value is zero; i.e. the participant's transaction obligations may not fall into a debit amount. Zero is also an allowable value at set-up.

Allowable Values

Default Accumulation HIN

Format 10 Numerics

Definition Specifies the HIN that is used as the target of all 'Registry to CHES' transfers submitted by a participant that do not explicitly target another HIN. The HIN must be controlled by the participant.

Allowable Values (See HIN)

Default Demand HIN

Format 10 Numerics

Definition Specifies the HIN that is used as the target of all demand transactions (except 'Registry to CHES' transfers) submitted by a participant that do not explicitly target another HIN. The HIN must be controlled by the participant.

Allowable Values (See HIN)

Default Payment Facility Indicator

Format 1 Character

Definition Indicates if a payment facility is used as a default for all of a participant's funds obligations in a settlement process apart from any specific payment facility.

Allowable Values 'Y' = Yes
'N' = No

Default Settlement HIN

Format	10 Numerics
Definition	Specifies the HIN that is used as the target of all settlement instructions submitted by a participant that do not explicitly target another HIN. The HIN must be controlled by the participant or their Master user.
Allowable Values	(See HIN)

Delivering HIN

Format	10 Numerics
Definition	The HIN of the holder who is the registered owner of the holding from which the securities are to be delivered. Defaults to the participant's default settlement HIN.
Allowable Values	(See HIN)

Delivering Net Movement Transaction Id

Format	16 Characters
Definition	The identifier of the transaction which transferred securities to a holding via the settlement process.
Allowable Values	(See Transaction Id)

Delivering PID

Format	5 Numerics
Definition	The PID of the participant who controls the delivering HIN of a securities movement.
Allowable Values	(See PID)

Delivering Registration Details

Format	180 Characters
Definition	The details under which the securities involved in a movement are currently registered.
Allowable Values	(See Registration Details)

Delivering Supplementary Reference

Format	16 Characters
Definition	The supplementary reference provided by the delivering participant.
Allowable Values	

Deliver/Receive Units Indicator

Format	1 Character
Definition	Identifies whether the party that is the <i>target</i> (eg as specified in the associated ETC Target UIC) of this message is to deliver or receive a specified number of securities.
Allowable Values	'D' = Target entity is to deliver securities 'R' = Target entity is to receive securities

Destination Settlement System

Format 1 Character

Definition Identifies the settlement system to which a scheduled settlement instruction is to be 'switched' from its current settlement system. Examples are net batch processing, and Real Time Gross Settlement (RTGS) settlement systems within CHESS.

Allowable Values 'N' = Net batch processing
'R' = RTGS

Diary Adjustment Number

Format 1 Numeric

Definition The identifier of the diary adjustment within a corporate action which caused a settlement instruction to be adjusted.

Allowable Values

Diary Adjustment Type

Format 1 Character

Definition Indicate the type of corporate action.

Allowable Values 'A' = Code Change
'B' = Bonus
'C' = Consolidation
'D' = Dividend
'E' = Non-Renounceable
'I' = Interest Payment
'L' = Call
'M' = Application Money - General
'R' = Renounceable
'S' = Share Split
'T' = Capital Return

Dispatch Date

Format 8 Numerics

Definition Identifies the nominated despatch date for a corporate action.

Allowable Values (See Date)

Distinct Portion Indicator

Format 1 Character

Definition An indicator to be used in the acceptance of a takeover offer stating that the number of securities covered by the acceptance relates to a distinct portion of a security holding within the terms of Section 650(3) of the Corporations Law. The distinct portion indicator is to be used when an offeror makes a takeover bid for securities in a security holder's name and the security holder holds the securities on behalf of one or more persons and only one or some of those persons wish to accept the offer.

Defaults to 'N'.

Allowable Values 'Y' = Yes (This acceptance relates to a distinct portion)
'N' = No

Distribution Amount Per Unit

Format	11 Numerics, 6 decimal
Definition	The amount in cents that will be applied to each Fund unit held by a holder as the result of a Fund distribution payment.
Allowable Values	

Distribution Notification Flag

Format	1 Character
Definition	The state of the Distribution Advice; whether it is the initial advice or a subsequent amended advice.
Allowable Values	'I' = Initial 'A' = Amendment

DRP Preference

Format	1 Character
Definition	Indicates that the investor has selected dividend reinvestment (Y) or cash (Y) for their entitlements.
Allowable Values	'Y' = Yes 'N' = No

Duty Payable

Format	14 Numerics, 2 decimal places
Definition	The amount of duty payable for an off-market transfer as calculated by the liable participant.
Allowable Values	

Duty Type

Format	1 Character
Definition	Identifies the duty category of an off-market transfer.
Allowable Values	'A' = Ad Valorem 'C' = Concessional - NCBO 'D' = Concessional - other 'E' = Exempt - Entrepot Account Transfer 'F' = Exempt - Charitable Body 'G' = Exempt - Government Body 'K' = Exempt - Duty Paid 'L' = Exempt - Stock Loan 'M' = Exempt - Stock Loan Return 'N' = Exempt - NCBO 'O' = Exempt - other 'P' = Exempt - Passing Entitlement following the Parent Shares 'R' = Reversal

DvP Declaration Timestamp

Format	22 Characters
Definition	The time that the CHESSE Clearing payment provider declares that the interbank payments occur.
Allowable Values	(See Timestamp)

Effected Timestamp

Format	22 Characters
Definition	The time that a holding transaction is applied to a CHESSE holding and is recorded in the CHESSE database.
Allowable Values	(See Timestamp)

Email Address

Format	50 Characters
Definition	An Email address specified for a HIN.
Allowable Values	Must include an @ symbol, at least one full stop and no spaces

Email Cancellation

Format	1 Character
Definition	Indicates that the existing Email Address must be removed from the Holder's record.
Allowable Values	'Y' = Yes Blank

Email Purpose

Format	1 Character
Definition	The categories of communications that a Holder may indicate a preference to receive by delivery to an email address.
Allowable Values	'A' = Preference for electronic communications from ASX Settlement and Issuers 'B' - Preference for electronic communications from ASX Settlement only 'C' = Preference for electronic communications from Issuers only

End Date Parameter

Format	8 Numerics
Definition	Restricts reporting to transactions processed to the required status on or before this date, eg. if the reporting relates to settled settlement Instructions, all settlement instructions which have been settled on or before the specified date are included.
Allowable Values	(See Date)

Entitlement Balance

Format	11 Numerics
Definition	The number of units of a security for which the holder is eligible to receive entitlements in respect of a specific corporate action.
Allowable Values	

Entity Type

Format	1 Character
Definition	Specifies the type of entity for Foreign Tax purposes.
Allowable Values	'A' = Active NFE 'F' = Financial Institution 'P' = Passive NFE

Entrepot Type

Format	1 Character
Definition	Defines a type of entrepot holding.
Allowable Values	'A' = Accumulation 'P' = Payment 'S' = Settlement

ESA Status

Format	1 Character
Definition	Identifies the liquidity approval setting selected by the payment provider for all buy transactions on a given Cash Subrecord which are to be sent to the RBA (i.e. RITS) for RTGS settlement.
Allowable Values	'A' = Active 'D' = Deferred

Exchange

Format	3 Characters
Definition	The listed exchange for the associated security code
Allowable values	'APX' – Asia Pacific Exchange Limited 'ASX' – Australian Securities Exchange Limited 'CXA' – Chi-X Australia Limited 'NSX' – National Stock Exchange 'SIM' – Sim Venture Securities Exchange Limited

Ex Date

Format	8 Numerics
Definition	The date from which the holder of a security retains their rights to benefits associated with owning the security.
Allowable Values	(See Date)

Ex Date Parameter

Format	8 Numerics
Definition	Restricts the scope of a reporting request to a specific corporate action. Used in conjunction with Corporate Action Type Id Parameter.
Allowable Values	(See Date)

Excess Cash Standing Instruction

Format	15 +/- Numeric, 2 decimal
Definition	Indicates the Excess Cash Standing Instruction the Participant has/would like at ASX Clear.
Allowable Values	CHESS will only accept a positive value for this message field

Excess / Shortage

Format 15 +/- Numeric,2 decimal

Definition The excess that is available for withdrawal or the shortage must be covered in a cash deposit.

Only excess cash can be withdrawn as part of settlement. Non-cash collateral can only be withdrawn outside of the settlement process.

"Excess / Shortage" = "Cash Balance Carried Forward" + "Utilised Non-Cash Collateral" – "Initial Margin" – "Other Margin"

ETC Rejection Reason

Format 2 Characters

Definition Identifies the reason for the rejection of a specific transaction.

Allowable Values

'A'	= Other (ie not covered by reason in remainder of list)
'B'	= Trade Date
'C'	= Settlement Date
'D'	= Security Code
'E'	= Buy/Sell Indicator
'F'	= Unit Quantity
'G'	= Unit Price
'H'	= Trade Value
'I'	= Brokerage
'J'	= Duty Payable
'K'	= Settlement Value
'L'	= Account Id
'M'	= Condition Code(s)
'N'	= Basis of Quote(s)
'O'	= Name and Address details
'P'	= Contract Note Status
'Q'	= Crossing Indicator
'R'	= Principal Indicator
'S'	= Settlement Matching Date
'T'	= Other Amount
'U'	= Invalid Foreign Guarantee

ETC Settlement Cancel Timestamp

Format 22 Characters

Definition Records the business date, processing date and processing time on which the sending UIC completes the processing of an ETC settlement cancellation advice.

Allowable Values (See Timestamp)

ETC Settlement Date

Format 8 Numerics

Definition Identifies the settlement date of the ETC transaction. Must be included for all transactions for which the settlement date is known.

Allowable Values (See Date)

ETC Source UIC

Format

5 Numerics

Definition

Identifies the CHESS user that initiated the type of transaction with which it is associated.

Allowable Values

ETC Target UIC

Format

5 Numerics

Definition

Identifies the CHESS user that is the target of the transaction with which it is associated.

Allowable Values

Expiry Date

Format

8 Numerics

Definition

Date of expiry for some types of non-cash collateral (e.g. CGS has an expiry date).

Allowable Values

Face Value

Format

14 Numerics, 2 decimals

Definition

Date of expiry for some types of non-cash collateral (e.g. CGS has an expiry date).

Allowable Values

Failing Unit Quantity

Format

11 Numerics

Definition

The number of units that have not been delivered for a given settlement instruction.

Allowable Values

FATCA Questions

Format

1 Character

Definition

Indicates that the appropriate FATCA checks have been conducted by the Sponsoring Participant

Allowable Values

1 = Not Applicable

2 = US Citizen or US Tax Resident

3 = Entity other than a Superannuation Fund

Fees

Format

15 Numerics, 2 decimal

Definition

The total fee that is to be levied against this request by the Product Issuer Settlement Participant. Applies to both Fund redemptions and distributions

Allowable Values

FI Assessable Income Cash

Format 14 Numeric, 2 decimal

Definition Foreign Income: Total net cash amount for foreign sourced income. An ATO Tax Statement value.

Allowable Values

FI Assessable Income Tax

Format 14 Numeric, 2 decimal

Definition Foreign Income: Total tax paid or tax offset for foreign sourced income. An ATO Tax Statement value.

Allowable Values

FI Assessable Income Taxable

Format 14 Numeric, 2 decimal

Definition Foreign Income: Total taxable amount for foreign sourced income. An ATO Tax Statement value.

Allowable Values

FI Cash Distribution Cash

Format 14 Numeric, 2 decimal

Definition Foreign Income: Total net cash amount for cash distributions. An ATO Tax Statement value.

Allowable Values

FI Cash Distribution Cash Sub-Total

Format 14 Numeric, 2 decimal

Definition Sub-Total: Total net cash amount for Data Reference items (10a, 15a, 19a, 22a). An ATO Tax Statement value.

Allowable Values

FI Cash Distribution Tax

Format 14 Numeric, 2 decimal

Definition Foreign Income: Total tax paid or tax offset for cash distributions. An ATO Tax Statement value.

Allowable Values

FI Code

Format 3 Characters

Definition The code of the financial institution at which a Bank Account is held.

Allowable Values

First Listed Date

Format 8 Numerics

Definition The date in which a security starts official quotation.

Allowable Values (See Date)

First Trade Price

Format 15 Numerics, 4 decimal places (expressed as cents to four decimal places).

Definition The price of the first on market trade for current business day for the specified security.

Allowable Values

Foreign Country Code (1A - 3C)

Format 3 Character

Definition Identifies the country of the foreign tax residency.

Allowable Values 3 Character ISO Country Code.

Foreign Income Tax Offsets

Format 14 Numeric, 2 decimal

Definition Foreign sourced income tax offsets. An ATO Tax Statement value.

