



Usage Guideline

ASX - AU - Austraclear SWIFT Messaging - V1 - 535_Statement of Holdings (Intraday, Interim or End of Day)

ASX - AU - Austraclear SWIFT Messaging -
2020_migrated

This document describes a usage guideline restricting the base message MT535 (SR 2020)
.You can also consult this [information online](#).

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Message Functionality

Collection Description

ASX - AU - Austraclear SWIFT Messaging - 2020_migrated ([link](#))

Usage Guideline Description

ASX - AU - Austraclear SWIFT Messaging - V1 - 535_Statement of Holdings (Intraday, Interim or End of Day) ([link](#))

Outline

The ASX - AU - Austraclear SWIFT Messaging - V1 - 535_Statement of Holdings (Intraday, Interim or End of Day) message is composed of 4 building blocks

- a - General Information (A)
- b - Sub-safekeeping Account (B)
- c - Additional Information (C)
- d - Network Validated Rules

About this document

Legend

Abbreviation	Term	Description
X	Excluded	An optional field or element must not be populated.
I	Ignored	A field or element could be populated but is ignored by the receiver.
[x..y]	Multiplicity	A field or element multiplicity has changed.
FV	Fixed Value	A field or element must contain a given value.
T/C	Type / Code Change	A user-defined datatype replaces an existing simple datatype.
A	Element Added	A field or element has been added.

Header	Description
LvL	Element nesting in tree hierarchy
Name	Element name
Mult	Element multiplicity
Type / Code	Element formatting
Rest	Restriction type
Additional details	Other restriction specifics

Type/Code Notation	Describes the Element	Examples
text{m,M}	minimum (m) and maximum (M) length	text{1,35}
text{L}	maximum (L) length, minimum length is 0	test{10}
m <= decimal <= M	minimum (m) and maximum (M) values	0.01 <= decimal <= 9999.99
fd = F, td = T	maximum fractional (F) and total (T) number of digits	fd = 2, td = 11
<<regular expression>>	regular expression pattern	[A-Z]{6,6}([A-Z0-9]{3,3}){0,1}

Lvl	Name	Mult	Type / Code	Restr	Additional details
0	535 Statement of Holdings (SR2020)				
1	General Information (A)	[1..1]	GENL		
2	16R Start of Block	[1..1]	GENL		Comment: :16R:GENL
2	28E Page Number/Continuation Indicator	[1..1]	5n/4!c		
3	28E		5n/4!c		
4	Page Number	[1..1]	5n		
3	Continuation Indicator	[1..1]	4!c		
4	Last Page		LAST		
4	Intermediate Page		MORE		
4	Only Page		ONLY		
2	13a Number Identification	[0..1]			Comment: ASX will use Option A :13A::STAT//Statement Number The statement number will be a 3 digit code that increments for every MT535 generated in the entire system regardless of participant.
3	Statement Number [STAT]	[0..1]			
4	13A		:4!c/3!c		
5	Qualifier	[1..1]	4!c		
5	Number Id	[1..1]	3!c		
2	20C Reference	[1..1]	:4!c//16x		Comment: :20C::SEME//Sender's Reference
3	Sender's Message Reference [SEME]	[1..1]	:4!c//16x		
4	20C		:4!c//16x		
5	Qualifier	[1..1]	4!c		
5	Reference	[1..1]	16x		
2	23G Function of the Message	[1..1]	4!c/4!c]		
3	23G		4!c/4!c]		Comment: ASX will only send New Messages :23G:NEWM
3	Function	[1..1]	4!c		
4	New		NEWM		
2	98a Date/Time	[1..*]			
3	Statement Date/Time [STAT]	[1..1]			
4	98A		:4!c//YYYYMMDD		
5	Qualifier	[1..1]	4!c		

Lvl	Name	Mult	Type / Code	Restr	Additional details
5	Date	[1..1]	YYYYMMDD		
4	98C		:4!c/YYYMMDDH HMMSS		
5	Qualifier	[1..1]	4!c		
5	Date	[1..1]	YYYYMMDD		
5	Time	[1..1]	HHMMSS		
2	22F Indicator	[1..*]			
3	Statement Frequency Indicator [SFRE]	[1..1]	:4!c/[8c]/4!c		Comment: :22F::SFRE//Frequency Where the frequency can be either: INDA for an intraday request in response to a MT549, or DAIL for Daily in response to a standing order.
4	22F		:4!c/[8c]/4!c		
5	Qualifier	[1..1]	4!c		
5	Data Source Scheme	[0..1]	8c		
5	Indicator	[1..1]	4!c		
6	Daily		DAIL		
6	Intra-Day		INDA		
6	Quarterly		QUTR		
3	Complete/Updates Indicator [CODE]	[1..1]	:4!c/[8c]/4!c		Comment: ASX will always include :22F::CODE//COMP
4	22F		:4!c/[8c]/4!c		
5	Qualifier	[1..1]	4!c		
5	Data Source Scheme	[0..1]	8c		
5	Indicator	[1..1]	4!c		
6	Complete		COMP		
3	Statement Type [STTY]	[1..1]	:4!c/[8c]/4!c		Comment: ASX will always include :22F::STTY//CUST
4	22F		:4!c/[8c]/4!c		
5	Qualifier	[1..1]	4!c		
5	Data Source Scheme	[0..1]	8c		
5	Indicator	[1..1]	4!c		
6	Custody		CUST		

