

Usage Guideline

ASX - AU - Austraclear SWIFT Messaging - V1 - DRAFT_541_Receive Against Payment

ASX - AU - Austraclear SWIFT Messaging - 2015

This document describes a usage guideline restricting the base message **MT 541** (November 2015).

You can also consult [this information online](#).

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Restriction summary

This Usage Guideline restricts the MT 541 (November 2015) base message.

| Restriction Type | See page |
|---------------------|--|
| Reduce Multiplicity | n.a. |
| Ignore | 5, 5, 5, 18, 18, 19, 20, 22, 25, 28, 44, 48, 49, 50, 52, 53, 53, 55, 63, 68, 76, 77, 77, 78, 80, 81, 82, 84, 86, 86 |
| Text rules | n.a. |
| Conditional rules | n.a. |
| Fixed values | n.a. |
| Comments | 16, 17, 22, 26, 28, 29, 51, 54, 62, 67, 67, 69, 70, 73, 74, 75, 82, 85 |
| Annotations | n.a. |
| Extensions | n.a. |
| Synonyms | n.a. |

Message Types

The following table lists all message types defined in this book.

For each message type, there is a short description, an indicator whether the message type requires authentication (Y/N), the maximum message length on input (2,000 or 10,000 characters), whether the use of the message requires registration with SWIFT for use in a message user group (Y) or not (N) and whether value date ordering (VDO) can be requested for the message (Y/N). Value date ordering criteria are described in the *Standards MT General Information*.

| MT | MT Name | Purpose | Authen. | Max. Length | MUG | VDO |
|-----|-------------------------|---|---------|----------------|-----|-----|
| 541 | Receive Against Payment | Instructs a receipt of financial instruments against payment. It may also be used to request a cancellation or preadvise an instruction | Y | 10000 | N | N |

MT 541 Receive Against Payment

MT 541 Scope

This message is sent by an account owner to an account servicer (account servicing institution). The account owner may be a global custodian which has an account with its local agent (sub custodian) or an investment management institution or a broker/dealer which has an account with their custodian.

This message is used to:

- instruct the receipt of financial instruments against payment, physically or by book-entry, from a specified party (the function of the message is NEWM)
- request the cancellation of a receive against payment instruction previously sent by the account owner (the function of the message is CANC)
- pre-advise the account servicer of a forthcoming receive against payment instruction (the function of the message is PREA).

The instruction may be linked to other settlement instructions, for example, for a turnaround or back-to-back, or other transactions, for example, foreign exchange deal, using the linkages sequence.

MT 541 Format Specifications

Usage Guideline restrictions for this message

For sequence B1 Financial Instrument Attributes:

- **This sequence will be ignored by the Receiver.**

For sequence C1 Quantity Breakdown:

- **This sequence will be ignored by the Receiver.**

For sequence F Other Parties:

- **This sequence will be ignored by the Receiver.**

MT 541 Receive Against Payment

| Status | Tag | Qualifier | Generic Field Name | Detailed Field Name | Content/Options | No. |
|---|-----|-----------|--------------------|-----------------------------|-----------------|-----|
| Mandatory Sequence A General Information | | | | | | |
| M | 16R | | | Start of Block | GENL | 1 |
| M | 20C | SEME | Reference | Sender's Message Reference | :4!c//16x | 2 |
| M | 23G | | | Function of the Message | 4!c | 3 |
| O | 98a | PREP | Date/Time | Preparation Date/Time | A, C, E | 4 |
| ---- | | | | | | |
| O | 99B | 4!c | Number Count | (see qualifier description) | :4!c//3!n | 5 |
| ---- | | | | | | |

| Status | Tag | Qualifier | Generic Field Name | Detailed Field Name | Content/Options | No. |
|---|-----|-----------|----------------------------------|--|--------------------------|-----|
| ----> Optional Repetitive Subsequence A1 Linkages | | | | | | |
| M | 16R | | | Start of Block | LINK | 6 |
| O | 22F | LINK | Indicator | Linkage Type Indicator | :4!c/[8c]/4!c | 7 |
| O | 13a | LINK | Number Identification | Linked Message | A, B | 8 |
| M | 20C | 4!c | Reference | (see qualifier description) | :4!c//16x | 9 |
| O | 36B | 4!c | Quantity of Financial Instrument | (see qualifier description) | :4!c//4!c/15d | 10 |
| M | 16S | | | End of Block | LINK | 11 |
| ---- End of Optional Repetitive Subsequence A1 Linkages | | | | | | |
| M | 16S | | | End of Block | GENL | 12 |
| End of Mandatory Sequence A General Information | | | | | | |
| Mandatory Sequence B Trade Details | | | | | | |
| M | 16R | | | Start of Block | TRADDET | 13 |
| ----> | | | | | | |
| O | 94a | 4!c | Place | (see qualifier description) | B, H | 14 |
| ---- | | | | | | |
| ----> | | | | | | |
| M | 98A | 4!c | Date/Time | (see qualifier description) | :4!c//8!n | 15 |
| ---- | | | | | | |
| O | 90a | DEAL | Price | Deal Price | A, B | 16 |
| O | 99A | DAAC | Number Count | Number of Days Accrued | :4!c//[N]3!n | 17 |
| M | 35B | | | Identification of the Financial Instrument | [ISIN1!e12!c] [4*35x] | 18 |
| Optional Subsequence B1 Financial Instrument Attributes | | | | | | |
| M | 16R | | | Start of Block | FIA | 19 |
| O | 94B | PLIS | Place | Place of Listing | :4!c/[8c]/4!c/[30x] | 20 |
| ----> | | | | | | |
| O | 22F | 4!c | Indicator | (see qualifier description) | :4!c/[8c]/4!c | 21 |
| ---- | | | | | | |
| ----> | | | | | | |
| O | 12a | 4!c | Type of Financial Instrument | (see qualifier description) | A, B, C | 22 |
| ---- | | | | | | |
| O | 11A | DENO | Currency | Currency of Denomination | :4!c//3!a | 23 |
| ----> | | | | | | |
| O | 98A | 4!c | Date | (see qualifier description) | :4!c//8!n | 24 |

| Status | Tag | Qualifier | Generic Field Name | Detailed Field Name | Content/Options | No. |
|---|-----|-----------|----------------------------------|--|--------------------------|-----|
| ---- | | | | | | |
| ---- | | | | | | |
| O | 92A | 4!c | Rate | (see qualifier description) | :4!c//[N]15d | 25 |
| ---- | | | | | | |
| ---- | | | | | | |
| O | 13a | 4!c | Number Identification | (see qualifier description) | A, B | 26 |
| ---- | | | | | | |
| ---- | | | | | | |
| O | 17B | 4!c | Flag | (see qualifier description) | :4!c//1!a | 27 |
| ---- | | | | | | |
| ---- | | | | | | |
| O | 90a | 4!c | Price | (see qualifier description) | A, B | 28 |
| ---- | | | | | | |
| ---- | | | | | | |
| O | 36B | 4!c | Quantity of Financial Instrument | (see qualifier description) | :4!c//4!c/15d | 29 |
| ---- | | | | | | |
| ---- | | | | | | |
| O | 35B | | | Identification of the Financial Instrument | [ISIN1!e12!c] [4*35x] | 30 |
| ---- | | | | | | |
| O | 70E | FIAN | Narrative | Financial Instrument Attribute Narrative | :4!c//10*35x | 31 |
| M | 16S | | | End of Block | FIA | 32 |
| End of Optional Subsequence B1 Financial Instrument Attributes | | | | | | |
| ---- | | | | | | |
| ---- | | | | | | |
| O | 22F | 4!c | Indicator | (see qualifier description) | :4!c/[8c]/4!c | 33 |
| ---- | | | | | | |
| O | 11A | FXIS | Currency | Currency to Sell | :4!c//3!a | 34 |
| ---- | | | | | | |
| ---- | | | | | | |
| O | 25D | 4!c | Status | (see qualifier description) | :4!c/[8c]/4!c | 35 |
| ---- | | | | | | |
| ---- | | | | | | |
| O | 70E | 4!c | Narrative | (see qualifier description) | :4!c//10*35x | 36 |
| ---- | | | | | | |
| M | 16S | | | End of Block | TRADEDET | 37 |

| Status | Tag | Qualifier | Generic Field Name | Detailed Field Name | Content/Options | No. |
|---|-----|-----------|----------------------------------|--|-----------------|-----|
| End of Mandatory Sequence B Trade Details | | | | | | |
| Mandatory Sequence C Financial Instrument/Account | | | | | | |
| M | 16R | | | Start of Block | FIAC | 38 |
| ----> | | | | | | |
| M | 36B | SETT | Quantity of Financial Instrument | Quantity of Financial Instrument to be Settled | :4!c//4!c/15d | 39 |
| ---- | | | | | | |
| O | 70D | DENC | Narrative | Denomination Choice | :4!c//6*35x | 40 |
| ----> | | | | | | |
| O | 13B | CERT | Number Identification | Certificate Number | :4!c/[8c]/30x | 41 |
| ---- | | | | | | |
| O | 95a | ACOW | Party | Account Owner | P, R | 42 |
| M | 97a | SAFE | Account | Safekeeping Account | A, B | 43 |
| O | 94a | SAFE | Place | Place of Safekeeping | B, C, F | 44 |
| ----> Optional Repetitive Subsequence C1 Quantity Breakdown | | | | | | |
| M | 16R | | | Start of Block | BREAK | 45 |
| O | 13B | LOTS | Number Identification | Lot Number | :4!c/[8c]/30x | 46 |
| O | 36B | LOTS | Quantity of Financial Instrument | Quantity of Financial Instrument In The Lot | :4!c//4!c/15d | 47 |
| O | 98a | LOTS | Date/Time | Lot Date/Time | A, C, E | 48 |
| O | 90a | LOTS | Price | Book/Lot Price | A, B | 49 |
| O | 22F | PRIC | Indicator | Type of Price Indicator | :4!c/[8c]/4!c | 50 |
| M | 16S | | | End of Block | BREAK | 51 |
| ---- End of Optional Repetitive Subsequence C1 Quantity Breakdown | | | | | | |
| M | 16S | | | End of Block | FIAC | 52 |
| End of Mandatory Sequence C Financial Instrument/Account | | | | | | |
| Optional Sequence D Two Leg Transaction Details | | | | | | |
| M | 16R | | | Start of Block | REPO | 53 |
| O | 98a | TERM | Date/Time | Closing Date/Time | A, B, C | 54 |
| ----> | | | | | | |
| O | 22F | 4!c | Indicator | (see qualifier description) | :4!c/[8c]/4!c | 55 |
| ---- | | | | | | |
| O | 20C | SECO | Reference | Second Leg Reference | :4!c//16x | 56 |
| O | 92A | REPO | Rate | Repurchase Rate | :4!c//[N]15d | 57 |
| ----> | | | | | | |

| Status | Tag | Qualifier | Generic Field Name | Detailed Field Name | Content/Options | No. |
|--|-----|-----------|--------------------|--|-----------------|-----|
| O | 99B | 4!c | Number Count | (see qualifier description) | :4!c//3!n | 58 |
| ---- | | | | | | |
| O | 19A | TRTE | Amount | Termination Transaction Amount | :4!c//[N]3!a15d | 59 |
| O | 70C | SECO | Narrative | Second Leg Narrative | :4!c//4*35x | 60 |
| M | 16S | | | End of Block | REPO | 61 |
| End of Optional Sequence D Two Leg Transaction Details | | | | | | |
| Mandatory Sequence E Settlement Details | | | | | | |
| M | 16R | | | Start of Block | SETDET | 62 |
| M | 22F | SETR | Indicator | Type of Settlement Transaction Indicator | :4!c/[8c]/4!c | 63 |
| ----> Mandatory Repetitive Subsequence E1 Settlement Parties | | | | | | |
| M | 16R | | | Start of Block | SETPRTY | 64 |
| M | 95a | 4!c | Party | (see qualifier description) | C, P, Q, R | 65 |
| O | 97a | SAFE | Account | Safekeeping Account | A, B | 66 |
| O | 98a | PROC | Date/Time | Processing Date/Time | A, C | 67 |
| O | 20C | PROC | Reference | Processing Reference | :4!c//16x | 68 |
| ----> | | | | | | |
| O | 70a | 4!c | Narrative | (see qualifier description) | C, D, E | 69 |
| ---- | | | | | | |
| M | 16S | | | End of Block | SETPRTY | 70 |
| ---- End of Mandatory Repetitive Subsequence E1 Settlement Parties | | | | | | |
| ----> Optional Repetitive Subsequence E2 Cash Parties | | | | | | |
| M | 16R | | | Start of Block | CSHPRTY | 71 |
| ----> | | | | | | |
| M | 95a | 4!c | Party | (see qualifier description) | P, Q, R, S | 72 |
| ---- | | | | | | |
| ----> | | | | | | |
| O | 97a | 4!c | Account | (see qualifier description) | A, E | 73 |
| ---- | | | | | | |
| ----> | | | | | | |
| O | 70a | 4!c | Narrative | (see qualifier description) | C, E | 74 |
| ---- | | | | | | |
| M | 16S | | | End of Block | CSHPRTY | 75 |
| ---- End of Optional Repetitive Subsequence E2 Cash Parties | | | | | | |
| ----> Mandatory Repetitive Subsequence E3 Amount | | | | | | |

| Status | Tag | Qualifier | Generic Field Name | Detailed Field Name | Content/Options | No. |
|---|-----|-----------|--------------------|-----------------------------|-------------------|-----|
| M | 16R | | | Start of Block | AMT | 76 |
| ----> | | | | | | |
| O | 17B | 4!c | Flag | (see qualifier description) | :4!c//1!a | 77 |
| ---- | | | | | | |
| M | 19A | SETT | Amount | Settlement Amount | :4!c//[N]3!a15d | 78 |
| O | 98a | VALU | Date/Time | Value Date/Time | A, C | 79 |
| O | 92B | EXCH | Rate | Exchange Rate | :4!c//3!a/3!a/15d | 80 |
| M | 16S | | | End of Block | AMT | 81 |
| ---- End of Mandatory Repetitive Subsequence E3 Amount | | | | | | |
| M | 16S | | | End of Block | SETDET | 82 |
| End of Mandatory Sequence E Settlement Details | | | | | | |
| ----> Optional Repetitive Sequence F Other Parties | | | | | | |
| M | 16R | | | Start of Block | OTHRPTY | 83 |
| ----> | | | | | | |
| M | 95a | 4!c | Party | (see qualifier description) | C, P, Q, R, S | 84 |
| ---- | | | | | | |
| O | 97A | SAFE | Account | Safekeeping Account | :4!c//35x | 85 |
| ----> | | | | | | |
| O | 70a | 4!c | Narrative | (see qualifier description) | C, D, E | 86 |
| ---- | | | | | | |
| O | 20C | PROC | Reference | Processing Reference | :4!c//16x | 87 |
| M | 16S | | | End of Block | OTHRPTY | 88 |
| ---- End of Optional Repetitive Sequence F Other Parties | | | | | | |
| M = Mandatory, O = Optional | | | | | | |