Allowable Values

Foreign Person Date of Birth 1

Format 8 Numeric

Definition Specifies the birth date of a Foreign Tax Resident.

Allowable Values (See Date)

Foreign Person Date of Birth 2

Format 8 Numeric

Definition Specifies the birth date of a Foreign Tax Resident.

Allowable Values (See Date)

Foreign Person Date of Birth 3

Format 8 Numeric

Definition Specifies the birth date of a Foreign Tax Resident.

Allowable Values (See Date)

Foreign Person 1

Format 1 Character

Definition Identifies an investor as foreign for tax reporting purposes.

Allowable Values 'Y' = Yes
'N' = No

Foreign Person 2

Format 1 Character

Definition Identifies an investor as foreign for tax reporting purposes.

Allowable Values 'Y' = Yes
'N' = No

Foreign Person 3

Format 1 Character

Definition Identifies an investor as foreign for tax reporting purposes.

Allowable Values 'Y' = Yes
'N' = No

Foreign Residency Indicator

Format 1 Character

Definition Identifies where a party to an Account Type is foreign.

Allowable Values 'Y' = Yes
'N' = No

Foreign TIN (1A - 3C)

Format 30 Character

Definition Identifies the foreign tax identifier (TIN). Note that TIN is used here as a generic term for any Tax File Identifier assigned within any foreign jurisdiction.

Franking Credit

Format 14 Numeric, 2 decimal

Definition Share of franking credit from franked dividends. An ATO Tax Statement value.

Full Redemption

Format 1 Character

Definition Indicates that the investor requests redemption of all units.

Allowable Values 'Y' = Yes

'N' = No

Full Transfer

Format 1 Character

Definition Identifies where an investor has requested that the holding be transferred in full (Y) or in part (N).

Allowable Values 'Y' = Yes

'N' = No

Full Switch

Format 1 Character

Definition Indicates that the investor requests to switch all units to another nominated fund.

Allowable Values 'Y' = Yes

'N' = No

Fund Amount

Format 15 Signed Numerics, 2 decimal places

Definition The dollar value of a fund transaction in CHESS.

Allowable Values The sign is always shown as positive ('+').

Fund Code

Format 12 Characters

Definition Identifies the fund that is the subject of a message sent between a user and ASX Clear. May be either an ISIN security code or an ASX code. On input, either is accepted, on output, the previously requested format is used.

Allowable Values A valid ISIN code in the format prescribed by ISO Standard 6166; or a valid security code issued by ASX, left justified and followed by spaces.

Fund Holding Balance

Format 18 Numerics, 6 decimal
 Definition The unit balance of a holding in a particular fund.
 Allowable Values

Fund Movement from Registry

Format 18 Numerics, 6 decimal
 Definition The total units added to a CHESS Fund holding during a business day as a result of authorised transactions.
 Allowable Values

Fund Movement to Registry

Format 18 Numerics, 6 decimal
 Definition The total units subtracted from a CHESS Fund holding during a business day as a result of authorised transactions.
 Allowable Values

Fund Net Offs

Format 18 Numerics, 6 decimal
 Definition The total unit quantity of holding transactions effected during the processing day that reduced the CHESS Fund holding balance.
 Allowable Values

Fund Net Ons

Format 18 Numerics, 6 decimal
 Definition The total unit quantity of holding transactions effected during the processing day that increased the CHESS Fund holding balance.
 Allowable Values

Fund Reason

Format 3 Characters
 Definition The transaction type underlying the request.
 Allowable Values
 'ADJ' = Miscellaneous Adjustment
 'APP' = Application
 'DRP' = Distribution Re-Investment Plan
 'ORP' = Order Reapply
 'ORV' = Order Reversal
 'RED' = Redemption
 'RPP' = Regular Payment Plan
 'SWI' = Switch

Gross Distribution Amount

Format 12 Numerics, 2 decimal places
 Definition The gross dollar value of a Fund distribution in CHESS.
 Allowable Values

Gross DRP Amount

Format 15 Numerics, 2 decimal places
 Definition The gross dollar value of a Fund dividend reinvestment in CHES.
 Allowable Values

Gross Income Amount

Format 15 Numeric, 2 decimal
 Definition The gross dollar value of an income distribution in CHES.
 Allowable Values

Guaranteed Foreign Closing Balance

Format 11 Numerics
 Definition The total foreign guaranteed unit quantity registered for a CHES foreign holding at the end of a processing day. Applicable only for securities subject to CHES foreign ownership restrictions.
 Allowable Values

Guaranteed Foreign Indicator

Format 2 Characters
 Definition Indicates whether the transaction is to be processed as a foreign to foreign transaction for securities subject to CHES foreign ownership restrictions.
 Allowable Values 'OR' = Overseas Resident (Foreign to Foreign)

Guaranteed Foreign Net Offs

Format 11 Numerics
 Definition The total foreign guaranteed unit quantity of holding transactions effected during the day that reduced the CHES foreign holding balance. Applicable only to securities subject to CHES foreign ownership restrictions.
 Allowable Values

Guaranteed Foreign Net Ons

Format 11 Numerics
 Definition The total foreign guaranteed unit quantity of holding transactions that have been effected during the processing day that increased the CHES foreign holding. Applicable only to securities subject to CHES foreign ownership restrictions.
 Allowable Values

Guaranteed Movement from Registry

Format 11 Numerics

Definition The total foreign guaranteed units added to a CHESS holding or a Cum Entitlement balance during a business day as a result of an authorised registry transaction. Authorised registry transactions that can cause an increase are :

- an authorisation for a registry/CHESS movement; and
- a holding adjustment.

Applicable only for securities subject to CHESS foreign ownership restrictions.

Allowable Values

Guaranteed Movement to Registry

Format 11 Numerics

Definition The total foreign guaranteed units subtracted from a CHESS holding or a Cum Entitlement balance during a business day as a result of an authorised registry transaction or a CHESS/registry movement. Authorised registry transactions that can cause a decrease are :

- an authorisation for a registry/CHESS movement; and
- a holding adjustment.

Applicable only for securities subject to CHESS foreign ownership restrictions.

Allowable Values

Haircut Rate

Format 11 Numerics, 6 decimals

Definition The haircut rate that has been applied by ASX Clear in the calculation of the collateral value.

Allowable Values

Highest Trade Price

Format 15 Numerics, 4 decimal places (expressed as cents to four decimal places).

Definition The highest price at which the security has been sold on the market for the current business day.

Allowable Values

HIN

Format 10 Numerics

Definition **Holder Identification Number.** Uniquely identifies a holder within CHESS. A holder may be associated with more than one HIN, eg. if sponsored by several brokers. Defaults to one of the participant's default HINs depending on the message type, where the HIN is optional (and not specified) on a message.

Allowable Values Any value allocated by ASX as an identifier of a holder

HIN Parameter

Format	10 Numerics
Definition	Restricts the scope of a reporting request to a specific holder.
Allowable Values	(See HIN)

Holder DRP Preference

Format	1 Character
Definition	Investor election for Distribution Reinvestment Plan (DRP).
Allowable Values	'F' = Full 'P' = Partial 'N' = No

Holder Lock Release Reason

Format	1 Character
Definition	Indicates the reason for a request to change the status of a holder.
Allowable Values	'A' = Annulment 'G' = Grant of Probate or Letters of Administration 'L' = Legal Trustee request 'N' = No holdings 'S' = Small Estate Statement and Indemnity 'T' = Transfer Indemnity Bond

Holder RPP Preference

Format	1 Character
Definition	Investor preference for Regular Payment Plan (RPP).
Allowable Values	SPACE only

Holder Status

Format	1 Character
Definition	Identifies the current status of a CHESS holder. Determines the transactions permitted on the holders' CHESS holdings.
Allowable Values	'A' = Normal 'B' = Notified as bankrupt - Holder Locked 'C' = Cancelled 'D' = Notified as deceased - Holder Locked 'E' = Bankrupt - Holder Lock released 'H' = Non-specific Holder Lock 'L' = Holding Lock applied (<i>512-Holding Registration Details only</i>) 'M' = Holding Lock released (<i>512-Holding Registration Details only</i>) 'O' = Subject of Court Order - Holder Locked 'R' = Deceased - Holder Lock released 'U' = Unauthorised 'X' = Action by ASX Clear - Holder Locked

Holder Status Change

Format	1 Character
Definition	Indicates the required status of a holder and the reason for that status change.
Allowable Values	'B' = Notified as Bankrupt - Lock Holder 'C' = Cancel Holder 'D' = Notified as Deceased - Lock Holder 'E' = Bankrupt - Release Holder Lock 'R' = Deceased - Release Holder Lock 'Y' = Error - Release Holder Lock

Holder Type

Format	1 Character
Definition	Defines the type of CHESS holder. Determines the service that CHESS provides for the holder.
Allowable Values	'D' = Direct 'E' = Entrepot 'S' = Sponsored

Holding Balance

Format	11 Numerics
Definition	The unit balance of a holding.
Allowable Values	

Holding Balance Timestamp

Format	22 Characters
Definition	The time at which the registry interrogated its records to retrieve the issuer sponsored holding balance.
Allowable Values	(See Timestamp)

Holding Transaction Status

Format	1 Character
Definition	Identifies the current status of a securities movement in CHESS.
Allowable Values	'A' = Unauthorised 'C' = Cancelled 'E' = Effected 'R' = Rejected 'U' = Unmatched

Hold Reason

Format	3 Characters
Definition	Indicates the reason that the Product Issuer Settlement Participant has for not effecting a request from a Participant. This is not a rejection, the request will be effected at a later date.
Allowable Values	'FCR' = Fund is currently closed for redemptions

Income

Format	15 Numerics, 2 decimal places
Definition	The designated value of income.
Allowable Values	

Income Amount per Unit

Format 11 Numeric, 6 decimal
 Definition The amount in cents that will be applied to each unit held by a holder as the result of an income distribution payment.
 Allowable Values

Income Notification Flag

Format 1 Character
 Definition The state of the Income Statement Advice; whether it is the advice or a subsequent amended advice.
 Allowable Values 'I' = Income Statement
 'A' = Amendment to an Income Statement

Income Statement Cancellation

Format 1 Character
 Definition Allows a regular standing Income Statement Report request, based on the selected Income Statement Report Type, to be cancelled.
 Allowable Values 'Y' = Yes
 Space

Income Statement Report Frequency

Format 1 Character
 Definition Identifies whether the income statement details are to be provided as an on-demand report or as a regular standing report. The regular standing option applies to periodic income statements only. Where a regular standing report is nominated, the frequency is dependant on the PISP/registry offering.
 Allowable Values 'A' = On-demand
 'S' = Standing

Income Statement Report Type

Format 1 Character
 Definition Identifies the type of income statement requested.
 Allowable Values 'A' = Annual
 'P' = Periodic

Income Statement Status

Format 1 Character
 Definition Identifies whether the annual income statement details provided are Provisional or Final.
 Allowable Values 'F' = Final
 'P' = Provisional

Increase or Decrease

Format	1 Character
Definition	Indicates if the unit quantity is an addition or removal of securities from a CHESS holding.
Allowable Values	'+' = Increase '-' = Decrease

Increasing Holding Balance

Format	11 Numerics
Definition	The resulting unit balance of the holding which is increased following the application of a registry transaction.
Allowable Values	

Increasing Security Code

Format	12 Characters
Definition	The code of the security that, along with the HIN, identifies the CHESS holding that is to be increased following the application of a registry transaction.
Allowable Values	(See Security Code)

Increasing Unit Quantity

Format	11 Numerics
Definition	The unit quantity that is to be added to a CHESS holding following the application of a registry transaction.
Allowable Values	

Initial Application

Format	1 Character
Definition	Indicates, for an AMFS Application request, whether the investor is applying for units in a specified fund for the first time.
Allowable Values	'Y' = Yes 'N' = No

Initial Margin

Format	15 +/- Numeric, 2 decimals
Definition	The margin obligation due for settlement that day, as calculated by Cash Market Margining Methodology.
Allowable Values	

Initial Timestamp

Format	22 Characters
Definition	The time at which a transaction which requires an action by another party before it can be processed to completion is first recorded in the CHESS database.
Allowable Values	(See Timestamp)

Initiator Name and Address

Format	180 Characters
Definition	Name and address details of the initiator of the contract note.
Allowable Values	

Interest Amount

Format	15 +/- Numeric, 2 decimals
Definition	The calculated interest amount.
Allowable Values	

Interest Description

Format	129 Characters
Definition	A description applying to interest.
Allowable Values	This field allows the input of free text.