Lvl	Name	Mult	Type / Code	Restr	Additional details
3	Statement Basis [STBA]	[1..1]	:4!c/[8c]/4!c		Comment: ASX will always include :22F::STBA//SETT
4	22F		:4!c/[8c]/4!c		
5	Qualifier	[1..1]	4!c		
5	Data Source Scheme	[0..1]	8c		
5	Indicator	[1..1]	4!c		
6	Settled		SETT		
2	Linkages (A1)	[0..*]	LINK		
3	16R Start of Block	[1..1]	LINK		Comment: :16R:LINK
3	13a Number Identification	[0..1]			Comment: This field will not be present for a automated MT535 response but will be present for a manually requested MT535 message
4	Linked Message [LINK]	[0..1]			
5	13A		:4!c//3!c		
6	Qualifier	[1..1]	4!c		
6	Number Id	[1..1]	3!c		
5	13B		:4!c/[8c]/30x		
6	Qualifier	[1..1]	4!c		
6	Data Source Scheme	[0..1]	8c		
6	Number	[1..1]	30x		
3	20C Reference	[1..1]			Comment: If an MT535 was generated as response to MT549, the tag will contain the following information; RELA//123456 Where 123456 is the TRN of the MT549. If an MT535 was generate through unsolicited advises, then INTRN is replaced with "INT" for interim and "EOD" for evening.
4	Related Message Reference [RELA]	[1..1]	:4!c//16x		
5	20C		:4!c//16x		
6	Qualifier	[1..1]	4!c		
6	Reference	[1..1]	16x		
3	16S End of Block	[1..1]	LINK		Comment: :16S:LINK
2	97a Account	[1..1]			Comment: ASX will always use Option A SAFE//ParticipantSub Account

Lvl	Name	Mult	Type / Code	Restr	Additional details
3	Safekeeping Account [SAFE]	[1..1]			Comment:
4	97A		:4!c//35x		
5	Qualifier	[1..1]	4!c		
5	Account Number	[1..1]	35x		
2	17B Flag	[1..*]			
3	Activity Flag [ACTI]	[1..1]	:4!c//1!a		Comment: Activity Flag – Y if records to report :ACTI//Y
4	17B		:4!c//1!a		
5	Qualifier	[1..1]	4!c		
4	Flag	[1..1]	1!a		
5	No		N		
5	Yes		Y		
3	Sub-safekeeping Statement [CONS]	[1..1]	:4!c//1!a		Comment: :CONS//N
4	17B		:4!c//1!a		
5	Qualifier	[1..1]	4!c		
4	Flag	[1..1]	1!a		
5	No		N		
5	Yes		Y		
3	Security Interest, Lien or Right of Set-Off [SLRS]	[0..1]	:4!c//1!a		
4	17B		:4!c//1!a		
5	Qualifier	[1..1]	4!c		
4	Flag	[1..1]	1!a		
5	No		N		
5	Yes		Y		
2	16S End of Block	[1..1]	GENL		Comment: :16S:GENL
1	Sub-safekeeping Account (B)	[0..*]	SUBSAFE		
2	16R Start of Block	[1..1]	SUBSAFE		Comment: SUBSAFE
2	97a Account	[0..1]			Comment: This field will not be present
3	Safekeeping Account [SAFE]	[0..1]			
4	97A		:4!c//35x		

