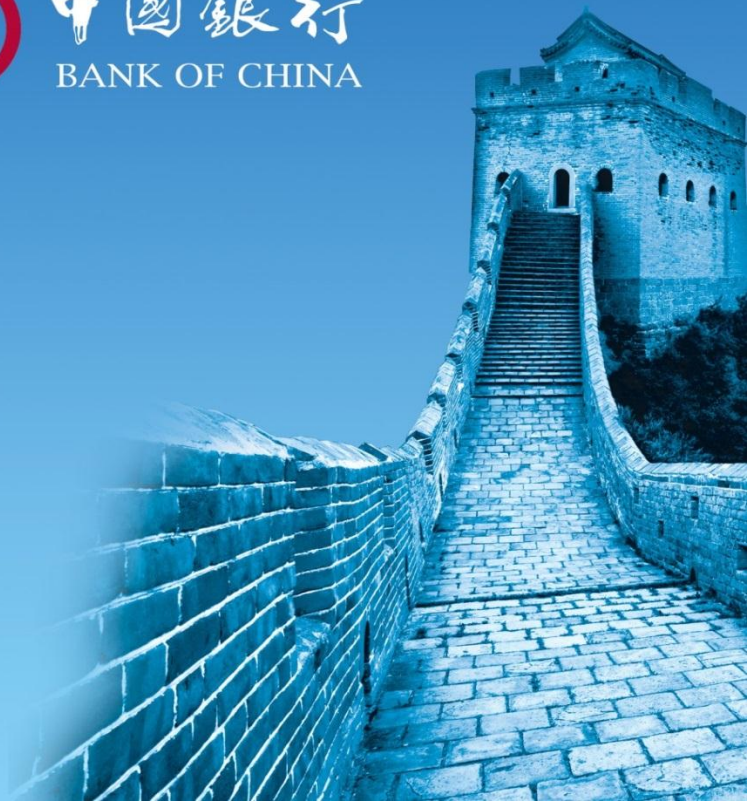
ASX and Bank of China RMB Settlement Service

ASX与中国银行人民币清算服务



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ASX Bank of China RMB Settlement Service

- The Payer entity is responsible for instructing Austraclear of a payment via SWIFT (MT103/202)
- Austraclear will synthetically match the MT103/202 and Austraclear will have the capacity to relay the MT 103/202 to the recipient under certain conditions.
- MT210 is **not** required from the recipient as the receipt instruction will be created automatically from SWIFT payment instruction within Austraclear.
- The solution will follow existing intra-bank transfer functionality within Austraclear, where the clearing bank is Bank of China Sydney.
- Giver and Receiver Austraclear Participants must hold cash records with Bank of China Sydney within Austraclear;
- The corresponding Giver and Receiver entities (outside of Austraclear) will be settled by Bank of China Sydney; instruction will be sent to BOC to process internationally to ending intermediary bank and beneficiary customer.
- Before business, Bank of China freezes a certain amount within their Participant Banks' accounts and transfers these as opening balances to Austraclear via a SWIFT MT198.
- CNY balances are updated throughout the Austraclear business day.
- Austraclear will send the Participants closing balance for the day to Bank of China via a SWIFT MT198 (SMT113).
- Funds are transferred between cash records as an intra-bank transfer within the Bank of China Sydney Austraclear Participant
- No MT210 is required from the recipient