MT 541 Network Validated Rules

- C1** The following amount fields cannot appear in more than one occurrence of the subsequence E3 Amounts (Error code(s): E87):

Subsequence E3

:19A::ACRU
:19A::ANTO
:19A::CHAR
:19A::COUN
:19A::DEAL
:19A::EXEC

:19A::ISDI
 :19A::LADT
 :19A::LEVY
 :19A::LOCL
 :19A::LOCO
 :19A::MARG
 :19A::OTHR
 :19A::REGF
 :19A::SETT
 :19A::SHIP
 :19A::SPCN
 :19A::STAM
 :19A::STEX
 :19A::TRAN
 :19A::TRAX
 :19A::VATA
 :19A::WITH
 :19A::COAX
 :19A::ACCA

C2 It is mandatory to specify a settlement amount: one occurrence of the amounts subsequence E3 must contain amount field :19A::SETT (Error code(s): E92).

C3 In sequence A, if the total of linked settlement instructions (field :99B::TOSE) is present, then the current settlement instruction number (field :99B::SETT) must be present (Error code(s): E90).

| Sequence A if field :99B::TOSE is ... | Sequence A then field :99B::SETT is ... |
|--|--|
| Present | Mandatory |
| Not present | Optional |

C4 In (sub)sequence E3, if an exchange rate (field :92B::EXCH) is present, the corresponding resulting amount (field :19A::RESU) must be present in the same subsequence. If the exchange rate is not present then the resulting amount is not allowed (Error code(s): E62).

| Subsequence E3 if field :92B::EXCH is ... | Subsequence E3 then field :19A::RESU is ... |
|--|--|
| Present | Mandatory |
| Not present | Not allowed |

C5 The following party fields cannot appear more than once in a message (Error code(s): E84):

| Subsequence E1 | Subsequence E2 | Sequence F |
|----------------|----------------|------------|
| :95a::BUYR | :95a::ACCW | :95a::EXCH |
| :95a::DEAG | :95a::BENM | :95a::MEOR |
| :95a::DECU | :95a::PAYE | :95a::MERE |
| :95a::DEI1 | :95a::DEBT | :95a::TRRE |
| :95a::DEI2 | :95a::INTM | |
| :95a::PSET | | :95a::VEND |
| :95a::REAG | | :95a::TRAG |
| :95a::RECU | | :95a::BRKR |
| :95a::REI1 | | |
| :95a::REI2 | | |
| :95a::SELL | | |

- C6** If field :22F::DBNM is NOT present in sequence E, then it is mandatory to specify a delivering agent and a place of settlement: one occurrence of subsequence E1 Settlement Parties must contain party field :95a::DEAG and another one must contain party field :95a::PSET (Error code(s): E91).
- C7** If a qualifier from the list Deliverers is present in a subsequence E1, in a field :95a::4!c, then all the remaining qualifiers following this qualifier in the list Deliverers (see below) must be present (Error code(s): E86).

In other words, in sequence E, the following scenarios must be validated:

- If :95a::DEI2 is present in subsequence E1, then :95a::DEI1 must be present in another subsequence E1.
- If :95a::DEI1 is present in subsequence E1, then :95a::DECU must be present in another subsequence E1.
- If :95a::DECU is present in subsequence E1, then :95a::SELL must be present in another subsequence E1.

If a qualifier from the list Receivers is present in a subsequence E1, in a field :95a::4!c, then all the remaining qualifiers following this qualifier in the list Receivers (see below) must be present.

In other words, in sequence E, the following scenarios must be validated:

- If :95a::REI2 is present in subsequence E1, then :95a::REI1 must be present in another subsequence E1.
- If :95a::REI1 is present in subsequence E1, then :95a::RECU must be present in another subsequence E1.
- If :95a::RECU is present in subsequence E1, then :95a::BUYR must be present in another subsequence E1.

| Deliverers | | Receivers | |
|------------|----------------------------|-----------|---------------------------|
| DEI2 | Deliverer's Intermediary 2 | REI2 | Receiver's Intermediary 2 |
| DEI1 | Deliverer's Intermediary 1 | REI1 | Receiver's Intermediary 1 |

| Deliverers | | Receivers | |
|------------|-----------------------|-----------|----------------------|
| DECU | Deliverer's Custodian | RECU | Receiver's Custodian |
| SELL | Seller (Deliverer) | BUYR | Buyer (Receiver) |

- C8** If the message is a cancellation, that is, Function of the Message (field 23G) is CANC, then subsequence A1 (Linkages) must be present at least once in the message, and in one and only one occurrence of A1, field :20C::PREV must be present; consequently, in all other occurrences of A1, field :20C::PREV is not allowed (Error code(s): E08).

| Sequence A if field :23G: is ... | Then subsequence A1 is ... | And field :20C::PREV is ... |
|-------------------------------------|--|---|
| CANC | Mandatory (minimum one occurrence of A1 must be present) | Mandatory in one occurrence of subsequence A1, and not allowed in all other occurrences of subsequence A1 |
| NEWM or PREA | Optional | Not applicable |

- C9** In subsequence E1, if field :95a::PSET is present, then field :97a::SAFE is not allowed in the same subsequence. (Error code(s): E52).

| Subsequence E1 if field :95a::PSET is ... | Subsequence E1 then field :97a::SAFE is ... |
|--|--|
| Present | Not allowed within the same occurrence |
| Not present | Optional |

- C10** If field :22F::FXCX//FXNO or FXYE is present in sequence E, then the message must be a cancellation, that is, Function of the Message in sequence A (field 23G) is CANC.

If field :22F::FXCX//SINO is present in sequence E, then the message must be new, that is, Function of the Message in sequence A (field 23G) is NEWM. (Error code(s): E14).

| Sequence E if field :22F::FXCX is ... | In sequence A then field :23G:4!c is ... |
|--|---|
| FXNO | CANC |
| FXYE | CANC |
| SINO | NEWM |
| Not present | Not applicable |

(*) if the Data Source Scheme is present in field :22F::FXCX// then the conditional rule does not apply.

- C11** If field :22F::DBNM is present in sequence E, then a seller must be specified, that is one occurrence of subsequence E1 must contain field :95a::SELL (Error code(s): E70).

| Sequence E if field :22F::DBNM is ... | Then in one occurrence of subsequence E1 ... |
|--|---|
| Present | Field :95a::SELL is Mandatory |
| Not present | Not applicable |

- C12** If field :22F::DBNM//VEND is present in sequence E, then a vendor must be specified; that is one occurrence of sequence F must contain field :95a::VEND (Error code(s): D71).

| In sequence E if field :22F::DBNM// VEND is ... (*) | Then sequence F is ... | And in one occur- rence of sequence F field :95a::VEND is ... |
|---|--|---|
| Present | Mandatory, that is sequence F must be present once | Mandatory |
| Not present | Optional | Optional |

(*) if the Data Source Scheme is present in field :22F::DBNM//VEND then the conditional rule does not apply.

- C13** If field :36B: is present in minimum one occurrence of sequence A1, then the type of settlement transaction must be a pair-off or a turn-around; that is, sequence E field :22F::SETR//PAIR or :22F::SETR//TURN must be present (Error code(s): C50).

| In sequence A1 if field :36B: is ... | Then in sequence E, field :22F::SETR must be ... |
|--------------------------------------|--|
| Present | :22F::SETR//PAIR and DSS must not be present or :22F::SETR//TURN and DSS must not be present |
| Not present | Not applicable |

- C14** In sequence C, field :36B::SETT cannot appear more than twice (maximum two occurrences). When repeated, one occurrence must have Quantity Type Code FAMT and the other occurrence must have Quantity Type Code AMOR (Error code(s): C71).

| Sequence C if field :36B::SETT is ... | Then one occurrence of :36B::SETT must be ... | And the other occurrence of :36B::SETT must be ... |
|--|--|---|
| Repeated | :36B::SETT//FAMT | :36B::SETT//AMOR |
| Not repeated | Not applicable | Not applicable |

- C15** A value date must only be provided for cash/securities split settlement. That is, in any occurrence of subsequence E3, if value date field :98a::VALU is present, then in sequence E field :22F::STCO//SPST must be present, and settlement amount field :19A::SETT must be present in the same subsequence E3 (Error code(s): C28).

| In any occurrence of subsequence E3 if field :98a::VALU is ... | Sequence E then field :22F::STCO//SPST with DSS not present is ... | In the same occurrence of subsequence E3 and field :19A::SETT is ... |
|--|--|--|
| Present | Mandatory | Mandatory |
| Not present | Optional | Optional |

- C16** In sequence F, if field :95a::EXCH Stock Exchange or :95a::TRRE Trade Regulator is present, then field :97a:: is not allowed in the same sequence (Error code(s): E63).

| Sequence F if field :95a::EXCH or TRRE is ... | Sequence F then field :97a:: is ... |
|--|--|
| Present | Not allowed within the same occurrence |

| Sequence F if field :95a::EXCH or TRRE is ... | Sequence F then field :97a:: is ... |
|--|--|
| Not present | Optional |

MT 541 Usage Rules

- The message may also be used to:
 - re-send a message (be it an instruction, request for cancellation, or pre-advise) sent by the account owner to the account servicer (the subfunction of the message is DUPL)
 - provide a third party with a copy of a message (be it an the instruction, request for cancellation, or pre-advise) being sent by the account owner for information (the subfunction of the message is COPY)
 - re-send a third party a copy of a message (be it an instruction, request for cancellation, or pre-advise) being sent by the account owner for information (the subfunction of the message is CODU).
- When the message is used as a request to cancel, the Linkage Sequence must contain the reference of the original instruction.
- Field 11A Currency in Trade Details in sequence B, provides an instruction to the account servicer to execute a foreign exchange deal on behalf of the account owner. The purpose of the forex deal is to fund the purchase of securities. This field is not to be used when standing instructions have been established. The use of this field implies the following:
 - the currency required for funding a purchase of securities, for example, the currency to be purchased, is the currency of the settlement amount. The currency to be sold to obtain settlement currency is indicated by the qualifier FXIS, 'currency to be sold'. Normally, this is the base currency of the portfolio.
 - the amount of currency to be bought is equal to the settlement amount of the transaction. This implies the forex will not be used for other transactions and that a bulk forex deal must be instructed by other means.
 - a forex deal to fund the purchase of securities should be executed in time for the currency to be available on the settlement date of the securities transaction.
 - a bilateral agreement regarding the use of this field has been established.

MT 541 Field Specifications

1. Field 16R: Start of Block

FORMAT

Option R 16c

PRESENCE

Mandatory in mandatory sequence A

DEFINITION

This field specifies the start of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

GENL General Information

2. Field 20C: Reference: Sender's Message Reference

FORMAT

Option C :4!c//16x (Qualifier)(Reference)

PRESENCE

Mandatory in mandatory sequence A

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|----------------------------|
| 1 | M | SEME | N | | C | Sender's Message Reference |

DEFINITION

This qualified generic field specifies:

SEME Sender's Message Reference assigned by the Sender to unambiguously identify the
Reference message.

NETWORK VALIDATED RULES

Reference must not start or end with a slash '/' and must not contain two consecutive slashes '/' (Error code(s): T26).

USAGE GUIDELINES**Additional rule(s) for this field**

- **Comment:**
:20C:SEME//TRN123456
Transaction reference included by the sending participant.
Must be unique within 14 days across all message types.