Some examples of Interest Descriptions may include descriptions similar to the following:

“Excess Cash”

“Cash used to cover AUD IM”

“Adjustment applied due to incorrect values previously being notified”.

Interest Rate

Format	13 +/- Numeric, 5 decimals
Definition	The interest rate applied in the calculation of an interest amount, for example, interest on excess cash balances.
Allowable Values	As defined in section 10.8.4

Invalid Message Header

Format	32 Characters
Definition	The fixed header section of an unprocessable message. The fixed header consists of Length to Message Identifier inclusive as defined in section 10.5.3. From this the user can extract the MSN (as defined in section 10.8.3) and locate and examine the offending message in his own system.
Allowable Values	

Invalid Reason

Format	5 Numerics
Definition	The reason code explaining the nature of the error which made the user's message unprocessable.
Allowable Values	As defined in section 10.8.4

Investor Email Address

Format	50 Character
Definition	An Email address specified for an investor.
Allowable Values	'N/A' is allowable. (See Email Address)

Investor Email Purpose

Format	1 Character
Definition	The categories of communications that a Holder may indicate a preference to receive by delivery to an email address.
Allowable Values	(See Email Purpose)

Investor Mobile Contact

Format	16 Character
Definition	The mobile phone number for the investor. Include country code where appropriate.
Allowable Values	'N/A' is allowable.

ISIN

Format	12 Characters
Definition	The International Security Identification Number is a unique identifier for certain securities.
Allowable Values	

Issue

Format	20 Characters
Definition	The name of the Issue (e.g. BHP, APR12-COL).
Allowable Values	

Issuer

Format	20 Character
Definition	The name of the Issuer, where applicable (e.g. RBA).
Allowable Values	

Last Date Traded

Format	8 Numerics
Definition	Indicates the date the last on-market price was captured.
Allowable Values	(See Date)

Last Listed Date

Format	8 Numerics
Definition	The date in which the security ceases official quotation
Allowable Values	(See Date)

Last Trade Price

Format	15 Numerics, 6 decimal places (expressed as cents to four decimal places).
Definition	The last price at which a sale occurred for specified security.
Allowable Values	

Last Traded Time

Format	6 Numerics
Definition	Indicates the time the last on-market price was captured.
Allowable Values	A valid time in the format of HHMMSS

Levy Type

Format	1 Character
Definition	The type of levy charged to the user.
Allowable Values	'A' = Settlement Fail - Net Batch 'B' = RTGS Settlement Fail 'C' = Reserved for future use 'D' = Reserved for future use 'E' = Reserved for future use

Levy Value

Format	15 Signed Numerics, 2 decimal places
Definition	The GST exclusive dollar value of the levy charged to the user.
Allowable Values	

Linking Transaction Id

Format	16 Character
Definition	mFund specific. When used in an 'Initial' application request this field equals the Transaction Id of the MT726 Application Request. When used in a 'Subsequent' application request or as a standalone request this field equals the Transaction Id of the requesting message. When used in a transfer request this value is the Transaction Id of the originating MT851 CHESS To CHESS Fund Transfer Request.
Allowable Values	Refer <i>Section 8.6</i> of the <i>EIS</i> – Transaction Id.

Loan Consideration

Format	15 Signed Numerics, 2 decimals
Definition	The consideration provided for an SLS loan. The consideration includes any additional margins called during the period of the loan.
Allowable Values	

Loan Transaction Id

Format	16 Characters
Definition	The identifier of an SLS loan. The Transaction Id of the message that initially requests the SLS loan is used as the Loan Transaction Id.
Allowable Values	(See Transaction Id)

Location of Register

Format	3 Characters
Definition	Identifies the non-CHESS register on which the participant wishes the securities to be registered or on which they are currently registered.
Allowable Values	'NSW', 'VIC', 'QLD', 'SA', 'WA', 'TAS', 'ACT', 'NT' All country codes;

(Refer Section 8.4 Country Codes and Descriptions)

Lodgement Date

Format 8 Numerics,
Definition The date that the collateral was effectively lodged with ASX Clear.
Allowable Values

Lowest Trade Price

Format 15 Numerics, 4 decimal places (expressed as cents to four decimal places).
Definition The lowest price at which the security has been traded on the market for the current business day.
Allowable Values

Margin Lender

Format 1 Character
Definition Indicates to ASX Clear that the securities lodged as collateral are subject to a margin lender agreement.
Allowable Values 'Y' = Yes
'N' = No
Space = No (default)

Margin Payment Date

Format 8 Numerics
 Definition The date in which the margin is to be settled.
 Allowable Values

Market

Format 3 Characters
 Definition The Market to which the information relates.
 Allowable Values "CMM" – ASX Clear(Cash)

Marking Number

Format 16 Characters
 Definition Reference number of a held balance on a certificated subregister. Allocated by the registry when a partial transfer of securities occurs. (Also called Held Balance Reference Number in ASX Business Rules and industry procedures.)
 Allowable Values

Market Value

Format 14 Numeric, 2 decimals
 Definition The current market value of the collateral.
 Allowable Values

Master UIC

Format 5 Numerics
 Definition Identifies a CHESS user to which another CHESS user is related. CHESS users with the same Master UIC are considered to be related to each other through the Master UIC. A user who is a Master UIC cannot be related to another Master UIC.
 Allowable Values (See UIC)

Matched Timestamp

Format 22 Characters
 Definition The time at which the matching of two sides of a transaction is recorded in the CHESS database.
 Allowable Values (See Timestamp)

Matching Transaction Id

Format 16 Characters
 Definition The identifier of the transaction which matches another transaction and allows it to be processed to completion.
 Allowable Values (See Transaction Id)

Member ASX Indicator

Format	1 Character
Definition	Indicates whether the initiator of the contract note is a member of an exchange. If the initiator is a member of ASX and/or a member of another exchange, the electronic contract note must be followed up by a paper contract note.
Allowable Values	'A' = Member of Australian Stock Exchange (ASX) only 'B' = Member of ASX plus other Stock Exchange(s) 'C' = Member of Other Stock Exchange(s) 'X' = Not a member of any Stock Exchange

Message Status

Format	1 Character
Definition	Indicates whether the values presented in the AMFS Distribution Statement are New or represent a revised set of values.
Allowable Values	'N' = New 'R' = Revised

Message Type

Format	2 Character
Definition	Identifies the type of message being transmitted (Acceptance or Cancellation)
Allowable Values	'TA' = Trade Acceptance Registration 'TG' = Trade Cancellation Registration

Movement from Registry

Format	11 Numerics
Definition	The total units added to a CHESS holding or a Cum Entitlement Balance during a business day as a result of an authorised registry transaction. Authorised registry transactions that can cause an increase are : <ul style="list-style-type: none"> • an authorisation for a registry/CHESS movement; • a holding adjustment; and • a holding transformation.
Allowable Values	

Movement Reason

Format	1 Character
Definition	The reason for the movement of securities between two subregisters.
Allowable Values	'A' = Holder in liquidation 'B' = Balance transfer 'C' = Holder request 'D' = Deceased Estate 'E' = Holder Bankrupt 'F' = CHESS Subregister Closed 'G' = Withdrawal of Participant 'I' = IPO Transaction 'Z' = Action by Market Support

Movement to Registry

Format 11 Numerics

Definition The total units subtracted from a CHESS holding or a Cum Entitlement balance during a business day as a result of an authorised registry transaction or a CHESS/registry movement. Authorised registry transactions that can cause a decrease are :

- a holding adjustment; and
- a holding transformation.

Allowable Values

Movement Type

Format 1 Character

Definition Indicates whether securities have been reserved for the RTGS settlement instruction pending successful funds movement at RITS; securities have been transferred following successful settlement; or securities have been unreserved due to unsuccessful funds movement at RITS resulting from participant-initiated cancellation, ASX Clear-initiated recall, or end-of-day RTGS settlement failure of the scheduled settlement instruction.

Allowable Values 'R' = Unit reservation
'S' = Effected settlement
'V' = Unit reservation reversal

Name

Format 90 Characters

Definition Full title of a CHESS user that interfaces to the ASX Clear.

Allowable Values

NA Gross Cash

Format 14 Numeric, 2 decimal

Definition Gross Total: Total gross cash amount for s. An ATO Tax Statement value.

Allowable Values

NA Tax Deferred Amounts Cash

Format 14 Numeric, 2 decimal

Definition Non Assessable: Total of net cash amount deferred from taxation. An ATO Tax Statement value.

Allowable Values

NA Tax Exempted Amounts Cash

Format 14 Numeric, 2 decimal

Definition Non Assessable: Total of net cash amount exempted from taxation. An ATO Tax Statement value.

Allowable Values

NA Tax Free Amounts Cash

Format 14 Numeric, 2 decimal

Definition Non Assessable: Total of net cash amount free from taxation. An ATO Tax Statement value.

Allowable Values

Net Capital Gain

Format 14 Numeric, 2 decimal

Definition Net capital gain for the current financial year. An ATO Tax Statement value.

Allowable Values

Net Funds Transaction Id

Format 16 Characters

Definition The identifier of the transaction which confirmed that the requested funds transfer has been initiated by the financial intermediary.

Allowable Values (See Transaction Id)

Net Offs

Format 11 Numerics

Definition The total unit quantity of holding transactions effected during the day that reduced the CHESS holding balance.

Allowable Values

Net Ons

Format 11 Numerics

Definition The total unit quantity of holding transactions that have been effected during the processing day that increased the CHESS holding.

Allowable Values

Net Position Activity Flag

Format 1 Character

Definition Indicates whether the Net Position Record is active or inactive. This value is determined at set-up of a Net Position Record and may be modified from Active to Inactive or vice versa. Modification requests may only be submitted and processed between CHESS RTGS End of Business Day and normal End of Day.

Allowable Values 'A' = Active
'I' = Inactive**Nett Distribution Amount**

Format 14 Numerics, 2 decimal places

Definition The nett dollar value of a Fund distribution in CHESS.

Allowable Values

Nett DRP Amount

Format 14 Numerics, 2 decimal places

Definition The nett dollar value of a Fund dividend reinvestment in CHESS.
 Allowable Values

Nett or Gross

Format 1 Character
 Definition Indicates whether the values presented are Nett or Gross
 Allowable Values 'N' = Nett
 'G' = Gross

Netted Obligation Transaction Id

Format 16 Characters
 Definition The identifier of the transaction generated as a result of a trade being netted.
 Allowable Values (See Transaction Id)

New Controlling PID

Format 5 Numerics
 Definition Identifies the new controlling PID of a holder.
 Allowable Values (see PID)

New Fund Holding Balance

Format 18 Numerics, 6 decimal
 Definition The resulting unit balance of a holding in a particular fund following the application of a holding transaction.
 Allowable Values

New Holding Balance

Format 11 Numerics
 Definition The resulting unit balance of a holding following the application of a holding transaction.
 Allowable Values

New Resultant Excess

Format 15 +/- Numeric, 2 decimals
 Definition The new resulting cash balance after a margin settlement amount change has been effected in ASX Clear.
 The cash balance that the Clearing Participant holds with ASX Clear, assuming settlement is successful. The New Resultant Excess will be calculated by ASX Clear when the Clearing Participant elects to change their Settlement Amount.
 Allowable Values

New Settlement Amount

Format 15 +/- Numeric, 2 decimals
 Definition The new settlement after a margin settlement amount change has been effected in ASX Clear.
 Allowable Values

New Subposition Balance

Format 11 Numerics

Definition The resulting balance of a subposition associated with a holding following the application of a transaction that adjusts the balance.

Allowable Values

Non Primary Income

Format 14 Numeric, 2 decimal

Definition Total share of non-primary production income. An ATO Tax Statement value.

Allowable Values

Non Primary Distribution Deductions

Format 14 Numeric, 2 decimal

Definition Total deductions from non-primary production income. An ATO Tax Statement value.

Notification Type

Format 1 Character

Definition Indicates the purpose of the notification.
(e.g. Whether the message relates to an end of day or intraday transaction).Allowable Values "I" - Intraday
"E" - End of Day
"U" – Update to End of Day
"R" – Reporting

(Note: Allowable values "I" and "R" are not currently supported in this phase of Cash Market Margining. The addition of this functionality may be added at a later stage).

Novation Indicator

Format 1 Character

Definition Identifies the novation status of a cash market transaction

Allowable Values "N" – Non-Novated Transaction
"Y" – Novated Transaction**Number Holders Affected**

Format 11 Numerics

Definition The number of holders that were affected by the actions of the user.

Allowable Values

Number Of Days Parameter

Format 3 Numerics

Definition Restricts the data to be included in the reporting to a number of days in the past or the future according to the reporting type.