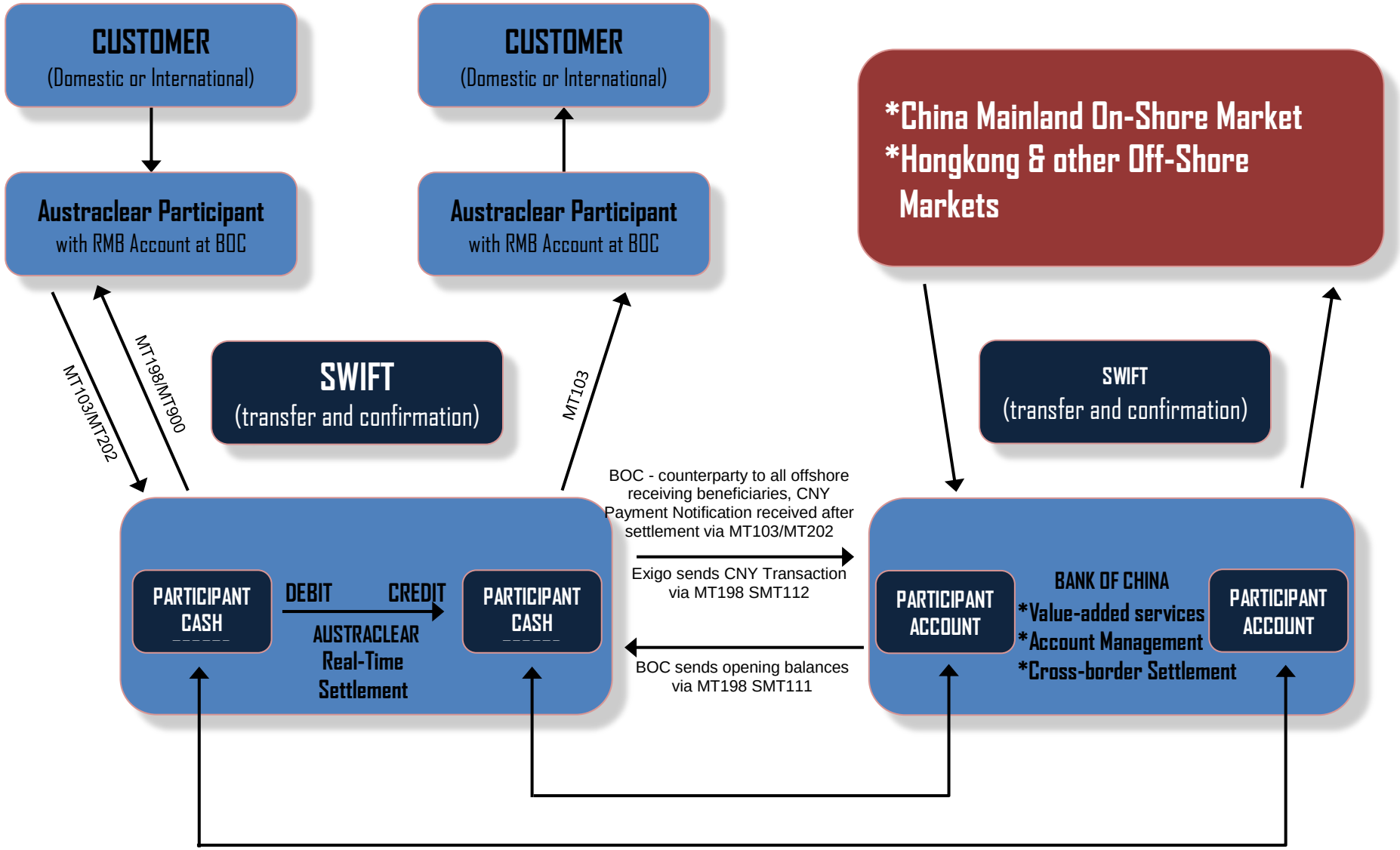
Operating Hours

07:15	RMB SWIFT Transactions can be entered in the Austraclear system
09:15	Transactions begin to settle
19:00/21:00 Summertime/Wintertime	Austraclear system closes

Austraclear SWIFT BIC

- Test: ACLRAU20XXX
- Production: ACLRAU2SXXX

ASX- Bank of China RMB Settlement – Technical Design



Renminbi Settlement Service – SWIFT rules

Additional rule(s) for Renminbi payments within SWIFT:	Codes
RMB Onshore Transfer between Local Participating Banks (PBs) (Domestic)	CNY00
RMB Onshore Transfer between Local PBs and BOCS (Domestic)	CNY01
RMB Transfer to Mainland China by an Individual (International)	CNY02
RMB Transfer to Mainland China by a Company as a Trade Payment (International)	CNY03
RMB Transfer to Mainland China for Investment purposes (International)	CNY04
RMB Transfer to all Offshore Destinations other than Mainland China (International)	CNY05
RMB Transfer from Offshore to Local PBs (International)	CNY06
RMB Transfer Rejected by BOCS (Domestic)	CNY07
RMB Transfer to Mainland China by a Company as a Service Trade Payment (International)	CNY13
Charity Donation (International)	CNY14
Other Current Account Transactions (International)	CNY24
Cross Border Fund Transfer (International)	CNY34
Additional comments for Field 72 within message: 6 lines with 35 char each, where Line 1: /TRN/5!x The qualifier /TRN/refers to 5characters AUSTRACLEAR TRN Code and is mandatory. This is the field to be checked first when processing an inbound MT103.	

Renminbi Settlement Service – MT103

MT 103 REMIT Single Customer Credit Transfer

Status	Tag	Field Name	Content/Options	No.
M	20	Sender's Reference	16x	1
---->				
O	13C	Time Indication	/8c/4!n1!x4!n	2
----|				
M	23B	Bank Operation Code	4!c	3
---->				
O	23E	Instruction Code	4!c[/30x]	4
----|				
O	26T	Transaction Type Code	3!c	5
M	32A	Value Date/Currency/Interbank Settled Amount	6!n3!a15d	6
O	33B	Currency/Instructed Amount	3!a15d	7
O	36	Exchange Rate	12d	8
M	50a	Ordering Customer	A, F, K	9
O	51A	Sending Institution	[/1!a][/34x] 4!a2!a2!c[3!c]	10
O	52a	Ordering Institution	A, D	11
O	53a	Sender's Correspondent	A, B, D	12
O	54a	Receiver's Correspondent	A, B, D	13
O	55a	Third Reimbursement Institution	A, B, D	14
O	56a	Intermediary Institution	A, D	15
M	57a	Account With Institution	A, D	16
M	59a	Beneficiary Customer	No letter option, A	17
O	70	Remittance Information	4*35x	18
M	71A	Details of Charges	3!a	19
---->				
O	71F	Sender's Charges	3!a15d	20
----|				
O	71G	Receiver's Charges	3!a15d	21
M	72	Sender to Receiver Information	6*35x	22
O	77B	Regulatory Reporting	3*35x	23
M = Mandatory, O = Optional				