3. Field 23G: Function of the Message

FORMAT

Option G 4!c (Function)

PRESENCE

Mandatory in mandatory sequence A

DEFINITION

This field identifies the function of the message.

CODES

Function must contain one of the following codes (Error code(s): T86):

| | | |
|------|----------------------|---|
| CANC | Cancellation Request | Message requesting the cancellation of a previously sent message. |
| NEWM | New | New message. |

USAGE RULES

To cancel a previously sent message, Function is CANC. The reference in the linkages sequence must contain the Sender's reference of the message to be cancelled. A copy of at least the mandatory fields of the message to be cancelled must be present; optional fields need not be present for SWIFT validation.

MARKET PRACTICE RULES

Function of the message usage clarifications have been published by the Securities Market Practice Group (SMPG).

For more details, see the relevant market practice document on www.smpg.info.

USAGE GUIDELINES**Additional rule(s) for this field**

- **Comment:**
:23G:NEWM

4. Field 98a: Date/Time: Preparation Date/Time**FORMAT**

| | | |
|----------|---------------------------------|--|
| Option A | :4!c//8!n | (Qualifier)(Date) |
| Option C | :4!c//8!n6!n | (Qualifier)(Date)(Time) |
| Option E | :4!c//8!n6!n[,3n][/[N]2!n[2!n]] | (Qualifier)(Date)(Time)(Decimals)(UTC Indicator) |

PRESENCE

Optional in mandatory sequence A

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | PREP | N | | A, C, E | Preparation Date/Time |

DEFINITION**Usage Guideline restrictions for this field**

- **This field will be ignored by the Receiver.**

This qualified generic field specifies:

PREP Preparation Date/Time Date/time at which message was prepared.

NETWORK VALIDATED RULES

Date must be a valid date expressed as YYYYMMDD (Error code(s): T50).

Time must be a valid time expressed as HHMMSS (Error code(s): T38).

UTC Indicator must be a valid time expressed as HH[MM] (Error code(s): T39).

Sign must not be used when UTC Indicator is equal to all zeroes (Error code(s): T14).

5. Field 99B: Number Count**FORMAT**

Option B :4!c//3!n (Qualifier)(Number)

PRESENCE

Optional in mandatory sequence A

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|---|
| 1 | O | SETT | N | C3 | B | Current Settlement Instruction Number |
| 2 | O | TOSE | N | | B | Total of Linked Settlement Instructions |

DEFINITION**Usage Guideline restrictions for this field**

- **This field will be ignored by the Receiver.**

This qualified generic field specifies:

| | | |
|------|---|--|
| SETT | Current Settlement Instruction Number | Sequential number of the instruction in a range of linked settlement instructions. |
| TOSE | Total of Linked Settlement Instructions | Total number of settlement instructions that are linked together. |

USAGE RULES

The current settlement instruction number must be specified when the Sender wants to indicate that this settlement instruction is linked to one or more settlement instruction.

MARKET PRACTICE RULES

- **Block trades:**

In a block trade instruction, the total number of children must be provided using number count field 99B::TOSE (plus the mandatory field :99B::SETT identifying the instruction number).

The instruction number of the parent must be 000. The children must have an incremental instruction number from 001 to 999.

For more details, see the relevant market practice document on www.smpg.info.

6. Field 16R: Start of Block**FORMAT**

Option R 16c

PRESENCE

Mandatory in optional subsequence A1

DEFINITION

This field specifies the start of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

LINK Linkages

7. Field 22F: Indicator: Linkage Type Indicator**FORMAT**

Option F :4!c/[8c]/4!c (Qualifier)(Data Source Scheme)(Indicator)

PRESENCE

Optional in optional subsequence A1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|------------------------|
| 1 | O | LINK | N | | F | Linkage Type Indicator |

DEFINITION**Usage Guideline restrictions for this field**

- **This field will be ignored by the Receiver.**

This qualified generic field specifies:

| | | |
|------|------------------------|--|
| LINK | Linkage Type Indicator | Specifies when the instruction is to be executed relative to a linked instruction. |
|------|------------------------|--|

CODES

If Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|-------------|--|
| AFTE | After | Indicates that the message is to be processed after the linked message. |
| BEFO | Before | Indicates that the message is to be processed before the linked message. |
| INFO | Information | Indicates that the messages are linked for information purposes only. |
| WITH | With | Indicates that the message is to be processed with the linked message. |

USAGE RULES

If code WITH is used, it links together one or more instructions which become bound and which must be executed together. Even if one single instruction can not be executed, then all the other instructions must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 instructions.

8. Field 13a: Number Identification: Linked Message

FORMAT

| | | |
|----------|---------------|---|
| Option A | :4!c//3!c | (Qualifier)(Number Id) |
| Option B | :4!c/[8c]/30x | (Qualifier)(Data Source Scheme)(Number) |

PRESENCE

Optional in optional subsequence A1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | LINK | N | | A, B | Linked Message |

DEFINITION

Usage Guideline restrictions for this field

- This field will be ignored by the Receiver.

This qualified generic field specifies:

| | | |
|------|----------------|---|
| LINK | Linked Message | Message type number/message identifier of the message referenced in the linkage sequence. |
|------|----------------|---|

NETWORK VALIDATED RULES

Number (Format Option B) must not start or end with a slash '/' and must not contain two consecutive slashes '/' (Error code(s): T26).

USAGE RULES

Format A Number Id must contain the FIN message type number of the linked message.

Format B Number must contain the XML message identifier of the linked message.

9. Field 20C: Reference**FORMAT**

Option C :4!c//16x (Qualifier)(Reference)

PRESENCE

Mandatory in optional subsequence A1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|----------------------------|
| 1 | M | PREV | N | C8 | C | Previous Message Reference |
| | or | RELA | N | | C | Related Message Reference |
| | or | CORP | N | | C | Corporate Action Reference |

DEFINITION

This qualified generic field specifies:

| | | |
|------|----------------------------|--|
| CORP | Corporate Action Reference | Reference assigned by the account servicer to unambiguously identify a related corporate action event. |
| PREV | Previous Message Reference | Message reference of the linked message which was previously sent. |
| RELA | Related Message Reference | Message reference of the linked message which was previously received. |

NETWORK VALIDATED RULES

Reference must not start or end with a slash '/' and must not contain two consecutive slashes '/' (Error code(s): T26).

MARKET PRACTICE RULES

- Linkages:**

A global market practice for the use of the linkage sequence and references exists for various business scenarios such as cancellations, settlement confirmation but also more complex processes like pair-off, back-to-back, ETC.

- Block trade:**

The use of pool reference is mandatory for block trades to link parent and children instructions.

- **Sell/buy - buy/sell back settlement:**

The closing leg must be linked to the opening leg using the message reference of the opening leg in the linkage subsequence A1 field 20C::PREV.

- **Securities lending and borrowing settlement:**

The borrowing/lending closing leg must be linked to the borrowing/opening leg.

For more details, see the relevant market practice document on www.smpg.info.

USAGE GUIDELINES

Additional rule(s) for this field

- **Comment:**

Use of the 20C Tag is mandatory if this subsequence is present.

If the message type is NEWM, the RELA qualifier can be used to include comments equivalent to the Member Reference field in a terminal entered trade screen.

:20C::RELA//comments.

If the message type is CANC, the PREV qualifier must be included to identify the transaction to be cancelled and must include the Sender's Reference from Tag20C:SEME// contained in the original message.

:20C::PREV//TRN123456.

10. Field 36B: Quantity of Financial Instrument

FORMAT

Option B :4!c//4!c/15d (Qualifier)(Quantity Type Code)(Quantity)

PRESENCE

Conditional (see rule C13) in optional subsequence A1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|-----|---------|---|
| 1 | O | PAIR | N | C13 | B | Quantity of Financial Instrument to be Paired-off |
| | or | TURN | N | C13 | B | Quantity of Financial Instrument to be Turned |

DEFINITION

Usage Guideline restrictions for this field

- **This field will be ignored by the Receiver.**

This qualified generic field specifies:

| | | |
|------|---|---|
| PAIR | Quantity of Financial Instrument to be Paired-off | Quantity of financial instruments of the linked instruction to be paired-off. |
|------|---|---|

| | | |
|------|---|---|
| TURN | Quantity of Financial Instrument to be Turned | Quantity of financial instruments of the linked instruction to be turned in a turnaround. |
|------|---|---|

CODES

Quantity Type Code must contain one of the following codes (Error code(s): K36):

| | | |
|------|-----------------|--|
| AMOR | Amortised Value | Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount. |
| FAMT | Face Amount | Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument. |
| UNIT | Unit Number | Quantity expressed as a number, for example, a number of shares. |

NETWORK VALIDATED RULES

The integer part of Quantity must contain at least one digit. A decimal comma is mandatory and is included in the maximum length (Error code(s): T40, T43).

USAGE RULES

The use of this field for partial pair-off must be pre-agreed between the message Sender and Receiver.

11. Field 16S: End of Block**FORMAT**

Option S 16c

PRESENCE

Mandatory in optional subsequence A1

DEFINITION

This field specifies the end of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

| | |
|------|----------|
| LINK | Linkages |
|------|----------|

12. Field 16S: End of Block**FORMAT**

Option S 16c

PRESENCE

Mandatory in mandatory sequence A

DEFINITION

This field specifies the end of a block and the name of that block.

CODES

This field must contain the following code (Error code(s) : T92):

GENL General Information

13. Field 16R: Start of Block

FORMAT

Option R 16c

PRESENCE

Mandatory in mandatory sequence B

DEFINITION

This field specifies the start of a block and the name of that block.

CODES

This field must contain the following code (Error code(s) : T92):

TRADDET Trade Details

14. Field 94a: Place

FORMAT

Option B :4!c/[8c]/4!c/30x] (Qualifier)(Data Source Scheme)(Place Code)(Narrative)

Option H :4!c//4!a2!a2!c[3!c] (Qualifier)(Identifier Code)

PRESENCE

Optional in mandatory sequence B

QUALIFIER

(Error code(s) : T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | CLEA | N | | H | Place of Clearing |
| 2 | O | TRAD | N | | B | Place of Trade |

DEFINITION**Usage Guideline restrictions for this field**

- **This field will be ignored by the Receiver.**

This qualified generic field specifies:

| | | |
|------|-------------------|--|
| CLEA | Place of Clearing | Place at which the trade is cleared (Central Counterparty). |
| TRAD | Place of Trade | Place at which the order was traded, directly by the instructing party or not. |

CODES

In option B, , if Data Source Scheme is not present, Place Code must contain one of the following codes (Error code(s): K94):

| | | |
|------|------------------|---|
| EXCH | Stock Exchange | Place of trade is a stock exchange. |
| OTCO | Over The Counter | Place of trade is over the counter. |
| PRIM | Primary Market | Place of trade is the primary market. |
| SECM | Secondary Market | Place of trade is the secondary market. |
| VARI | Various | Trade was executed on various places. |

NETWORK VALIDATED RULES

Identifier Code must be a registered BIC (Error code(s): T27, T28, T29, T45).

Narrative must not start or end with a slash '/' and must not contain two consecutive slashes '/' (Error code(s): T26).

USAGE RULES

When Place Code is OTCO, Narrative, if present, must specify the system. When Place Code is EXCH, Narrative, if present, must specify the Market Identifier Code (MIC).

A cross-exchange netted transaction is to be identified by the combination of Various (Place field :94B::TRAD//VARI) and Netting (Indicator field :22F::SETR//NETT).

EXAMPLES

:94B::TRAD//EXCH/XNYS for the New York Stock Exchange.

15. Field 98A: Date/Time**FORMAT**

Option A :4!c//8!n (Qualifier)(Date)

PRESENCE

Mandatory in mandatory sequence B

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | M | SETT | N | | A | Settlement Date/Time |
| 2 | O | TRAD | N | | A | Trade Date/Time |

DEFINITION

This qualified generic field specifies:

SETT Settlement Date/Time Date/time at which the financial instruments are to be delivered or received.

TRAD Trade Date/Time Date/time at which the trade was executed.

NETWORK VALIDATED RULES

Date must be a valid date expressed as YYYYMMDD (Error code(s): T50).

Time must be a valid time expressed as HHMMSS (Error code(s): T38).

UTC Indicator must be a valid time expressed as HH[MM] (Error code(s): T39).

Sign must not be used when UTC Indicator is equal to all zeroes (Error code(s): T14).

USAGE RULES

ADEL, Late delivery date is used for pool trades that result from the original TBA's (the original TBA's would be offset by an opposite transaction and replaced by buys or sells of individual pools). It is a date greater than the settlement date stated in the trade, for pools designed as settling late.

Trade Date/Time, when used with format 98C or 98E, must be the local time of the place of trade, for example, of the stock exchange, or of the selling broker for OTC.

MARKET PRACTICE RULES

In addition to the settlement date (ISO 15022 mandatory), trade date is one of the 10 common elements recommended by SMPG for equities and fixed income settlement instructions.

For more details, see the relevant market practice document on www.smpg.info.

USAGE GUIDELINES**Additional rule(s) for this field**

- Comment:**

ASX will only accept option A with either the SETT or TRAD codes.