Allowable Values

Number of Units

Format 18 Numerics, 6 decimal
 Definition The number of units described for Fund applications and redemptions.
 Allowable Values

OD Net Cash

Format 14 Numeric, 2 decimal
 Definition Net Total: Total net cash amount for s. An ATO Tax Statement value.
 Allowable Values

OD Other Expenses

Format 14 Numeric, 2 decimal
 Definition Other Deductions: Total of other net cash expenses incurred by the investor. An ATO Tax Statement value.
 Allowable Values

OD TFN Amounts Withheld

Format 14 Numeric, 2 decimal
 Definition Other Deductions: Total of net cash amounts withheld against a TFN. An ATO Tax Statement value.
 Allowable Values

Off-Market Value

Format 15 Numerics, 2 decimal places
 Definition The buy-side off-market value for the current business day
 Allowable Values

Off-Market Volume

Format 11 Numerics
 Definition The buy-side off-market volume for the current business day
 Allowable Values

Old Controlling PID

Format 5 Numerics
 Definition Identifies the old controlling PID of a holder.
 Allowable Values (see PID)

On-Market Value

Format 15 Numerics, 2 decimal places
 Definition The buy-side on-market value for the current business day
 Allowable Values

On-Market Volume

Format 11 Numerics
 Definition The buy-side on-market volume for the current business day
 Allowable Values

Opening Balance

Format 11 Numerics

Definition The total unit quantity registered for a CHESS holding at the start of a reporting period.

Allowable Values

Opening Balance Date

Format 8 Numerics

Definition Specifies the date on which the opening balance (total unit quantity registered for a CHESS holding) is based.

Allowable Values (See Date)

Opening Fund Balance

Format 18 Numerics, 6 decimal

Definition The total unit quantity registered for a CHESS Fund holding at the start of a processing day.

Allowable Values

Order Reference

Format 16 Characters

Definition Internal reference used between parties to a trade.

Allowable Values

Order Type

Format 3 Characters

Definition Defines the type of Fund order requested by the user.

Allowable Values 'ADJ' = Miscellaneous Adjustment

'APP' = Application

'DIS' = Distribution

'DRP' = Distribution Reinvestment Plan

'RED' = Redemption

'RPP' = Regular Payment Plan

'SWI' = Switch

Original Settlement Date

Format 8 Numerics

Definition Identifies an original settlement cycle in which a settlement instruction was scheduled to settle.

Allowable Values Any date specified by ASX as a Settlement Date.
(see Date for valid format)

Origin Transaction Id

Format 16 Characters

Definition The identifier of the originating transaction which has caused the output message to be sent to a CHESS user. The message may be sent in response to a primary transaction submitted by a user, a reporting request submitted by a user, a primary transaction submitted by a third party, or as a result of a CHESS process, eg. settlement.

Allowable Values (See Transaction Id)

Other Amount

Format 14 Numerics, 2 decimal places

Definition The dollar amount of an unspecified charge payable by the funds/investment manager.

Allowable Values

Other Foreign Income

Format 14 Numeric, 2 decimal

Definition Additional net foreign sourced income. An ATO Tax Statement value.

Allowable Values

Other Margin

Format 15 +/- Numeric, 2 decimals

Definition Any other margin that may be called from the Participant (e.g. Additional Cover).

Allowable Values

Outstanding Loan Consideration

Format 15 Signed Numerics, 2 decimals

Definition The dollar amount that is the current collateral for an active SLS loan.

Allowable Values

Outstanding Settlement Amount

Format 15 Signed Numerics, 2 decimals

Definition The dollar amount that remains to be settled for a settlement instruction.

Allowable Values

Outstanding Unit Quantity

Format 11 Numerics

Definition The number of units of a security which remain to be settled for a settlement instruction.

Allowable Values

Override Basis Of Movement 1

Format	2 Characters
Definition	States the relationship of a securities movement to current corporate actions for the underlying security if the relationship is not the default.
Allowable Values	'CD' = Cum Dividend 'XD' = Ex Dividend 'CR' = Cum Rights issue 'XR' = Ex Rights issue 'CB' = Cum Bonus issue 'XB' = Ex Bonus issue 'CE' = Cum Entitlement 'XE' = Ex Entitlement 'CC' = Cum Capital return 'XC' = Ex Capital return 'CM' = Cum Premium return 'XM' = Ex Premium return 'CI' = Cum Interest 'XI' = Ex Interest 'CQ' = Cum Equal Access Buyback 'XQ' = Ex Equal Access Buyback 'CZ' = Cum Non Pro Rata Balance 'XZ' = Ex Non Pro Rata Balance

Override Basis Of Movement 2

Format	2 Characters
Definition	States the relationship of a securities movement to current corporate actions for the underlying security if the relationship is not the default.
Allowable Values	(See Override Basis of Movement 1)

Override Basis Of Movement 3

Format	2 Characters
Definition	States the relationship of a securities movement to current corporate actions for the underlying security if the relationship is not the default.
Allowable Values	(See Override Basis of Movement 1)

Override Basis Of Movement 4

Format	2 Characters
Definition	States the relationship of a securities movement to current corporate actions for the underlying security if the relationship is not the default.
Allowable Values	(See Override Basis of Movement 1)

Override Basis Of Movement 5

Format	2 Characters
Definition	States the relationship of a securities movement to current corporate actions for the underlying security if the relationship is not the default.

Allowable Values (See Override Basis of Movement 1)

Parent Transaction Id

Format 16 Characters

Definition Identifies the transaction which was originally diary adjusted resulting in the creation of the accrued settlement instruction.

Allowable Values (See Transaction Id)

Part-Settled Transaction Id

Format 16 Characters

Definition The identifier of the transaction under which the part-settlement of a settlement instruction is recorded. This identifier is CHESS- allocated.

Allowable Values (See Transaction Id)

Part-Settlement

Format 1 Character

Definition Indicates that the settlement instruction can be part settled or not. Defaults to 'Y'.

Allowable Values 'Y' = Yes

'N' = No

Participant Advisor Code

Format 30 Characters

Definition Identifies an advisor within a Participant organisation

Allowable Values

Participant Advisor Email

Format 50 Characters

Definition An Email address specified for a Participant Advisor.

Allowable Values Must include an @ symbol, at least one full stop and no spaces

Participant Advisor Name

Format 30 Characters

Definition Identifies an advisor by name within a Participant organisation

Allowable Values

Participant Name

Format 90 Characters

Definition Full title of a CHESS participant that interfaces to ASX Clear.

Allowable Values

Participant Reference

Format 16 Characters

Definition The identifier allocated to a securities movement by a participant, eg. this field may be used to hold contract note numbers.

Allowable Values

Participant Type

Format	1 Character
Definition	Defines the type of participant. Determines the services that are available to the participant.
Allowable Values	'B' = Broker 'N' = Non-broker

Pay Receive Indicator

Format	1 Character
Definition	Indicates if funds are received or paid by a user.
Allowable Values	'P' = Pay 'R' = Receive

Payee Clearing Member

Format	6 Numerics
Definition	Identifies the clearing participant (or ASX Clear) that is receiving funds in the daily settlement obligation.
Allowable Values	Any value allocated by ASX to identify a clearing participant (or ASX Clear).

Payee PID

Format	5 Numerics
Definition	Identifies the CHESS participant that is receiving funds free of delivery.
Allowable Values	Any value allocated by ASX to identify a CHESS participant.

Payee Payment Category

Format	4 Characters
Definition	Identifies the account type to which the payment is made.
Allowable Values	'OPT ' = Options account (House and Client accounts administratively netted). 'FUTH' = Futures House account 'FUTC' = Futures Client account

Payer Clearing Member

Format	6 Numerics
Definition	Identifies the clearing participant (or ASX Clear) that is paying funds in the daily settlement obligation.
Allowable Values	Any value allocated by ASX to identify a clearing participant (or ASX Clear).

Payer PID

Format	5 Numerics
Definition	Identifies the CHESS participant that is paying funds free of delivery.
Allowable Values	Any value allocated by ASX to identify a CHESS participant.

Payer Payment Category

Format	4 Characters
Definition	Identifies the account type from which the payment is made.
Allowable Values	'OPT ' = Options account (House and Client accounts administratively netted) 'FUTH' = Futures House account 'FUTC' = Futures Client account

Paying PID

Format	5 Numerics
Definition	Identifies the CHESS participant that is paying funds.
Allowable Values	Any value allocated by ASX to identify a CHESS participant.

Payment Date

Format	8 Numerics
Definition	The date on which an Product Issuer Settlement Participant will provide payment for redeemed Fund units requested via a Participant Fund redemption request.
Allowable Values	(Refer <i>Date</i> in <i>CHESS Message Field Formats –Existing</i>)

Payment Facility Change Reason

Format	1 Character
Definition	The reason that a payment facility has changed.
Allowable Values	'A' = Associate HIN 'B' = Disassociate HIN 'C' = Default Payment Facility 'D' = Change Payment Provider 'E' = Cancel Payment Facility 'M' = Move Payment Facility 'R' = Reactivate Payment Facility 'S' = Suspend Payment Facility

Payment Facility Id

Format	6 Numerics
Definition	Unique identifier of a payment facility allocated by ASX Clear on request from a participant.
Allowable Values	Any value allocated by ASX as an identifier of a payment facility.

Payment Facility Parameter

Format	6 Numerics
Definition	Restricts the scope of reporting to entities which relate to a specific payment facility.
Allowable Values	(See Payment Facility Id)

Payment HIN

Format	10 Numerics
Definition	The HIN associated with a Payment Facility
Allowable Values	(Refer <i>HIN</i> in <i>CHESS Message Field Formats –Existing</i>)

Payment Provider Reference

Format	16 Characters
Definition	The identifier allocated to a funds authorisation or transfer by a payment provider.
Allowable Values	

Payment Provider UIC

Format	5 Numerics
Definition	The unique identifier of a payment provider for the purposes of CHESS. Allocated by the ASX Clear.
Allowable Values	Any value allocated by ASX Clear to identify a payment provider.

Payment Set Transaction Id

Format	10 Numerics
Definition	Identifies 'Aggregated Payment Request' messages in a unique Payment Set within CHESS. Allocated by the user on submission of 'Aggregated Payment Request' messages and the 'Payment Set Total Record' message. The same Payment Set Transaction Id must appear on all such messages submitted on the same settlement date.
Allowable Values	'DDMMYY-NNN', where 'NNN' is the batch number, initialised to 001 at the start of each settlement date.

Payment Type

Format	3 Characters
Definition	Identifies the type of payment free of delivery
Allowable Values	'DER' = Derivatives Settlement Payment

Payments from ASX Clear

Format	15 Signed Numerics, 2 decimal places
Definition	The aggregated dollar value of payments from ASX Clear in the CHESS batch settlement.
Allowable Values	The sign will always be positive ('+')

Payments to ASX Clear

Format	15 Signed Numerics, 2 decimal places
Definition	The aggregated dollar value of payments to ASX Clear in the CHESS batch settlement.
Allowable Values	The sign will always be positive ('+')

PDS Issue Date

Format	8 Numerics
Definition	The date of publication (issue) of a PDS associated with an AMFS security.
Allowable Values	(Refer <i>Date</i> in <i>CHESS Message Field Formats –Existing</i>)

PID

Format	5 Numerics
Definition	Participant Identifier. Unique identifier of a CHESS participant allocated by ASX Clear.
Allowable Values	Any value allocated by ASX to identify a CHESS participant

PID Parameter

Format	5 Numerics
Definition	Restricts the scope of reporting to entities which relate to a specific user.
Allowable Values	(See PID)

PIN

Format	4 Numerics
Definition	Authorisation code on an issuer sponsored holding allocated by the registry. The PIN (Personal Identification Number) may need to be provided for authorisation purposes to move securities from an issuer sponsored holding to a CHESS holding depending on the issuer's procedures.
Allowable Values	
NB:	This field is no longer in use. If a non-zero value is present, the message is rejected.

