

**ASX MARKET PARTICIPANTS**

The table below lists all ASX Market Participants that are not also ASX Clear Participants. The purpose of this table is to assist ASX Clear Participants that have an exposure to any of these entities which requires the calculation of a counterparty risk amount under ASX Clear Rule S1. The table will enable such ASX Clear Participants to identify whether or not they can apply a 20% counterparty risk weighting (CRW) on their exposures to these counterparties.

Participant Name	Principal Trader	Eligible for 20% CRW
Argonaut Securities Pty Limited		Yes
Barclays Capital Asia Limited		Yes
Bell Potter Securities Limited		Yes
BGC Partners (Australia) Pty Limited		Yes
Bridges Financial Services Pty Limited		Yes
BTIG Australia Limited		Yes
Burrell Stockbroking Pty Ltd		Yes
Canaccord Genuity Financial Limited		Yes
Centec Securities Pty Ltd		Yes
CLSA Australia Pty Limited		Yes
Eclipse Futures (HK) Limited	✓	No
Evans and Partners Pty Ltd		Yes
Finclear Execution Limited		Yes
Foster Stockbroking Pty Limited		Yes
Jarden Australia Pty Ltd		Yes
Jefferies (Australia) Securities Pty Ltd		Yes
Joseph Palmer & Sons		Yes
Jump Trading Pacific Pte Ltd	✓	No
Liquidnet Australia Pty Limited		Yes
Lodge Partners Pty Ltd		Yes
MA Moelis Australia Securities Pty Ltd		Yes
Macquarie Securities (Australia) Limited		Yes
Mako Trading Australia Pty Ltd	✓	No
Nine Mile Financial Pty Limited	✓	No
Ord Minnett Limited		Yes
Petra Capital Pty Ltd		Yes
Phillip Capital Limited		Yes
Shaw and Partners Limited		Yes
Susquehanna Pacific Pty Limited	✓	No
Taylor Collison Limited		Yes
Velocity Trade Limited		Yes
Virtu Financial Asia Pty Limited	✓	No
Vivienne Court Trading Pty Ltd	✓	No
Webull Securities (Australia) Pty Ltd		Yes
Wilsons Advisory and Stockbroking Ltd		Yes