Renminbi Settlement Service (Example) – MT103

For Tag 72 CNY codes:				
CNY00				
CNY01/CNY06/CNY07 where the sender is BOCS				
Uses 56A BIC on 2nd line		Uses 56A BIC on 1st line		Uses 56D mnemonic
:20:MT202-RMB-35		:20:MT202-RMB-37		:20:MT202-RMB-41
:21:MANDATORY		:21:MANDATORY		:21:MANDATORY
:32A:140604CNY20000,		:32A:140604CNY21000,		:32A:140604CNY20000,
:56A:/ACCOUNT		:56A:WPACAU2S		:56D:/WPAC20
WPACAU2S				WPAC address
:57D:/WPACXX		:57D:/WPAYYY		:57D:/WPAYYY
/WPACXX		/WPAYYY		/CBAA20
:58D:/WPACXX		:58D:/WPACYY		:58D:/ WPACYY
UNKNOWN		UNKNOWN		UNKNOWN
:72:/TRN/CNY00		:72:/TRN/CNY00		:72:/TRN/CNY00
where tag 56 not included				
Uses 57A BIC on 2nd line		Uses 57A BIC on 1st line		Uses 57D mnemonic
:20:MT202-RMB-42		:20:MT202-RMB-43		:20:MT202-RMB-45
:21:MANDATORY		:21:MANDATORY		:21:MANDATORY
:32A:140605CNY21000,		:32A:140605CNY22000,		:32A:140605CNY25000,
:57A:/ACCOUNTID		:57A:WPACAU2S		:57D:/WPAC20
WPACAU2S				Westpac address
:58D:/WWWW20		:58D:/WWWW20		:58D:/WWWW20
UNKNOWN		UNKNOWN		UNKNOWN
:72:/TRN/CNY00		:72:/TRN/CNY00		:72:/TRN/CNY00

Renminbi Settlement Service – MT202

MT 202 General Financial Institution Transfer

Status	Tag	Field Name	Content/Options	No.
M	20	Transaction Reference Number	16x	1
M	21	Related Reference	16x	2
---->				
O	13C	Time Indication	/8c/4!n1!x4!n	3
----|				
M	32A	Value Date, Currency Code, Amount	6!n3!a15d	4
O	52a	Ordering Institution	A, D	5
O	53a	Sender's Correspondent	A, B, D	6
O	54a	Receiver's Correspondent	A, B, D	7
O	56a	Intermediary	A, D	8
M	57a	Account With Institution	A, D	9
M	58a	Beneficiary Institution	A, D	10
M	72	Sender to Receiver Information	6*35x	11
M = Mandatory, O = Optional				

Renminbi Settlement Service (Example) – MT202

For Tag 72 CNY codes:				
CNY00				
CNY01/CNY06/CNY07 where the sender is BOCS				
Uses 56A BIC on 2nd line		Uses 56A BIC on 1st line		Uses 56D mnemonic
:20:MT202-RMB-35		:20:MT202-RMB-37		:20:MT202-RMB-41
:21:MANDATORY		:21:MANDATORY		:21:MANDATORY
:32A:140604CNY20000,		:32A:140604CNY21000,		:32A:140604CNY20000,
:56A:/ACCOUNT		:56A:ACLRAU20223		:56D:/WPAC20
ACLRAU20223		:57D:/WPAYYY		WPAC address
:57D:/WPACXX		/WPAYYY		:57D:/CBAA20
/WPACXX		:58D:/WPAYYY		/CBAA20
:58D:/WPACXX		UNKNOWN		:58D:/CBAA20
UNKNOWN		:72:/TRN/CNY00		UNKNOWN
:72:/TRN/CNY00		-}		:72:/TRN/CNY00
where tag 56 not included				
Uses 57A BIC on 2nd line		Uses 57A BIC on 1st line		Uses 57D mnemonic
:20:MT202-RMB-42		:20:MT202-RMB-43		:20:MT202-RMB-45
:21:MANDATORY		:21:MANDATORY		:21:MANDATORY
:32A:140605CNY21000,		:32A:140605CNY22000,		:32A:140605CNY25000,
:57A:/ACCOUNTID		:57A:ACLRAU20223		:57D:/WPAC20
ACLRAU20223				Westpac address
:58D:/WWWW20		:58D:/WWWW20		:58D:/WWWW20
UNKNOWN		UNKNOWN		UNKNOWN
:72:/TRN/CNY00		:72:/TRN/CNY00		:72:/TRN/CNY00

Monitoring Renminbi Settlements & Cash Balances in Austraclear

Monitoring Cash Balance, Cash Limits & Cash Record Transactions

At the start of the business day (07:15 to 09:15) Bank of China will send an MT198 (SMT111) which provides Austraclear with the Participant's Bank Opening Balances for the day. Note: The Participant's agreed overdraft amount with the Bank of China will be reflected in the 'Cash Limit' and the Participant's Opening Balance in the 'Balance'. The 'Available Balance' will reflect both the opening balance and any overdraft amount.