Position Type Parameter

Format	1 Character
Definition	Restricts the scope of reporting to a specific type of projected position.
Allowable Values	'C' = Cum Entitlements 'F' = Funds 'S' = Securities

Post-Settlement Advice

Format	1 Character
Definition	Identifies whether, for all RTGS buy and sell transactions targeting a given Cash Subrecord, the payment provider (i.e. the payment provider sponsoring the buyer participant) requires CHESS to advise RITS to send the payment provider a post-settlement advice to indicate completion of RITS funds movement/s on that transaction.
Allowable Values	'Y' = Yes 'N' = No

Pre-Settlement Advice - Credit Level

Format	1 Character
Definition	Identifies whether, for all RTGS buy transactions targeting a given Cash Subrecord, the paying payment provider (i.e. the payment provider sponsoring the buyer participant) requires CHES to advise RITS to send the paying payment provider a pre-settlement advice for client credit on that transaction.
Allowable Values	'Y' = Yes 'N' = No

Pre-Settlement Advice - ESA Level

Format	1 Character
Definition	Identifies whether, for all RTGS buy transactions targeting a given Cash Subrecord, the paying payment provider (i.e. the payment provider sponsoring the buyer participant) requires CHES to advise RITS to send the paying payment provider a pre-settlement advice for payment provider liquidity on that transaction.
Allowable Values	'Y' = Yes 'N' = No

Previous Delivering HIN

Format	10 Numerics
Definition	Specifies the delivering HIN of a settlement instruction before it was altered.
Allowable Values	(See HIN)

Previous Destination Settlement System

Format	1 Character
Definition	Identifies the settlement system to which a scheduled settlement instruction had belonged prior to submission of a successful matched request to change settlement type.
Allowable Values	'N' = Net batch processing 'R' = RTGS

Previous Duty Payable

Format	14 Numerics, 2 decimal places
Definition	Specifies the duty payable of a settlement instruction before it was altered.
Allowable Values	

Previous Duty Type

Format	1 Character
Definition	Specifies the duty type of a settlement instruction before it was altered.
Allowable Values	(See Duty Type)

Previous Guaranteed Foreign Indicator

Format	2 Characters
Definition	Indicates the status of the foreign guarantee indicator before it was altered.
Allowable Values	(see Foreign Guarantee Indicator)

Previous HIN

Format	10 Numerics
Definition	Specifies the previous HIN of a settlement instruction before it was altered.
Allowable Values	(See HIN)

Previous Loan Consideration

Format	15 Signed Numerics, 2 decimal places
Definition	Specifies the loan consideration of an SLS loan before it was altered.
Allowable Values	

Previous Override Basis Of Move 1

Format	2 Characters
Definition	Specifies the override basis of movement of a settlement instruction before it was altered.
Allowable Values	(See Override Basis of Movement 1)

Previous Override Basis Of Move 2

Format	2 Characters
Definition	Specifies the override basis of movement of a settlement instruction before it was altered.
Allowable Values	(See Override Basis of Movement 1)

Previous Override Basis Of Move 3

Format	2 Characters
Definition	Specifies the override basis of movement of a settlement instruction before it was altered.
Allowable Values	(See Override Basis of Movement 1)

Previous Override Basis Of Move 4

Format	2 Characters
Definition	Specifies the override basis of movement of a settlement instruction before it was altered.
Allowable Values	(See Override Basis of Movement 1)

Previous Override Basis Of Move 5

Format	2 Characters
Definition	Specifies the override basis of movement of a settlement instruction before it was altered.
Allowable Values	(See Override Basis of Movement 1)

Previous Receiving HIN

Format	10 Numerics
Definition	Specifies the receiving HIN of a settlement instruction before it was altered.
Allowable Values	(See HIN)

Previous Security Code

Format	12 Characters
Definition	Specifies the security code of a settlement instruction or holding before it was altered.
Allowable Values	(See Security Code)

Previous Settlement Amount

Format	15 Signed Numerics, 2 decimal places
Definition	Specifies the settlement amount of a settlement instruction before it was altered.
Allowable Values	

Previous Settlement Date

Format	8 Numerics
Definition	Specifies the settlement date of a settlement instruction before it was altered.
Allowable Values	(See Date)

Previous Stamping Consideration

Format	14 Numerics, 2 decimal places
Definition	Specifies the stamping consideration of a settlement instruction before it was altered.
Allowable Values	

Previous Status

Format	1 Character
Definition	Specifies the previous status of a settlement instruction before it was altered.
Allowable Values	(See Settlement Instruction Status)

Previous Transaction Basis

Format	1 Character
Definition	Specifies the transaction basis of a settlement instruction before it was altered.
Allowable Values	(See Transaction Basis)

Previous Underlying Reference

Format	16 Characters
Definition	Specifies the underlying reference of a settlement instruction before it was altered.
Allowable Values	

Previous Unit Quantity

Format 11 Numerics
 Definition Specifies the unit quantity of a settlement instruction before it was altered.
 Allowable Values

Price Run Date

Format 8 Numerics
 Definition Indicates the date for which the price data is applicable.
 Allowable Values (See Date)

Price Run Time

Format 6 Numeric
 Definition Indicates the time for which the price data is applicable.
 Allowable Values A valid time in the format of HHMMSS

Price Run Type

Format 1 Character
 Definition Identifies the type of price run being transmitted
 Allowable Values 'C' = Closing
 'I' = Intraday

Principal

Format 14 Numeric, 2 decimals
 Definition The principal amount used in the calculation of an interest amount.
 Allowable Values

Principal Indicator

Format 1 Character
 Definition Indicates that the participant was acting as principal for the transaction.
 Allowable Values 'A' = Part-principal
 'P' = Principal

Processing Frequency

Format 2 Characters
 Definition Determines how often a scheduled reporting request must be actioned.
 Allowable Values 'DL' = Daily
 'WK' = Weekly
 'FO' = Fortnightly
 'ML' = Monthly
 'YR' = Yearly
 'OO' = One-off

Processing Period

Format	1 Character
Definition	Identifies the CHES processing period after which a reporting request must be actioned.
Allowable Values	'C' = Period following processing of CHES RTGS End-of-Day Recalls 'E' = End of day Blank = Immediate

Processing Point

Format	2 Characters
Definition	The day of the week or day of the month, or month of the year, on which a scheduled reporting request should be actioned.
Allowable Values	'MO' = Monday 'TU' = Tuesday 'WE' = Wednesday 'TH' = Thursday 'FR' = Friday '01' thru '31'

Processing Timestamp

Format	22 Characters
Definition	The time at which a transaction was processed to the described state or the time at which a reporting request was processed. (NB. If this value is part of a message created by a user - the timestamp is the time at which the transaction is processed by the user).
Allowable Values	(See Timestamp)

Product Name

Format	50 Characters
Definition	The name used to define a Fund targeted for Fund redemptions.
Allowable Values	

Projected Funds Position

Format	15 Signed Numerics, 2 decimal places
Definition	The estimated funds position for a payment facility in a future settlement cycle. Positive ('+') indicates receiving funds, negative ('-') indicates paying funds.
Allowable Values	

Projected Security Position

Format	11 Numerics
Definition	The estimated securities position for a holding in a future settlement cycle.
Allowable Values	

Reason

Format 3 Characters
 Definition The reason for a holding transaction.
 Allowable Values

ADJ	Adjustment
AFO	Adjustment for Foreign Ownership
ALT	Miscellaneous Allotment
BON	Bonus Issue Allotment
BSP	Bonus Plan Allotment
BYB	Buy-back
CAL	Call Paid
CAQ	Compulsory Acquisition by Offeror
CNA	Convertible Note Allotment
CNR	Convertible Note Redemption / Maturity
CNV	Miscellaneous Conversion
CRI	Security No Longer Meets Criteria for Eligibility as Collateral
CSC	CHES Subregister Closed
DIS	Distribution in Specie
DRP	Dividend Plan Allotment
DVM	Divestment
EXP	Imminent Expiry of Security used as Collateral
FLT	Float
FOR	Forfeiture of Partly Paid Shares
IDA	Income Distribution Allotment
MER	Company Merger
NCN	Convertible Note Conversion
NRE	Non-Renounceable Issue Allotment
OCN	Options Conversion
ODR	ASX Clear Directive
OEX	Option Exercised
OLP	Option Lapsed
OPT	Option Allotment
PLC	Placement
PRI	Non Pro Rata Balance
REC	Reconstruction
RED	Miscellaneous Redemption
REV	Allotment Reversal
RHA	Renounceable Rights Accepted
RHE	Renounceable Rights Entitlement Allotment
RHT	Renounceable Rights Issue Allotment
SAR	Subregister Archived
SCD	Scrip Dividend
SPP	Share Purchase Plan
SOA	Scheme of Arrangement
STP	Share Top-up Plan
TKA	Takeover Consideration Allotment
WAL	Warrant Allotment
WDL	Warrant Delivery
WEX	Warrant Exercise
WRL	Warrant Rollover Application
WUX	Warrant Underlying Exercise

Receiving HIN

Format 10 Numerics

Definition The HIN of the holder who is the registered owner of the holding which is to receive the securities. Defaults to the participant's default settlement HIN.

Allowable Values (See HIN)

Receiving Net Movement Transaction Id

Format 16 Characters

Definition The identifier of the transaction which transferred securities from a holding in the settlement process.

Allowable Values (See Transaction Id)

Receiving PID

Format 5 Numerics

Definition The PID of the participant which controls the receiving HIN of a securities movement.

Allowable Values (See PID)

Receiving Registration Details

Format 180 Characters

Definition The details under which the securities involved in a movement are to be registered.

Allowable Values (See Registration Details)

Receiving Residency Indicator

Format 1 Character

Definition Indicates the residency of the holder for securities subject to CHES foreign ownership restrictions.

Allowable Values (see Residency Indicator)

Receiving Supplementary Reference

Format 16 Characters

Definition The supplementary reference provided by the receiving participant.

Allowable Values

Recipient Name

Format 80 Characters

Definition Name of the recipient of the contract note.

Allowable Values

Record Date

Format 8 Numerics

Definition The date on which an Issuer of financial products determines which holders are entitled to participate and/or receive a benefit or entitlement.

Allowable Values (Refer Date in CHES Message Field Formats –Existing)

Redemption APIR Code

Format	9 Characters
Definition	An independently assigned Code widely used within the Funds industry. May be used where a Switch request is initiated
Allowable Values	

Redemption Fund Code

Format	12 Characters
Definition	Identifies the fund that is the subject of a redemption request message sent between a user and ASX Clear. May be either an ISIN security code or an ASX code. On input, either is accepted, on output, the previously requested format is used.
Allowable Values	A valid ISIN code in the format prescribed by ISO Standard 6166; or a valid security code issued by ASX, left justified and followed by spaces.

Redemption Unit Pricing Date

Format	8 Numerics
Definition	The date on which an Product Issuer Settlement Participant will provide pricing details for Fund units requested via a Participant Fund redemption request.
Allowable Values	(Refer <i>Date</i> in <i>CHESS Message Field Formats –Existing</i>)

Redemption Product Name

Format	50 Characters
Definition	The name used to define a Fund.
Allowable Values	

Redemption Request Reason

Format	1 Character
Definition	The reason for the redemption request.
Allowable Values	'C' = Cooling Off Period 'R' = Fund Unit Redemption

Redemption Unit Price

Format	11 Numerics, 6 decimal
Definition	The unit price in cents of a Fund unit targeted for Fund redemptions.
Allowable Values	

Reducing Holding Balance

Format	11 Numerics
Definition	The resulting unit balance of the holding which is reduced following the application of a registry transaction.
Allowable Values	

Reducing Security Code

Format	12 Characters
Definition	The code of the security that, along with the HIN, identifies the CHESS holding that is to be reduced following the application of a registry transaction.
Allowable Values	(See Security Code)

Reducing Unit Quantity

Format	11 Numerics
Definition	The unit quantity that is to be subtracted from a CHESS holding following the application of a registry transaction.
Allowable Values	

Reference

Format	16 Characters
Definition	A pricing reference to the expiry and series of non-cash collateral that has been lodged, where applicable.
Allowable Values	

Registration Details

Format	180 Characters
Definition	The registered name and address of a security holder.
Allowable Values	Meet the SRA standards for registration name and address as specified in 8.2.2

Registration Details Indicator

Format	1 Character
Definition	Indicates to the registry whether the registration details should be added to, deleted from, or changed on the company's list of members.
Allowable Values	'C' = Change 'E' = Email Detail Only 'N' = New 'P' = Change of Controlling Participant 'R' = Reporting 'X' = ASX Clear Registration Address Standardisation 'Z' = Zero balance

Registration Details Update Reason

Format	1 Character
Definition	Indicates the reason for the registration detail update.
Allowable Values	'A' = Participant request 'B' = Market Support 'C' = ASX Clear Registration Address Standardisation

Registration Name Update Reason 1

Format	1 Character
Definition	Indicates the reason for a name change to registration details.
Allowable Values	'A' = Account Designation Changes 'C' = Change of Company Name 'E' = Error 'F' = First Name Extended / Shortened 'I' = Adding / Removing Middle Name 'L' = Legal Change of Name 'M' = Marriage 'R' = Revert to Maiden Name 'S' = Salutation / Title Change

Registration Name Update Reason 2

Format	1 Character
Definition	Indicates the reason for a name change to registration details.
Allowable Values	'A' = Account Designation Changes 'C' = Change of Company Name 'E' = Error 'F' = First Name Extended / Shortened 'I' = Adding / Removing Middle Name 'L' = Legal Change of Name 'M' = Marriage 'R' = Revert to Maiden Name 'S' = Salutation / Title Change

Registry Reference

Format	16 Characters
Definition	The identifier allocated by a registry to a transaction which is submitted by a registry. Used for identification purposes between registry, ASX Clear and the controlling participant of the holder.
Allowable Values	

Registry Reject Reason

Format	2 Characters
Definition	The reason that a registry has not been able to process an issuer sponsored/certificated transfer or enquiry.
Allowable Values	'A' = No Matching SRN will be returned to the submitting participant if the SRN specified as part of a holding Balance Enquiry is not found. 'B' = SRN and Verification Details do not Match will be returned to the submitting participant if the SRN specified as part of a Holding Balance Enquiry is found BUT the SRN verification details (i.e. surname / company name) do not match the record found.) 'C' = No Matching Registration Details Found will be returned to the submitting participant if the registration details specified as part of a SRN Enquiry are not found.) 'D' = Duplicate Registration Detail Records Exist

will be returned to the submitting participant if the registration details specified as part of a SRN Enquiry are duplicated in the issuer/registries records.)