SETT (Settle Date) is mandatory.

TRAD (Trade Date) is optional. If omitted Trade Date will default to current day's date.

:98A::SETT//20040505

EXAMPLES

Examples of format option E usage:

- Trade date/time is the 23rd of October 2006, at 12:35 and 48 seconds, 2 tenths of a second

:98E::TRAD//20061023123548,2

- Trade date/time is the 23rd of October 2006, at 12:35 and 48 seconds, 25 hundreds of a second, UTC time +2

:98E::TRAD//20061023123548,25/02

- Trade date/time is the 23rd of October 2006, at 12:35 and 48 seconds, UTC time - 4

:98E::TRAD//20061023123548/N04

- Trade date/time is the 23rd of October 2006, at 12:35 and 48 seconds, UTC time - 2h30

98E::TRAD//20061023123548/N0230

16. Field 90a: Price: Deal Price

FORMAT

Option A :4!c//4!c/15d (Qualifier)(Percentage Type Code)(Price)
 Option B :4!c//4!c/3!a15d (Qualifier)(Amount Type Code)(Currency Code)(Price)

PRESENCE

Optional in mandatory sequence B

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | DEAL | N | | A, B | Deal Price |

DEFINITION

This qualified generic field specifies:

DEAL Deal Price Price of the traded financial instrument.

CODES

In option A, Percentage Type Code must contain one of the following codes (Error code(s): K90):

| | | |
|------|------------|---|
| DISC | Discount | Price expressed as the number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100. |
| PRCT | Percentage | Price expressed as a percentage of par. |
| PREM | Premium | Price expressed as the number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100. |
| YIEL | Yield | Price expressed as a yield. |

CODES

In option B, Amount Type Code must contain one of the following codes (Error code(s): K90):

| | | |
|------|---------------|---|
| ACTU | Actual Amount | Price expressed as an amount of currency per unit or per share. |
| DISC | Discount | Price expressed as a discount amount. |
| PREM | Premium | Price expressed as a premium. |

NETWORK VALIDATED RULES

Currency Code must be a valid ISO 4217 currency code (Error code(s): T52).

The integer part of Price must contain at least one digit. A decimal comma is mandatory and is included in the maximum length. (Error code(s): T40, T43).

USAGE RULES

The number of decimal digits in Price is not validated against the currency specified.

USAGE GUIDELINES

Additional rule(s) for this field

- Comment:**

This is an optional non-matching field.

ASX will accept either option A or B but will only process the Tag if Yield information is included with option A, ie:

90A:DEAL//YIEL/5,9500

Other codes will be accepted but will be ignored.

The Deal Price will be derived in the system from the Consideration and any Deal Price figures included in this Tag will be ignored.

If Yield information is not included in this Tag, the Yield will be entered as zero in the system.

17. Field 99A: Number Count: Number of Days Accrued

FORMAT

Option A :4!c//[N]3!n (Qualifier)(Sign)(Number)

PRESENCE

Optional in mandatory sequence B

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|------------------------|
| 1 | O | DAAC | N | | A | Number of Days Accrued |

DEFINITION

Usage Guideline restrictions for this field

- This field will be ignored by the Receiver.**

This qualified generic field specifies:

| | | |
|------|-----------------------------|--|
| DAAC | Number of Days Ac- crued | Number of days used for calculating the accrued interest amount. |
|------|-----------------------------|--|

NETWORK VALIDATED RULES

When Sign is present, Number must not be zero (Error code(s): T14).

USAGE RULES

Sign must be present when Number is negative.

18. Field 35B: Identification of the Financial Instrument**FORMAT**

| | | |
|----------|---------------|------------------------------|
| Option B | [ISIN1!e12!c] | (Identification of Security) |
| | [4*35x] | (Description of Security) |

PRESENCE

Mandatory in mandatory sequence B

DEFINITION

This field identifies the financial instrument.

NETWORK VALIDATED RULES

At least Identification of a Security (Subfield 1) or Description of Security (Subfield 2) must be present; both may be present (Error code(s): T17).

ISIN is used at the beginning of Identification of Security (Subfield 1) and must be composed of upper-case letters only (Error code(s): T12).

USAGE RULES

When used in Description of Security (Subfield 2), codes must start and end with a slash '/'.

When an ISIN identifier is not used, one of the following codes must be used as the first characters of the Description of Security (Subfield 2):

| | |
|---------|--|
| [/2!a/] | The ISO two-digit country code, followed by the national scheme number. |
| [/TS/] | Followed by the ticker symbol. |
| [/XX/] | Bilaterally agreed or proprietary scheme which may be further identified by a code or short description identifying the scheme used. |
| [/4!c/] | Code identifying the type of security identifier used. This code must be one published by ISO 20022 (ExternalFinancialInstrumentIdentificationTypeCode). |

MARKET PRACTICE RULES

When available, ISIN must be used.

USAGE GUIDELINES**Additional rule(s) for this field**

- **Comment:**

:35B:ISIN AU0000XQLQC8

19. Field 16R: Start of Block

FORMAT

Option R 16c

PRESENCE

Mandatory in optional subsequence B1

DEFINITION

This field specifies the start of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

FIA Financial Instrument Attributes

20. Field 94B: Place: Place of Listing

FORMAT

Option B :4!c/[8c]/4!c/[30x] (Qualifier)(Data Source Scheme)(Place Code)(Narrative)

PRESENCE

Optional in optional subsequence B1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | PLIS | N | | B | Place of Listing |

DEFINITION

This qualified generic field specifies:

PLIS Place of Listing Place where the referenced financial instrument is listed.

CODES

If Data Source Scheme is not present, Place Code must contain one of the following codes (Error code(s): K94):

| | | |
|------|------------------|---------------------------------------|
| EXCH | Stock Exchange | Place of listing is a stock exchange. |
| OTCO | Over The Counter | Place of listing is over the counter. |

NETWORK VALIDATED RULES

Narrative must not start or end with a slash '/' and must not contain two consecutive slashes '/' (Error code(s): T26).

USAGE RULES

Place of Listing is to be identified by its Market Identifier Code (MIC).

EXAMPLES

:94B::PLIS//EXCH/XNYS

21. Field 22F: Indicator**FORMAT**

Option F :4!c/[8c]/4!c (Qualifier)(Data Source Scheme)(Indicator)

PRESENCE

Optional in optional subsequence B1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|--|
| 1 | O | MICO | N | | F | Method of Interest Computation Indicator |
| 2 | O | FORM | N | | F | Form of Securities Indicator |
| 3 | O | PFRE | N | | F | Payment Frequency Indicator |
| 4 | O | PAYS | N | | F | Payment Status Indicator |
| 5 | O | CFRE | N | | F | Variable Rate Change Frequency Indicator |

DEFINITION

This qualified generic field specifies:

| | | |
|------|--|--|
| CFRE | Variable Rate Change Frequency Indicator | Specifies the frequency of change to the variable rate of an interest bearing instrument. |
| FORM | Form of Securities Indicator | Specifies the form of the financial instrument. |
| MICO | Method of Interest Computation Indicator | Specifies the computation method of (accrued) interest of the financial instrument. |
| PAYS | Payment Status Indicator | Specifies the status of the payment of a financial instrument at a particular time, as agreed with the issuer. |
| PFRE | Payment Frequency Indicator | Specifies the frequency of a payment. |

CODES

If Qualifier is MICO and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|---|---|
| A001 | 30/360 (ISDA) or 30/360 (American Basic Rule) | Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be a 28th (or 29th). It is the most commonly used 30/360 method for US straight and convertible bonds. |
| A002 | 30/365 | Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be a 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be a 28th (or 29th). |
| A003 | 30/Actual | Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be a 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be a 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year. |
| A004 | Actual/360 | Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year. |
| A005 | Actual/365 (Fixed) | Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year. |
| A006 | Actual/Actual (ICMA) | Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi interest period and the intermediate results are summed up. |
| A007 | 30E/360 or Eurobond basis | Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that a 31st is assumed to be a 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to a 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be a 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month. |
| A008 | Actual/Actual (ISDA) | Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall on a normal year, divided |

| | | |
|------|---|---|
| | | by 365, added to the actual number of days of the interest period that fall on a leap year, divided by 366. |
| A009 | Actual/365L or Actual/Actual (basic rule) | Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year). |
| A010 | Actual/Actual (AFB) | Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year sub-periods counting backwards from the end of the coupon period (a year backwards from a 28 Feb being 29 Feb, if it exists). The first of the sub-periods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each sub-period and the intermediate results are summed up. |
| A011 | 30/360 (ICMA) or 30/360 (basic rule) | Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be a 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be a 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 01/01/1999. |
| A012 | 30E2/360 or Eurobond basis model 2 | Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that a 31st is assumed to be a 30th and the 28th Feb of a non-leap year is assumed to be equivalent to a 29th Feb when the first day of the interest period is a 29th, or to a 30th Feb when the first day of the interest period is a 30th or a 31st. The 29th Feb of a leap year is assumed to be equivalent to a 30th Feb when the first day of the interest period is a 30th or a 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on a 30th Feb when the end of the period is a 30th or a 31st, or two days of interest in February when the end of the period is a 29th, or 3 days of interest in February when it is the 28th Feb of a non-leap year and the end of the period is before the 29th. |
| A013 | 30E3/360 or Eurobond basis model 3 | Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that a 31st is assumed to be a 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to a 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be a 30th, even if it is the last day of the maturity coupon period. |

| | | |
|------|------------------------------------|---|
| A014 | Actual/365NL or Actual/365 No Leap | Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year. |
| OTHR | Other | Other method than A001-A014. See Narrative. |

CODES

If Qualifier is FORM and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|---------------------|---|
| BEAR | Bearer Security | Financial instruments are in bearer form. |
| REGD | Registered Security | Financial instruments are in registered form. |

CODES

If Qualifier is PFRE and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|-------------|-----------------------------------|
| ANNU | Annual | Payment frequency is annual. |
| MNTH | Monthly | Payment frequency is monthly. |
| QUTR | Quarterly | Payment frequency is quarterly. |
| SEMI | Semi-Annual | Payment frequency is semi-annual. |
| WEEK | Weekly | Payment frequency is weekly. |

CODES

If Qualifier is PAYS and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|----------------|---|
| FULL | Fully Paid | Financial instrument is fully paid. |
| NILL | Nil Paid | Financial instrument is nil paid. |
| PART | Partially Paid | Financial instrument is partially paid. |

CODES

If Qualifier is CFRE and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|-------------|---------------------------|
| ANNU | Annual | Frequency is annual. |
| MNTH | Monthly | Frequency is monthly. |
| QUTR | Quarterly | Frequency is quarterly. |
| SEMI | Semi-Annual | Frequency is semi-annual. |
| WEEK | Weekly | Frequency is weekly. |

22. Field 12a: Type of Financial Instrument

FORMAT

| | | |
|----------|---------------|---|
| Option A | :4!c/[8c]/30x | (Qualifier)(Data Source Scheme)(Instrument Code or Description) |
| Option B | :4!c/[8c]/4!c | (Qualifier)(Data Source Scheme)(Instrument Type Code) |
| Option C | :4!c//6!c | (Qualifier)(CFI Code) |

PRESENCE

Optional in optional subsequence B1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | CLAS | N | | A, C | Classification Type |
| 2 | O | OPST | N | | B | Option Style |
| 3 | O | OPTI | N | | B | Option Type |

DEFINITION

This qualified generic field specifies:

| | | |
|------|---------------------|---|
| CLAS | Classification Type | Classification type of the financial instrument, for example, ISO Classification of Financial instrument (CFI). |
| OPST | Option Style | Specifies how an option can be exercised. |
| OPTI | Option Type | Specifies whether it is a call option or a put option. |

CODES

In option B, if Qualifier is OPST and Data Source Scheme is not present, Instrument Type Code must contain one of the following codes (Error code(s): K12):

| | | |
|------|----------------|---|
| AMER | American Style | Option can be exercised before or on expiry date. |
| EURO | European Style | Option can be exercised on expiry date only. |

CODES

In option B, if Qualifier is OPTI and Data Source Scheme is not present, Instrument Type Code must contain one of the following codes (Error code(s): K12):

| | | |
|------|-------------|--|
| CALL | Call Option | A right to buy a defined quantity of an asset by a certain date at an agreed price. |
| PUTO | Put Option | A right to sell a defined quantity of an asset by a certain date at an agreed price. |

USAGE RULES

When option C is used, CFI code must contain a valid ISO CFI code.

To use the codes associated with the ISITC code for security type, /ASCT/, Data Source Scheme must be ISIT and Instrument Code or Description must contain a valid ISITC security type code.

EXAMPLES

:12C::CLAS//ESVUFR

(CFI code for equity, common share, voting rights, unrestricted, fully paid and registered).

23. Field 11A: Currency: Currency of Denomination

FORMAT

Option A :4!c//3!a (Qualifier)(Currency Code)

PRESENCE

Optional in optional subsequence B1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|--------------------------|
| 1 | O | DENO | N | | A | Currency of Denomination |

DEFINITION

This qualified generic field specifies:

DENO Currency of Denomina- Currency in which a financial instrument is currently denominated.
tion

NETWORK VALIDATED RULES

Currency Code must be a valid ISO 4217 currency code (Error code(s): T52).