To view the Cash Record balance for CNY

1. Select Sub Participants ► Cash Records ► View
2. Specify search criteria, Currency: **CNY** and click **Search** to view your CNY Cash Records.
3. To view the balance after settlements occur, highlight a Cash Record and right click to **View Cash Record Transactions**

Cash Records - ACHO2006

Cash record: BOCS20_RMB_006 | Cash record owner: | Cash provider: | Currency: | Search

Cash Record	Currency	Cash Record	Cash Provider	Cash Limit	Temp. Adjust	Reservations	Balance	Amount Available	State	Has Assigned
BOCS20_RMB_006	CNY	BOCS20	BOCS2B	0.00	0.00	0.00	0.00	0.00	Active	

1 Item Found

View Transactions for Owner: BOCS20

View Transactions for Owner: BOCS20-CNY-1 - ACHO2006

Counterpart: | Settlement date from: 10/06/2014 | Settlement date to: | Obligation state: | Search

Settl. Instructi	Sub Participa	Counterpart	Operation Ty	Amount	Settl. Date	Settl. Time Ac	Cash Record	Obligation Sta	Transaction T
17460965	BOCS20	SFEC20	Normal	20,000.00	10/06/2014	4:14 PM	Priority	Settled	Cash Trade
17460967	BOCS20	SFEC20	Normal	20,000.00	10/06/2014	4:14 PM	Priority	Settled	Cash Trade
17460969	BOCS20	SFEC20	Normal	20,000.00	10/06/2014	4:15 PM	Priority	Settled	Cash Trade
17460971	BOCS20	SFEC20	Normal	20,000.00	10/06/2014	4:15 PM	Priority	Settled	Cash Trade
17460979	BOCS20	SFEC20	Normal	-200,000.00	10/06/2014	7:23 PM	Priority	Settled	Cash Trade
17460981	BOCS20	SFEC20	Normal	-21,000.00	10/06/2014	7:36 PM	Priority	Settled	Cash Trade
17460983	BOCS20	SFEC20	Normal	-22,000.00	10/06/2014	7:37 PM	Priority	Settled	Cash Trade
17460985	BOCS20	SFEC20	Normal	-23,000.00	10/06/2014	7:39 PM	Priority	Settled	Cash Trade
17460987	BOCS20	SFEC20	Normal	-24,000.00	10/06/2014	7:44 PM	Priority	Settled	Cash Trade
17460989	BOCS20	SFEC20	Normal	-25,000.00	10/06/2014	7:44 PM	Priority	Settled	Cash Trade
17460990	BOCS20	SFEC20	Normal	30,000.00	10/06/2014	7:59 PM	Priority	Settled	Cash Trade
17460992	BOCS20	SFEC20	Normal	30,000.00	10/06/2014	8:07 PM	Priority	Settled	Cash Trade
17460994	BOCS20	SFEC20	Normal	30,000.00	10/06/2014	8:16 PM	Priority	Settled	Cash Trade
17461315	BOCS20	SFEC20	Normal	700,000,000...	11/06/2014	10:45 PM	Priority	Failed	Cash Trade
17461321	BOCS20	SFEC20	Normal	600,000,000...	11/06/2014	10:45 PM	Priority	Failed	Cash Trade
17461337	BOCS20	SFEC20	Normal	50,000.00	11/06/2014	11:43 AM	Priority	Settled	Cash Trade
17461339	BOCS20	SFEC20	Normal	35,000.00	11/06/2014	11:43 AM	Priority	Settled	Cash Trade
17461341	BOCS20	SFEC20	Normal	36,000.00	11/06/2014	11:48 AM	Priority	Settled	Cash Trade
17461343	BOCS20	SFEC20	Normal	38,000.00	11/06/2014	11:48 AM	Priority	Settled	Cash Trade
17461345	BOCS20	SFEC20	Normal	39,000.00	11/06/2014	11:49 AM	Priority	Settled	Cash Trade
17461350	BOCS20	SFEC20	Normal	-41,000.00	11/06/2014	11:51 AM	Priority	Settled	Cash Trade
17461352	BOCS20	SFEC20	Normal	-42,000.00	11/06/2014	11:51 AM	Priority	Settled	Cash Trade
17461361	BOCS20	SFEC20	Normal	20,000.00	11/06/2014	6:44 PM	Priority	Settled	Cash Trade
				1,679,893,9...					