'E' = Invalid Holder Status

will be returned to the submitting participant if the holder status is invalid.

Rejected Cash Subrecord Reason

Format 1 Character

Definition Indicates reason why payment provider could not authorise creation of Cash Subrecord for association with an established RTGS Net Position Record.

Allowable Values 'A' = Cash Subrecord ID does not match payment provider for which Payment Facility ID is supplied
 'B' = Debit cap limit amount is incorrect
 'C' = Debit cap activity value is incorrect
 'D' = Cash Subrecord ID is incorrect
 'E' = Payment Facility ID is incorrect
 'F' = Exclusion Flag is incorrect for this Cash Subrecord
 'G' = Cannot nominate this Cash Subrecord as sell default
 'H' = Cannot nominate this Cash Subrecord as buy default

Rejected Change Reason

Format 1 Character

Definition The reason for the rejection

Allowable Values 'A' = Outstanding transactions targeting HIN
 'B' = Non-advice by client
 'C' = Client request
 'E' = Attempted to remove a non-existent Excess Cash Standing Instruction
 'L' = Request received after settlement locked.
 'M' = Maximum allowed Excess Cash exceeded
 'S' = Amount resulted in Shortage

Rejected Holding Advice Reason

Format 1 Character

Definition The reason the registry has rejected a holder advice request.

Allowable Values 'B' = Issuer does not support electronic direct credit
 'D' = Cancellation Bank Account details do not match recorded Bank Account details
 'H' = Failed requirements for Bank A/C structure or format

Rejected Income Statement Set Reason

Format 1 Character

Definition Identifies the reason CHES has rejected an Income Statement message set received from a Registry

Allowable Values 'A' = Stated number of messages in the set is incorrect

Rejected Net Position Reason

Format	1 Character
Definition	Indicates reason why payment provider could not authorise creation of Net Position Record in order to sponsor a client participant for RTGS settlement.
Allowable Values	'A' = Cash Subrecord ID does not match payment provider for which Payment Facility ID is supplied 'B' = Debit cap limit amount is incorrect 'C' = Debit cap activity value is incorrect 'D' = Cash Subrecord ID is incorrect 'E' = Payment Facility ID is incorrect 'F' = Exclusion Flag is incorrect for this Cash Subrecord 'G' = Cannot nominate this Cash Subrecord as sell default 'H' = Cannot nominate this Cash Subrecord as buy default

Rejected Movement Reason

Format	1 Character
Definition	The reason that a movement of securities from an issuer controlled subregister to the CHESS subregister has been rejected by the registry.

Note: For mFund related conversions not all reasons presented below are applicable. mFund applicable reasons are marked with an asterisk (*).

Allowable Values	'A' = Issuer holding locked * 'B' = Certificate invalid 'C' = Unauthorised access 'D' = Certificate declared lost 'E' = Insufficient securities * 'F' = Registration Details do not match * 'G' = Invalid PIN 'H' = Invalid Registry client identifier/SRN * 'J' = Documentation not received 'K' = Registry certificate quantity different to certificate quantity supplied 'L' = Duplicate certificate number detected by registry - SRN must be supplied 'M' = Insufficient Cum Entitlement 'N' = Probate not noted * 'O' = Invalid Foreign Guarantee 'P' = Insufficient Foreign Units 'R' = Documentation incorrectly signed
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Rejected Order Reason

Format	5 Numeric
Definition	The reason why a message submitted to a Product Issuer Settlement Participant has been rejected.
Allowable Values	50000 Order Amount is less than minimum value 50005 Account is a Deceased Estate 50006 Account has insufficient units 50011 AML/CTF Check Flag is not Y (Yes) 50013 Fund is closed to Existing Accounts 50014 Fund is closed to New Accounts 50020 Current PDS Provided indicator is not Y (Yes) 50021 Order amount results in holding below the minimum balance 50023 TFN, ABN or exemption code required for Australian residents 50024 Date of Birth is mandatory for Individual Applicants 50026 Foreign Residence Indicator is incorrect 50027 DRP not offered for this fund 50029 Invalid BSB or Bank Account Details 50031 PDS Issue Date supplied is not the latest issued PDS 50032 Switch Orders not accepted by Fund Manager 50033 Fund pricing is currently not available 50034 Fund is classified as illiquid 50035 ABN required for superannuation funds (account type S) 50036 Incomplete details provided for Individual/Joint account with Foreign Tax Status 50037 Residential/trust/registered address for foreign resident is invalid 50038 Registry client identifier/SRN invalid 50039 Order amount is invalid 50040 Applications from Companies or Trusts are not accepted 50041 Applications from foreign tax residents are not accepted 50042 Fund available to restricted investors only

Rejected Registry Reason

Format	1 Character
Definition	Identifies the reason a Registry has rejected an mFund request.
Allowable Values	'A' = DRP is not offered for this fund 'C' = Partial DRP is not offered 'F' = PDS issue date does not reflect the latest PDS 'G' = Periodic Income Statements are not offered 'H' = Periodic Income Statements are currently not available 'I' = Annual Income Statements are currently not available 'Z' = Please contact registry directly for further detail

Rejected Reason

Format	5 Numeric
Definition	Explains the reason why a message submitted to CHESS by a user has been rejected.
Allowable Values	(See section 8.5 for a list of codes and meanings.)

Rejected Registration Update Reason

Format	1 Character
Definition	The reason that a registration details update request has been rejected by Market Support.
Allowable Values	'A' = Insufficient documentation 'B' = Incorrect Reason Code 'C' = Mismatch between documentation and message 'D' = Spelling error on message 'E' = Participant request 'F' = Change of Legal Title – New HIN setup required 'G' = Incorrect Holder Status 'Z' = Rejected by Market Support

Rejected Transfer Reason

Format	1 Character
Definition	The reason that a demand dual entry transfer failed despite both sides of the transfer being matched or where an mFund CHESS to CHESS transfer has been rejected by either a PISP or by CHESS.
Allowable Values	A' = Insufficient Units B' = Insufficient Entitlements C' = Delivering Holding / Holder Locked D' = Receiving Holding Locked 'E' = Insufficient Foreign Units 'F' = Account is a Deceased Estate 'G' = Request cannot be accepted as AML/CTF Check Flag is not 'Y' (Yes) 'H' = TFN, ABN or exemption code required for Australian residents 'I' = Tax Residence is mandatory for applicants 'J' = DRP Preference is mandatory for an Initial Application 'K' = Invalid BSB or Bank Account Details 'L' = PDS Issue Date supplied is not the latest issued PDS 'M' = Transfer to Companies and Trusts are not accepted 'N' = Transfers to foreign tax residents are not accepted 'O' = Fund available to restricted investors only 'P' = Fund Manager does not accept transfers

Rejection Timestamp

Format	22 Characters
Definition	Records the business date, processing date and processing time on which the sending UIC completes the processing of a rejection.
Allowable Values	(See Timestamp)

Remarks

Format	40 Characters
Definition	Extra comments, special instructions, etc. that should appear on the contract note.
Allowable Values	

Removal Date

Format	8 Numerics
Definition	Identifies the nominated removal date for a warrant series being held as collateral by derivatives.
Allowable Values	(See Date field definition in EIS)

Remove Excess Cash Standing Instruction

Format	1 Character
Definition	To be used when the Participant wants to cancel their Excess Cash Standing Instruction.
Allowable Values	"Y" – Yes

Removal Reason

Format	1 Character
Definition	Reason that the Settlement Instruction was removed.
Allowable Values	'A' = Invalid Trade – ASX Clear discretion 'T' = Invalid Trade Receipt Time 'V' = Invalid Price Variance

Reporting End Date

Format	8 Numerics
Definition	The last date on which a scheduled reporting request is to be actioned.
Allowable Values	(See Date)

Reporting Id

Format	3 Characters
Definition	The unique identifier with which to select a report.
Allowable Values	'CEB' = Cum entitlement balance 'CFB' = Counterparty Funds Balance 'CMV' = Cum Entitlement Movement 'CSI' = Cancelled Instruction 'DSM' = Demand CHESS Holding Statement 'FGM' = Foreign Guaranteed Net Movement 'FHT' = Full Holding Transaction 'FLN' = Full SLS Loan 'FSI' = Full Settlement Instruction 'HBL' = Holding balance 'HCT' = Holding control 'HLD' = Holder Detail 'HLH' = Holder History 'HMOV' = Holding Movement 'HRD' = Holding Registration Details 'HSB' = Holding Subposition Balance 'HSP' = Holding subposition detail 'HTX' = Holding transaction 'OBL' = Obligation 'OSL' = Outstanding SLS Loan 'PFD' = Payment Facility Detail

'PFH' =	Payment Facility History
'PPS' =	Projected Position
'RAC' =	Rights Accepted
'RPD' =	Reporting Detail
'SCE' =	Subregister Closure Exceptions
'SDR' =	Security Detail Report
'SET' =	Settlement
'SMP' =	Subregister Movement - Participant
'SMR' =	Subregister Movement - Registry
'TCB' =	Total Cum Entitlement Balance
'TPB' =	Third Party Subposition Balance
'TPD' =	Third Party Subposition Detail
'TSB' =	Total Security Balance
'TSH' =	Top Security Holder
'URT' =	Unauthorised Registry Transactions
'USD' =	User Detail
'USH' =	User History
'USI' =	Unmatched Instruction

Reporting Id Parameter

Format	3 Characters
Definition	Restricts the scope of reporting to a specific type of reporting (used only for reporting on current reporting requests).
Allowable Values	(See Reporting Id)

Reporting Request Status

Format	1 Character
Definition	Identifies the current status of a reporting request submitted by a user.
Allowable Values	'A' = Current 'B' = Cancelled 'C' = Completed

Reporting Start Date

Format	8 Numerics
Definition	The first date on which a reporting request is to be actioned.
Allowable Values	(See Date)

Requesting Participant

Format	5 Numerics
Definition	The PID of the participant who initiated the transaction.
Allowable Values	(See PID)

Reschedule Reason

Format	1 Character
Definition	The cause of the rescheduling of a settlement instruction.
Allowable Values	'B' = Barred from movement 'C' = Change of Despatch Date 'D' = Delivering HIN not Foreign

'F' = Failed Settlement
 'G' = Insufficient Foreign Units
 'H' = Holder Lock Applied
 'L' = Holding Lock Applied
 'P' = Participant request
 'R' = Reversal
 'S' = Subregister suspended
 'Y' = Security Not Foreign

Residency Indicator

Format 1 Character
 Definition Indicates the residency of the holder for securities subject to CHES foreign ownership restrictions.
 Allowable Values 'D' = Domestic
 'F' = Foreign
 'M' = Mixed

Response Timestamp

Format 22 Characters
 Definition The time that the response of ASX Clear to authorise or reject a change to a transaction is recorded in the CHES database.
 Allowable Values (See Timestamp)

Resultant Cash Balance

Format 15 +/- Numeric, 2 decimals
 Definition The cash balance the participant will have at ASX Clear, assuming settlement is successful.

