

Redemption Order Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

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17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

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241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
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2	Fund Code	12 Character	M
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3	Fund Amount	15	±Numeric, 2 decimal	O
11	Full Redemption	1	Character	M
16	HIN	10	Numeric	M
20	PID	5	Numeric	M
21	Processing Timestamp	22	Character	M
35	Supplementary Reference	16	Character	O
48	Transaction Id	16	Character	M
62	Origin Transaction Id	16	Character	M
190	Nett or Gross	1	Character	M
191	Redemption Request Reason	1	Character	O
232	Number of Units	18	Numeric, 6 decimal	O
234	Product Name	50	Character	O
245	APIR Code	9	Character	O

- **Purpose**

To notify a Product Issuer Settlement Participant of a request for redemption of units in a Fund for either of the following:

- If Full Redemption equals “Y” then “Fund Amount” and “Number of Units” will not be populated
- If Full Redemption equals “N” then either a specific “Number of Units” or “Fund Amount” will be populated.

- **Sender**

CHESS

- **Recipient**

Product Issuer Settlement Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 800 Redemption Request submitted by a Participant

Redemption Order Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
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113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit	Position	Field Name	Format	Mandatory/ Optional
	2	Fund Code	12 Character	M
	3	Fund Amount	15 ±Numeric, 2 decimal	O
	16	HIN	10 Numeric	M
	20	PID	5 Numeric	M
	21	Processing Timestamp	22 Character	M
	22	Transaction Status	1 Character	M
	33	Hold Reason	3 Character	O
	48	Transaction Id	16 Character	M
	61	Rejected Order Reason	5 Numeric	O
	62	Origin Transaction Id	16 Character	M
	155	Unit Price	11 Numeric, 6 decimal	O
	157	Order Reference	16 Character	O
	190	Nett or Gross	1 Character	M
	223	Tax Deducted	15 Numeric, 2 decimal	O
	224	Income	15 Numeric, 2 decimal	O
	232	Number of Units	18 Numeric, 6 decimal	O

236	Unit Pricing Date	8	Numeric	O
237	Fees	15	Numeric, 2 decimal	O
239	Unit Allotment Date	8	Numeric	O
245	APIR Code	9	Character	O

• **Purpose**

To allow a Product Issuer Settlement Participant to accept, reject or place 'On-Hold' a request for redemption of units in a Fund.

Where Number of Units is populated, Gross is taken as the Nett or Gross value. Both Tax Deducted and Fees values must be populated.

Where Full Redemption is requested (Full Redemption overrides both Number of Units and Fund Amount if supplied), Gross is taken as the Nett or Gross value. Both Tax Deducted and Fees values must be populated.

Where Fund Amount is populated and Gross has been indicated as the Nett or Gross value, both Tax Deducted and Fees values must be populated.

Where Fund Amount is populated and Nett has been indicated as the Nett or Gross value, only the Fees value must be populated. Tax Deducted does not apply.

Examples of the principles that are applied for Gross and Nett processing are shown below:

1. Where a Participant indicates 'Gross' on the *800 Redemption Request* and a **Fund Amount** (say \$1000) this indicates to the PISP that the Participant wishes to redeem \$1000 inclusive of Fees and Tax. The amount to settle would therefore equal the **Fund Amount** minus Fees and Tax Deducted.

Example:

Fund Amount: \$1000
Nett or Gross: G
Fees: \$50 (retained by the PISP)
Tax Deducted: \$100 (retained by the PISP and forwarded to ATO)
Amount to settle: \$850 (as shown on the 731" Scheduled Settlement Payment Instruction")

2. Where a Participant indicates 'Nett' on the *800 Redemption Request* and a **Fund Amount** (say \$1000). This indicates to the PISP that the Participant wishes to redeem \$1000 worth of units exclusive of Fees and Tax; and that the Fees will be paid to the PISP and the Tax to the ATO outside of CHESS. The amount to settle would therefore equal the **Fund Amount**

Example:

Fund Amount: \$1000

Nett or Gross: N

Fees: \$50 (paid to PISP ex CHESS)

Tax Deducted: \$0 (paid to ATO ex CHESS)

Amount to settle: \$1000 (as shown on the 731 "Scheduled Settlement Payment Instruction")

- **Sender**

Product Issuer Settlement Participant

- **Recipient**

CHESS

- **Transmission Cut-off Times**

Settlement Cut-off Time

- If the message is to be processed prior to the next settlement process

- **Prerequisites**

Receipt of 801 Redemption Order Request sent to the Product Issuer Settlement Participant

Effected Redemption Order Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

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113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
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177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit	Position	Field Name	Format	Mandatory/ Optional
	2	Fund Code	12 Character	M
	3	Fund Amount	15 ±Numeric, 2 decimal	O
	16	HIN	10 Numeric	M
	21	Processing Timestamp	22 Character	M
	22	Transaction Status	1 Character	M
	48	Transaction Id	16 Character	M
	61	Rejected Order Reason	5 Numeric	O
	62	Origin Transaction Id	16 Character	M
	155	Unit Price	11 Numeric, 6 decimal	O
	157	Order Reference	16 Character	O
	222	Order Type	3 Character	M
	232	Number of Units	18 Numeric, 6 decimal	O
	236	Unit Pricing Date	8 Numeric	O
	237	Fees	15 Numeric, 2 decimal	O
	239	Unit Allotment Date	8 Numeric	O
	245	APIR Code	9 Character	O

- **Purpose**

To notify a Participant of either of the following:

- Redemption Order Request has been accepted or rejected or placed on-hold by the Product Issuer Settlement Participant;
 - If Accepted, the Product Issuer Settlement Participant initially provides details of the Unit Pricing Date and Payment Date
 - If Rejected, a valid Rejected Reason is provided by the Product Issuer Settlement Participant
 - If On-Hold, a valid on-hold reason is provided by the Product Issuer Settlement Participant

Or

- Additional details for a previous accepted Redemption Order Request (e.g. Unit Price, Number of Units, Fees);

Note: Subsequent 802 Redemption Order Response messages can be sent by the Product Issuer Settlement Participant to provide additional detail data to complete the transaction.

- **Sender**

CHESS

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 802 Redemption Order Response submitted by a Product Issuer Settlement Participant

Funds Order Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

To allow a Participant to request the cancellation of a Redemption or Switch Order that had been put on-hold by the Product Issuer Settlement Participant.

- **Sender**

Participant

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 803 "Effected Redemption Order Request" sent by a Product Issuer Settlement Participant. A Transaction Status of "H" and a Hold Reason would have been provided to the Participant by the Product Issuer Settlement Participant.

Receipt of a 809 "Effected Switch Order Request" sent by a Product Issuer Settlement Participant. A Transaction Status of "H" and a Hold Reason would have been provided to the Participant by the Product Issuer Settlement Participant.

Order Cancellation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
20	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
222	Order Type	3 Character	M

- Purpose**

 To notify the Product Issuer Settlement Participant that a Fund Order Cancellation Request has been received from a Participant. The Fund Order Cancellation Request targets a Redemption or Switch Order that had been put on-hold by the Product Issuer Settlement Participant.
- Sender**

 CHESS
- Recipient**

 Product Issuer Settlement Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 804 Fund Order Cancellation Request submitted by a Participant

Switch Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit	Position	Field Name	Format	Mandatory/ Optional
	2	Redemption Fund Code	12 Character	M
	3	Fund Amount	15 ±Numeric, 2 decimal	O
	10	Application Fund Code	12 Character	M
	11	Full Switch	1 Character	M
	16	HIN	10 Numeric	M
	34	Participant Reference	16 Character	O
	35	Supplementary Reference	16 Character	O
	48	Transaction Id	16 Character	M
	190	Nett or Gross	1 Character	M
	200	Application Product Name	50 Character	O
	231	Current PDS Provided	1 Character	M
	232	Units Redeemed	18 Numeric, 6 decimal	O
	234	Redemption Product Name	50 Character	O
	236	PDS Issue Date	8 Numeric	M
	245	Redemption APIR Code	9 Character	O
	246	Application APIR Code	9 Character	O

- **Purpose**

To allow a Participant to request a switch (in effect a pari passu redemption and application) of units in one Fund to another Fund that is under the control of the Product Issuer Settlement Participant for the same Issuer. The switch of units can occur for a Fund in a CHESS or Issuer Sponsored Holding

The Participant is to indicate if the Switch Request is a “full” or “partial” switch.

- To indicate that a “full” switch is requested then a “Y” should be provided in Full Switch field. If “Y” is entered then Fund Amount and Units Redeemed are not applicable
- To indicate that a “partial” switch is requested then a “N” should be provided in the Full Switch field. If “N” is entered then a Participant may enter either Fund Amount or Units Redeemed.

- **Sender**

Participant

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

None

Switch Order Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128
129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192
193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256
257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit	Position	Field Name	Format	Mandatory/ Optional
	2	Redemption Fund Code	12 Character	M
	3	Fund Amount	15 ±Numeric, 2 decimal	O
	10	Application Fund Code	12 Character	M
	11	Full Switch	1 Character	M
	16	HIN	10 Numeric	M
	20	PID	5 Numeric	M
	21	Processing Timestamp	22 Character	M
	35	Supplementary Reference	16 Character	O
	48	Transaction Id	16 Character	M
	62	Origin Transaction Id	16 Character	M
	190	Nett or Gross	1 Character	M
	200	Application Product Name	50 Character	O
	231	Current PDS Provided	1 Character	M
	232	Units Redeemed	18 Numeric, 6 decimal	O
	234	Redemption Product Name	50 Character	O
	236	PDS Issue Date	8 Numeric	M
	245	Redemption APIR Code	9 Character	O

246

Application APIR Code

9 Character

O

- **Purpose**

To notify a Product Issuer Settlement Participant of a request to switch units in a Fund either:

- Where Full Switch equals “Y” then Fund Amount and Units Redeemed are not applicable
- Where Full Switch equals “N” then a Participant would have requested to switch a specific number of units or switch units to the value of Fund Amount provided.

The Redemption Fund Code and Application Fund Code must be under the control of the one Product Issuer Settlement Participant within the same Issuer.