90 Items Found

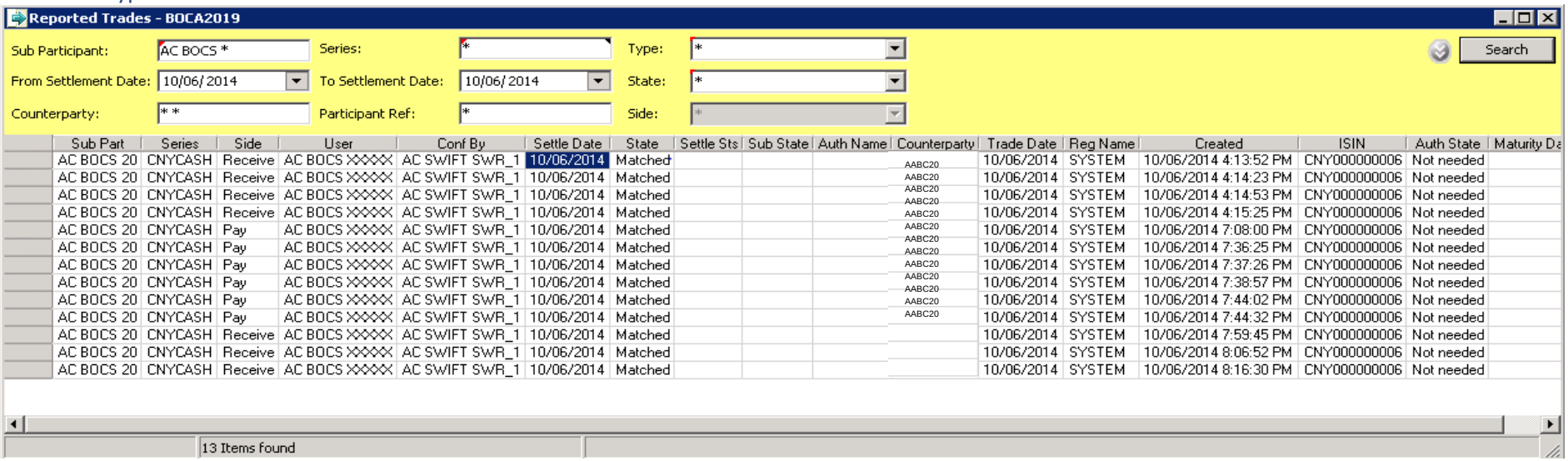
Viewing CNY Cash trades

Navigation Steps

► Trades ► View Reported Trades

Process Steps

1. Select Type **Cash** and Series **CNYCASH** and click search.



Sub Part	Series	Side	User	Conf By	Settle Date	State	Settle Sts	Sub State	Auth Name	Counterparty	Trade Date	Reg Name	Created	ISIN	Auth State	Maturity Da
AC BOCS 20	CNYCASH	Receive	AC BOCS XXXXX	AC SWIFT SWR_1	10/06/2014	Matched				AABC20	10/06/2014	SYSTEM	10/06/2014 4:13:52 PM	CNY000000006	Not needed	
AC BOCS 20	CNYCASH	Receive	AC BOCS XXXXX	AC SWIFT SWR_1	10/06/2014	Matched				AABC20	10/06/2014	SYSTEM	10/06/2014 4:14:23 PM	CNY000000006	Not needed	
AC BOCS 20	CNYCASH	Receive	AC BOCS XXXXX	AC SWIFT SWR_1	10/06/2014	Matched				AABC20	10/06/2014	SYSTEM	10/06/2014 4:14:53 PM	CNY000000006	Not needed	
AC BOCS 20	CNYCASH	Receive	AC BOCS XXXXX	AC SWIFT SWR_1	10/06/2014	Matched				AABC20	10/06/2014	SYSTEM	10/06/2014 4:15:25 PM	CNY000000006	Not needed	
AC BOCS 20	CNYCASH	Pay	AC BOCS XXXXX	AC SWIFT SWR_1	10/06/2014	Matched				AABC20	10/06/2014	SYSTEM	10/06/2014 7:08:00 PM	CNY000000006	Not needed	
AC BOCS 20	CNYCASH	Pay	AC BOCS XXXXX	AC SWIFT SWR_1	10/06/2014	Matched				AABC20	10/06/2014	SYSTEM	10/06/2014 7:36:25 PM	CNY000000006	Not needed	
AC BOCS 20	CNYCASH	Pay	AC BOCS XXXXX	AC SWIFT SWR_1	10/06/2014	Matched				AABC20	10/06/2014	SYSTEM	10/06/2014 7:37:26 PM	CNY000000006	Not needed	
AC BOCS 20	CNYCASH	Pay	AC BOCS XXXXX	AC SWIFT SWR_1	10/06/2014	Matched				AABC20	10/06/2014	SYSTEM	10/06/2014 7:38:57 PM	CNY000000006	Not needed	
AC BOCS 20	CNYCASH	Pay	AC BOCS XXXXX	AC SWIFT SWR_1	10/06/2014	Matched				AABC20	10/06/2014	SYSTEM	10/06/2014 7:44:02 PM	CNY000000006	Not needed	
AC BOCS 20	CNYCASH	Pay	AC BOCS XXXXX	AC SWIFT SWR_1	10/06/2014	Matched				AABC20	10/06/2014	SYSTEM	10/06/2014 7:44:32 PM	CNY000000006	Not needed	
AC BOCS 20	CNYCASH	Receive	AC BOCS XXXXX	AC SWIFT SWR_1	10/06/2014	Matched					10/06/2014	SYSTEM	10/06/2014 7:59:45 PM	CNY000000006	Not needed	
AC BOCS 20	CNYCASH	Receive	AC BOCS XXXXX	AC SWIFT SWR_1	10/06/2014	Matched					10/06/2014	SYSTEM	10/06/2014 8:06:52 PM	CNY000000006	Not needed	
AC BOCS 20	CNYCASH	Receive	AC BOCS XXXXX	AC SWIFT SWR_1	10/06/2014	Matched					10/06/2014	SYSTEM	10/06/2014 8:16:30 PM	CNY000000006	Not needed	

View CNY Cash Settlement Instructions

Information

Participants can use this screen to check on the status of their CNY Cash trade settlement instructions

Navigation Steps

► Settlement ► View Settlements ► Settlement Instructions

Process Steps

1. Specify search criteria, Transaction Type: **Cash Trade** and Currency: **CNY**, click **Search** to view all Instructions to which the user is a party or proxy

2. Users are also able to **right click** an instruction to view the **State History,** which shows whether the cash is locked in and whether Cash Limits and CNY processing have taken place.

