



## Usage Guideline

# **ASX - AU - Austraclear SWIFT Messaging - V1 - 541\_Receive Against Payment**

ASX - AU - Austraclear SWIFT Messaging -  
2020\_migrated

This document describes a usage guideline restricting the base message MT541 (SR 2020)  
.You can also consult this [information online](#).

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## Message Functionality

### Collection Description

ASX - AU - Austraclear SWIFT Messaging - 2020\_migrated ([link](#))

### Usage Guideline Description

ASX - AU - Austraclear SWIFT Messaging - V1 - 541\_Receive Against Payment ([link](#))

### Outline

The ASX - AU - Austraclear SWIFT Messaging - V1 - 541\_Receive Against Payment message is composed of 6 building blocks

- a - General Information (A)
- b - Trade Details (B)
- c - Financial Instrument/Account (C)
- d - Two Leg Transaction Details (D)
- e - Settlement Details (E)
- f - Network Validated Rules

## About this document

### Legend

Abbreviation	Term	Description
X	Excluded	An optional field or element must not be populated.
I	Ignored	A field or element could be populated but is ignored by the receiver.
[x..y]	Multiplicity	A field or element multiplicity has changed.
FV	Fixed Value	A field or element must contain a given value.
T/C	Type / Code Change	A user-defined datatype replaces an existing simple datatype.
A	Element Added	A field or element has been added.

Header	Description
LvL	Element nesting in tree hierarchy
Name	Element name
Mult	Element multiplicity
Type / Code	Element formatting
Rest	Restriction type
Additional details	Other restriction specifics

Type/Code Notation	Describes the Element	Examples
text{m,M}	minimum (m) and maximum (M) length	text{1,35}
text{L}	maximum (L) length, minimum length is 0	test{10}
m <= decimal <= M	minimum (m) and maximum (M) values	0.01 <= decimal <= 9999.99
fd = F, td = T	maximum fractional (F) and total (T) number of digits	fd = 2, td = 11
<<regular expression>>	regular expression pattern	[A-Z]{6,6}([A-Z0-9]{3,3}){0,1}

Lvl	Name	Mult	Type / Code	Restr	Additional details
0	541 Receive Against Payment (SR2020)				
1	General Information (A)	[1..1]	GENL		
2	16R Start of Block	[1..1]	GENL		Comment: :16R:GENL
2	20C Reference	[1..1]	:4!c//16x		Comment: :20C:SEME//TRN123456 Transaction reference included by the sending participant. Must be unique within 14 days across all message types.
3	Sender's Message Reference [SEME]	[1..1]	:4!c//16x		
4	20C		:4!c//16x		
5	Qualifier	[1..1]	4!c		
5	Reference	[1..1]	16x		
2	23G Function of the Message	[1..1]	4!c[4!c]		Comment: :23G:NEWM
3	23G		4!c[4!c]		
3	Function	[1..1]	4!c		
4	Cancellation Request		CANC		
4	New		NEWM		
2	98a Date/Time	[0..1]			
2	99B Number Count	[0..*]			
2	Linkages (A1)	[0..*]	LINK		
3	16R Start of Block	[1..1]	LINK		Comment: :16R:LINK
3	22F Indicator	[0..1]	:4!c/[8c]/4!c		
3	13a Number Identification	[0..1]			
3	20a Reference	[1..1]			Comment: Use of the 20C Tag is mandatory if this subsequence is present.
4	Pool Reference [POOL]	[1..1]	:4!c//16x		Comment: Only the Seller can defer a trade
4	Preadvice Message Reference [PREA]	[1..1]	:4!c//16x		

Lvl	Name	Mult	Type / Code	Restr	Additional details
4	Previous Message Reference [PREV]	[1..1]	:4!c//16x		Comment: If the message type is CANC, the PREV qualifier must be included to identify the transaction to be cancelled and must include the Sender's Reference from Tag20C:SEME// contained in the original message.  :20C::PREV//REPO123456. If the message type is unwind, the PREV qualifier to be included which is the transaction reference number from the original message.
5	20C		:4!c//16x		
6	Qualifier	[1..1]	4!c		
6	Reference	[1..1]	16x		
4	Related Message Reference [RELA]	[1..1]	:4!c//16x		Comment: If the message type is NEWM or PREA the RELA qualifier can be used to include comments equivalent to the Comments field in a terminal entered trade screen. :20C::RELA//comments.
5	20C		:4!c//16x		
6	Qualifier	[1..1]	4!c		
6	Reference	[1..1]	16x		
4	Corporate Action Reference [CORP]	[1..1]	:4!c//16x		
5	20C		:4!c//16x		
6	Qualifier	[1..1]	4!c		
6	Reference	[1..1]	16x		
3	36B Quantity of Financial Instrument	[0..1]			
3	16S End of Block	[1..1]	LINK		Comment: :16S:LINK
2	16S End of Block	[1..1]	GENL		Comment: :16S:GENL
1	Trade Details (B)	[1..1]	TRADDET		
2	16R Start of Block	[1..1]	TRADDET		Comment: :16R:TRADDET
2	94a Place	[0..*]			
2	98a Date/Time	[1..*]			Comment: ASX will only accept option A with either the SETT or TRAD codes.