- **Sender**

CHESS

- **Recipient**

Product Issuer Settlement Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 806 Switch Request submitted by a Participant

Switch Order Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128
129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
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177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192
193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256
257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit	Position	Field Name	Format	Mandatory/ Optional
	2	Redemption Fund Code	12 Character	M
	3	Fund Amount	15 ±Numeric, 2 decimal	O
	10	Application Fund Code	12 Character	M
	16	HIN	10 Numeric	M
	20	PID	5 Numeric	M
	21	Processing Timestamp	22 Character	M
	22	Transaction Status	1 Character	M
	33	Hold Reason	3 Character	O
	48	Transaction Id	16 Character	M
	61	Rejected Order Reason	5 Numeric	O
	62	Origin Transaction Id	16 Character	M
	155	Redemption Unit Price	11 Numeric, 6 decimal	O
	157	Order Reference	16 Character	O
	190	Nett or Gross	1 Character	M
	223	Tax Deducted	15 Numeric, 2 decimal	O
	224	Income	15 Numeric, 2 decimal	O

232	Units Redeemed	18	Numeric, 6 decimal	O
235	Application Unit Price	11	Numeric, 6 decimal	O
236	Application Unit Pricing Date	8	Numeric	O
237	Fees	15	Numeric, 2 decimal	O
238	Application Units	18	Numeric, 6 decimal	O
239	Unit Allotment Date	8	Numeric	O
241	Redemption Unit Pricing Date	8	Numeric	O
245	Redemption APIR Code	9	Character	O

- **Purpose**

To allow a Product Issuer Settlement Participant to accept, reject or place 'On-Hold' a request to switch units (i.e. pari passu a redemption and application) from one fund to another fund under the control of the Product Issuer settlement Participant for the same Issuer.

- **Sender**

Product Issuer Settlement Participant

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 807 Switch Order Request sent to a Product Issuer Settlement Participant

Effected Switch Order Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128
129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192
193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256
257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit	Position	Field Name	Format	Mandatory/ Optional
	2	Redemption Fund Code	12 Character	M
	3	Fund Amount	15 ±Numeric, 2 decimal	O
	10	Application Fund Code	12 Character	M
	16	HIN	10 Numeric	M
	21	Processing Timestamp	22 Character	M
	22	Transaction Status	1 Character	M
	33	Hold Reason	3 Character	O
	48	Transaction Id	16 Character	M
	61	Rejected Order Reason	5 Numeric	O
	62	Origin Transaction Id	16 Character	M
	155	Redemption Unit Price	11 Numeric, 6 decimal	O
	157	Order Reference	16 Character	O
	190	Nett or Gross	1 Character	M
	223	Tax Deducted	15 Numeric, 2 decimal	O
	224	Income	15 Numeric, 2 decimal	O
	232	Units Redeemed	18 Numeric, 6 decimal	O
	235	Application Unit Price	11 Numeric, 6 decimal	O
	236	Application Unit Pricing Date	8 Numeric	O

237	Fees	15	Numeric, 2 decimal	O
238	Application Units	18	Numeric, 6 decimal	O
239	Unit Allotment Date	8	Numeric	O
241	Redemption Unit Pricing Date	8	Numeric	O
245	Redemption APIR Code	9	Character	O
246	Application APIR Code	9	Character	O

- **Purpose**

To notify a Participant of either of the following:-

- Switch Order Request has been accepted or rejected or placed on-hold by the Product Issuer Settlement Participant;
 - If Accepted, the Product Issuer Settlement Participant initially needs to provide details of the Redemption Unit Pricing Date, Application Unit Pricing Date and Unit Allotment Date; or
 - If Rejected, a valid Rejected Reason is to be provided by the Product Issuer Settlement Participant; or
 - If On-Hold, a valid on-hold reason is to be provided by the Product Issuer Settlement Participant

Or

- Additional details for a previous accepted Redemption Order Request (e.g. Application Unit Price, Redemption Unit Price, Application Units, Units Redeemed, Fees);

Note: Subsequent 808 Switch Order Response messages can be sent by the Product Issuer Settlement Participant to provide additional detail data to complete the transaction.

- **Sender**

CHESS

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 808 Switch Order Response submitted by the Product Issuer Settlement Participant

Update Order

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
20	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
22	Transaction Status	1 Character	M
33	Cancel Reason	3 Character	O
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
61	Rejected Order Reason	5 Numeric	O
222	Order Type	3 Character	M
239	Unit Allotment Date	8 Numeric	O
242	Payment Date	8 Numeric	O

- **Purpose**

To allow a Product Issuer Settlement Participant to action any of the following:

1. Respond to an “on-hold” Order Cancellation Request received from a Participant. Where accepted a Transaction Status of “A” is to be provided. Where rejected a Transaction Status of “R” and a valid Rejected Reason is to be provided. No Cancel Reason is required.
2. Update the Unit Allotment Date for an existing Application Order or Switch Order. Transaction Status of “U” and Unit Allotment Date is to be provided.

3. Update the Payment Date for an existing Redemption Order. Transaction Status of “U” and Payment Date is to be provided.
4. Initiate an Order Cancellation. Transaction Status of “C” and a Cancel Reason of “OCF” is to be provided.

- **Sender**

Product Issuer Settlement Participant

- **Recipient**

CHESS

- **Transmission Cut-off Times**

Settlement Cut-off

- Modification to Payment Date then “Update Order” to be sent from Product Issuer Settlement Participant prior to the scheduled settlement date

- **Prerequisites**

Receipt of a 805 Order Cancellation Request sent to the Product Issuer Settlement Participant to cancel an on-hold redemption or switch order request

No pre-requisites for items 2, 3 or 4 detailed in the Purpose section.

Effected Update Order

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
22	Transaction Status	1 Character	M
33	Cancel Reason	3 Character	O
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
61	Rejected Order Reason	5 Numeric	O
222	Order Type	3 Character	M
239	Unit Allotment Date	8 Numeric	O
242	Payment Date	8 Numeric	O

- Purpose**

 To notify a Participant that an update order request submitted by a Product Issuer Settlement Participant has been effected.
- Sender**

 CHES
- Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 810 Update Order submitted by the Product Issuer Settlement Participant

Reminder Notice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
16	HIN	10 Numeric	M
19	PID	5 Numeric	O
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M
57	Total Reminder Count	11 Numeric	M
232	Number of Units	18 Numeric, 6 decimal	O
239	Unit Allotment Date	8 Numeric	M
245	APIR Code	9 Character	O

- Purpose**

To notify both the Participant and the Product Issuer Settlement Participant that the Product Issuer Settlement Participant has failed to allot the required number of units by the previously advised Unit Allotment Date.

The Total Reminder Count shows the number of reminders transmitted for the Target Transaction Id indicated.

- Sender**

CHESS

- **Recipient**

Participant & Product Issuer Settlement Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Expected Number of Units has **not** been made available in the nominated HIN on the Unit Allotment Date as advised by the Product Issuer Settlement Participant.

Income Statement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
3	Gross Income Amount	15 ±Numeric, 2 decimal	M
11	Income Notification Flag	1 Character	M
16	HIN	10 Numeric	M
20	PID	5 Numeric	M
48	Transaction Id	16 Character	M
221	Income Amount Per Unit	11 Numeric, 6 decimal	O
223	Tax Deducted	15 Numeric, 2 decimal	O
224	Nett Amount	15 Numeric, 2 decimal	O
232	Units Participating	18 Numeric, 6 decimal	O
237	Fees	15 Numeric, 2 decimal	O
242	Payment Date	8 Numeric	M
244	Record Date	8 Numeric	M
245	APIR Code	9 Character	O
250	Tax Credit Amount	15 Numeric, 2 decimal	O

- ### Purpose

To allow a Registry to advise a Participant of periodic Income Statement details in response to an accepted periodic Income Statement request.

- **Sender**
Registry
- **Recipient**
CHESS
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of an 884 Accepted Income Statement Request

Income Statement Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
3	Gross Income Amount	15 ±Numeric, 2 decimal	M
11	Income Notification Flag	1 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
221	Income Amount Per Unit	11 Numeric, 6 decimal	O
223	Tax Deducted	15 Numeric, 2 decimal	O
224	Nett Amount	15 Numeric, 2 decimal	O
232	Units Participating	18 Numeric, 6 decimal	O
237	Fees	15 Numeric, 2 decimal	O
242	Payment Date	8 Numeric	M
244	Record Date	8 Numeric	M
245	APIR Code	9 Character	O
250	Tax Credit Amount	15 Numeric, 2 decimal	O

- **Purpose**
To notify a Participant of periodic Income Statement details in response to an accepted periodic Income Statement request.
- **Sender**
CHESS
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of an 813 Income Statement

Distribution Re-investment Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
3	Gross DRP Amount	15 ±Numeric, 2 decimal	M
16	HIN	10 Numeric	M
20	PID	5 Numeric	M
48	Transaction Id	16 Character	M
155	Unit Price	11 Numeric, 6 decimal	M
220	Additional Units	18 Numeric, 6 decimal	M
223	Tax Deducted	15 Numeric, 2 decimal	O
224	Nett DRP Amount	15 Numeric, 2 decimal	O
232	Units Participating	18 Numeric, 6 decimal	M
237	Fees	15 Numeric, 2 decimal	O
239	Unit Allotment Date	8 Numeric	M
244	Record Date	8 Numeric	M
245	APIR Code	9 Character	O

- Purpose**

To allow a Product Issuer Settlement Participant to advise the Participant of Fund distribution re-investment plan details for a given CHESS holder.

Note: Gross DRP Amount less Tax Deducted and Fees equals Nett DRP Amount

- **Sender**
Product Issuer Settlement Participant
- **Recipient**
CHESS
- **Transmission Cut-off Times**
None
- **Prerequisites**
None

Effected Distribution Re-investment Plan Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
3	Gross DRP Amount	15 ±Numeric, 2 decimal	M
16	HIN	10 Numeric	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
155	Unit Price	11 Numeric, 6 decimal	M
220	Additional Units	18 Numeric, 6 decimal	M
223	Tax Deducted	15 Numeric, 2 decimal	O
224	Nett DRP Amount	15 Numeric, 2 decimal	O
232	Units Participating	18 Numeric, 6 decimal	M
237	Fees	15 Numeric, 2 decimal	O
239	Unit Allotment Date	8 Numeric	M
244	Record Date	8 Numeric	M
245	APIR Code	9 Character	O

- Purpose**

To notify a Participant of Fund distribution re-investment plan details for a given CHESS holder.

Note: Gross DRP Amount less Tax Deducted and Fees equals Nett DRP Amount

- **Sender**
CHESS
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 817 Distribution Reinvestment Plan Advice submitted by a Product Issuer Settlement Participant

Cancelled Funds Settlement Payment Instruction

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
3	Fund Amount	15 ±Numeric, 2 decimal	M
12	Settlement Date	8 Numeric	M
16	Payment HIN	10 Numeric	M
19	Paying PID	5 Numeric	M
20	Receiving PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
245	APIR Code	9 Character	O

- Purpose**

To notify both the Participant and the Product Issuer Settlement Participant that a Scheduled Settlement Payment Instruction has been cancelled prior to the scheduled settlement date.