Lvl	Name	Mult	Type / Code	Restr	Additional details
5	Qualifier	[1..1]	4!c		
5	Account Number	[1..1]	35x		
4	97B		:4!c/[8c]/4!c/35x		
5	Qualifier	[1..1]	4!c		
5	Data Source Scheme	[0..1]	8c		
5	Account Type Code	[1..1]	4!c		
6	Abroad		ABRD		
6	Centralised		CEND		
6	Cash/DVP		DVPA		
6	Margin		MARG		
6	Physical		PHYS		
6	Short Sale		SHOR		
5	Account Number	[1..1]	35x		
2	17B Flag	[0..1]	:4!c//1!a		Comment: :17B:ACTI//Y
3	Activity Flag [ACTI]	[0..1]	:4!c//1!a		
4	17B		:4!c//1!a		
5	Qualifier	[1..1]	4!c		
4	Flag	[1..1]	1!a		
5	No		N		
5	Yes		Y		
2	Financial Instrument (B1)	[0..*]	FIN		
3	16R Start of Block	[1..1]	FIN		Comment: :16R:FIN
3	35B Identification of the Financial Instrument	[1..1]	[ISIN1!e12!c][4*35x]		Comment: ISIN code followed by optional ISIN description, coupon rate and maturity date if available :35B:ISIN AU0000XQLQC8 QLQC81 QLDT FRN 3MTH BBSW + 0.32% 6.100014-JAN-2011 If description is not present, rate and maturity will move up to 3rd line Please note that for Discount Securities their will be no description unless there are distinguishing marks on the security.
4	35B		[ISIN1!e12!c][4*35x]		
5	Identification of Security	[0..1]	ISIN1!e12!c		

Lvl	Name	Mult	Type / Code	Restr	Additional details
5	Description of Security	[0..1]	4*35x		
6	Line	[1..4]	35x		
3	Financial Instrument Attributes (B1a)	[0..1]	FIA		
4	16R Start of Block	[1..1]	FIA		Comment: :16R:FIA
4	16S End of Block	[1..1]	FIA		Comment: FIA
3	93B Balance	[1..*]			Comment: :93B::AGGR//FAMT/Aggregate Balance
4	Aggregate Balance [AGGR]	[1..*]	:4!c/[8c]/4!c/[N]15d		
5	93B		:4!c/[8c]/4!c/[N]15d		
6	Qualifier	[1..1]	4!c		
6	Data Source Scheme	[0..1]	8c		
6	Quantity Type Code	[1..1]	4!c		
7	Face Amount		FAMT		
6	Sign	[0..1]	N		
6	Balance	[1..1]	15d		
3	Sub-balance (B1b)	[0..*]	SUBBAL		Comment: We repeat SUBBAL due to the number of holdings per client.
4	16R Start of Block	[1..1]	SUBBAL		Comment: :16R:SUBBAL
4	93a Balance	[1..*]			Comment: ASX will provide Option C only 93C::OTHR//FAMT//AVAI/Balance
5	Unclassified [OTHR]	[1..1]			
6	93C		:4!c//4!c/4!c/[N]15d		
7	Qualifier	[1..1]	4!c		
6	Quantity Type Code	[1..1]	4!c		
7	Face Amount		FAMT		
6	Balance Type Code	[1..1]	4!c		
7	Available		AVAI		
7	Sign	[0..1]	N		
7	Balance	[1..1]	15d		
4	16S End of Block	[1..1]	SUBBAL		Comment: :16S:SUBBAL
3	19A Amount	[0..*]			Comment: :19A::HOLD//AUD Holding Amount

Lvl	Name	Mult	Type / Code	Restr	Additional details
4	Holding Value [HOLD]	[0..1]	:4!c//[N]3!a15d		
5	19A		:4!c//[N]3!a15d		
6	Qualifier	[1..1]	4!c		
6	Sign	[0..1]	N		
5	Currency Code	[1..1]	3!a		
6	Amount	[1..1]	15d		
3	16S End of Block	[1..1]	FIN		Comment: :16S:SUBSAFE
2	16S End of Block	[1..1]	SUBSAFE		Comment: :16S:ADDINFO
1	Additional Information (C)	[0..*]	ADDINFO		
2	16R Start of Block	[1..1]	ADDINFO		Comment: :16R:ADDINFO
2	19A Amount	[0..*]			
3	Total Holdings Value of Page [HOLP]	[0..1]	:4!c//[N]3!a15d		
4	19A		:4!c//[N]3!a15d		
5	Qualifier	[1..1]	4!c		
5	Sign	[0..1]	N		
4	Currency Code	[1..1]	3!a		
5	Amount	[1..1]	15d		
2	70H Narrative	[0..1]	:4!c//2500z		
3	Additional Text [ADTX]	[0..1]	:4!c//2500z		
4	70H		:4!c//2500z		
5	Qualifier	[1..1]	4!c		
5	Narrative	[1..1]	2500z		
6	Line	[1..*]	[2500z]		
2	16S End of Block	[1..1]	ADDINFO		Comment: :16S:ADDINFO

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