24. Field 98A: Date

FORMAT

Option A :4!c//8!n (Qualifier)(Date)

PRESENCE

Optional in optional subsequence B1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-------------------------------|
| 1 | O | COUP | N | | A | Coupon Date |
| 2 | O | EXPI | N | | A | Expiry Date |
| 3 | O | FRNR | N | | A | Floating Rate Note Reset Date |

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 4 | O | MATU | N | | A | Maturity Date |
| 5 | O | ISSU | N | | A | Issue Date |
| 6 | O | CALD | N | | A | Call Date |
| 7 | O | PUTT | N | | A | Put Date |
| 8 | O | DDTE | N | | A | Dated Date |
| 9 | O | FCOU | N | | A | First Coupon Date |

DEFINITION

This qualified generic field specifies:

| | | |
|------|-------------------------------|--|
| CALD | Call Date | Date on which a financial instrument is called away/redeemed before its scheduled maturity date. |
| COUP | Coupon Date | Next payment date of an interest bearing financial instrument. |
| DDTE | Dated Date | Date on which an interest bearing financial instrument begins to accrue interest. |
| EXPI | Expiry Date | Date on which an order expires or at which a privilege or offer terminates. |
| FCOU | First Coupon Date | First payment date of an interest bearing financial instrument. |
| FRNR | Floating Rate Note Reset Date | Date on which the interest rate of an interest bearing financial instrument will be/was calculated and reset, according to the terms of the issue. |
| ISSU | Issue Date | Date on which the financial instrument is issued. |
| MATU | Maturity Date | Date on which a financial instrument becomes due and assets are to be repaid. |
| PUTT | Put Date | Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date. |

NETWORK VALIDATED RULES

Date must be a valid date expressed as YYYYMMDD (Error code(s): T50).

25. Field 92A: Rate

FORMAT

Option A :4!c//[N]15d (Qualifier)(Sign)(Rate)

PRESENCE

Optional in optional subsequence B1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|------------------------|
| 1 | O | PRFC | N | | A | Previous Factor |
| 2 | O | CUFC | N | | A | Current Factor |
| 3 | O | NWFC | N | | A | Next Factor |
| 4 | O | INTR | N | | A | Interest Rate |
| 5 | O | NXRT | N | | A | Next Interest Rate |
| 6 | O | INDX | N | | A | Index Factor |
| 7 | O | YTMR | N | | A | Yield To Maturity Rate |

DEFINITION

This qualified generic field specifies:

| | | |
|------|------------------------|---|
| CUFC | Current Factor | Rate expressed as a decimal between 0 and 1 defining the outstanding principal of the financial instrument (for factored securities). |
| INDX | Index Factor | Public index rate used for inflation adjustment. |
| INTR | Interest Rate | Annual rate of a financial instrument. |
| NWFC | Next Factor | Rate expressed as a decimal between 0 and 1 that will be applicable as of the next factor date and defines the outstanding principal of the financial instrument (for factored securities). |
| NXRT | Next Interest Rate | Interest rate applicable to the next interest payment period in relation to variable rate instruments. |
| PRFC | Previous Factor | Rate expressed as a decimal between 0 and 1 that was applicable before the current factor and defines the outstanding principal of the financial instrument (for factored securities). |
| YTMR | Yield To Maturity Rate | Rate of return anticipated on a bond when held until maturity date. |

NETWORK VALIDATED RULES

The integer part of Rate must contain at least one digit. A decimal comma is mandatory and is included in the maximum length (Error code(s): T40, T43).

When Sign is present, Rate must not be zero (Error code(s): T14).

USAGE RULES

Sign must be present when Rate is negative.

Except if notified differently in the rate definition, when used with format option A (:4!c//[N]15d), the rate must be expressed as a percentage, not as a decimal fraction (12% must be identified by 12, and not 0,12).

26. Field 13a: Number Identification

FORMAT

| | | |
|----------|---------------|---|
| Option A | :4!c//3!c | (Qualifier)(Number Id) |
| Option B | :4!c/[8c]/30x | (Qualifier)(Data Source Scheme)(Number) |

PRESENCE

Optional in optional subsequence B1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | COUP | N | | A, B | Coupon Number |
| 2 | O | POOL | N | | B | Pool Number |

DEFINITION

This qualified generic field specifies:

| | | |
|------|---------------|--|
| COUP | Coupon Number | Number of the next coupon attached/associated with a security. |
| POOL | Pool Number | Number identifying a group of underlying assets assigned by the issuer of a factored security. |

NETWORK VALIDATED RULES

Number (Format Option B) must not start or end with a slash '/' and must not contain two consecutive slashes '/' (Error code(s): T26).

27. Field 17B: Flag**FORMAT**

Option B :4!c//1!a (Qualifier)(Flag)

PRESENCE

Optional in optional subsequence B1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-------------------------|
| 1 | O | FRNF | N | | B | Floating Rate Note Flag |
| 2 | O | CALL | N | | B | Callable Flag |
| 3 | O | PUTT | N | | B | Puttable Flag |

DEFINITION

This qualified generic field specifies:

| | | |
|------|-------------------------|---|
| CALL | Callable Flag | Indicates whether the issuer has the right to repay the financial instrument prior to maturity. |
| FRNF | Floating Rate Note Flag | Indicates whether the interest rate of an interest bearing instrument is reset periodically. |

| | | |
|------|--------------|---|
| PUTT | Putable Flag | Indicates whether the holder has the right to ask for redemption of the financial instrument prior to final maturity. |
|------|--------------|---|

CODES

Flag must contain one of the following codes (Error code(s): K17):

| | | |
|---|-----|------|
| N | No | No. |
| Y | Yes | Yes. |

28. Field 90a: Price**FORMAT**

| | | |
|----------|------------------|---|
| Option A | :4!c//4!c/15d | (Qualifier)(Percentage Type Code)(Price) |
| Option B | :4!c//4!c/3!a15d | (Qualifier)(Amount Type Code)(Currency Code)(Price) |

PRESENCE

Optional in optional subsequence B1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | INDC | N | | A, B | Indicative Price |
| | or | MRKT | N | | A, B | Market Price |
| 2 | O | EXER | N | | A, B | Exercise Price |

DEFINITION

This qualified generic field specifies:

| | | |
|------|------------------|--|
| EXER | Exercise Price | <ol style="list-style-type: none"> Price at which the security will be purchased/sold if warrant is exercised, represented either as an actual amount (option B) or a percentage (option A). Price at which a bond is converted, represented either as an actual amount (option B) or a percentage (option A). Strike price of an option, represented either as an actual amount (option B) or a percentage (option A). |
| INDC | Indicative Price | Estimated price, for example, for valuation purposes. |
| MRKT | Market Price | Last reported/known price of a financial instrument in a market. |

CODES

In option A, Percentage Type Code must contain one of the following codes (Error code(s): K90):

| | | |
|------|------------|---|
| DISC | Discount | Price expressed as the number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100. |
| PRCT | Percentage | Price expressed as a percentage of par. |
| PREM | Premium | Price expressed as the number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100. |
| YIEL | Yield | Price expressed as a yield. |

CODES

In option B, Amount Type Code must contain one of the following codes (Error code(s): K90):

| | | |
|------|---------------|---|
| ACTU | Actual Amount | Price expressed as an amount of currency per unit or per share. |
| DISC | Discount | Price expressed as a discount amount. |
| PREM | Premium | Price expressed as a premium. |

NETWORK VALIDATED RULES

The integer part of Price must contain at least one digit. A decimal comma is mandatory and is included in the maximum length (Error code(s): T40, T43).

Currency Code must be a valid ISO 4217 currency code (Error code(s): T52).

USAGE RULES

The number of decimal digits in Price is not validated against the currency specified.

29. Field 36B: Quantity of Financial Instrument**FORMAT**

Option B :4!c//4!c/15d (Qualifier)(Quantity Type Code)(Quantity)

PRESENCE

Optional in optional subsequence B1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|--|
| 1 | O | MINO | N | | B | Minimum Nominal Quantity (Minimum Nominal Value) |
| 2 | O | SIZE | N | | B | Contract Size |

DEFINITION

This qualified generic field specifies:

| | | |
|------|--|---|
| MINO | Minimum Nominal Quantity (Minimum Nominal Value) | Minimum nominal quantity of financial instrument that must be purchased/sold. |
|------|--|---|

| | | |
|------|---------------|--|
| SIZE | Contract Size | Ratio or multiplying factor used to convert one contract into a financial instrument quantity. |
|------|---------------|--|

CODES

Quantity Type Code must contain one of the following codes (Error code(s): K36):

| | | |
|------|-----------------|--|
| AMOR | Amortised Value | Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount. |
| FAMT | Face Amount | Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument. |
| UNIT | Unit Number | Quantity expressed as a number, for example, a number of shares. |

NETWORK VALIDATED RULES

The integer part of Quantity must contain at least one digit. A decimal comma is mandatory and is included in the maximum length (Error code(s): T40, T43).

30. Field 35B: Identification of the Financial Instrument**FORMAT**

| | | |
|----------|--------------|------------------------------|
| Option B | [ISIN!e12!c] | (Identification of Security) |
| | [4*35x] | (Description of Security) |

PRESENCE

Optional in optional subsequence B1

DEFINITION

This field identifies the underlying financial instrument.

NETWORK VALIDATED RULES

At least Identification of a Security (Subfield 1) or Description of Security (Subfield 2) must be present; both may be present (Error code(s): T17).

ISIN is used at the beginning of Identification of Security (Subfield 1) and must be composed of upper-case letters only (Error code(s): T12).

USAGE RULES

The financial Instrument field 35B in the Financial Instrument Attributes subsequence must only be used for the identification of the underlying instrument(s) of a derivative. It must not be used, for example, for the listing of the underlying securities of an index.

When used in Description of Security (Subfield 2), codes must start and end with a slash '/'.

When an ISIN identifier is not used, one of the following codes must be used as the first characters of the Description of Security (Subfield 2):

| | |
|---------|---|
| [/2!a/] | The ISO two-digit country code, followed by the national scheme number. |
| [/TS/] | Followed by the ticker symbol. |

| | |
|---------|--|
| [/XX/] | Bilaterally agreed or proprietary scheme which may be further identified by a code or short description identifying the scheme used. |
| [/4!c/] | Code identifying the type of security identifier used. This code must be one published by ISO 20022 (ExternalFinancialInstrumentIdentificationTypeCode). |

MARKET PRACTICE RULES

When available, ISIN must be used.

EXAMPLES

An ISIN will be identified as follows:

:35B:ISIN US0123456704

A SEDOL will be identified as follows:

:35B:/GB/0123456

A CUSIP will be identified as follows:

:35B:/US/012345670

A proprietary scheme will be identified as follows:

:35B:/XX/SCHEMEUSED 0123456700

31. Field 70E: Narrative: Financial Instrument Attribute Narrative

FORMAT

Option E :4!c//10*35x (Qualifier)(Narrative)

PRESENCE

Optional in optional subsequence B1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|--|
| 1 | O | FIAN | N | | E | Financial Instrument Attribute Narrative |

DEFINITION

This qualified generic field specifies:

| | | |
|------|--|---|
| FIAN | Financial Instrument Attribute Narrative | Provides additional information about the financial instrument in narrative form. |
|------|--|---|

USAGE RULES

Unless bilaterally agreed between the Sender and Receiver, narrative field 70a must not contain information that can be provided in a structured field.

32. Field 16S: End of Block

FORMAT

Option S 16c

PRESENCE

Mandatory in optional subsequence B1

DEFINITION

This field specifies the end of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

FIA Financial Instrument Attributes

33. Field 22F: Indicator

FORMAT

Option F :4!c/[8c]/4!c (Qualifier)(Data Source Scheme)(Indicator)

PRESENCE

Optional in mandatory sequence B

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|---------------------------------------|
| 1 | O | PROC | N | | F | Processing Indicator |
| 2 | O | RPOR | N | | F | Reporting Indicator |
| 3 | O | PRIR | N | | F | Priority Indicator |
| 4 | O | BORR | N | | F | Automatic Borrowing Indicator |
| 5 | O | TTCO | N | | F | Trade Transaction Condition Indicator |
| 6 | O | INCA | N | | F | Investor Capacity Indicator |
| 7 | O | TRCA | N | | F | Party Capacity Indicator |
| 8 | O | PRIC | N | | F | Type of Price Indicator |

DEFINITION

Usage Guideline restrictions for this field

- This field will be ignored by the Receiver.