- Sender**

CHESS

- Recipient**

Participant & Product Issuer Settlement Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 731 Scheduled Settlement Payment Instruction that resulted from an Application or Redemption Order.

Change Settlement Date

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
12	Settlement Date	8 Numeric	M
16	Payment HIN	10 Numeric	M
19	Paying PID	5 Numeric	M
20	Receiving PID	5 Numeric	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

To notify both the Participant and the Product Issuer Settlement Participant that a Scheduled Settlement Payment Instruction has been modified as a result of the Product Issuer Settlement Participant modifying the Payment Date.

A new Settlement Date will be advised to both the Participant and the Product Issuer Settlement Participant.

- **Sender**

CHESS

- **Recipient**

Participant & Product Issuer Settlement Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

824-01

Receipt of an Update Order (810) request to change the payment date for a Scheduled Settlement Payment Instruction that had been created for a Redemption Order.

Holding Daily Fund Balance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
12	Date	8 Numeric	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
62	Origin Transaction Id	16 Character	M
225	Closing Fund Balance	18 Numeric, 6 decimal	M
245	APIR Code	9 Character	O

- Purpose**
 To notify a Participant or Product Issuer Settlement Participant of the closing Fund balance for a CHESSE holding on a specific day.
- Sender**
 CHESSE
- Recipient**
 Participant or Product Issuer Settlement Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 503 report Request submitted by the Participant or Product Issuer Settlement Participant.

Holding Net Fund Movement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
12	Date	8 Numeric	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
62	Origin Transaction Id	16 Character	M
220	Fund Net Offs	18 Numeric, 6 decimal	M
225	Closing Fund Balance	18 Numeric, 6 decimal	M
230	Fund Net Ons	18 Numeric, 6 decimal	M
232	Fund Movement from Registry	18 Numeric, 6 decimal	M
233	Fund Movement to Registry	18 Numeric, 6 decimal	M
245	APIR Code	9 Character	O

- Purpose**

 To notify a Registry of the net movements for a Fund CHESS holding on a specific day.
- Sender**

 CHESS

- **Recipient**
Registry
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 503 report Request submitted by the Registry

Holding Fund Balance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
62	Origin Transaction Id	16 Character	M
154	Advice Reason	1 Character	M
225	Total Fund Unit Balance	18 Numeric, 6 decimal	M
245	APIR Code	9 Character	O

- Purpose**
 To notify a Participant or Registry of the current Fund balance for a CHES holding
- Sender**
 CHES
- Recipient**
 Participant or Registry
- Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of either of the following: -

- 503 Report Request submitted by the Participant or Registry; or
- 251 Change Controlling Participant Authorisation submitted by a Participant; or
- Other forms of Change of Controlling Participant Requests completed by ASX Settlement at the request of a Participant.

Total Security Fund Balance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
21	Processing Timestamp	22 Character	M
62	Origin Transaction Id	16 Character	M
232	Total Fund Units on Register	18 Numeric, 6 decimal	M
245	APIR Code	9 Character	O

- Purpose**
 To notify the registry of the current total of all holding balances held on the CHES Subregister for a specific Fund
- Sender**
 CHES
- Recipient**
 Registry
- Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 503 report Request submitted by the Registry

Full Issuer Sponsored Fund to CHESS Conversion

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
16	Receiving HIN	10 Numeric	M
19	Receiving PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
22	Holding Transaction Status	1 Character	M
25	Movement Reason	1 Character	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	M
62	Origin Transaction Id	16 Character	M
87	Initial Timestamp	22 Character	M
88	Effected Timestamp	22 Character	O
232	Number of Units	18 Numeric, 6 decimal	M
233	New Fund Holding Balance	18 Numeric, 6 decimal	O
245	APIR Code	9 Character	O

- Purpose**

To notify the full details of an Issuer Sponsored Fund to CHESS conversion. This message is sent to the Participant or Registry as a result of a report request and is likely to be used by the recipient in reconciliation processes.

- **Sender**
CHESS
- **Recipient**
Participant or Registry
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 503 Report Request submitted by the Participant or Registry

Full CHESS to Issuer Sponsored Fund Conversion

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
17	Delivering HIN	10 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
22	Holding Transaction Status	1 Character	M
25	Movement Reason	1 Character	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	M
59	Receiving Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
70	Underlying Reference	16 Character	O
88	Effected Timestamp	22 Character	M
100	Tax File Number / ABN 1	12 Character	O
101	Tax File Number / ABN 2	12 Character	O
102	Tax File Number / ABN 3	12 Character	O
232	Number of Units	18 Numeric, 6 decimal	M
233	New Fund Holding Balance	18 Numeric, 6 decimal	M
245	APIR Code	9 Character	O

- **Purpose**

Notify full details of CHES to Issuer Sponsored Fund Conversion. This message is sent to the Participant or Registry as a result of a report request and is likely to be used by the recipient in reconciliation processes.

- **Sender**

CHES

- **Recipient**

Participant or Registry

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 503 Report Request submitted by the Participant or Registry

Participant to CHES Fund Balance Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
48	Transaction Id	16 Character	M
50	Verification Name	12 Character	M
51	Securityholder Reference Number	12 Character	M
245	APIR Code	9 Character	O

- Purpose**
 To allow a Participant to request a Registry to provide an Issuer Sponsored Fund holding balance.
- Sender**
 Participant
- Recipient**
 CHES
- Prerequisites**
 None

CHESS to Registry Fund Balance Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
19	Requesting PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
50	Verification Name	12 Character	M
51	Securityholder Reference Number	12 Character	M
62	Origin Transaction Id	16 Character	M
245	APIR Code	9 Character	O

- Purpose**
 To request a registry to provide an Issuer Sponsored fund holding balance as requested by a Participant.
- Sender**
 CHESS
- Recipient**
 Registry

- **Prerequisites**

Receipt of a 837 Participant to CHESS Fund Balance Request message submitted by a Participant.

Registry to CHESS Fund Balance Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
19	Requesting PID	5 Numeric	M
48	Transaction Id	16 Character	M
51	Securityholder Reference Number	12 Character	M
59	Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
87	Holding Balance Timestamp	22 Character	M
233	Fund Holding Balance	18 Numeric, 6 decimal	M
245	APIR Code	9 Character	O

- Purpose**

To provide a Participant with Issuer Sponsored Fund holding balance details as requested.

Note: The registration details provided are the registration details maintained on the Issuer Sponsored subregister.

- Sender**

Registry

- **Recipient**

CHESS

- **Prerequisites**

Receipt of a 838 CHESS to Registry Fund Balance Request sent to the Registry.

CHES to Participant Fund Balance Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
59	Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
87	Holding Balance Timestamp	22 Character	M
233	Fund Holding Balance	18 Numeric, 6 decimal	M

- Purpose**

To provide a Participant with an Issuer Sponsored Fund holding balance as requested.

Note: The registration details provided are the registration details maintained on the Issuer Sponsored subregister.

- Sender**

CHES

- Recipient**

Participant

- **Prerequisites**

Receipt of a 839 Registry to CHESS Fund Balance Response submitted by the Registry.

Registry to CHESS Fund Balance Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
6	Registry Reject Reason	2 Character	M
19	Requesting PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
50	Verification Name	12 Character	M
51	Securityholder Reference Number	12 Character	M
62	Origin Transaction Id	16 Character	M
245	APIR Code	9 Character	O

- Purpose**

To advise that a Participant to CHESS Fund Balance Request could not be processed by a Registry.

- Sender**

Registry

- Recipient**

CHESS

- **Prerequisites**

Receipt of a 838 CHESS to Registry Fund Balance Request sent to the Product Issuer Settlement Participant.

CHESS to Participant Fund Balance Reject

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
6	Registry Reject Reason	2 Character	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- Purpose**
 To advise a Participant that a Participant to CHESS Fund Balance Request could not be processed by a Registry.
- Sender**
 CHESS
- Recipient**
 Participant
- Prerequisites**
 Receipt of a 841 Registry to CHESS Fund Balance Rejection submitted by the Registry.

Top Security Fund Holder Report

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
12	Opening Balance Date	8 Numeric	M
13	Closing Balance Date	8 Numeric	M
16	HIN	10 Numeric	M
18	Holder Type	1 Character	M
19	Controlling PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
59	Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
175	Residency Indicator	1 Character	M
220	Total Fund Movements Off	18 Numeric, 6 decimal	M
225	Closing Fund Balance	18 Numeric, 6 decimal	M
230	Total Fund Movements On	18 Numeric, 6 decimal	M
233	Opening Fund Balance	18 Numeric, 6 decimal	M
245	APIR Code	9 Character	O

- Purpose**

To provide an Issuer with Fund holder details held on the CHES Subregister for a specified Fund.

- **Sender**
CHESS
- **Recipient**
Registry
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 503 Report Request submitted by a Registry

Unit Reversal Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit	Position	Field Name	Format	Mandatory/ Optional
	2	Fund Code	12 Character	M
	16	HIN	10 Numeric	M
	20	PID	5 Numeric	M
	21	Processing Timestamp	22 Character	M
	22	Transaction Status	1 Character	M
	48	Transaction Id	16 Character	M
	62	Origin Transaction Id	16 Character	M
	155	Unit Price	11 Numeric, 6 decimal	M
	157	Order Reference	16 Character	O
	222	Order Type	3 Character	M
	232	Number of Units	18 Numeric, 6 decimal	M
	236	Unit Pricing Date	8 Numeric	M
	245	APIR Code	9 Character	O

• Purpose

To notify a Participant that an order is to be reversed, and adjusted unit values are to be applied via the 732 Unit Adjustment CHESS message. Related payment adjustments for the reversed order must occur outside of CHESS.

A separate instance of the 732 Unit Adjustment CHESS message is required to:

- Advise of the reversal of the original unit adjustment
- Advise of the re-application of adjusted unit values

Sender

Product Issuer Settlement Participant

Recipient

CHESS

Transmission Cut-off Times

None

Prerequisites

A completed Application / Redemption / Switch Request.

Effected Unit Reversal Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit	Position	Field Name	Format	Mandatory/ Optional
	2	Fund Code	12 Character	M
	16	HIN	10 Numeric	M
	20	PID	5 Numeric	M
	21	Processing Timestamp	22 Character	M
	22	Transaction Status	1 Character	M
	48	Transaction Id	16 Character	M
	62	Origin Transaction Id	16 Character	M
	155	Unit Price	11 Numeric, 6 decimal	M
	157	Order Reference	16 Character	O
	222	Order Type	3 Character	M
	232	Number of Units	18 Numeric, 6 decimal	M
	236	Unit Pricing Date	8 Numeric	M
	245	APIR Code	9 Character	O

- Purpose**

846-01

To notify a Participant that the Product Issuer Settlement Participant has requested the reversal of an order request that had previously been completed.