Note: Any CNY Cash payments that remain unsettled at Austraclear end of day will be failed by the system in the overnight session

Settlement Instructions - BOCA2019

State: | Series: | ISIN: | Sub participant: BOCS20 | Counterpart: | Settlement date: 10/06/2014 | Source: | Transaction type: Cash Trade | Search

Column selection: | Settl. instr. no.: | Message type: | Operation type: | Currency: CNY | Counterpart ref.:

Authorized: | Confirmed: | Instructed: | Source ref.: | Sub participant ref.: | Filter type:

Transaction T	Settl. Instr. No.	State	Sub Participa	Counterpart	Paym. Curren	Paym. Amoun	Series	ISIN	Volume	Settlement D	Source Ref.	Source	Auth
Cash Trade	17460965	Settled	BOCS20	AABC20	CNY	20,000.00				10/06/2014	5080919	Clearing	Yes
Cash Trade	17460967	Settled	BOCS20	AABC20	CNY	20,000.00				10/06/2014	5080920	Clearing	Yes
Cash Trade	17460969	Settled	BOCS20	AABC20	CNY	20,000.00				10/06/2014	5080921	Clearing	Yes
Cash Trade	17460971	Settled	BOCS20	AABC20	CNY	20,000.00				10/06/2014	5080922	Clearing	Yes
Cash Trade	17460979	Settled	BOCS20	AABC20	CNY	-200,000.00				10/06/2014	5080924	Clearing	Yes
Cash Trade	17460981	Settled	BOCS20	AABC20	CNY	-21,000.00				10/06/2014	5080925	Clearing	Yes
Cash Trade	17460983	Settled	BOCS20	AABC20	CNY	-22,000.00				10/06/2014	5080926	Clearing	Yes
Cash Trade	17460985	Settled	BOCS20	AABC20	CNY	-23,000.00				10/06/2014	5080927	Clearing	Yes
Cash Trade	17460987	Settled	BOCS20	AABC20	CNY	-24,000.00				10/06/2014	5080928	Clearing	Yes
Cash Trade	17460989	Settled	BOCS20	AABC20	CNY	-25,000.00				10/06/2014	5080929	Clearing	Yes
Cash Trade	17460990	Settled	BOCS20	AABC20	CNY	30,000.00				10/06/2014	5080930	Clearing	Yes
Cash Trade	17460992	Settled	BOCS20	AABC20	CNY	30,000.00				10/06/2014	5080931	Clearing	Yes
Cash Trade	17460994	Settled	BOCS20	AABC20	CNY	30,000.00				10/06/2014	5080932	Clearing	Yes

State History

State History: 17460965

State	Settlemen	Time
Matched	Settlement ...	10/06/2014...
Settled	Settlement ...	10/06/2014...
Not Settled	Settlement ...	10/06/2014...
Waiting In Queue	Settlement ...	10/06/2014...
Ready To Settle	Settlement ...	10/06/2014...
Payments Confirmed	Settlement ...	10/06/2014...
Cash Record Updated	Settlement ...	10/06/2014...
Settled	Settlement ...	10/06/2014...
Not Ready	Settlement ...	10/06/2014...
Ready To Settle	Settlement ...	10/06/2014...
Securities Transfer Pending	Settlement ...	10/06/2014...
Settled	Settlement ...	10/06/2014...

Failed CNY Cash Settlement

Settlement Instructions - ACHO2006

State: Failed | Series: | ISIN: | Sub participant: | Counterpart: | Settlement date: 29/05/2014 | Source: Clearing | Transaction type: | Search

Column selection: | Settl. instr. no.: | Message type: | Operation type: | Currency: CNY | Counterpart ref.:

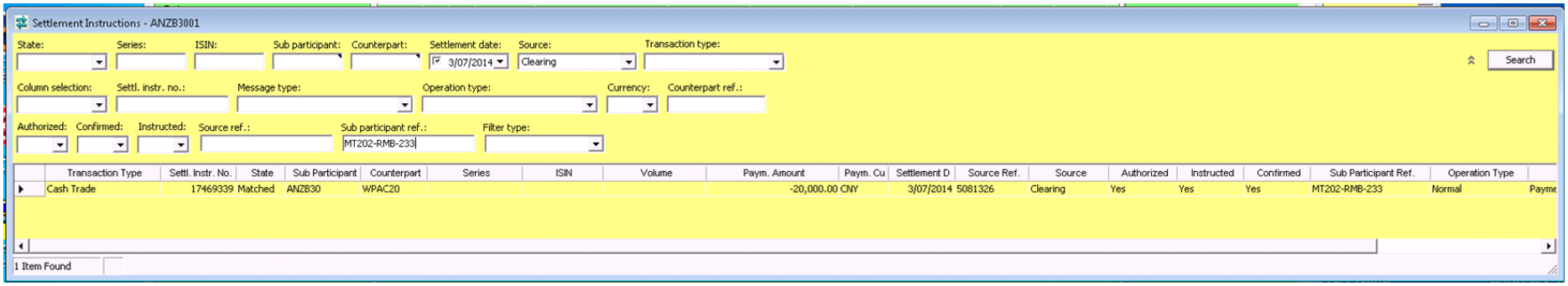
Authorized: | Confirmed: | Instructed: | Source ref.: | Sub participant ref.: | Filter type:

Transaction T	Settl. Instr. No.	State	Sub Participa	Counterpart	Paym. Curren	Paym. Amoun	Series	ISIN	Volume	Settlement D	Source Ref.	Source	Authorized	Instructed	Confirme
Cash Trade	17456639	Failed	SFEC20	OPTS2B	CNY	10,000.00				29/05/2014	5080843	Clearing	Yes	Yes	Yes
Cash Trade	17456640	Failed	OPTS2B	SFEC20	CNY	-10,000.00				29/05/2014	5080843	Clearing	Yes	Yes	Yes

2 Items Found

Austraclear End of Day

Participants can monitor CNY payments that have not settled via the settlement instruction screen at System Close in Austraclear at 19:00. Payments that remain in a matched state at 19:01 will not settle and will change to a failed state in the overnight session at 20:45.