This qualified generic field specifies:

| | | |
|------|---------------------------------------|---|
| BORR | Automatic Borrowing Indicator | Specifies the condition for automatic borrowing. |
| INCA | Investor Capacity Indicator | Role of the investor in the transaction. |
| PRIC | Type of Price Indicator | Specifies the type of transaction price. |
| PRIR | Priority Indicator | Specifies the execution priority of the instruction. |
| PROC | Processing Indicator | Specifies additional information relative to the processing of the trade. |
| RPOR | Reporting Indicator | Indicates information with regards to reporting. |
| TRCA | Party Capacity Indicator | Specifies the role of the party in the transaction. |
| TTCO | Trade Transaction Condition Indicator | Specifies the conditions under which the order/trade was executed. |

CODES

If Qualifier is PROC and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|----------------|-------------------------------|
| CLOP | Close Position | Trade is to close a position. |
| OPEP | Open Position | Trade is to open a position. |

CODES

If Qualifier is RPOR and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|------------------------|--|
| DEFR | Deferred Report | The report is deferred, for example, because the order was executed in partial fills. |
| EXCH | Stock Exchange | Trade details are to be reported to a stock exchange. This stock exchange is identified in the Other Parties sequence. |
| TRRE | Trade Details Reported | Trade details are to be reported to a regulatory organisation. This regulatory organisation is identified in the Other Parties sequence. |

CODES

If Qualifier is BORR and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|------------------------|---|
| LAMI | Last Resort Borrowing | Only last resort borrowing should be considered to make settlement occur. |
| NBOR | No Automatic Borrowing | No automatic borrowing should take place. |
| YBOR | Automatic Borrowing | Automatic borrowing should take place to effect settlement in case of insufficient financial instruments. |

CODES

If Qualifier is TTCO and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|---------------------------|---|
| BCBL | Board Lots | Trade was executed on board lots; not set for odd lots (UK specific). |
| BCBN | Bad Names | Trade was executed on bad names, not set for good names (UK specific). |
| BCFD | Form of Delivery | Not for foreign registration when unset (UK specific). |
| BCPD | Place of Delivery | In country of incorporation when unset (UK specific). |
| BCRO | Result of Option When Set | Result of option when set (UK specific). |
| BCRP | Result of Repo When Set | Result of repo when set (UK specific). |
| CBNS | Cum Bonus | Trade was executed cum bonus. |
| CCPN | Cum Coupon | Trade was executed cum coupon. |
| CDIV | Cum Dividend | Trade was executed cum dividend. |
| CRTS | Cum Rights | Trade was executed cum rights. |
| CWAR | Cum Warrants | Trade was executed cum warrants. |
| GTDL | Guaranteed Delivery | Delivery of the financial instrument on settlement date is guaranteed. |
| MAPR | Market Price | The trade was executed at market price. |
| NEGO | Negotiated Trade | A negotiated trade is a trade for which the price is not the one quoted but an improved one that is, negotiated price. |
| NMPR | Non-Market Price | The trade was executed outside of normal market conditions (for example, in the case of an iceberg order). |
| SPCU | Special Cum Dividend | Trade was executed with a special cum dividend, that is, buying after the ex date and getting the dividend. |
| SPEX | Special Ex Dividend | Trade was executed with a special ex dividend, that is, selling before the ex date without the coupon. |
| XBNS | Ex Bonus | Trade was executed ex bonus. |
| XCPN | Ex Coupon | Trade was executed ex coupon. |
| XDIV | Ex Dividend | Trade was executed ex dividend. |
| XRTS | Ex Rights | Trade was executed ex rights. |
| XWAR | Ex Warrants | Trade was executed ex warrants. |

CODES

If Qualifier is INCA and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|-----------------------|--|
| ELIG | Eligible Counterparty | MiFID classification of counterparty. Eligible customers are the most sophisticated level of investor, able to opt out of some of the protections afforded by conduct of business rules defined under MiFID. |
| PROF | Professional Client | MiFID classification of counterparty. Professional customers are, for example, investment firms, credit institutions, insurance companies. |

| | | |
|------|---------------|---|
| RETL | Retail Client | MiFID classification of counterparty. Retail customers are the least sophisticated level of investor. |
|------|---------------|---|

CODES

If Qualifier is TRCA and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|--------------------------------|---|
| INFI | Investment Firm | Any legal person whose regular occupation or business is the provision of one or more investment services to third parties and/or the performance of one or more investment activities on a professional basis. |
| MKTM | Market Maker | Dealer or specialist that is trading for their own account in the OTC market. Market makers are expected to maintain an orderly market by being available to buy or sell. |
| MLTF | Multi-Lateral Trading Facility | Multilateral trading facility (MTF) is a multilateral system which brings together multiple third-party buying and selling interests in financial instruments in a way that results in a contract. |
| RMKT | Regulated Market | A regulated market is a market on which financial instruments can be traded according to rules defined by the stock exchange. |
| SINT | Systematic Internaliser | Firms which, on an organised, frequent and systematic basis, deal on their own account by executing client orders outside a regulated market or an MTF. SIs have the obligation to provide, and make public, a definite bid and offer quote for liquid securities. |
| TAGT | Transfer Agent | The party appointed by the Fund Management Company. It updates records of investor accounts to reflect the daily investor purchases, redemptions, switches, transfers, and re-registrations. It ensures the timely settlement of transactions, and may provide tax information to the investor and/or to its intermediaries. It may calculate, collect, and rebate commissions. It prepares and distributes confirmations reflecting transactions, resulting in unit or cash account movements to the investor or the investor's intermediary. It responds to inquiries concerning account status, and processes the income distribution. |

CODES

If Qualifier is PRIC and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|---------------|----------------------------|
| AVER | Average Price | Price is an average price. |
|------|---------------|----------------------------|

CODES

If Qualifier is PRIR and Data Source Scheme is not present, Indicator must contain a numerical value in the range 0001 - 9999 where 0001 refers to the highest priority .

USAGE RULES

For Qualifier INCA, the use of the codes needs to be bi-laterally agreed.

34. Field 11A: Currency: Currency to Sell

FORMAT

Option A :4!c//3!a (Qualifier)(Currency Code)

PRESENCE

Optional in mandatory sequence B

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | FXIS | N | | A | Currency to Sell |

DEFINITION

Usage Guideline restrictions for this field

- This field will be ignored by the Receiver.

This qualified generic field specifies:

| | | |
|------|------------------|--|
| FXIS | Currency to Sell | Account servicer is instructed to sell the indicated currency in order to obtain the necessary currency to fund the transaction within this instruction message. |
|------|------------------|--|

NETWORK VALIDATED RULES

Currency Code must be a valid ISO 4217 currency code (Error code(s): T52).

35. Field 25D: Status

FORMAT

Option D :4!c/[8c]/4!c (Qualifier)(Data Source Scheme)(Status Code)

PRESENCE

Optional in mandatory sequence B

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | MTCH | N | | D | Matching Status |
| 2 | O | AFFM | N | | D | Affirmation Status |

DEFINITION**Usage Guideline restrictions for this field**

- **This field will be ignored by the Receiver.**

This qualified generic field specifies:

| | | |
|------|--------------------|---|
| AFFM | Affirmation Status | Provides the affirmation status of the confirmation at the time the settlement instruction was sent. ETC - Electronic Trade Confirmation-status or status reported between the trading parties prior to the sending of settlement instructions. |
| MTCH | Matching Status | Provides the matching status of the instruction at the time the settlement instruction was sent. ETC - Electronic Trade Confirmation - status or status reported between the trading parties prior to the sending of settlement instructions. |

CODES

If Qualifier is MTCH and Data Source Scheme is not present, Status Code must contain one of the following codes (Error code(s): K25):

| | | |
|------|-----------|-----------------------------------|
| MACH | Matched | Instruction has been matched. |
| NMAT | Unmatched | Instruction has not been matched. |

CODES

If Qualifier is AFFM and Data Source Scheme is not present, Status Code must contain one of the following codes (Error code(s): K25):

| | | |
|------|------------|--|
| AFFI | Affirmed | Status of the transaction is affirmed. |
| NAFI | Unaffirmed | Status of the transaction is unaffirmed. |

36. Field 70E: Narrative**FORMAT**

Option E :4!c//10*35x (Qualifier)(Narrative)

PRESENCE

Optional in mandatory sequence B

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|---|
| 1 | O | FXIN | N | | E | FX Instructions Narrative |
| 2 | O | SPRO | N | | E | Settlement Instruction Processing Narrative |

DEFINITION**Usage Guideline restrictions for this field**

- **This field will be ignored by the Receiver.**

This qualified generic field specifies:

| | | |
|------|---|--|
| FXIN | FX Instructions Narrative | Provides additional details pertaining to foreign exchange instructions. |
| SPRO | Settlement Instruction Processing Narrative | Provides additional settlement processing information which can not be included within the structured fields of the message. |

USAGE RULES

Unless bilaterally agreed between the Sender and Receiver, narrative field 70a must not contain information that can be provided in a structured field.

37. Field 16S: End of Block

FORMAT

Option S 16c

PRESENCE

Mandatory in mandatory sequence B

DEFINITION

This field specifies the end of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

TRADDET Trade Details

38. Field 16R: Start of Block

FORMAT

Option R 16c

PRESENCE

Mandatory in mandatory sequence C

DEFINITION

This field specifies the start of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

FIAC

Financial Instrument/Account

39. Field 36B: Quantity of Financial Instrument: Quantity of Financial Instrument to be Settled

FORMAT

Option B :4!c//4!c/15d (Qualifier)(Quantity Type Code)(Quantity)

PRESENCE

Mandatory in mandatory sequence C

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|-----|---------|--|
| 1 | M | SETT | N | C14 | B | Quantity of Financial Instrument to be Settled |

DEFINITION

This qualified generic field specifies:

| | | |
|------|--|---|
| SETT | Quantity of Financial Instrument to be Settled | Quantity of financial instrument to be settled. |
|------|--|---|

CODES

Quantity Type Code must contain one of the following codes (Error code(s): K36):

| | | |
|------|-------------|---|
| FAMT | Face Amount | Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument. |
| UNIT | Unit Number | Quantity expressed as a number, for example, a number of shares. |

NETWORK VALIDATED RULES

The integer part of Quantity must contain at least one digit. A decimal comma is mandatory and is included in the maximum length (Error code(s): T40, T43).

USAGE GUIDELINES

Additional rule(s) for this field

- **Comment:**

```
:36B::SETT//FAMT/6500000,00 or
SETT//UNIT/6500000,00
The ASX will accept both FAMT and UNIT
```

40. Field 70D: Narrative: Denomination Choice

FORMAT

Option D :4!c/6*35x (Qualifier)(Narrative)

PRESENCE

Optional in mandatory sequence C

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | DENC | N | | D | Denomination Choice |

DEFINITION

Usage Guideline restrictions for this field

- This field will be ignored by the Receiver.

This qualified generic field specifies:

DENC Denomination Choice Provides the denominations to be delivered/received.

USAGE RULES

Unless bilaterally agreed between the Sender and Receiver, narrative field 70a must not contain information that can be provided in a structured field.

41. Field 13B: Number Identification: Certificate Number

FORMAT

Option B :4!c/[8c]/30x (Qualifier)(Data Source Scheme)(Number)

PRESENCE

Optional in mandatory sequence C

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | CERT | N | | B | Certificate Number |

DEFINITION**Usage Guideline restrictions for this field**

- **This field will be ignored by the Receiver.**

This qualified generic field specifies:

| | | |
|------|--------------------|---|
| CERT | Certificate Number | Unique and unambiguous number of a financial instrument certificate or other document of title. |
|------|--------------------|---|

NETWORK VALIDATED RULES

Number (Format Option B) must not start or end with a slash '/' and must not contain two consecutive slashes '/' (Error code(s): T26).

USAGE RULES

Data Source Scheme must not be used when Number is ISO 8532, Securities - Format for transmission of certificate numbers.

42. Field 95a: Party: Account Owner**FORMAT**

| | | |
|----------|----------------------|---|
| Option P | :4!c//4!a2!a2!c[3!c] | (Qualifier)(Identifier Code) |
| Option R | :4!c/8c/34x | (Qualifier)(Data Source Scheme)(Proprietary Code) |

PRESENCE

Optional in mandatory sequence C

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | ACOW | N | | P, R | Account Owner |

DEFINITION**Usage Guideline restrictions for this field**

- **This field will be ignored by the Receiver.**

This qualified generic field specifies:

| | | |
|------|---------------|------------------------------|
| ACOW | Account Owner | Party that owns the account. |
|------|---------------|------------------------------|

In option P, Identifier Code specifies a BIC. For more details, see the *Standards MT General Information*.

In option R, Proprietary Code specifies a local national code or market segment code identifying the party.

NETWORK VALIDATED RULES

Identifier Code must be a registered BIC (Error code(s): T27, T28, T29, T45).

Proprietary code (Format Option R) must not start or end with a slash '/' and must not contain two consecutive slashes '/' (Error code(s): T26).

43. Field 97a: Account: Safekeeping Account**FORMAT**

| | | |
|----------|-------------------|--|
| Option A | :4!c//35x | (Qualifier)(Account Number) |
| Option B | :4!c/[8c]/4!c/35x | (Qualifier)(Data Source Scheme)(Account Type Code) (Account Number) |

PRESENCE

Mandatory in mandatory sequence C

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | M | SAFE | N | | A, B | Safekeeping Account |

DEFINITION

This qualified generic field specifies:

SAFE Safekeeping Account Account where financial instruments are maintained.

In option B, Account Type Code specifies the type of account needed to fully identify the account.

CODES

In option B, the Data Source Scheme must be present and Account Type Code must contain the type of account as defined by the party identified in the Data Source Scheme.

USAGE GUIDELINES**Additional rule(s) for this field**

- Comment:**

If Option A is included with a valid ACLR mnemonic that has relevant relationships in place, the transaction will be entered for that mnemonic.