- **Sender**
CHESS
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 845 Unit Reversal Notification submitted by a Product Issuer Settlement Participant.

CHESS to CHESS Fund Transfer Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
11	Full Transfer	1 Character	M
16	Receiving HIN	10 Numeric	M
17	Delivering HIN	10 Numeric	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
48	Transaction Id	16 Character	M
209	AML/CTF Flag	1 Character	M
231	Current PDS Provided	1 Character	M
232	Number of Units	18 Numeric, 6 decimal	O
236	PDS Issue Date	8 Numeric	M
245	APIR Code	9 Character	O

• Purpose

The purpose of this transfer request is to enable a Participant to transfer fund units between two CHESS holdings under its control. Note that the details are from the Transferee perspective. Transfer may be for the full holding or for a part, in which case the Number of Units must be included.

The values for Current PDS Provided and AML/CTF Flag fields apply to the Receiving HIN.

The Participant is advised whether the transfer request was effected or rejected by CHESS.

Both the Receiving HIN and the Delivering HIN must be present in the message.

- **Sender**

Participant

- **Recipient**

CHESS

- **Transmission Cut-off Times**

Settlement Cut-off Time - if the message is to be processed prior to the next settlement process

End of Day - if the message is to be processed prior to the end of day process.

- **Prerequisites**

None

852-01

Fund Transfer Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
35	Supplementary Reference	16 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
70	Registry Reference	16 Character	O
128	Rejected Transfer Reason	1 Character	O
232	Transferor Holding Fund Balance	18 Numeric, 6 decimal	O
233	Transferee Holding Fund Balance	18 Numeric, 6 decimal	O

- Purpose**

The purpose of this message is to notify a Participant that a transfer of fund units between CHESS holdings (HIN) under the control of the same Participant (PID) has been approved or rejected by the PISP. If accepted the units will be moved to the receiving HIN.

- Sender**

CHESS

- **Recipient**
Participant
 - **Transmission Cut-off Times**
None
 - **Prerequisites**
Receipt of a 851 CHESS to CHESS Fund Transfer submitted by the Participant
-

CHESS to CHESS Fund Transfer Request Details

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Fund Code	12 Character	M
11	Full Transfer	1 Character	M
16	Receiving HIN	10 Numeric	M
17	Delivering HIN	10 Numeric	M
20	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
34	Participant Reference	16 Character	O
35	Supplementary Reference	16 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
209	AML/CTF Flag	1 Character	M
231	Current PDS Provided	1 Character	M
232	Number of Units	18 Numeric, 6 decimal	O
236	PDS Issue Date	8 Numeric	M
245	APIR Code	9 Character	O

• Purpose

To notify a Product Issuer Settlement Participant and Registry of a request to transfer fund units between two CHESS holdings. Note that the details are from the Transferee perspective. Transfer may be for the full holding or for a part, in which case the Number of Units must be included.

- **Sender**
CHESS
- **Recipient**
Product Issuer Settlement Participant and Registry
- **Transmission Cutoff Times**
None
- **Prerequisites**
None

CHESS to CHESS Fund Transfer Response

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/Optional
35	Supplementary Reference	16 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
70	Registry Reference	16 Character	O
128	Rejected Transfer Reason	1 Character	O

- Purpose**

To allow a Product Issuer Settlement Participant to respond to a transfer request from a Participant to transfer fund units between two CHESS holdings.

The Product Issuer Settlement Participant has the ability to either accept or reject the transfer request.

- Sender**

Product Issuer Settlement Participant

- Recipient**

CHESS

- Transmission Cutoff Times**

None

- Prerequisites**

Receipt of an 853 CHESS to CHESS Fund Transfer Request Details

Rejected Registry Authorisation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
34	Registry Reference	16 Character	O
37	Rejected Registry Reason	1 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- Purpose**

The purpose of the message is to notify a Participant that a request has been rejected:

 - Election of Distribution preferences
 - Provision of an annual or periodic Income Statement
- Sender**

CHESS
- Recipient**

Participant
- Transmission Cut-off Times**

None
- Prerequisites**

Receipt of an 867 Distribution Election Registry Rejection
Receipt of a 907 Income Statement Rejection

Distribution Election Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
25	Holder DRP Preference	1 Character	O
36	Holder RPP Preference	1 Character	O
48	Transaction Id	16 Character	M
201	BSB Number	7 Character	O
203	Account Number	9 Character	O
204	Account Name	32 Character	O
205	Account Purpose	1 Character	O
206	RPP Frequency	1 Character	O
231	Current PDS Provided	1 Character	M
232	DRP Units Participating	18 Numeric, 6 decimal	O
236	PDS Issue Date	8 Numeric	M
237	RPP Amount	15 Numeric, 2 decimal	O
245	APIR Code	9 Character	O

- Purpose**

To allow a Participant to request the amendment of an investor's Distribution election preferences.

For mFund securities, the Participant would have indicated an investor's initial Distribution election and bank account details via the MT726 Application Request.

This function allows the distribution election preferences to be amended.

Where a Holder DRP preference is 'F' (Full), no additional details are required.

Where the Holder DRP Preference value is 'P' (Partial); DRP Units Participating and the Bank Account details must be populated.

Where a Holder DRP preference is 'N' (cash), the Bank Account details must be populated.

As Regular Payment Plan (RPP) functionality is not currently available, Holder RPP Preference value may only include a space; any other value CHESSE will return an error.

- **Sender**
Participant
- **Recipient**
CHESSE
- **Transmission Cut-off Times**
None
- **Prerequisites**
None

Distribution Election Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
19	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
25	Holder DRP Preference	1 Character	O
36	Holder RPP Preference	1 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
201	BSB Number	7 Character	O
203	Account Number	9 Character	O
204	Account Name	32 Character	O
205	Account Purpose	1 Character	O
206	RPP Frequency	1 Character	O
231	Current PDS Provided	1 Character	M
232	DRP Units Participating	18 Numeric, 6 decimal	O
236	PDS Issue Date	8 Numeric	M
237	RPP Amount	15 Numeric, 2 decimal	O
245	APIR Code	9 Character	O

- Purpose**

To notify a Registry of a Participant request to amend an investor's Distribution election preferences.

For mFund securities, the Participant would have indicated an investor's initial Distribution election and bank account details via MT726 Application Request. This function allows the Distribution election preferences to be amended.

- **Sender**
CHESS
- **Recipient**
Registry
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of an 863 Distribution Election Request

Distribution Election Registry Authorisation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
19	Target UIC	5 Numeric	M
34	Registry Reference	16 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To allow a Registry to accept a Participant request for amendments to an investor's Distribution election preferences.

- **Sender**

Registry

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of an 864 Distribution Election Authorisation Request.

Accepted Distribution Election Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
34	Registry Reference	16 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To notify a Participant that a request to amend an investor's distribution election preferences has been accepted by the Registry.

- **Sender**

CHESS

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of an 865 Distribution Election Registry Authorisation.

Distribution Election Registry Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
19	Target UIC	5 Numeric	M
34	Registry Reference	16 Character	O
37	Rejected Registry Reason	1 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To allow a Registry to reject a Participant request for amendments to an investor's Distribution election preferences.

- **Sender**

Registry

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of an 864 Distribution Election Registry Authorisation Request.

Income Statement Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
19	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
22	Income Statement Report Frequency	1 Character	O
25	Income Statement Report Type	1 Character	O
37	Income Statement Cancellation	1 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
245	APIR Code	9 Character	O

• Purpose

To notify a Registry that a Participant has requested an income statement on behalf of an investor. Based on this request, the Registry is expected to accept or reject the request to provide income statement data or the cancellation of an existing regular standing report for a periodic income statement. One of the following statements may be requested, either:

- A periodic Income Statement, only the latest details will be available.
- An Annual Income Statement, only the latest End of Year financial details will be available.

Additionally the Participant may select an on-demand report or a regular standing report (periodic only).

- **Sender**
CHESS
- **Recipient**
Registry
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of an 869 Income Statement Request

Income Statement Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
22	Income Statement Report Frequency	1 Character	O
25	Income Statement Report Type	1 Character	O
37	Income Statement Cancellation	1 Character	O
48	Transaction Id	16 Character	M
245	APIR Code	9 Character	O

• Purpose

The purpose of the income statement selection request is to enable a Participant, on behalf of an investor, to request either an annual or a periodic income statement or cancel an existing periodic request, from a Registry. One of the following statements may be requested, either:

- A periodic Income Statement, only the latest details will be available.
- An Annual Income Statement, only the latest End of Year financial details will be available.

The Participant may select an on-demand report or a regular standing report (periodic only). There is a cancellation indicator whereby the Participant may cancel an existing regular standing periodic Income Statement request.

The Participant is advised where the request has been accepted or rejected.

- **Sender**
Participant
- **Recipient**
CHESS
- **Transmission Cut-off Times**
None
- **Prerequisites**
None

Accepted Margin Cover Creation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
53	Total Margin Cover	11 Numeric	M
62	Origin Transaction Id	16 Character	M

- Purpose**
 To notify a Participant that Margin Cover has been created.
- Sender**
 CHESS
- Recipient**
 Participant
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of 871 Margin Cover Creation Request.

Margin Cover Creation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
34	Participant Reference	16 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
63	Market	3 Character	M

- Purpose**
 To allow a Participant to request securities in a CRESS holding to be pledged as cover against a margin obligation in a particular Market.
- Sender**
 Participant
- Recipient**
 CRESS
- Transmission Cut-off Times**
 None
- Prerequisites**
 None

Margin Cover Creation Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
20	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
34	Participant Reference	16 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
59	Registration Details	180 Character	M
62	Origin Transaction Id	16 Character	M
63	Market	3 Character	M

- **Purpose**

To notify ASX Clear of margin cover that has been created in CHESS at a Participant's request. The Margin Cover is pledged on securities in a CHESS holding; this may be the first margin cover pledged on this holding or it may be additional to existing Margin Cover.

- **Sender**

CHESS

- **Recipient**

ASX Clear

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of 871 Margin Cover Creation Request

Margin Cover Creation Authorisation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- Purpose**
 To accept a Participant's request for the creation of Margin Cover in a CHESS Holding. The Participant's request was previously advised to ASX Clear by CHESS.
- Sender**
 ASX Clear
- Recipient**
 CHESS
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of a MT871 Margin Cover Creation Request

Effected Margin Cover Release and Transfer

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	Receiving HIN	10 Numeric	O
17	Delivering HIN	10 Numeric	O
19	Receiving PID	5 Numeric	M
20	Delivering PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
38	Basis of Movement 1	2 Character	O
39	Basis of Movement 2	2 Character	O
40	Basis of Movement 3	2 Character	O
41	Basis of Movement 4	2 Character	O
42	Basis of Movement 5	2 Character	O
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
53	Transferor Holding Balance	11 Numeric	O
54	Transferee Holding Balance	11 Numeric	O
55	Transferor Margin Cover	11 Numeric	O
62	Origin Transaction Id	16 Character	M
63	Market	3 Character	M
70	Underlying Reference	16 Character	O
88	Effected Timestamp	22 Character	M

- Purpose**

To notify the Participant that, under instruction from the ASX Clear, securities pledged to ASX Clear have been released and transferred to another holding. This message is sent to the controlling Participant of both the transferor and transferee holdings.