Lvl	Name	Mult	Type / Code	Restr	Additional details
3	Settlement Date/Time [SETT]	[1..1]			Comment: SETT (Settle Date) is mandatory.  From November 2020, for Repo unwind message this can be in future date after 1st leg is settled.  :98A::SETT//20040505 For Outright and Repo unwind message this date can be in the future (Subject to regulatory approval)
4	98A		:4!c//YYYYMMDD		
5	Qualifier	[1..1]	4!c		
5	Date	[1..1]	YYYYMMDD		
3	Trade Date/Time [TRAD]	[0..1]			Comment: TRAD (Trade Date) is optional. If omitted Trade Date will default to current day's date.  Trade date will become mandatory in Nov 2021
4	98A		:4!c//YYYYMMDD		
5	Qualifier	[1..1]	4!c		
5	Date	[1..1]	YYYYMMDD		
2	90a Price	[0..1]			Comment: This is an optional non-matching field. ASX will accept either option A or B but will only process the Tag if Yield information is included with option A, ie: 90A:DEAL/YIEL/5,9500 Other codes will be accepted but will be ignored. The Deal Price will be derived in the system from the Consideration and any Deal Price figures included in this Tag will be ignored. If Yield information is not included in this Tag, the Yield will be entered as zero in the system.
3	Deal Price [DEAL]	[0..1]			
4	90A		:4!c//4!c/[N]15d		
5	Qualifier	[1..1]	4!c		
4	Percentage Type Code	[1..1]	4!c		
5	Discount		DISC		
5	Percentage		PRCT		
5	Premium		PREM		
5	Yield		YIEL		
5	Sign	[0..1]	N		
5	Price	[1..1]	15d		

Lvl	Name	Mult	Type / Code	Restr	Additional details
4	90B		:4!c//4!c/3!a15d		
5	Qualifier	[1..1]	4!c		
4	Amount Type Code	[1..1]	4!c		
5	Actual Amount		ACTU		
5	Discount		DISC		
5	Premium		PREM		
4	Currency Code	[1..1]	3!a		
5	Price	[1..1]	15d		
2	99A Number Count	[0..1]	:4!c//[N]3!n		
2	35B Identification of the Financial Instrument	[1..1]	[ISIN1!e12!c][4*35x ]		Comment: :35B:ISIN AU0000XQLQC8
3	35B		[ISIN1!e12!c][4*35x ]		
4	Identification of Security	[0..1]	ISIN1!e12!c		
4	Description of Security	[0..1]	4*35x		
5	Line	[1..4]	35x		
2	Financial Instrument Attributes (B1)	[0..1]	FIA		
2	22F Indicator	[0..*]			
2	11A Currency	[0..1]	:4!c//3!a		
2	25D Status Code	[0..*]			
2	70E Narrative	[0..*]			
2	16S End of Block	[1..1]	TRADDET		Comment: :16S:TRADDET
1	Financial Instrument/Account (C)	[1..1]	FIAC		
2	16R Start of Block	[1..1]	FIAC		Comment: :16R:FIAC
2	36B Quantity of Financial Instrument	[1..*]	:4!c//4!c/15d		Comment: :36B::SETT//FAMT/6500000,00 or SETT//UNIT/6500000,00 The ASX will accept both FAMT and UNIT
3	Quantity of Financial Instrument to be Settled [SETT]	[1..*]	:4!c//4!c/15d		
4	36B		:4!c//4!c/15d		
5	Qualifier	[1..1]	4!c		
4	Quantity Type Code	[1..1]	4!c		
5	Face Amount		FAMT		