 "Resultant Cash Balance" = "Cash Balance Carried Forward" +
 "Settlement Amount"
 Allowable Values

Resultant Excess

Format 15 +/- Numeric, 2 decimals
 Definition The resulting excess that the Clearing Participant has with the ASX Clear assuming settlement is successful.
 Allowable Values

Reversed Levy Value

Format 15 Signed Numerics, 2 decimal places
 Definition The dollar value of the portion of a levy being reversed.
 Allowable Values

Revised Delivering HIN

Format 10 Numerics
 Definition Specifies the revised delivering HIN of a settlement instruction after it has been altered.
 Allowable Values (See HIN)

Revised Destination Settlement System

Format	1 Character
Definition	Identifies the settlement system to which a scheduled settlement instruction now belongs, following processing of matched requests to change settlement type.
Allowable Values	'N' = net batch processing 'R' = RTGS

Revised Duty Payable

Format	14 Numerics, 2 decimal places
Definition	Specifies the revised duty payable of a settlement instruction after it has been altered.
Allowable Values	

Revised Duty Type

Format	1 Character
Definition	Specifies the revised duty type of a settlement instruction after it has been altered.
Allowable Values	(See Duty Type)

Revised Guaranteed Foreign Indicator

Format	2 Characters
Definition	Indicates the status of the foreign guarantee indicator after it has been altered.
Allowable Values	(See Foreign Guarantee Indicator)

Revised HIN

Format	10 Numerics
Definition	Specifies the HIN of a settlement instruction before it was altered.
Allowable Values	(See HIN)

Revised Loan Consideration

Format	15 Signed Numerics, 2 decimals
Definition	Specifies the revised loan consideration of an SLS Loan after it has been altered.
Allowable Values	

Revised Override Basis Of Move 1

Format	2 Characters
Definition	Specifies the revised override basis of movement of a settlement instruction after it has been altered.
Allowable Values	(See Override Basis of Movement 1)

Revised Override Basis Of Move 2

Format	2 Characters
Definition	Specifies the revised override basis of movement of a settlement instruction after it has been altered.
Allowable Values	(See Override Basis of Movement 1)

Revised Override Basis Of Move 3

Format	2 Characters
Definition	Specifies the revised override basis of movement of a settlement instruction after it has been altered.
Allowable Values	(See Override Basis of Movement 1)

Revised Override Basis Of Move 4

Format	2 Characters
Definition	Specifies the revised override basis of movement of a settlement instruction after it has been altered.
Allowable Values	(See Override Basis of Movement 1)

Revised Override Basis Of Move 5

Format	2 Characters
Definition	Specifies the revised override basis of movement of a settlement instruction after it has been altered.
Allowable Values	(See Override Basis of Movement 1)

Revised Receiving HIN

Format	10 Numerics
Definition	Specifies the revised receiving HIN of a settlement instruction after it has been altered.
Allowable Values	(See HIN)

Revised Security Code

Format	12 Characters
Definition	Specifies the revised security code of a settlement instruction or holding after it has changed.
Allowable Values	(See Security Code)

Revised Settlement Amount

Format	15 Signed Numerics, 2 decimal places
Definition	Specifies the revised settlement amount of a settlement instruction after it has been altered.
Allowable Values	

Revised Settlement Date

Format	8 Numerics
Definition	Specifies the revised settlement date of a settlement instruction after it has been altered.
Allowable Values	(See Date)

Revised Stamping Consideration

Format	14 Numerics, 2 decimal places
Definition	Specifies the revised stamping consideration of a settlement instruction after it has been altered.
Allowable Values	

Revised Status

Format	1 Character
Definition	Specifies the revised Status of a settlement instruction after it has been altered.
Allowable Values	(See Settlement Instruction Status)

Revised Transaction Basis

Format	1 Character
Definition	Specifies the revised transaction basis of a settlement instruction after it has been altered.
Allowable Values	(See Transaction Basis)

Revised Underlying Reference

Format	16 Characters
Definition	Specifies the revised underlying reference of a settlement instruction after it has been altered.
Allowable Values	

Revised Unit Quantity

Format	11 Numerics
Definition	Specifies the revised unit quantity of a settlement instruction after it has been altered.
Allowable Values	

RPP Amount

Format	15 Numeric, 2 decimal
Definition	The RPP amount that an investor wishes to invest in additional units based on the RPP Frequency. Do not populate.

RPP Frequency

Format	1 Character
Definition	Identifies the frequency with which an investor wishes to invest in additional units. Do not populate.
Allowable Values	'W' = Weekly 'M' = Monthly 'Q' = Quarterly

Scheduled Timestamp

Format	22 Characters
Definition	The time at which the scheduling of a settlement instruction is recorded in the CHESS database.
Allowable Values	(See Timestamp)

Schedule Transaction for Settlement

Format	1 Character
Definition	Indicates whether the submitting participant wishes the settlement instruction, once matched, to enter active RTGS processing immediately upon settlement date, or does not wish the transaction to be scheduled for settlement until they submit, later on that settlement date, an authorisation message.
Allowable Values	'Y ' = yes, schedule transaction for immediate RTGS settlement 'N' = no, do not schedule the transaction for immediate RTGS settlement)

Securities Shortfall

Format	11 Numerics
Definition	The number of units that the specified holding was short by in the Settlement cycle in order to fulfil its aggregate delivery obligation.
Allowable Values	

Security Code

Format	12 Characters
Definition	Identifies the security or non-security payment type that is the subject of a message sent between a user and ASX Clear. May be either an ISIN security code or an ASX code. On input, either is accepted, on output, the previously requested format is used.
Allowable Values	A valid ISIN code in the format prescribed by ISO Standard 6166; or a valid security code issued by ASX, left justified and followed by spaces; or one of the following ASX codes assigned to identify_miscellaneous payments: PYYAPP: Application payment PYYCLM: Payment related to a type of claim, e.g. dividend PYYDER: Derivatives related payment PYYDIV: Dividend related payment PYYOTC: Payment for OTC derivatives instruments PYYPFT: Portfolio related payment PYYSET: Adjustment to settlement obligation PYYSLN: Security lending payment PYYTKO: Takeover proceeds payment PYYWAR: Warrant related payment

Security Code Parameter

Format	12 Characters
Definition	Restricts reporting to entities related to a particular security code.
Allowable Values	(See Security Code)

Security Code Preference

Format	1 Character
Definition	Determines the type of security which is used in messages sent to a user.

Allowable Values 'A' = ASX Code
 'I' = ISIN Code.

Securityholder Reference Number

Format 12 Characters

Definition Unique identifier of a holder on an issuer controlled subregister
 allocated by the issuer or its registry.

Allowable Values

Seller Id

Format 4 Numeric
 Definition Identifies the selling broker who made the trade.
 Allowable Values

Seller Order Reference Number

Format 10 Characters
 Definition The selling brokers own reference for in-house processing of the trade (Example: relate a trade to a particular account or client).
 Allowable Values

Serial Trade Qualifier

Format 4 Characters
 Definition Specifically assists in the identification of trades.
 Allowable Values Note: For Approved Market Operators (AMO) other than the ASX the first position of this field will be an assigned value of A through to Z. A unique value will relate to a specific AMO.

Set Transaction Id

Format 16 Character
 Definition Uniquely identifies a transaction set within CHESS. Allocated by the user on submission of each transaction in the set to ASX Clear. This value will be used to link a series of messages for processing.
 Allowable Values Refer to EIS 8.3

Settled Timestamp

Format 22 Characters
 Definition The time at which a settlement instruction is settled and recorded in the CHESS database.
 Allowable Values (See Timestamp)

Settlement Advice Timestamp

Format 22 Characters
 Definition Records the business date, processing date and processing time on which the sending UIC completes the processing of a settlement advice.
 Allowable Values (See Timestamp)

Settlement Amount

Format 15 +/- Numeric, 2 decimals
 Definition The dollar value amount that is settled in a settlement instruction.
 Allowable Values The sign is normally positive ('+') which indicates the value is moving contra to the units (ie., the receiving participant is paying the settlement amount). In unusual situations, the sign is negative ('-') which indicates the value moves with the units (ie., the delivering participant is paying the settlement amount). If no units are specified in the message, positive indicates receiving funds, negative indicates paying funds.

Settlement Counterparty PID

Format	5 Numerics
Definition	Identifies the PID of the settlement counterparty for the target user.
Allowable Values	(See PID)

Settlement Date

Format	8 Numerics
Definition	Identifies a settlement cycle in which settlement instructions are settled by effecting transfers on the CHESS subregister and the transfer of funds.
Allowable Values	Any date specified by ASX as a settlement day.

Settlement Instruction Status

Format	1 Character
Definition	The current status of a settlement instruction.
Allowable Values	'B' = Committed 'C' = Cancelled 'I' = Information Only 'N' = Netted 'P' = Suspended 'S' = Scheduled 'T' = Settled 'U' = Unmatched

Settlement Matching Date

Format	8 Numerics
Definition	The date by which the participant requires matching of settlement instructions for settlement of the transaction. Must be included for all transactions for which the settlement date is known.
Allowable Values	(See Date)

Settlement Step

Format	1 Character
Definition	Identifies the step of the settlement process in which the message is generated. Is not used when messages are used for reporting purposes.
Allowable Values	'A' = Authorisation-1st 'B' = Authorisation-2nd 'N' = Notification 'T' = Transfer

Shortfall Valuation

Format	15 Signed Numerics, 2 decimal places
Definition	Specifies the current dollar value of the securities shortfall.
Allowable Values	

Sign

Format	1 Character
Definition	Identifies if a value is positive or negative.
Allowable Values	'+'

SLS Loan Instruction Status

Format	1 Character
Definition	The current status of a loan instruction
Allowable Values	'A' = Active 'C' = Cancelled 'E' = Effected 'R' = Requested 'X' = Rejected

Specific Cover

Format	1 Character
Definition	Indicates whether the participant (margin lenders only) request to create collateral will be to cover a single lodgement (Y) or to cover a number of lodgements (pooled) (N).
Allowable Values	'Y' = Yes 'N' = No Space = No (default)

Stamping Consideration

Format	14 Numerics, 2 decimal places
Definition	The consideration paid in respect of the securities transfer or the unencumbered market value of the securities whichever is higher.
Allowable Values	

Start Date Parameter

Format	8 Numerics
Definition	Restricts reporting to transactions processed to the required status on or after this date, eg. if the reporting relates to settled settlement instructions, all settlement instructions which have been settled on or after the specified date are included in the reporting.
Allowable Values	(See Date)

Statement Required

Format	1 Character
Definition	Indicates if holding statements must be issued by ASX Clear for holdings associated with a CHESS holder.
Allowable Values	'Y' = Yes 'N' = No

Statement Type

Format	1 Character
Definition	Indicates to CHESS the type of statement this message relates.
Allowable Values	'I' = Daily Interest Earned Statement 'C' = Collateral Statement

Subposition Type

Format	1 Character
Definition	Identifies the type of a holding subposition.
Allowable Values	'A' = Takeover Accepted 'O' = Options Cover 'M' = Margin Cover

Subposition Type Parameter

Format	1 Character
Definition	Restricts reporting to entities related to a specific subposition type.
Allowable Values	(See Subposition Type)

Subregister Status

Format	1 Character
Definition	The current status of the sub-register in CHESS for a security code.
Allowable Values	'B' = Barred 'C' = Closed 'O' = Open 'S' = Suspended

Superannuation Fund ABN

Format	12 Characters
Definition	The Australian Business Number (ABN) of a Superannuation Fund. The ABN must be left-justified (with trailing spaces if necessary) and no delimiter characters should be included.
Allowable Values	Valid ABN or 'N/A'

Supplementary Reference

Format	16 Characters
Definition	A reference supplied by the participant for their own reference that is passed on to the counterparty of a dual entry transaction to assist in the matching process and printed on a holding statement. An example of its use may be to identify the client on a trade that is to be settled between a broker and a custodian.
Allowable Values	Any alphabetic, numeric or special characters.

Suspension Reason

Format	1 Character
Definition	The reason a settlement instruction has been suspended from the settlement process.
Allowable Values	'A' = Subregister closed

Takeover Acceptance Balance

Format 11 Numerics

Definition The number of units that are pledged to the offeror on a specific CHESS holding as acceptance of their takeover offer.

Allowable Values

Takeover Acceptance Removal Reason

Format 1 Character

Definition Indicates the reason for the takeover acceptance removal request.

Allowable Values 'A' = Legal Entitlement

Takeover Code

Format 6 Characters

Definition Unique identifier of a takeover or equal access buyback allocated by ASX.

Allowable Values Any value allocated by ASX to identify a takeover.

Takeover Code Parameter

Format 6 Characters

Definition Restricts reporting to entities relating to a specific takeover or equal access buyback.

Allowable Values (See Takeover Code)

Target Transaction Id

Format 16 Characters

Definition The identifier of the transaction which is the target of the required action, e.g. a settlement date update, a cancellation message.

Allowable Values (See Transaction Id)

Target UIC

Format 5 Numeric

Definition Identifies the CHESS user that is the target of the transaction.