- Sender**

CHESS

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of 885 ASX Clear Margin Cover Release Transfer

Margin Cover Creation Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
37	Collateral Rejected Reason	1 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- Purpose**
 To enable ASX Clear to reject a Margin Cover pledge made by a Participant.
 The securities are released back to the Participant.
- Sender**
 ASX Clear
- Recipient**
 CHESS
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of a MT871 Margin Cover Creation Request.

Accepted Margin Cover Creation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- Purpose**
 To notify a Participant that Margin Cover has been accepted by ASX Clear.
- Sender**
 CHESS
- Recipient**
 Participant
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of 873 Margin Cover Creation Authorisation.

Margin Cover Removal Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
63	Market	3 Character	M

- **Purpose**

To enable a Participant to request the release of a number of securities in a CHESS holding that have been pledged by them as Margin Cover.

The request is held in CHESS pending authorisation from ASX Clear.

- **Sender**

Participant

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

None

Reduced Margin Cover

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
37	Collateral Rejected Reason	1 Character	O
49	Target Transaction Id	16 Character	M
53	Total Margin Cover	11 Numeric	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To notify a Participant that Margin Cover related to a CHESS holding has been reduced and the securities released to the Participant. Either the Participant has previously requested reduction of the Margin Cover and ASX Clear has approved the request, or ASX Clear has rejected Margin Cover previously created by the Participant.

- **Sender**

CHESS

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of 875 Margin Cover Creation Rejection

OR

Receipt of 879 Margin Cover Removal Authorisation

878-01

(Note: No collateral rejected reasons will exist on Message 878 when generated from Message 879).

Margin Cover Removal Authorisation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- Purpose**

 To authorise a Participant's request to release securities in a CHESS holding pledged as Margin Cover. The Participant's request was previously advised to ASX Clear by CHESS.
- Sender**

 ASX Clear
- Recipient**

 CHESS
- Transmission Cut-off Times**

 None
- Prerequisites**

 Receipt of a MT882 Margin Cover Removal Authorisation Request.

Accepted Margin Cover Removal Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To notify the Participant that their request to release securities in a CHESS holding that have been pledged by them as Margin Cover has been accepted by CHESS and passed to ASX Clear for authorisation.

- **Sender**

CHESS

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of 877 Margin Cover Removal Request.

Income Statement Authorisation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
19	Target UIC	5 Numeric	M
34	Registry Reference	16 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To allow a registry to accept a Participant request for an Income Statement.

- **Sender**

Registry

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of an 868 Income Statement Authorisation Request.

Margin Cover Removal Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
20	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
62	Origin Transaction Id	16 Character	M
63	Market	3 Character	M

- Purpose**
 To notify the Participant that their request to release securities in a CHESS holding that have been pledged by them as Margin Cover has been accepted by CHESS and passed to ASX Clear for authorisation.
- Sender**
 CHESS
- Recipient**
 ASX Clear
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of 877 Margin Cover Removal Request.

ASX Clear Removal Margin Cover

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
33	Reason	3 Character	M
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
63	Market	3 Character	M

- **Purpose**

To enable ASX Clear to request the release of a number of securities in a CHESS holding that had been pledged by a Participant as Margin Cover.

- **Sender**

ASX Clear

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

None

Accepted Income Statement Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
34	Registry Reference	16 Character	O
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- Purpose**

 To notify a Participant that the Registry has accepted a request for an Income Statement.
- Sender**

 CHESS
- Recipient**

 Participant
- Transmission Cut-off Times**

 None
- Prerequisites**

 Receipt of an 881 Income Statement Authorisation.

ASX Clear Margin Cover Removal Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	Receiving HIN	10 Numeric	M
17	Delivering HIN	10 Numeric	M
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
63	Market	3 Character	M
70	Underlying Reference	16 Character	O

- Purpose**

To enable ASX Clear to request the release of a number of securities in a CHES holding that had been pledged by a Participant as Margin Cover.

- Sender**

ASX Clear

- Recipient**

CHES

- Transmission Cut-off Times**

None

- Prerequisites**

None

Income Statement Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
19	Target UIC	5 Numeric	M
34	Registry Reference	16 Character	O
37	Rejected Registry Reason	1 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To allow a registry to reject a Participant request for an Income Statement.

- **Sender**

Registry

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of an 868 Income Statement Authorisation Request.

Excess Cash Standing Instruction Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/Optional
3	Excess Cash Standing Instruction	15 ±Numeric, 2 decimal	O
48	Transaction Id	16 Character	M
63	Market	3 Character	M
69	Remove Excess Cash Standing Instruction	1 Character	O

- Purpose**

Allow the participant to manage their Excess Cash Standing Instruction. The new Excess Cash Standing Instruction will take effect the next time margin settlement obligations are calculated for the nominated market.

- Sender**

Participant

- Recipient**

CHESS

- Transmission Cut-off Times**

None

- Prerequisites**

None

Daily Interest Earned Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
3	Interest Amount	15 ±Numeric, 2 decimal	M
12	Date	8 Numeric	M
20	PID	5 Numeric	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
63	Market	3 Character	M
67	Principal	14 Numeric, 2 decimal	M
85	Notification Type	1 Character	M
207	Interest Description	129 Character	M
251	Interest Rate	13 ±Numeric, 5 decimal	M

- Purpose**
 To notify the daily accrued Interest amounts as calculated by ASX Clear
- Sender**
 ASX Clear
- Recipient**

CHESS

- **Transmission Cut-off Times**

None

Excess Cash Standing Instruction Authorisation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- Purpose**
 To authorise a Participant request to amend their Excess Cash Standing Instruction
- Sender**
 ASX Clear
- Recipient**
 CHESS
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of a MT932 Excess Cash Standing Instruction Authorisation Request

Excess Cash Standing Instruction Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
37	Rejected Change Reason	1 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- Purpose**
 To reject a Participant request to change an Excess Cash Standing Instruction
- Sender**
 ASX Clear
- Recipient**
 CHESS
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of a MT932 Excess Cash Standing Instruction Authorisation Request

Margin Settlement Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
3	Settlement Amount	15 ±Numeric, 2 decimal	M
4	Cash Balance Brought Forward	15 ±Numeric, 2 decimal	M
12	Margin Payment Date	8 Numeric	M
20	PID	5 Numeric	M
48	Transaction Id	16 Character	M
63	Market	3 Character	M
73	Cash Payment / Withdrawal	15 ±Numeric, 2 decimal	O
85	Notification Type	1 Character	M
252	Cash Balance Carried Forward	15 ±Numeric, 2 decimal	M
253	Initial Margin	15 ±Numeric, 2 decimal	M
254	Other Margin	15 ±Numeric, 2 decimal	M
255	Utilised Non-Cash Collateral	15 ±Numeric, 2 decimal	M
256	Excess / Shortage	15 ±Numeric, 2 decimal	M
258	Excess Cash Standing Instruction	15 ±Numeric, 2 decimal	O
259	Resultant Cash Balance	15 ±Numeric, 2 decimal	O
260	Resultant Excess	15 ±Numeric, 2 decimal	O

- **Purpose**

To notify a Clearing Participant of their margin obligation as well as to provide other figures related to daily settlement such as balance information, excess cash instructions any other margins and non-cash collateral valuations.

- **Sender**

ASX Clear

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

None

Margin Settlement Amount Change Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
3	Settlement Amount	15 ±Numeric, 2 decimal	M
48	Transaction Id	16 Character	M
63	Market	3 Character	M

- **Purpose**

Allows the Participant to amend the amount of excess cash held with ASX Clear by specifying a new settlement amount

The new Settlement Amount will override any previous instruction or standing instruction and applies to the immediate cash market margining settlement only.

- **Sender**

Participant

- **Recipient**

CHESS

- **Transmission Cut-off Times**

Cash Market Margining settlement cut-off

- **Prerequisites**

None

Note: When Notification Type is neither an "I" (Intraday) nor "R" (Reporting), the participant has the option to send a "Margin Settlement Amount Change Request" (MT921) post receipt of a "Margin Settlement Advice" (MT942).

Margin Settlement Amount Change Authorisation

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
3	New Settlement Amount	15 $\pm$ Numeric, 2 decimal	M
4	New Resultant Excess	15 $\pm$ Numeric, 2 decimal	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- **Purpose**

To authorise a Participants request to change their Margin Settlement Amount that is to be held with ASX Clear

- **Sender**

ASX Clear

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a MT944 Margin Settlement Amount Change Authorisation Request

Margin Settlement Amount Change Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
37	Rejected Change Reason	1 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- Purpose**
 To reject a Participants request to change their Margin Settlement Amount that is to be held with ASX Clear
- Sender**
 ASX Clear
- Recipient**
 CHESS
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of a MT944 Margin Settlement Amount Change Authorisation Request

Collateral Statement Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	O
10	Isin	12 Character	O
12	Date	8 Numeric	M
14	Account	10 Character	M
16	HIN	10 Numeric	O
20	PID	5 Numeric	M
32	Issuer	20 Character	O
34	Reference	16 Character	O
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	O
63	Market	3 Character	M
67	Face Value	14 Numeric, 2 decimal	O
68	Market Value	14 Numeric, 2 decimal	M
78	Currency	3 Character	O
85	Notification Type	1 Character	M
98	Expiry Date	8 Numeric	O
99	Collateral Type	3 Character	M
155	Unit Price	11 Numeric, 6 decimal	O
161	Issue	20 Character	O
221	Haircut Rate	11 Numeric, 6 decimal	M
236	Lodgement Date	8 Numeric	O
251	Yield	13 $\pm$ Numeric, 5 decimal	O
252	Collateral Value	15 $\pm$ Numeric, 2 decimal	M

- **Purpose**

To notify the daily cash and non-cash collateral holdings pledged to or lodged with ASX Clear

- **Sender**

ASX Clear

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

None

End Statement Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/Optional
12	Date	8 Numeric	M
45	Statement Type	1 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
57	Total Statement Count	11 Numeric	M
85	Notification Type	1 Character	M