Lvl	Name	Mult	Type / Code	Restr	Additional details
5	Unit Number		UNIT		
5	Quantity	[1..1]	15d		
2	70D Narrative	[0..1]	:4!c//6*35x		
2	13B Number Identification	[0..*]	:4!c/[8c]/30x		
2	95a Party	[0..*]			
2	97a Account	[1..*]			Comment: If Option A is included with a valid ACLR mnemonic that has relevant relationships in place, the transaction will be entered for that mnemonic. :97A::SAFE//ABCD20 All other usage will result in the transaction being entered for the default ACLR Branch attached to the BIC.
3	Safekeeping Account [SAFE]	[1..1]			
4	97A		:4!c//35x		
5	Qualifier	[1..1]	4!c		
5	Account Number	[1..1]	35x		
4	97B		:4!c/[8c]/4!c/35x		
5	Qualifier	[1..1]	4!c		
5	Data Source Scheme	[0..1]	8c		
5	Account Type Code	[1..1]	4!c		
5	Account Number	[1..1]	35x		
2	94a Place	[0..*]			
2	Quantity Breakdown (C1)	[0..*]	BREAK		
2	16S End of Block	[1..1]	FIAC		Comment: :16S:FIAC
1	Two Leg Transaction Details (D)	[0..1]	REPO		
2	16R Start of Block	[1..1]	REPO		Comment: :16R:REPO
2	98a Date/Time	[0..*]			Comment: This field will denote type of REPO. ASX will accept option A Eg. TERM//YYYYMMDD (fixed date REPO) Or option B Eg. TERM//OPEN (at call REPO) Or option C Eg. TERM//YYYYMMDDHHMMSS (fixed date REPO). In the case of option C, the Time will be ignored.

Lvl	Name	Mult	Type / Code	Restr	Additional details
3	Closing Date/Time [TERM]	[0..1]			Comment: For the instruct of the unwind, if the term date is different than the original message then the trade will be updated with the new Term date.
4	98A		:4!c/YYYYMMDD		
5	Qualifier	[1..1]	4!c		
5	Date	[1..1]	YYYYMMDD		
4	98B		:4!c/[8c]/4!c		
5	Qualifier	[1..1]	4!c		
5	Data Source Scheme	[0..1]	8c		
5	Date Code	[1..1]	4!c		
6	Open-Dated		OPEN		
4	98C		:4!c/YYYYMMDDH HMMSS		
5	Qualifier	[1..1]	4!c		
5	Date	[1..1]	YYYYMMDD		
5	Time	[1..1]	HHMMSS		
2	22F Indicator	[0..*]		I	
2	20C Reference	[0..*]			Comment: ASX will ignore any value in 20C:SECO
3	Second Leg Reference [SECO]	[0..1]	:4!c//16x	I	
2	92a Rate	[0..*]			Comment: This field will denote REPO rate: ASX will accept option A in the form: REPO//999,999.  If rate is supplied in 2nd leg instruction then 2nd leg trade rate will be updated.  Rate will mandatory from November 2021
3	Repurchase Rate [REPO]	[0..1]	:4!c//[N]15d		
4	92A		:4!c//[N]15d		
5	Qualifier	[1..1]	4!c		
5	Sign	[0..1]	N		
5	Rate	[1..1]	15d		
2	99B Number Count	[0..*]		I	
2	19A Amount	[0..*]			Comment:

Lvl	Name	Mult	Type / Code	Restr	Additional details
3	Termination Transaction Amount [TRTE]	[0..1]	:4!c//[N]3!a15d		Comment: :19A::TRTE//AUD12300,00 This tag will be used in the 1st leg instruction for the unwind consideration of the 2nd leg.
4	19A		:4!c//[N]3!a15d		
5	Qualifier	[1..1]	4!c		
5	Sign	[0..1]	N		
4	Currency Code	[1..1]	3!a		
5	Amount	[1..1]	15d		
3	Accrued Interest Amount [ACRU]	[0..1]	:4!c//[N]3!a15d	I	
2	70C Narrative	[0..1]	:4!c//4*35x	I	
2	16S End of Block	[1..1]	REPO		Comment: :16S:REPO
1	Settlement Details (E)	[1..1]	SETDET		
2	16R Start of Block	[1..1]	SETDET		Comment: :16R:SETDET
2	22F Indicator	[1..*]			Comment: This tag contains the trade type indicator.  The below codes will be accepted: SETR//TRAD for an outright trade or SETR//REPU for a Repo and :22F::SETR//RVPO for reverse Repo
3	Type of Settlement Transaction Indicator [SETR]	[1..1]	:4!c/[8c]/4!c		
4	22F		:4!c/[8c]/4!c		
5	Qualifier	[1..1]	4!c		
5	Data Source Scheme	[0..1]	8c		
5	Indicator	[1..1]	4!c		
6	Repo		REPU		Comment: SETR//REPU for a Repo
6	Reverse Repo		RVPO		Comment: :22F::SETR//RVPO for reverse Repo
6	Trade		TRAD		Comment: SETR//TRAD for an outright trade
2	Settlement Parties (E1)	[1..*]	SETPRTY		