:97A::SAFE//ABCD20

All other usage will result in the transaction being entered for the default ACLR Branch attached to the BIC.

44. Field 94a: Place: Place of Safekeeping**FORMAT**

| | | |
|----------|--------------------|--|
| Option B | :4!c/[8c]/4!c/30x] | (Qualifier)(Data Source Scheme)(Place Code)(Narrative) |
|----------|--------------------|--|

Option C :4!c//2!a (Qualifier)(Country Code)
 Option F :4!c//4!c/4!a2!a2!c[3!c] (Qualifier)(Place Code)(Identifier Code)

PRESENCE

Optional in mandatory sequence C

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | SAFE | N | | B, C, F | Place of Safekeeping |

DEFINITION

Usage Guideline restrictions for this field

- This field will be ignored by the Receiver.

This qualified generic field specifies:

SAFE Place of Safekeeping Location where the financial instruments are/will be safekept.

CODES

In option B, , if Data Source Scheme is not present, Place Code must contain one of the following codes (Error code(s): K94):

SHHE Shares Held Else-where Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

CODES

In option F, Place Code must contain one of the following codes (Error code(s): K94):

| | | |
|------|---|--|
| CUST | Local Custodian | Financial instruments held at a local custodian. |
| ICSD | International Central Securities Depository | Financial instruments held at an International Central Securities Depository. |
| NCSD | National Central Securities Depository | Financial instruments held at a National Central Securities Depository. |
| SHHE | Shares Held Else-where | Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities. |

NETWORK VALIDATED RULES

Country Code must be a valid ISO country code (Error code(s): T73).

Identifier Code must be a registered BIC (Error code(s): T27, T28, T29, T45).

Narrative (Format Option B) must not start or end with a slash '/' and must not contain two consecutive slashes '/' (Error code(s): T26).

USAGE RULES

The Place of Safekeeping functionality in the settlement instructions is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

MARKET PRACTICE RULES

When used (see usage rule), unless special arrangements exist between the account servicer and the account owner, it is recommended to use the place of safekeeping field following the market practice described below:

- :94F::SAFE//NCSD: an NCSD's BIC will be used for domestic and NCSD to NCSD settlement instructions.
- :94F::SAFE//ICSD: an ICSD's BIC will be used for ICSD internal, ICSD/local and ICSD to ICSD settlement instructions.
- :94F::SAFE//CUST: applicable, for instance, when the account servicer is a global custodian and provides the account owner with a position reporting at global custodian's agents' level. When instructing, the fund manager would therefore mention, as a place of safekeeping, the BIC of the global custodian's agent on the market.

Absence of place of safekeeping means that:

- The place of safekeeping info is kept by the account servicer (SLA, account structure, ETC.) and should not be provided by the account owner.
- The place of safekeeping is the counterparty's place of settlement field 95P::PSET//. The transaction is therefore domestic (to an NCSD) or internal to an ICSD.

For more details, see the relevant market practice document on www.smpg.info.

45. Field 16R: Start of Block

FORMAT

Option R 16c

PRESENCE

Mandatory in optional subsequence C1

DEFINITION

This field specifies the start of a block and the name of that block.

CODES

This field must contain the following code (Error code(s) : T92):

BREAK Quantity Breakdown

46. Field 13B: Number Identification: Lot Number

FORMAT

Option B :4!c/[8c]/30x (Qualifier)(Data Source Scheme)(Number)

PRESENCE

Optional in optional subsequence C1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | LOTS | N | | B | Lot Number |

DEFINITION

This qualified generic field specifies:

LOTS Lot Number Number identifying a lot constituting the financial instrument.

NETWORK VALIDATED RULES

Number (Format Option B) must not start or end with a slash '/' and must not contain two consecutive slashes '/' (Error code(s): T26).

47. Field 36B: Quantity of Financial Instrument: Quantity of Financial Instrument In The Lot

FORMAT

Option B :4!c//4!c/15d (Qualifier)(Quantity Type Code)(Quantity)

PRESENCE

Optional in optional subsequence C1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|---|
| 1 | O | LOTS | N | | B | Quantity of Financial Instrument In The Lot |

DEFINITION

This qualified generic field specifies:

LOTS Quantity of Financial Instrument In The Lot Quantity of financial instruments that is part of the lot described.

CODES

Quantity Type Code must contain one of the following codes (Error code(s): K36):

| | | |
|------|-----------------|--|
| AMOR | Amortised Value | Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount. |
| FAMT | Face Amount | Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument. |

UNIT Unit Number Quantity expressed as a number, for example, a number of shares.

NETWORK VALIDATED RULES

The integer part of Quantity must contain at least one digit. A decimal comma is mandatory and is included in the maximum length (Error code(s): T40, T43).

USAGE RULES

The total of the lots provided in the message must add up to the quantity to be settled (:36B::SETT).

48. Field 98a: Date/Time: Lot Date/Time

FORMAT

Option A :4!c//8!n (Qualifier)(Date)
 Option C :4!c//8!n6!n (Qualifier)(Date)(Time)
 Option E :4!c//8!n6!n[,3n][/[N]2!n[2!n]] (Qualifier)(Date)(Time)(Decimals)(UTC Indicator)

PRESENCE

Optional in optional subsequence C1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | LOTS | N | | A, C, E | Lot Date/Time |

DEFINITION

This qualified generic field specifies:

LOTS Lot Date/Time Date/time at which the lot was purchased.

NETWORK VALIDATED RULES

Date must be a valid date expressed as YYYYMMDD (Error code(s): T50).

Time must be a valid time expressed as HHMMSS (Error code(s): T38).

UTC Indicator must be a valid time expressed as HH[MM] (Error code(s): T39).

Sign must not be used when UTC Indicator is equal to all zeroes (Error code(s): T14).

EXAMPLES

Examples of format option E usage:

- Lot date/time is the 23rd of October 2006, at 12:35 and 48 seconds, 2 tenths of a second
 :98E::LOTS//20061023123548,2
- Lot date/time is the 23rd of October 2006, at 12:35 and 48 seconds, 25 hundreds of a second, UTC time +2
 :98E::LOTS//20061023123548,25/02

- Lot date/time is the 23rd of October 2006, at 12:35 and 48 seconds, UTC time - 4
:98E::LOTS//20061023123548/N04
- Lot date/time is the 23rd of October 2006, at 12:35 and 48 seconds, UTC time - 2h30
:98E::LOTS//20061023123548/N0230

49. Field 90a: Price: Book/Lot Price

FORMAT

Option A :4!c//4!c/15d (Qualifier)(Percentage Type Code)(Price)
 Option B :4!c//4!c/3!a15d (Qualifier)(Amount Type Code)(Currency Code)(Price)

PRESENCE

Optional in optional subsequence C1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | LOTS | N | | A, B | Book/Lot Price |

DEFINITION

This qualified generic field specifies:

LOTS Book/Lot Price Price at which the lot was purchased.

CODES

In option A, Percentage Type Code must contain one of the following codes (Error code(s): K90):

| | | |
|------|------------|---|
| DISC | Discount | Price expressed as the number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100. |
| PRCT | Percentage | Price expressed as a percentage of par. |
| PREM | Premium | Price expressed as the number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100. |
| YIEL | Yield | Price expressed as a yield. |

CODES

In option B, Amount Type Code must contain one of the following codes (Error code(s): K90):

| | | |
|------|---------------|---|
| ACTU | Actual Amount | Price expressed as an amount of currency per unit or per share. |
| DISC | Discount | Price expressed as a discount amount. |
| PREM | Premium | Price expressed as a premium. |

NETWORK VALIDATED RULES

Currency Code must be a valid ISO 4217 currency code (Error code(s): T52).

The integer part of Price must contain at least one digit. A decimal comma is mandatory and is included in the maximum length. (Error code(s): T40, T43).

USAGE RULES

The number of decimal digits in Price is not validated against the currency specified.

50. Field 22F: Indicator: Type of Price Indicator**FORMAT**

Option F :4!c/[8c]/4!c (Qualifier)(Data Source Scheme)(Indicator)

PRESENCE

Optional in optional subsequence C1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-------------------------|
| 1 | O | PRIC | N | | F | Type of Price Indicator |

DEFINITION

This qualified generic field specifies:

PRIC Type of Price Indicator Specifies the type of transaction price.

CODES

If Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

AVER Average Price Price is an average price.

51. Field 16S: End of Block**FORMAT**

Option S 16c

PRESENCE

Mandatory in optional subsequence C1

DEFINITION

This field specifies the end of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

BREAK Quantity Breakdown

52. Field 16S: End of Block

FORMAT

Option S 16c

PRESENCE

Mandatory in mandatory sequence C

DEFINITION

This field specifies the end of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

FIAC Financial Instrument/Account

53. Field 16R: Start of Block

FORMAT

Option R 16c

PRESENCE

Mandatory in optional sequence D

DEFINITION

This field specifies the start of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

REPO Two Leg Transaction Details

54. Field 98a: Date/Time: Closing Date/Time

FORMAT

| | | |
|----------|---------------|--|
| Option A | :4!c//8!n | (Qualifier)(Date) |
| Option B | :4!c/[8c]/4!c | (Qualifier)(Data Source Scheme)(Date Code) |
| Option C | :4!c//8!n6!n | (Qualifier)(Date)(Time) |

PRESENCE

Optional in optional sequence D

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | TERM | N | | A, B, C | Closing Date/Time |

DEFINITION

This qualified generic field specifies:

TERM Closing Date/Time Closing date/time or maturity date/time of the transaction.

CODES

In option B, , if Data Source Scheme is not present, Date Code must contain one of the following codes (Error code(s): K98):

OPEN Open-Dated Date/time has not been established.

NETWORK VALIDATED RULES

Date must be a valid date expressed as YYYYMMDD (Error code(s): T50).

Time must be a valid time expressed as HHMMSS (Error code(s): T38).

USAGE GUIDELINES**Additional rule(s) for this field**

- Comment:**

This field will denote type of REPO.
 ASX will accept option A
 Eg. TERM//YYYYMMDD (fixed date REPO)
 Or option B
 Eg. TERM//OPEN (at call REPO)
 Or option C
 Eg. TERM//YYYYMMDDHHMMSS (fixed date REPO).
 In the case of option C, the Time will be ignored.

55. Field 22F: Indicator**FORMAT**

Option F :4!c/[8c]/4!c (Qualifier)(Data Source Scheme)(Indicator)

PRESENCE

Optional in optional sequence D

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | RERT | N | | F | Rate Type |

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|--|
| 2 | O | MICO | N | | F | Method of Interest Computation Indicator |
| 3 | O | REVA | N | | F | Revaluation Indicator |
| 4 | O | LEGA | N | | F | Legal Framework Indicator |
| 5 | O | OMAT | N | | F | Maturity Date Modification Indicator |
| 6 | O | INTR | N | | F | Interest Payment Indicator |

DEFINITION

Usage Guideline restrictions for this field

- This field will be ignored by the Receiver.

This qualified generic field specifies:

| | | |
|------|--|---|
| INTR | Interest Payment Indicator | Specifies whether the interest is to be paid to the collateral giver or taker. |
| LEGA | Legal Framework Indicator | Legal framework of the transaction. |
| MICO | Method of Interest Computation Indicator | Specifies the computation method of (accrued) interest of the financial instrument. |
| OMAT | Maturity Date Modification Indicator | Specifies whether the maturity date of the securities financing transaction may be modified. |
| RERT | Rate Type | Specifies whether the rate is fixed or variable. |
| REVA | Revaluation Indicator | Specifies whether the collateral position should be subject to automatic revaluation by the account servicer. |

CODES

If Qualifier is RERT and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|----------|--|
| FIXE | Fixed | Rate is fixed. |
| FORF | Forfeit | No specific rate applies to the transaction, only a forfeit. |
| VARI | Variable | Rate is variable. |

CODES

If Qualifier is MICO and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|---|---|
| A001 | 30/360 (ISDA) or 30/360 (American Basic Rule) | Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be a 28th (or 29th). It is the |
|------|---|---|

| | | |
|------|---|---|
| | | most commonly used 30/360 method for US straight and convertible bonds. |
| A002 | 30/365 | Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be a 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be a 28th (or 29th). |
| A003 | 30/Actual | Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be a 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be a 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year. |
| A004 | Actual/360 | Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year. |
| A005 | Actual/365 (Fixed) | Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year. |
| A006 | Actual/Actual (ICMA) | Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi interest period and the intermediate results are summed up. |
| A007 | 30E/360 or Eurobond basis | Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that a 31st is assumed to be a 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to a 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be a 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month. |
| A008 | Actual/Actual (ISDA) | Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall on a normal year, divided by 365, added to the actual number of days of the interest period that fall on a leap year, divided by 366. |
| A009 | Actual/365L or Actual/Actual (basic rule) | Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year). |
| A010 | Actual/Actual (AFB) | Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon peri- |

| | | |
|------|--------------------------------------|---|
| | | od) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year sub-periods counting backwards from the end of the coupon period (a year backwards from a 28 Feb being 29 Feb, if it exists). The first of the sub-periods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each sub-period and the intermediate results are summed up. |
| A011 | 30/360 (ICMA) or 30/360 (basic rule) | Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be a 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be a 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 01/01/1999. |
| A012 | 30E2/360 or Eurobond basis model 2 | Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that a 31st is assumed to be a 30th and the 28th Feb of a non-leap year is assumed to be equivalent to a 29th Feb when the first day of the interest period is a 29th, or to a 30th Feb when the first day of the interest period is a 30th or a 31st. The 29th Feb of a leap year is assumed to be equivalent to a 30th Feb when the first day of the interest period is a 30th or a 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on a 30th Feb when the end of the period is a 30th or a 31st, or two days of interest in February when the end of the period is a 29th, or 3 days of interest in February when it is the 28th Feb of a non-leap year and the end of the period is before the 29th. |
| A013 | 30E3/360 or Eurobond basis model 3 | Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that a 31st is assumed to be a 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to a 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be a 30th, even if it is the last day of the maturity coupon period. |
| A014 | Actual/365NL or Actual/365 No Leap | Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year. |
| OTHR | Other | Other method than A001-A014. See Narrative. |