- Purpose**
 To notify the end of a related set of statement messages
- Sender**
 ASX Clear
- Recipient**
 CHESS
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of a MT940 Daily Interest Earned Statement; OR
 Receipt of a MT952 Collateral Statement

Margin Cover Removal Rejection

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
37	Collateral Rejected Reason	1 Character	M
48	Transaction Id	16 Character	M
49	Target Transaction Id	16 Character	M

- Purpose**
 To enable ASX Clear to reject a Margin Cover pledge removal made by a Participant
- Sender**
 ASX Clear
- Recipient**
 CHESS
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of a MT882 Margin Cover Removal Authorisation Request

Excess Cash Standing Instruction Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

Bit Position	Field Name	Format	Mandatory/Optional
3	Excess Cash Standing Instruction	15 ±Numeric, 2 decimal	M
20	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
63	Market	3 Character	M
69	Remove Excess Cash Standing Instruction	1 Character	O

- Purpose**
 To request ASX Clear to authorise an Excess Cash Standing Instruction Amendment request received from a Participant
- Sender**
 CHESS
- Recipient**
 ASX Clear
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of a 909 Excess Cash Standing Instruction Request

Accepted Excess Cash Standing Instruction Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- Purpose**

 To notify a Participant that the request to amend their Excess Cash Standing Instruction for the nominated market has been accepted and passed onto ASX Clear for authorisation
- Sender**

 CHESS
- Recipient**

 Participant
- Transmission Cut-off Times**

 None
- Prerequisites**

 Receipt of a 909 Excess Cash Standing Instruction Request

Effected Excess Cash Standing Instruction Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- Purpose**
 To notify the Participant that ASX Clear has authorised the request to amend their Excess Cash Standing Instruction
- Sender**
 CHESS
- Recipient**
 Participant
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of a 913 Excess Cash Standing Instruction Authorisation

Rejected Excess Cash Standing Instruction Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
37	Rejected Change Reason	1 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- Purpose**
 To notify the Participant that ASX Clear has rejected the request to change an Excess Cash Standing Instruction
- Sender**
 CHESS
- Recipient**
 Participant
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of a 915 Excess Cash Standing Instruction Rejection

Daily Interest Earned Statement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
3	Interest Amount	15 ±Numeric, 2 decimal	M
12	Date	8 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
63	Market	3 Character	M
67	Principal	14 Numeric, 2 decimal	M
85	Notification Type	1 Character	M
207	Interest Description	129 Character	M
251	Interest Rate	13 ±Numeric, 5 decimal	M

- Purpose**

To notify the Participant of daily accrued Interest amounts as calculated by ASX Clear

- Sender**

CHESS

- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 911 Daily Interest Earned Notification

Margin Settlement Advice

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
3	Settlement Amount	15 $\pm$ Numeric, 2 decimal	M
4	Cash Balance Brought Forward	15 $\pm$ Numeric, 2 decimal	M
12	Margin Payment Date	8 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
63	Market	3 Character	M
73	Cash Payment / Withdrawal	15 $\pm$ Numeric, 2 decimal	O
85	Notification Type	1 Character	M
252	Cash Balance Carried Forward	15 $\pm$ Numeric, 2 decimal	M
253	Initial Margin	15 $\pm$ Numeric, 2 decimal	M
254	Other Margin	15 $\pm$ Numeric, 2 decimal	M
255	Utilised Non-Cash Collateral	15 $\pm$ Numeric, 2 decimal	M
256	Excess / Shortage	15 $\pm$ Numeric, 2 decimal	M
258	Excess Cash Standing Instruction	15 $\pm$ Numeric, 2 decimal	O
259	Resultant Cash Balance	15 $\pm$ Numeric, 2 decimal	O
260	Resultant Excess	15 $\pm$ Numeric, 2 decimal	O

Purpose

To notify a Clearing Participant of their margin obligation as well as to provide other figures related to daily settlement such as balance information, excess cash instructions any other margins and utilised non-cash collateral.

Example: Daily Margin Settlement Confirmation

Trade Date	19 th December 2012
Settlement Date	20 th December 2012
Cash Balance brought forward	100,000 CR
Yesterday's Cash Movement	250,000 Payment to ASX
Cash Balance carried forward	350,000 CR
Cash Market Margin	361,000 DR
Non Cash Collateral Value	297,500 CR
Excess/Shortage	286,500 CR

CHESS Message Name	942-01 Margin Settlement Advice		
Message Flow	ASX to Participant		
Description	Daily advice of margin figures and cash balances for Cash Market where there is no Excess Cash Standing Instruction in place.		
Message Fields	3	Settlement Amount	0.00
	4	Cash Balance Brought Forward	
	12	Margin Payment Date	20121220
	21	Processing Timestamp	2012121920121219210519
	48	Transaction Id	44444000001191700
	62	Origin Transaction Id	44444000001191700
	63	Market	CMM
	73	Cash Payment / Withdrawal	
	85	Notification Type	E
	252	Cash Balance Carried Forward	
	253	Initial Margin	-361000.00
	254	Other Margin	
	255	Utilised Non-Cash Collateral	+297500.00
	256	Excess / Shortage	+286500.00
	258	Excess Cash Standing Instruction	
	259	Resultant Cash Balance	+350000.00
	260	Resultant Excess	+286500.00

- Initial Margin = CMM Obligation
- Other Margin will let a participant know if there is any CBPL AIMS, STEL AIMS, or Other AIMS amounts that are currently being held by ASX Clear.
- The excess/shortage field will indicate whether or not a participant needs to provide any additional cash cover for CMM obligations
 - A cash shortage (i.e. a CP is required to pay additional funds) is represented by a negative figure
 - The settlement amount will display this cash shortage figure (provided there is no excess cash lodged) as a positive amount
- If the excess cash standing instruction is blank – this indicates that a participant has no limit. If a value is displayed, it indicates that the Clearing Participant is settling to that value every day, unless a 921 CHESS message has been sent.

- **Sender**

CHESS

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 917 Margin Settlement Notification

Margin Settlement Amount Change Authorisation Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
3	Settlement Amount	15 ±Numeric, 2 decimal	M
20	PID	5 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
63	Market	3 Character	M

- Purpose**

To request ASX Clear to authorise a Margin Settlement Amount Change Request received from a Participant

- Sender**

CHESS

- Recipient**

ASX Clear

- Transmission Cut-off Times**

Cash Market Margining settlement cut-off

- Prerequisites**

Receipt of a 921 Margin Settlement Amount Change Request

Accepted Margin Settlement Amount Change Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- Purpose**
 To notify a Participant that their request to change the amount due for margin settlement has been accepted and passed onto ASX Clear for authorisation
- Sender**
 CHESS
- Recipient**
 Participant
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of a 921 Margin Settlement Amount Change Request

Effected Margin Settlement Amount Change

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
3	New Settlement Amount	15 ±Numeric, 2 decimal	M
4	New Resultant Excess	15 ±Numeric, 2 decimal	M
21	Processing Timestamp	22 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To notify the Participant that ASX Clear has authorised their request to change the Margin Settlement Amount held with ASX Clear

- **Sender**

CHESS

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 923 Margin Settlement Amount Change Authorisation

Rejected Margin Settlement Amount Change

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
37	Rejected Change Reason	1 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- Purpose**
 To notify the Participant that ASX Clear has rejected their request to change the Margin Settlement Amount held with ASX Clear
- Sender**
 CHESS
- Recipient**
 Participant
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of a 925 Margin Settlement Amount Change Rejection

Collateral Statement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	O
10	Isin	12 Character	O
12	Date	8 Numeric	M
14	Account	10 Character	M
16	HIN	10 Numeric	O
21	Processing Timestamp	22 Character	M
32	Issuer	20 Character	O
34	Reference	16 Character	O
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	O
62	Origin Transaction Id	16 Character	M
63	Market	3 Character	M
67	Face Value	14 Numeric, 2 decimal	O
68	Market Value	14 Numeric, 2 decimal	M
78	Currency	3 Character	O
85	Notification Type	1 Character	M
98	Expiry Date	8 Numeric	O
99	Collateral Type	3 Character	M
155	Unit Price	11 Numeric, 6 decimal	O
161	Issue	20 Character	O
221	Haircut Rate	11 Numeric, 6 decimal	M
236	Lodgement Date	8 Numeric	O
251	Yield	13 $\pm$ Numeric, 5 decimal	O
252	Collateral Value	15 $\pm$ Numeric, 2 decimal	M

- **Purpose**

To notify the Participant of daily cash and non-cash collateral holdings pledged to or lodged with ASX Clear

- **Sender**

CHESS

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 927 Collateral Statement Notification

End Statement

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
12	Date	8 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
57	Total Statement Count	11 Numeric	M
62	Origin Transaction Id	16 Character	M

- Purpose**
 To notify a Participant of the end of a related set of statement messages
- Sender**
 CHESS
- Recipient**
 Participant
- Transmission Cut-off Times**
 None
- Prerequisites**
 Receipt of a 929 End Statement Notification

Rejected Margin Cover Removal Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
37	Collateral Rejected Reason	1 Character	M
49	Target Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- Purpose**

 To notify a Participant that their request to release securities in a CHESS holding that had been pledged by them as Margin Cover has been rejected by ASX Clear.
- Sender**

 CHESS
- Recipient**

 Participant
- Transmission Cut-off Times**

 None
- Prerequisites**

 Receipt of 931 Margin Cover Removal Rejection

Registry Income Statement Part A

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
19	PID	5 Numeric	M
22	Income Statement Status	1 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
94	As At Date	8 Numeric	M
136	Non Primary Income	14 Numeric, 2 decimal	O
137	Trust Franked Distributions	14 Numeric, 2 decimal	O
165	Franking Credit	14 Numeric, 2 decimal	O
166	TFN Withholding	14 Numeric, 2 decimal	O
181	Annual Capital Gain	14 Numeric, 2 decimal	O
245	APIR Code	9 Character	O
268	Net Capital Gain	14 Numeric, 2 decimal	O
269	Assessable Foreign Income	14 Numeric, 2 decimal	O
270	Other Foreign Income	14 Numeric, 2 decimal	O
271	Foreign Income Tax Offsets	14 Numeric, 2 decimal	O
272	Non Primary Distribution Deductions	14 Numeric, 2 decimal	O

957-01

- **Purpose**

The purpose of this message is for the Registry to forward Annual Income Statement details for a sponsored holder, in response to a Participant request. The details provided align with 'Part A' of the ATO Standard Statement data set.

As the ATO Standard Income Statement data set is inclusive of all investors and all financial instruments, some sections may not apply to fund investors. To facilitate movement of data, CHESS will provide a series of messages that mirror the ATO income statement sections. The Total Message Count value in the MT971 will indicate the number of messages transmitted that make up the message set. This value will be used by CHESS to confirm and link the individual Income Statement messages. The Set Transaction Id links the messages.