Lvl	Name	Mult	Type / Code	Restr	Additional details
3	16R Start of Block	[1..1]	SETPRTY		Comment: :16R:SETPRTY
3	95a Party	[1..*]			Comment: ASX will only accept option R. All others will be rejected. :95R::DEAG/ACLR/Sub-Participant Austraclear (ACLR) is always the data source in second sub-field. Note that the inclusion of "ACLR" is a change from current usage and will result in a rejection if not adhered to.
4	Delivering Agent [DEAG]	[1..1]			
5	95P		:4!c//4!a2!a2!c[3!c]		
6	Qualifier	[1..1]	4!c		
6	Identifier Code	[1..1]	4!a2!a2!c[3!c]		
5	95Q		:4!c//4*35x		
6	Qualifier	[1..1]	4!c		
6	Name and Address	[1..1]	4*35x		
7	Line	[1..4]	35x		
5	95R		:4!c/8c/34x		
6	Qualifier	[1..1]	4!c		
6	Data Source Scheme	[1..1]	8c		
6	Proprietary Code	[1..1]	34x		
4	Place of Settlement [PSET]	[1..1]			Comment: Under the new protocols introduced by Swift if tag 95R is present with DEAG, then 95P is to be populated with place of settlement BIC code eg; ACLRAU2S
5	95C		:4!c//2!a		
6	Qualifier	[1..1]	4!c		
5	Country Code	[1..1]	2!a		
5	95P		:4!c//4!a2!a2!c[3!c]		
6	Qualifier	[1..1]	4!c		
6	Identifier Code	[1..1]	4!a2!a2!c[3!c]		
5	95Q		:4!c//4*35x		
6	Qualifier	[1..1]	4!c		
6	Name and Address	[1..1]	4*35x		
7	Line	[1..4]	35x		
3	97a Account	[0..1]			
3	98a Date/Time	[0..1]			
3	20C Reference	[0..1]	:4!c//16x		

Lvl	Name	Mult	Type / Code	Restr	Additional details
3	70a Narrative	[0..*]		I	
3	16S End of Block	[1..1]	SETPRTY		Comment: 16S:SETPRTY
2	Cash Parties (E2)	[0..*]	CSHPRTY		
3	16R Start of Block	[1..1]	CSHPRTY		Comment: :16R:CSHPRTY
3	95a Party	[1..*]		I	
3	97a Account	[0..*]		I	Comment: This tag will be ignored by the ASX. Will default to the Trading Cash Account attached to the Sub-Participant.
3	70a Narrative	[0..*]		I	
3	16S End of Block	[1..1]	CSHPRTY		Comment: :16S:CSHPRTY
2	Amounts (E3)	[1..*]	AMT		
3	16R Start of Block	[1..1]	AMT		Comment: :16R:AMT
3	17B Flag	[0..*]		I	
3	19A Amount	[1..*]			Comment: Used for final consideration :SETT//AUD5653950,00
4	Settlement Amount [SETT]	[1..1]	:4!c//[N]3!a15d		
5	19A		:4!c//[N]3!a15d		
6	Qualifier	[1..1]	4!c		
6	Sign	[0..1]	N		
5	Currency Code	[1..1]	3!a		
6	Amount	[1..1]	15d		
4	Research Fee [RSCH]	[1..1]	:4!c//[N]3!a15d		
5	19A		:4!c//[N]3!a15d		
6	Qualifier	[1..1]	4!c		
6	Sign	[0..1]	N		
5	Currency Code	[1..1]	3!a		
6	Amount	[1..1]	15d		
3	98a Date/Time	[0..1]		I	
3	92B Rate	[0..1]	:4!c//3!a/3!a/15d	I	
3	16S End of Block	[1..1]	AMT		Comment: :16S:AMT

Lvl	Name	Mult	Type / Code	Restr	Additional details
2	16S End of Block	[1..1]	SETDET		Comment: :16S:SETDET
1	Other Parties (F)	[0..*]	OTHRPRTY	I	

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