Participants that subscribe to receive the MT900 SWIFT confirmation of debit message (response to inward MT103/MT202 Message) will not receive this response for CNY payments that remains in a matched state in the settlement instruction screen at 19:01.

They can choose to re-submit the SWIFT message to Bank of China for settlement outside of Austraclear overnight and updated account balances will be reflected in the Austraclear system Participant opening balance. Alternatively Participants can re-submit the payment request via Austraclear the following business day.

Austraclear Reports for CNY

There are three new reports for Renminbi:

- Cash List (PDF and CSV) Final

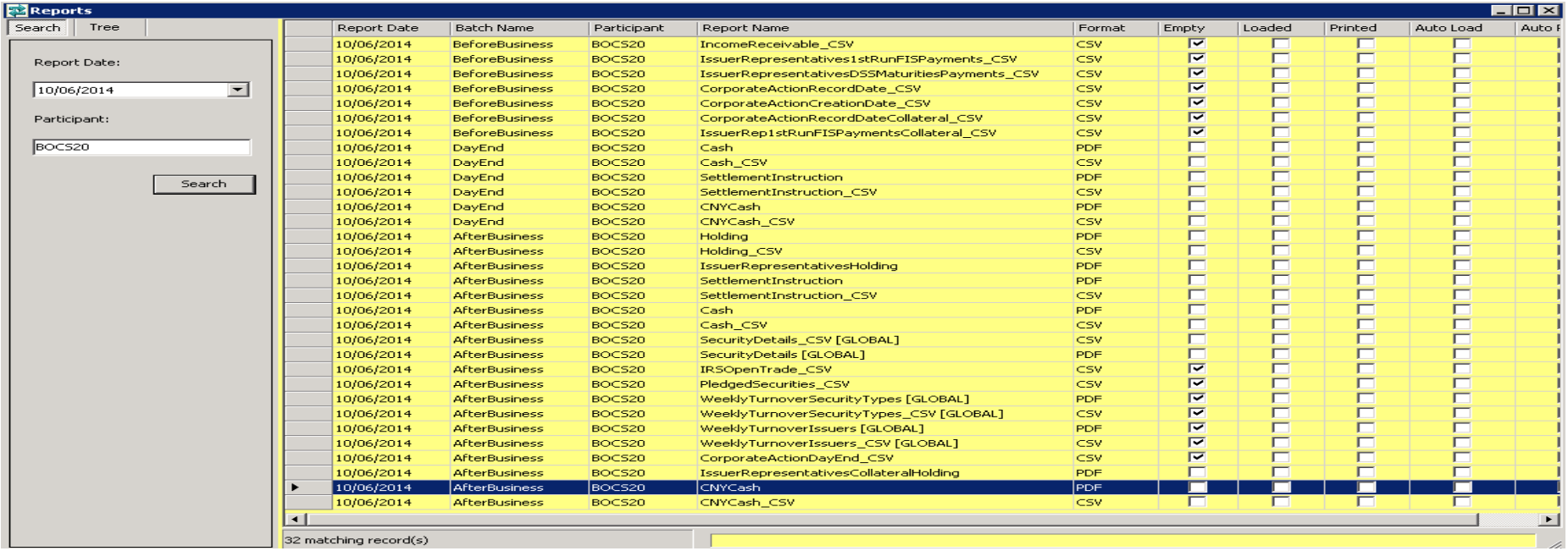
The Cash List report displays the cash position of each active Participant to whom the Cash Provider (Bank of China) provides cash clearing services. The Final Cash list will be produced at 21:00.

- Cash Record Limit Audit Report

Produced at 21:00 which provides an audit trail for Cash Providers (Currently Bank of China) after a change has been made to the cash limit or temporary adjustment on a CNY cash record.

- Cash Report (PDF and CSV)

The Cash report displays the CNY cash movements of all transactions that were settled for the sub Participant's cash records at the close of the business day.