Allowable Values

Tax Credit Amount

Format 15 Numerics, 2 decimal

Definition The dollar amount of tax credited to the holder as the result of a Fund distribution payment.

Allowable Values

Tax Deducted

Format 15 Numerics, 2 decimal

Definition The dollar amount of tax deducted from the holder as the result of a Fund distribution payment.

Allowable Values

Tax Deferred

Format

14 Numeric, 2 decimal

Definition

The amount of tax deferred. An ATO Tax Statement value.

Allowable Values

Tax File Number / ABN 1

Format	12 Characters
Definition	The Australian Business Number, Tax File Number or exemption code of an individual holder or the first joint holder. The Australian Business Number, Tax File Number/Exemption Code should be left-justified (with trailing spaces if necessary) and no delimiter characters should be included. The Investor Type should be the 12th character if included.
Allowable Values	'N/A' is allowable.

Tax File Number / ABN 2

Format	12 Characters
Definition	The Australian Business Number, Tax File Number or exemption code of the second joint holder.
Allowable Values	'N/A' is allowable

Tax File Number / ABN 3

Format	12 Characters
Definition	The Australian Business Number, Tax File Number or exemption code of the third joint holder.
Allowable Values	'N/A' is allowable.

Tax Residence 1

Format	3 Characters
Definition	The country in which the Fund investor is a resident for tax purposes.
Allowable Values	3 Character ISIN Country Codes (refer 8.4 Country Codes and Descriptions (EIS))

Tax Residence 2

Format	3 Characters
Definition	The country in which the Fund investor is a resident for tax purposes.
Allowable Values	3 Character ISIN Country Codes (refer 8.4 Country Codes and Descriptions (EIS))

Tax Residence 3

Format	3 Characters
Definition	The country in which the Fund investor is a resident for tax purposes.
Allowable Values	3 Character ISIN Country Codes (refer 8.4 Country Codes and Descriptions (EIS))

TFN Withholding

Format	14 Numeric, 2 decimal
Definition	Share of credit for TFN amounts withheld from interest, dividends and unit trusts. An ATO Tax Statement value.
Allowable Values	

Timestamp

Format	22 Characters
Definition	Records the business date, processing date and processing time that a transaction is applied to the CHESS database.
Allowable Values	A valid timestamp in the format: YYYYMMDDYYYYMMDDHHMMSS Where the first YYYYMMDD is the business date; and the next YYYYMMDDHHMMSS is the processing date and time.

TIN Absent (1A - 3C)

Format	1 Character
Definition	Indicates the reason why a foreign TIN has not been provided by the nominated foreign tax jurisdiction.
Allowable Values	'A' = Country of tax residency does not issue TINs to tax residents. 'B' = Investor has not been issued with a TIN. 'C' = Country of tax residency does not require a TIN to be disclosed.

Total Margin Cover

Format	11 Numerics
Definition	The total number of units that has been pledged as margin cover on a specific CHESS holding
Allowable Values	

Total Fund Movements Off

Format	18 Numerics, 6 decimal
Definition	The total units subtracted from a CHESS Fund holding during a business day as a result of an authorised transaction.
Allowable Values	

Total Fund Movements On

Format	18 Numerics, 6 decimal
Definition	The total units added to a CHESS Fund holding during a business day as a result of an authorised transaction.
Allowable Values	

Total Fund Unit Balance

Format	18 Numerics, 6 decimal
Definition	The total unit balance of a particular fund registered on the CHESS Sub-register NB: represents the total of the total unit balance of a HIN in a particular fund.

Allowable Values

Total Fund Units on Register

Format	18 Numerics, 6 decimal
Definition	The total units of particular fund registered on the CHESS sub-register. NB: represents the total of the total unit balance of all holdings in a particular fund.

Allowable Values

Total Message Count

Format	11 Numerics
Definition	The total number of messages sent to a user as a result of a single process. This total appears in the trailer message that summarises the previous related messages.

Allowable Values

Total Messages in Set

Format	6 Numerics
Definition	The number of Single Entry Transfer Requests in the set. A set is a group of messages used to process a specific action. This value is used in conjunction with the Set Transaction Id value to link the associated requests prior to processing.

Allowable Values

Total Movements Off

Format	11 Numerics
Definition	The total number of units of a particular security that have been moved off the CHESS sub-register during a selected date range.

Allowable Values

Total Movements On

Format	11 Numerics
Definition	The total number of units of a particular security that have been moved onto the CHESS sub-register during a selected date range.

Allowable Values

Total Options Cover

Format	11 Numerics
Definition	The number of units of a security that are pledged as options cover on a specific CHESS holding.

Allowable Values

Total Payment Records

Format	5 Numerics
Definition	The number of 'Aggregated Payment Request' messages submitted in the same 'Payment Set'.

Allowable Values

Total Reminder Count

Format 11 Numerics
 Definition The total number of Fund unit allotment reminders (MT812) messages transmitted for a given target Transaction Id.
 Allowable Values

Total Statement Count

Format 11 Numerics
 Definition The number of statements that have been sent in a related series.
 The count includes the End Statement Notification message.
 Allowable Values

Total Unit Balance

Format 11 Numerics
 Definition The sum of the unit quantity of all transactions that target the CHESS holding (as either the delivering or receiving holding).
 Allowable Values

Total Units On Sub-Register

Format 11 Numerics
 Definition The total units of a particular security that are registered on the CHESS subregister. NB: represents the total of the total unit balance of all holdings in a particular security.
 Allowable Values

Trade Amount

Format 14 Numerics, 2 decimal places
 Definition The dollar amount of a block trade.
 Allowable Values

Trade Date

Format 8 Numerics
 Definition The date on which a trade was originally made and the basis for calculating settlement date.
 Allowable values (See Date)

Trade Execution Time

Format 6 Numerics
 Definition Time the trade was executed on the AMOs trading platform.
 Allowable Values A valid time in the format of HHMMSS

Trade Price

Format 15 Numerics, 4 decimal places (expressed as cents to four decimal places).
 Definition The price at which the trade was executed on the AMO's trading platform.
 Allowable Values

Trade Reason

Format	1 Character
Definition	Specifies the reason for the creation of a broker obligation.
Allowable Values	'I' = Isolated Counterparty 'T' = ASX Market Trade 'W' = TBA* 'X' = TBA* 'Y' = TBA* 'Z' = TBA*

* Value applies to Approved Market Operator (other than ASX) only.

Trade Serial Number

Format	6 Numerics
Definition	A unique sales slip number generated by the AMOs trading platform. Note: This trade serial number is to be unique during the current business day.
Allowable Values	

Trade Timestamp

Format	22 Characters
Definition	Records the business date, processing date and processing time on which the Sending UIC completes the processing of a [block] trade.
Allowable Values	(See Timestamp)

Trading Broker

Format	4 Numerics
Definition	Identifies the broker that executed a [block] trade, using it's Trading System identifier.
Allowable Values	

Transaction Basis

Format	1 Character
Definition	Characterises a movement of securities.
Allowable Values	'F' = Facility for Non Reportable Transactions 'I' = IPO Transaction 'M' = Market 'O' = Off-Market 'L' = Stock Lending Activity

Transaction Class Parameter

Format	1 Character
Definition	Restricts the scope of reporting to a specific transaction class.
Allowable Values	'A' = Registry to CHES transactions 'B' = CHES to Registry transactions 'C' = CHES to CHES transactions 'D' = Registry Initiated transactions

Transaction Date

Format 8 Numerics
 Definition Specifies the business date that a transaction was effected.
 Allowable Values (See Date)

Transaction Id

Format 16 Character
 Definition Uniquely identifies a transaction within CHESS. Allocated by the user on submission of a transaction to ASX Clear.
 Allowable Values Refer 8.3

Transaction Id Parameter

Format 16 Characters
 Definition Restricts reporting to a specific transaction.
 Allowable Values (See Transaction Id)

Transaction Status

Format 1 Character
 Definition Indicates the status of a Fund transaction.
 Allowable Values
 'A' = Accepted
 'C' = Cancel Order
 'H' = Order on-hold with the Product Issuer Settlement Participant
 'P' = Reapply the corrected Unit Adjustment
 'R' = Rejected
 'U' = Update Payment or Unit Allotment Date
 'V' = Reverse the original Unit Adjustment

Transferee Holding Balance

Format 11 Numerics
 Definition The resulting holding balance of the transferee's holding following the application of a transfer.
 Allowable Values

Transferee Holding Fund Balance

Format 18 Numeric, 6 decimal
 Definition The resulting holding balance of the transferee's holding following the application of a transfer.
 Allowable Values

Transferor Holding Balance

Format 11 Numerics
 Definition The resulting holding balance of the transferor's holding following the application of a transfer.
 Allowable Values

Transferor Holding Fund Balance

Format 18 Numeric, 6 decimal

Definition The resulting holding balance of the transferor's holding following the application of a transfer.

Transferor Margin Cover

Format 11 Numerics

Definition The number of units pledged as margin cover on the transferor's holding following the release of pledged units.

Allowable Values

Transferor Options Cover

Format 11 Numerics

Definition The number of units pledged as collateral on the transferor's holding following the release of pledged units.

Allowable Values

Trust Amount

Format 15 Numeric, +/-2 decimal

Definition To schedule a transfer of units from the participant Accumulation Account to the participants Settlement Account or from the participants Settlement Account to a Sponsored or Direct HIN under their control, the message needs to identify the 'trust' component.

Allowable Values

Trust Franked Distributions

Format 14 Numeric, 2 decimal

Definition Total franked distributions from trusts. An ATO Tax Statement value.

Allowable Values

UIC

Format 5 Numerics

Definition The User Identification Code which is a unique identifier for a CHESS user. Superset of PID.

Allowable Values Any value allocated by ASX Settlement to identify a User.

Unblocking Transaction Id

Format 16 Characters

Definition The identifier of the transaction which removes the block on a trade from the netting process.

Allowable Values (See Transaction Id)

Underlying Reference

Format 16 Characters

Definition This field may be used to transmit additional details as required.

Allowable Values

Unit Allotment Date

Format	8 Numerics
Definition	The date on which an Product Issuer Settlement Participant will allot Fund units requested via a Participant Fund application request.
Allowable Values	(Refer <i>Date</i> in <i>CHESS Message Field Formats – Existing</i>)

Unit Price

Format	11 Numerics, 6 decimal places
Definition	The dollar unit price of a security or a unit in a Fund.
Allowable Values	

Unit Pricing Date

Format	8 Numerics
Definition	The date on which a Product Issuer Settlement Participant will price Fund units for an application, redemption or switch request.
Allowable Values	(Refer <i>Date</i> in <i>CHESS Message Field Formats – Existing</i>)

Unit Quantity

Format	11 Numerics
Definition	The number of units of a security that a particular transaction moves.
Allowable Values	

Unit Quantity Accepted

Format	11 Numerics
Definition	The unit quantity for which a holder accepts the takeover terms.
Allowable Values	

Units Participating

Format	18 Numerics, 6 decimal
Definition	The number of Fund units for a holder that are eligible for Fund distribution payments.
Allowable Values	

Units Redeemed

Format	18 Numerics, 6 decimal
Definition	The number of units described for Fund redemptions.
Allowable Values	

Update Guaranteed Foreign Indicator

Format	1 Character
Definition	Adds or deletes the Guaranteed Foreign Indicator to a scheduled settlement instruction for securities subject to CHESS foreign ownership restrictions.
Allowable Values	'A' = Add Indicator 'D' = Delete Indicator

Updating Transaction Id

Format 16 Characters

Definition The identifier of the transaction which updates another transaction that has not been processed to completion.

Allowable Values (See Transaction Id)

User Status

Format	1 Character
Definition	The current status of a CHESS user.
Allowable Values	'A' = Active 'B' = Non-active 'C' = Cancelled 'S' = Suspended

User Type

Format	1 Character
Definition	Defines the service and functions available to a user.
Allowable Values	'B' = Payment Provider 'C' = ASX Clear 'H' = CHESS Clearing Bank 'I' = Issuer registry 'O' = Derivatives 'P' = Participant 'S' = SLS Provider

Utilised Non-Cash Collateral

Format	15 +/- Numeric, 2 decimals
Definition	The value of non-cash collateral that was utilised to cover margin.
Allowable Values	

Verification Name

Format	12 Character
Definition	This value is used to verify that a holding record identified as belonging to a SRN at the registry, matches with the holder name provided by the participant.
Allowable Values	The first 12 bytes of the holder registration details.

Yield

Format	13 +/- Numeric, 5 decimals
Definition	The yield applicable for debt securities.
Allowable Values	