- **Sender**

Registry

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of an 884 Accepted Income Statement Request

Accepted Registry Income Statement Set

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
57	Total Message Count	11 Numeric	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To notify the Registry that the submitted Income Statement set has been accepted.

- **Sender**

CHESS

- **Recipient**

Registry

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of preceding Registry Income Statement messages linked by Set Transaction Id

Registry Income Statement Part B

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
19	PID	5 Numeric	M
22	Income Statement Status	1 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
94	As At Date	8 Numeric	M
136	Capital Gain Discount	14 Numeric, 2 decimal	O
137	Capital Gain Other	14 Numeric, 2 decimal	O
165	Annual Capital Gain Total	14 Numeric, 2 decimal	O
166	CGT Concession	14 Numeric, 2 decimal	O
181	Tax Deferred	14 Numeric, 2 decimal	O
245	APIR Code	9 Character	O

- Purpose**

The purpose of this message is for the Registry to forward Annual Income Statement details for a sponsored holder, in response to a Participant request. The details provided align with 'Part B' of the ATO Standard Statement data set.

As the ATO Standard Income Statement data set is inclusive of all investors and all financial instruments, some sections may not apply to fund investors. To facilitate movement of data, CHESS will provide a series of messages that mirror the ATO

income statement sections. The Total Message Count value in the MT971 will indicate the number of messages transmitted that make up the message set. This value will be used by CHES to confirm and link the individual Income Statement messages. The Set Transaction Id links the messages.

- **Sender**
Registry
- **Recipient**
CHES
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of an 884 Accepted Income Statement Request

Rejected Registry Income Statement Set

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
37	Rejected Income Statement Set Reason	1 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
57	Total Message Count	11 Numeric	M
62	Origin Transaction Id	16 Character	M

- **Purpose**

To notify a Registry that the submitted Income Statement set has been rejected.

A Reject reason is provided where the stated number of messages (Total Message Count) in the set is incorrect

- **Sender**

CHESS

- **Recipient**

Registry

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of preceding Registry Income Statement messages linked by Set Transaction Id

Registry Income Statement Part C AI

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
19	PID	5 Numeric	M
22	Income Statement Status	1 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
94	As At Date	8 Numeric	M
136	AI Dividends Franked Amount Cash	14 Numeric, 2 decimal	O
137	AI Dividends Franked Amount Tax	14 Numeric, 2 decimal	O
165	AI Dividends Franked Amount Taxable	14 Numeric, 2 decimal	O
166	AI Dividends UnFranked Amount Cash	14 Numeric, 2 decimal	O
181	AI Dividends UnFranked Amount Taxable	14 Numeric, 2 decimal	O
245	APIR Code	9 Character	O
268	AI Interest Cash	14 Numeric, 2 decimal	O
269	AI Interest Taxable	14 Numeric, 2 decimal	O
270	AI Other Income Cash	14 Numeric, 2 decimal	O
271	AI Other Income Taxable	14 Numeric, 2 decimal	O

272	AI Trust Deductions Cash	14	Numeric, 2 decimal	O
273	AI Trust Deductions Taxable	14	Numeric, 2 decimal	O
274	AI Non Primary Income Cash	14	Numeric, 2 decimal	O
275	AI Non Primary Income Taxable	14	Numeric, 2 decimal	O

- **Purpose**

The purpose of this message is for the Registry to forward Annual Income Statement details for a sponsored holder, in response to a Participant request. The details provided align with the 'Australian Income' component of Part C of the ATO Standard Statement data set.

As the ATO Standard Income Statement data set is inclusive of all investors and all financial instruments, some sections may not apply to fund investors. To facilitate movement of data, CHESS will provide a series of messages that mirror the ATO income statement sections. The Total Message Count value in the MT971 will indicate the number of messages transmitted that make up the message set. This value will be used by CHESS to confirm and link the individual Income Statement messages. The Set Transaction Id links the messages.

- **Sender**

Registry

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of an 884 Accepted Income Statement Request

Participant Income Statement Part A

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128
129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192
193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256
257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit	Position	Field Name	Format	Mandatory/ Optional
	2	Security Code	12 Character	M
	16	HIN	10 Numeric	M
	21	Processing Timestamp	22 Character	M
	22	Income Statement Status	1 Character	M
	48	Transaction Id	16 Character	M
	49	Set Transaction Id	16 Character	M
	62	Origin Transaction Id	16 Character	M
	94	As At Date	8 Numeric	M
	136	Non Primary Income	14 Numeric, 2 decimal	O
	137	Trust Franked Distributions	14 Numeric, 2 decimal	O
	165	Franking Credit	14 Numeric, 2 decimal	O
	166	TFN Withholding	14 Numeric, 2 decimal	O
	181	Annual Capital Gain	14 Numeric, 2 decimal	O
	245	APIR Code	9 Character	O
	268	Net Capital Gain	14 Numeric, 2 decimal	O
	269	Assessable Foreign Income	14 Numeric, 2 decimal	O
	270	Other Foreign Income	14 Numeric, 2 decimal	O
	271	Foreign Income Tax Offsets	14 Numeric, 2 decimal	O

| 272 Non Primary Distribution Deductions 14 Numeric, 2 decimal O

- **Purpose**

The purpose of this message is to provide the requesting Participant with a statement of an investor's annual income. The details provided align with Part A of the ATO Standard Income Statement data set.

As the ATO Standard Income Statement data set is inclusive of all investors and all financial instruments, some sections may not apply to fund investors. To facilitate movement of data, CHESS will provide a series of messages that mirror the ATO income statement sections. The Total Message Count value in the MT976 will indicate the number of messages transmitted that make up the message set. This value should be used by the Participant to confirm and link the individual Income Statement messages. The Set Transaction Id links the messages.

- **Sender**

CHESS

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 958 Accepted Registry Income Statement Set

Registry Income Statement Part C CG

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
19	PID	5 Numeric	M
22	Income Statement Status	1 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
94	As At Date	8 Numeric	M
136	CG Discount Cash	14 Numeric, 2 decimal	O
137	CG Discount Tax	14 Numeric, 2 decimal	O
165	CG Discount Taxable	14 Numeric, 2 decimal	O
166	CG Tax Concession Cash	14 Numeric, 2 decimal	O
181	CG Tax Concession Taxable	14 Numeric, 2 decimal	O
245	APIR Code	9 Character	O
268	CG Other Method Cash	14 Numeric, 2 decimal	O
269	CG Other Method Tax	14 Numeric, 2 decimal	O
270	CG Other Method Taxable	14 Numeric, 2 decimal	O
271	CG Distributed Cash	14 Numeric, 2 decimal	O
272	CG Distributed Tax	14 Numeric, 2 decimal	O
273	CG Trust Deductions Taxable	14 Numeric, 2 decimal	O

14	Numeric, 2 decimal	0
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- **Purpose**

The purpose of this message is for the Registry to forward Annual Income Statement details for a sponsored holder, in response to a Participant request. The details provided align with the 'Capital Gains' component of Part C of the ATO Standard Statement data set.

As the ATO Standard Income Statement data set is inclusive of all investors and all financial instruments, some sections may not apply to fund investors. To facilitate movement of data, CHESS will provide a series of messages that mirror the ATO income statement sections. The Total Message Count value in the MT971 will indicate the number of messages transmitted that make up the message set. This value will be used by CHESS to confirm and link the individual Income Statement messages. The Set Transaction Id links the messages.

- **Sender**

Registry

- **Recipient**

CHES

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of an 884 Accepted Income Statement Request

Participant Income Statement Part B

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
22	Income Statement Status	1 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
94	As At Date	8 Numeric	M
136	Capital Gain Discount	14 Numeric, 2 decimal	O
137	Capital Gain Other	14 Numeric, 2 decimal	O
165	Annual Capital Gain Total	14 Numeric, 2 decimal	O
166	CGT Concession	14 Numeric, 2 decimal	O
181	Tax Deferred	14 Numeric, 2 decimal	O
245	APIR Code	9 Character	O

- Purpose**

The purpose of this message is to provide the requesting Participant with a statement of an investor's annual income. The details provided align with Part B of the ATO Standard Income Statement data set.

As the ATO Standard Income Statement data set is inclusive of all investors and all financial instruments, some sections may not apply to fund investors. To facilitate movement of data, CHESS will provide a series of messages that mirror the ATO

income statement sections. The Total Message Count value in the MT976 will indicate the number of messages transmitted that make up the message set. This value should be used by the Participant to confirm and link the individual Income Statement messages. The Set Transaction Id links the messages.

- **Sender**
CHESS
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 958 Accepted Registry Income Statement Set

Registry Income Statement Part C FI

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
19	PID	5 Numeric	M
22	Income Statement Status	1 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
94	As At Date	8 Numeric	M
136	FI Assessable Income Cash	14 Numeric, 2 decimal	O
137	FI Assessable Income Tax	14 Numeric, 2 decimal	O
165	FI Assessable Income Taxable	14 Numeric, 2 decimal	O
166	FI Cash Cash	14 Numeric, 2 decimal	O
181	FI Cash Tax	14 Numeric, 2 decimal	O
245	APIR Code	9 Character	O
268	FI Cash Cash Sub-Total	14 Numeric, 2 decimal	O

- **Purpose**

The purpose of this message is for the Registry to forward Annual Income Statement details for a sponsored holder, in response to a Participant request. The details provided align with the 'Foreign Income' component of Part C of the ATO Standard Statement data set.

As the ATO Standard Income Statement data set is inclusive of all investors and all financial instruments, some sections may not apply to fund investors. To facilitate movement of data, CHESS will provide a series of messages that mirror the ATO income statement sections. The Total Message Count value in the MT971 will indicate the number of messages transmitted that make up the message set. This value will be used by CHESS to confirm and link the individual Income Statement messages. The Set Transaction Id links the messages.

- **Sender**

Registry

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of an 884 Accepted Income Statement Request

Participant Income Statement Part C AI

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
22	Income Statement Status	1 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
94	As At Date	8 Numeric	M
136	AI Dividends Franked Amount Cash	14 Numeric, 2 decimal	O
137	AI Dividends Franked Amount Tax	14 Numeric, 2 decimal	O
165	AI Dividends Franked Amount Taxable	14 Numeric, 2 decimal	O
166	AI Dividends UnFranked Amount Cash	14 Numeric, 2 decimal	O
181	AI Dividends UnFranked Amount Taxable	14 Numeric, 2 decimal	O
245	APIR Code	9 Character	O
268	AI Interest Cash	14 Numeric, 2 decimal	O
269	AI Interest Taxable	14 Numeric, 2 decimal	O
270	AI Other Income Cash	14 Numeric, 2 decimal	O
271	AI Other Income Taxable	14 Numeric, 2 decimal	O
272	AI Trust Deductions Cash	14 Numeric, 2 decimal	O

273	AI Trust Deductions Taxable	14	Numeric, 2 decimal	O
274	AI Non Primary Income Cash	14	Numeric, 2 decimal	O
275	AI Non Primary Income Taxable	14	Numeric, 2 decimal	O

- **Purpose**

The purpose of this message is to provide the requesting Participant with a statement of an investor's annual income. The details provided align with the 'Australian Income' component of Part C of the ATO Standard Income Statement data set.

