

**ASX MARKET PARTICIPANTS**

The table below lists all ASX Market Participants that are not also ASX Clear Participants. The purpose of this table is to assist ASX Clear Participants that have an exposure to any of these entities which requires the calculation of a counterparty risk amount under ASX Clear Rule S1. The table will enable such ASX Clear Participants to identify whether or not they can apply a 20% counterparty risk weighting (CRW) on their exposures to these counterparties.

<b>Participant Name</b>	<b>Principal Trader</b>	<b>Eligible for 20% CRW</b>
Argonaut Securities Pty Limited		Yes
Ascot Securities Pty Ltd		Yes
Barclays Capital Asia Limited		Yes
BGC Securities (Australia) Pty Limited		Yes
Bridges Financial Services Pty Limited		Yes
BTIG Australia Limited		Yes
Burrell Stockbroking Pty Ltd		Yes
Canaccord Genuity Financial Limited		Yes
CCZ Statton Equities Pty Ltd		Yes
Centec Securities Pty Ltd		Yes
Citadel Securities (Hong Kong) Limited		Yes
CLSA Australia Pty Limited		Yes
Eclipse Futures (HK) Limited	✓	No
Euroz Hartleys Securities Limited		Yes
Evans and Partners Pty Ltd		Yes
Finclear Execution Limited		Yes
Foster Stockbroking Pty Limited		Yes
IMC Pacific Pty Ltd	✓	No
Jefferies (Australia) Securities Pty Ltd		Yes
Joseph Palmer & Sons		Yes
Jump Trading Pacific Pte Ltd	✓	No
Liquid Capital Australia Pty Limited	✓	No
Liquidnet Australia Pty Limited		Yes
Lodge Partners Pty Ltd		Yes
Mako APAC Pte Ltd	✓	No
Macquarie Securities (Australia) Limited		Yes
Moelis Australia Securities Pty Ltd		Yes
Ord Minnett Limited		Yes
Petra Capital Pty Ltd		Yes
Phillip Capital Limited		Yes
Shaw and Partners Limited		Yes
Susquehanna Pacific Pty Limited	✓	No
Taylor Collison Limited		Yes
Tibra Trading Pty Ltd	✓	No
Velocity Trade Limited		Yes
Virtu Financial Asia Pty Limited	✓	No



<b>Participant Name</b>	<b>Principal Trader</b>	<b>Eligible for 20% CRW</b>
Vivienne Court Trading Pty Ltd	✓	No
Wilsons Advisory and Stockbroking Ltd		Yes