Report Date	Batch Name	Participant	Report Name	Format	Empty	Loaded	Printed	Auto Load	Auto F
10/06/2014	BeforeBusiness	BOCS20	IncomeReceivable_CSV	CSV	☑	☐	☐	☐	
10/06/2014	BeforeBusiness	BOCS20	IssuerRepresentatives1stRunFISPayments_CSV	CSV	☑	☐	☐	☐	
10/06/2014	BeforeBusiness	BOCS20	IssuerRepresentativesDSSMaturitiesPayments_CSV	CSV	☑	☐	☐	☐	
10/06/2014	BeforeBusiness	BOCS20	CorporateActionRecordDate_CSV	CSV	☑	☐	☐	☐	
10/06/2014	BeforeBusiness	BOCS20	CorporateActionCreationDate_CSV	CSV	☑	☐	☐	☐	
10/06/2014	BeforeBusiness	BOCS20	CorporateActionRecordDateCollateral_CSV	CSV	☑	☐	☐	☐	
10/06/2014	BeforeBusiness	BOCS20	IssuerRep1stRunFISPaymentsCollateral_CSV	CSV	☑	☐	☐	☐	
10/06/2014	DayEnd	BOCS20	Cash	PDF	☐	☐	☐	☐	
10/06/2014	DayEnd	BOCS20	Cash_CSV	CSV	☐	☐	☐	☐	
10/06/2014	DayEnd	BOCS20	SettlementInstruction	PDF	☐	☐	☐	☐	
10/06/2014	DayEnd	BOCS20	SettlementInstruction_CSV	CSV	☐	☐	☐	☐	
10/06/2014	DayEnd	BOCS20	CNYCash	PDF	☐	☐	☐	☐	
10/06/2014	DayEnd	BOCS20	CNYCash_CSV	CSV	☐	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	Holding	PDF	☐	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	Holding_CSV	CSV	☐	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	IssuerRepresentativesHolding	PDF	☐	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	SettlementInstruction	PDF	☐	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	SettlementInstruction_CSV	CSV	☐	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	Cash	PDF	☐	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	Cash_CSV	CSV	☐	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	SecurityDetails_CSV [GLOBAL]	CSV	☐	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	SecurityDetails [GLOBAL]	PDF	☐	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	IRSOpenTrade_CSV	CSV	☑	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	PledgedSecurities_CSV	CSV	☑	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	WeeklyTurnoverSecurityTypes [GLOBAL]	PDF	☑	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	WeeklyTurnoverSecurityTypes_CSV [GLOBAL]	CSV	☑	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	WeeklyTurnoverIssuers [GLOBAL]	PDF	☑	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	WeeklyTurnoverIssuers_CSV [GLOBAL]	CSV	☑	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	CorporateActionDayEnd_CSV	CSV	☑	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	IssuerRepresentativesCollateralHolding	PDF	☐	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	CNYCash	PDF	☐	☐	☐	☐	
10/06/2014	AfterBusiness	BOCS20	CNYCash_CSV	CSV	☐	☐	☐	☐	

CNY Cash Report

Austraclear Limited ABN:94 002 060 773

CNY CASH REPORT

Business Date: 10-Jun-2014

Cash Record Owner: BOCS20 - BANK OF CHINA LIMITED

10-Jun-2014 21:17:50

Cash Record: BOCS20-CNY-1

Transaction Type	Series	Counterparty	Settlement Instruction Number	Participant Reference	Amount	Balance
Cash Trade	CNYCASH	ANZB30	17460965	CNY13	20,000.00	20,000.00
Cash Trade	CNYCASH	ANZB30	17460967	CNY14	20,000.00	40,000.00
Cash Trade	CNYCASH	ANZB30	17460969	CNY24	20,000.00	60,000.00
Cash Trade	CNYCASH	ANZB30	17460971	CNY34	20,000.00	80,000.00
Cash Trade	CNYCASH	WPAC20	17460979	MT202-RMB-59	(200,000.00)	(120,000.00)
Cash Trade	CNYCASH	WPAC20	17460981	MT202-RMB-60	(21,000.00)	(141,000.00)
Cash Trade	CNYCASH	WPAC20	17460983	MT202-RMB-61	(22,000.00)	(163,000.00)
Cash Trade	CNYCASH	WPAC20	17460985	MT202-RMB-62	(23,000.00)	(186,000.00)
Cash Trade	CNYCASH	WPAC20	17460987	MT202-RMB-65	(24,000.00)	(210,000.00)
Cash Trade	CNYCASH	WPAC20	17460989	MT202-RMB-66	(25,000.00)	(235,000.00)
Cash Trade	CNYCASH	ANZB30	17460990	CNY01	30,000.00	(205,000.00)
Cash Trade	CNYCASH	ANZB30	17460992	CNY01	30,000.00	(175,000.00)
Cash Trade	CNYCASH	ANZB30	17460994	CNY01	30,000.00	(145,000.00)
				Total Amount:	**(145,000.00)**	

Record Count: 13

*** End Of Report ***

Final CNY Cash List Report

Austraclear Limited ABN:94 002 060 773

FINAL CNY CASH LIST REPORT

Business Date: 10-Jun-2014

Cash Provider: BOCS2B - BANK OF CHINA LIMITED
-
-
SYDNEY, NSW, AU, 2000

10-Jun-2014 20:32:38

BSB: ANZB30-

Cash Record	Sub Participant Code	Sub Participant Name	Net Debits	Net Credits
ANZB30-CNY-1	ANZB30	ANZ BANKING GROUP LTD	125,068,690.98	
		BSB Totals:	**125,068,690.98**	**0.00**
		BSB Net Total:	**125,068,690.98**	

BSB: BOCS20-

Cash Record	Sub Participant Code	Sub Participant Name	Net Debits	Net Credits
BOCS20-CNY-1	BOCS20	BANK OF CHINA LIMITED	145,000.00	
		BSB Totals:	**145,000.00**	**0.00**
		BSB Net Total:	**145,000.00**	

CNY Cash Record Limit Audit Report

Austraclear Limited ABN:94 002 060 773

CNY CASH RECORD LIMIT AUDIT REPORT

10-Jun-2014 21:17:50

Business Date: 10-Jun-2014
Cash Provider: BOCS2B - BANK OF CHINA LIMITED

Cash Record	User	Attribute Changed	Before	After	Notes Text	Time	Note ID
BOCS20-CNY-1	BOCA2005	Cash Limit	200.00	200,000,000.00	-	7:23:31 PM	1905570

1 records returned.

*** End Of Report ***