As the ATO Standard Income Statement data set is inclusive of all investors and all financial instruments, some sections may not apply to fund investors. To facilitate movement of data, CHESS will provide a series of messages that mirror the ATO income statement sections. The Total Message Count value in the MT976 will indicate the number of messages transmitted that make up the message set. This value should be used by the Participant to confirm and link the individual Income Statement messages. The Set Transaction Id links the messages.

- **Sender**

CHESS

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 958 Accepted Registry Income Statement Set

Registry Income Statement Part C NA

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128
129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192
193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256
257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit	Position	Field Name	Format	Mandatory/ Optional
	2	Security Code	12 Character	M
	16	HIN	10 Numeric	M
	19	PID	5 Numeric	M
	22	Income Statement Status	1 Character	M
	48	Transaction Id	16 Character	M
	49	Set Transaction Id	16 Character	M
	62	Origin Transaction Id	16 Character	M
	94	As At Date	8 Numeric	M
	136	NA Tax Exempted Amounts Cash	14 Numeric, 2 decimal	O
	137	NA Tax Deferred Amounts Cash	14 Numeric, 2 decimal	O
	165	NA Gross Cash	14 Numeric, 2 decimal	O
	166	NA Tax Free Amounts Cash	14 Numeric, 2 decimal	O
	245	APIR Code	9 Character	O

- **Purpose**

The purpose of this message is for the Registry to forward Annual Income Statement details for a sponsored holder, in response to a Participant request. The details provided align with the 'Other Non-Assessable Amounts' component of Part C of the ATO Standard Statement data set.

As the ATO Standard Income Statement data set is inclusive of all investors and all financial instruments, some sections may not apply to fund investors. To facilitate movement of data, CHESS will provide a series of messages that mirror the ATO income statement sections. The Total Message Count value in the MT971 will indicate the number of messages transmitted that make up the message set. This value will be used by CHESS to confirm and link the individual Income Statement messages. The Set Transaction Id links the messages.

- **Sender**

Registry

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of an 884 Accepted Income Statement Request

Participant Income Statement Part C CG

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
22	Income Statement Status	1 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
94	As At Date	8 Numeric	M
136	CG Discount Cash	14 Numeric, 2 decimal	O
137	CG Discount Tax	14 Numeric, 2 decimal	O
165	CG Discount Taxable	14 Numeric, 2 decimal	O
166	CG Tax Concession Cash	14 Numeric, 2 decimal	O
181	CG Tax Concession Taxable	14 Numeric, 2 decimal	O
245	APIR Code	9 Character	O
268	CG Other Method Cash	14 Numeric, 2 decimal	O
269	CG Other Method Tax	14 Numeric, 2 decimal	O
270	CG Other Method Taxable	14 Numeric, 2 decimal	O
271	CG Distributed Cash	14 Numeric, 2 decimal	O
272	CG Distributed Tax	14 Numeric, 2 decimal	O
273	CG Trust Deductions Taxable	14 Numeric, 2 decimal	O
274	CG Net	14 Numeric, 2 decimal	O

- **Purpose**

The purpose of this message is to provide the requesting Participant with a statement of an investor's annual income. The details provided align with the 'Capital Gains' component of Part C of the ATO Standard Income Statement data set.

As the ATO Standard Income Statement data set is inclusive of all investors and all financial instruments, some sections may not apply to fund investors. To facilitate movement of data, CHESs will provide a series of messages that mirror the ATO income statement sections. The Total Message Count value in the MT976 will indicate the number of messages transmitted that make up the message set. This value should be used by the Participant to confirm and link the individual Income Statement messages. The Set Transaction Id links the messages.

- **Sender**

CHESs

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 958 Accepted Registry Income Statement Set

Registry Income Statement Part C OD

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
19	PID	5 Numeric	M
22	Income Statement Status	1 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
94	As At Date	8 Numeric	M
136	OD TFN Amounts Withheld	14 Numeric, 2 decimal	O
137	OD Other Expenses	14 Numeric, 2 decimal	O
165	OD Net Cash	14 Numeric, 2 decimal	O
245	APIR Code	9 Character	O

- ### Purpose

The purpose of this message is for the Registry to forward Annual Income Statement details for a sponsored holder, in response to a Participant request. The details provided align with the 'Other Amounts Deducted from Trust' component of Part C of the ATO Standard Statement data set.

As the ATO Standard Income Statement data set is inclusive of all investors and all financial instruments, some sections may not apply to fund investors. To facilitate movement of data, CHESS will provide a series of messages that mirror the ATO income statement sections. The Total Message Count value in the MT971 will indicate

the number of messages transmitted that make up the message set. This value will be used by CHESS to confirm and link the individual Income Statement messages. The Set Transaction Id links the messages.

- **Sender**
Registry
- **Recipient**
CHESS
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of an 884 Accepted Income Statement Request

Participant Income Statement Part C FI

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
22	Income Statement Status	1 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
94	As At Date	8 Numeric	M
136	FI Assessable Income Cash	14 Numeric, 2 decimal	O
137	FI Assessable Income Tax	14 Numeric, 2 decimal	O
165	FI Assessable Income Taxable	14 Numeric, 2 decimal	O
166	FI Cash Cash	14 Numeric, 2 decimal	O
181	FI Cash Tax	14 Numeric, 2 decimal	O
245	APIR Code	9 Character	O
268	FI Cash Cash Sub-Total	14 Numeric, 2 decimal	O

- **Purpose**

The purpose of this message is to provide the requesting Participant with a statement of an investor's annual income. The details provided align with the 'Foreign Income' component of Part C of the ATO Standard Income Statement data set.

As the ATO Standard Income Statement data set is inclusive of all investors and all financial instruments, some sections may not apply to fund investors. To facilitate movement of data, CHESS will provide a series of messages that mirror the ATO income statement sections. The Total Message Count value in the MT976 will indicate the number of messages transmitted that make up the message set. This value should be used by the Participant to confirm and link the individual Income Statement messages. The Set Transaction Id links the messages.

- **Sender**

CHESS

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of a 958 Accepted Registry Income Statement Set

End Registry Income Statement Set

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/ Optional
12	Date	8 Numeric	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
57	Total Message Count	11 Numeric	M

- **Purpose**

The purpose of this message is to conclude the Income Statement data set. Total Message Count will indicate the number of messages transmitted that make up the message set. This field will be used by CHESS to confirm and link the individual Income Statement messages.

- **Sender**

Registry

- **Recipient**

CHESS

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of preceding Registry Income Statement messages linked by Set Transaction Id

Participant Income Statement Part C NA

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit	Position	Field Name	Format	Mandatory/ Optional
	2	Security Code	12 Character	M
	16	HIN	10 Numeric	M
	21	Processing Timestamp	22 Character	M
	22	Income Statement Status	1 Character	M
	48	Transaction Id	16 Character	M
	49	Set Transaction Id	16 Character	M
	62	Origin Transaction Id	16 Character	M
	94	As At Date	8 Numeric	M
	136	NA Tax Exempted Amounts Cash	14 Numeric, 2 decimal	O
	137	NA Tax Deferred Amounts Cash	14 Numeric, 2 decimal	O
	165	NA Gross Cash	14 Numeric, 2 decimal	O
	166	NA Tax Free Amounts Cash	14 Numeric, 2 decimal	O
	245	APIR Code	9 Character	O

• Purpose

The purpose of this message is to provide the requesting Participant with a statement of an investor's annual income. The details provided align with the 'Other Non-Assessable Amounts' component of Part C of the ATO Standard Income Statement data set.

As the ATO Standard Income Statement data set is inclusive of all investors and all financial instruments, some sections may not apply to fund investors. To facilitate

movement of data, CHESS will provide a series of messages that mirror the ATO income statement sections. The Total Message Count value in the MT976 will indicate

the number of messages transmitted that make up the message set. This value should be used by the Participant to confirm and link the individual Income Statement messages. The Set Transaction Id links the messages.

- **Sender**
CHESS
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 958 Accepted Registry Income Statement Set

Participant Income Statement Part C OD

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

257	258	259	260	261	262	263	264	265	266	267	268	269	270	271	272
273	274	275	276	277	278	279	280	281	282	283	284	285	286	287	288
289	290	291	292	293	294	295	296	297	298	299	300	301	302	303	304
305	306	307	308	309	310	311	312	313	314	315	316	317	318	319	320

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
16	HIN	10 Numeric	M
21	Processing Timestamp	22 Character	M
22	Income Statement Status	1 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
94	As At Date	8 Numeric	M
136	OD TFN Amounts Withheld	14 Numeric, 2 decimal	O
137	OD Other Expenses	14 Numeric, 2 decimal	O
165	OD Net Cash	14 Numeric, 2 decimal	O
245	APIR Code	9 Character	O

- ### Purpose

The purpose of this message is to provide the requesting Participant with a statement of an investor's annual income. The details provided align with the 'Other Amounts Deducted from Trust' component of Part C of the ATO Standard Income Statement data set.

As the ATO Standard Income Statement data set is inclusive of all investors and all financial instruments, some sections may not apply to fund investors. To facilitate movement of data, CHESS will provide a series of messages that mirror the ATO income statement sections. The Total Message Count value in the MT976 will indicate

the number of messages transmitted that make up the message set. This value should be used by the Participant to confirm and link the individual Income Statement messages. The Set Transaction Id links the messages.

- **Sender**
CHESS
- **Recipient**
Participant
- **Transmission Cut-off Times**
None
- **Prerequisites**
Receipt of a 958 Accepted Registry Income Statement Set

End Participant Income Statement Set

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
12	Date	8 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
49	Set Transaction Id	16 Character	M
57	Total Message Count	11 Numeric	M

- **Purpose**

The purpose of this message is to conclude the Annual Income Statement data set. Total Message Count must indicate the number of messages transmitted that make up the message set. This field should be used by the Participant to confirm and link the individual Income Statement messages.

- **Sender**

CHESS

- **Recipient**

Participant

- **Transmission Cut-off Times**

None

- **Prerequisites**

Receipt of preceding Participant Income Statement messages linked by Set Transaction Id