CODES

If Qualifier is REVA and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s) : K22):

| | | |
|------|-------------|---|
| REVV | Revaluation | Revaluation of collateral position should be performed. |
|------|-------------|---|

| | | |
|------|----------------|--|
| REVN | No Revaluation | No revaluation of collateral position should be performed. |
|------|----------------|--|

CODES

If Qualifier is LEGA and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|----------------|--|
| FRAN | Pension Livrée | Relates to the French legal framework for repos, that is, relates to a "Pension Livrée". |
|------|----------------|--|

CODES

If Qualifier is OMAT and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|-------------|--|
| MATA | Allowed | Maturity date modification is allowed. |
| MATN | Not Allowed | Maturity date modification is not allowed. |

CODES

If Qualifier is INTR and Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|------------------|---|
| GIVE | Collateral Giver | Interest is paid to the collateral giver. |
| TAKE | Collateral Taker | Interest is paid to the collateral taker. |

56. Field 20C: Reference: Second Leg Reference

FORMAT

| | | |
|----------|-----------|------------------------|
| Option C | :4!c//16x | (Qualifier)(Reference) |
|----------|-----------|------------------------|

PRESENCE

Optional in optional sequence D

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | SECO | N | | C | Second Leg Reference |

DEFINITION

This qualified generic field specifies:

| | | |
|------|----------------------|---|
| SECO | Second Leg Reference | Reference of the second leg of the transaction. |
|------|----------------------|---|

NETWORK VALIDATED RULES

Reference must not start or end with a slash '/' and must not contain two consecutive slashes '/' (Error code(s): T26).

USAGE GUIDELINES**Additional rule(s) for this field**

- **Comment:**

This field will be the TRN to the 1st leg in tag 20C of the original transaction.
SECO//original reference

57. Field 92A: Rate: Repurchase Rate**FORMAT**

Option A :4!c//[N]15d (Qualifier)(Sign)(Rate)

PRESENCE

Optional in optional sequence D

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | REPO | N | | A | Repurchase Rate |

DEFINITION

This qualified generic field specifies:

REPO Repurchase Rate Repurchase rate used to calculate the repurchase amount.

NETWORK VALIDATED RULES

The integer part of Rate must contain at least one digit. A decimal comma is mandatory and is included in the maximum length (Error code(s): T40, T43).

When Sign is present, Rate must not be zero (Error code(s): T14).

USAGE RULES

Sign must be present when Rate is negative.

Except if notified differently in the rate definition, when used with format option A (:4!c//[N]15d), the rate must be expressed as a percentage, not as a decimal fraction (12% must be identified by 12, and not 0,12).

USAGE GUIDELINES**Additional rule(s) for this field**

- **Comment:**

This field will denote REPO rate:
 ASX will accept option A in the form:
 REPO//999,999.

58. Field 99B: Number Count

FORMAT

Option B :4!c//3!n (Qualifier)(Number)

PRESENCE

Optional in optional sequence D

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|---|
| 1 | O | CADE | N | | B | Transaction Call Delay |
| 2 | O | TOCO | N | | B | Total Number of Collateral Instructions |

DEFINITION

Usage Guideline restrictions for this field

- This field will be ignored by the Receiver.

This qualified generic field specifies:

| | | |
|------|---|--|
| CADE | Transaction Call Delay | Minimum number of days' notice a counterparty needs for terminating the transaction. |
| TOCO | Total Number of Collateral Instructions | Total number of collateral instructions involved in the transaction. |

59. Field 19A: Amount: Termination Transaction Amount

FORMAT

Option A :4!c//[N]3!a15d (Qualifier)(Sign)(Currency Code)(Amount)

PRESENCE

Optional in optional sequence D

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|--------------------------------|
| 1 | O | TRTE | N | | A | Termination Transaction Amount |

DEFINITION

This qualified generic field specifies:

| | | |
|------|--------------------------------|---|
| TRTE | Termination Transaction Amount | Total amount of money to be settled to terminate the transaction. |
|------|--------------------------------|---|

NETWORK VALIDATED RULES

The integer part of Amount must contain at least one digit. A decimal comma is mandatory and is included in the maximum length. The number of digits following the comma must not exceed the maximum allowed for the specified currency (Error code(s): C03, T40, T43).

Currency Code must be a valid ISO 4217 currency code (Error code(s): T52).

When Sign is present, Amount must not be zero (Error code(s): T14).

USAGE RULES

Sign is used when the Amount is credited while the practice or the transaction type would normally imply that the Amount should be debited (or vice versa).

USAGE GUIDELINES**Additional rule(s) for this field**

- Comment:**

If 1st leg settlement date is the same as 2nd leg settlement date (ie. Intra-day repo) this field is Mandatory.

For an Unwind message, if this Tag is included, the amount will be populated in the system. If it is not included, the system will automatically populate the unwind consideration.

:19A::TRTE//AUD12300,00

If this Tag is included for anything other than an intra-day repo or unwind, the message will be rejected.

60. Field 70C: Narrative: Second Leg Narrative**FORMAT**

| | | |
|----------|-------------|------------------------|
| Option C | :4!c//4*35x | (Qualifier)(Narrative) |
|----------|-------------|------------------------|

PRESENCE

Optional in optional sequence D

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | SECO | N | | C | Second Leg Narrative |

DEFINITION

This qualified generic field specifies:

| | | |
|------|----------------------|---|
| SECO | Second Leg Narrative | Provides additional information about the second leg in narrative form. |
|------|----------------------|---|

USAGE RULES

Unless bilaterally agreed between the Sender and Receiver, narrative field 70a must not contain information that can be provided in a structured field.

USAGE GUIDELINES

Additional rule(s) for this field

- **Comment:**

This field will advise if the REPO is to stay as an "At Call" or "Fixed" and specify same day settlement flag

SECO//OPEN/Y or

SECO//YYYYMMDD/N

Where "Y" is used for same day and "N" is used for next day settlement.

61. Field 16S: End of Block

FORMAT

Option S 16c

PRESENCE

Mandatory in optional sequence D

DEFINITION

This field specifies the end of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

| | |
|------|-----------------------------|
| REPO | Two Leg Transaction Details |
|------|-----------------------------|

62. Field 16R: Start of Block

FORMAT

Option R 16c

PRESENCE

Mandatory in mandatory sequence E

DEFINITION

This field specifies the start of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

SETDET

Settlement Details

63. Field 22F: Indicator: Type of Settlement Transaction Indicator

FORMAT

Option F :4!c/[8c]/4!c (Qualifier)(Data Source Scheme)(Indicator)

PRESENCE

Mandatory in mandatory sequence E

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|--|
| 1 | M | SETR | N | | F | Type of Settlement Transaction Indicator |

DEFINITION

This qualified generic field specifies:

| | | |
|------|--|--|
| SETR | Type of Settlement Transaction Indicator | Specifies underlying information regarding the type of settlement transaction. |
|------|--|--|

CODES

If Data Source Scheme is not present, Indicator must contain one of the following codes (Error code(s): K22):

| | | |
|------|--------------|--|
| CLAI | Market Claim | Transaction resulting from a market claim. |
| REPU | Repo | Relates to a repurchase agreement transaction. |
| TRAD | Trade | Relates to the settlement of a trade. |

USAGE RULES

The conditions of the use of the codes for the qualifiers BENE, CASY, CCPT, COLA, COLE, BLOC, DBNM, FXCX, LEOG, NETT, REGT, REPT, RTGS, SETS, STCO, and TRAK must be pre-agreed between account owner and account servicer.

When the code YREG in the registration indicator is present, additional registration details must be specified in the registration details narrative in the Settlement Parties sequence unless already available at the account servicer via standing instruction/SLA.

The cash settlement system codes are to be used only by agents directly involved in the settlement process, such as local settlement agents, CSD's and central banks.

The use of settlement transaction type codes CONV, ISSU, REAL, RELE, NETT, NSYN, MKUP, MKDW, SYND is governed by the existence of a bilateral agreement.

When settlement condition BUTC is used, at least one linkage subsequence A1 must be present with the reference of the sell instruction (may be more than one) to be covered by the concerned buy.

In a cancellation request (field 23G:CANC), :22F::FXCX//FXNO and FXYE indicate whether a forex instructed in the message to be cancelled is also to be cancelled. In a new message (field :23G:NEWM), :22F::FXCX//SINO indicates that a forex standing instruction should NOT apply as an original FX order has been retained.

The modification of a repo or reverse repo instruction, for example, :22F::REPT//RATE must follow the normal cancel and replace settlement process.

For the settlement of two leg transactions (repo, reverse repo, securities lending, securities borrowing, buy sell back, sell buy back), the opening/initiation of the transaction will be instructed by sending the below combination of Message Type (MT) and Type of Settlement Transaction (:22F::SETR):

| Two leg transaction | Message Type | Type of Settlement Transaction |
|------------------------------------|--------------|--------------------------------|
| Repo opening | 543 | REPU |
| Reverse repo opening | 541 | RVPO |
| Triparty repo opening | 543 | TRPO |
| Triparty reverse repo opening | 541 | TRVO |
| Securities lending initiation | 543 | SECL |
| Securities borrowing initiation | 541 | SECB |
| Sell-buy back: sell | 543 | SBBK |
| Buy-sell back: buy | 541 | BSBK |
| Collateral (giver) out: initiation | 543 | COLO |
| Collateral (taker) in: initiation | 541 | COLI |

If, following market practices, a closing/return leg needs to be instructed, it will be instructed by sending the below combination of Message Type (MT) and Type of Settlement Transaction (:22F::SETR):

| Two leg transaction | Message Type | Type of Settlement Transaction |
|--------------------------------|--------------|--------------------------------|
| Repo closing | 541 | REPU |
| Reverse repo closing | 543 | RVPO |
| Triparty repo closing | 541 | TRPO |
| Triparty reverse repo closing | 543 | TRVO |
| Securities lending return | 541 | SECL |
| Securities borrowing return | 543 | SECB |
| Sell-buy back: buy back | 541 | SBBK |
| Buy-sell back: sell back | 543 | BSBK |
| Collateral (giver) out: return | 541 | COLO |
| Collateral (taker) in: return | 543 | COLI |

Note This is also applicable to MT 540 and 542 for free of payment scenarios.

MARKET PRACTICE RULES

- **Physical settlement:**

In case of physical receipt at local agent level, the receiving agent must receive a receipt free instruction for reconciliation purposes. If the final beneficiary of the securities uses a global custodian, the

receipt free instruction will be sent to the global custodian that will forward it to his receiving agent on the market.

For physical settlement, settlement condition indicator :22F::STCO//PHYS must be used.

- **Partial settlement:**

This market practice only applies in markets where partial settlement is allowed.

If an account owner wants to specify in its original instruction that partial settlement is not allowed, they must use the settlement condition indicator :22F::STCO//NPAR (no partial settlement allowed). Similarly, if a client wants to specify in its original instruction that partial settlement is allowed (for instance, to override a standing instruction not to allow partial settlement), the settlement condition indicator :22F::STCO//PART is to be used.

- **Book Transfer:**

The use of settlement transaction types :22F::SETR//OWNI, OWNE, INSP and PORT and other book-transfer related fields is governed by a market practice document.

- **Block Trades:**

The parent transaction must be identified using the indicator field :22F::BLOC//BLPA. The children transactions must be identified using the indicator field :22F::BLOC//BLCH.

For more details, see the relevant market practice document on www.smpg.info.

USAGE GUIDELINES

Additional rule(s) for this field

- **Comment:**

This tag contains the trade type indicator.

Two codes will be accepted:

SETR//TRAD for an outright trade or SETR//REPU for a Repo.

:22F::SETR//TRAD

64. Field 16R: Start of Block

FORMAT

Option R 16c

PRESENCE

Mandatory in mandatory subsequence E1

DEFINITION

This field specifies the start of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

SETPRTY Settlement Parties

65. Field 95a: Party

FORMAT

| | | |
|----------|----------------------|---|
| Option C | :4!c//2!a | (Qualifier)(Country Code) |
| Option P | :4!c//4!a2!a2!c[3!c] | (Qualifier)(Identifier Code) |
| Option Q | :4!c//4*35x | (Qualifier)(Name and Address) |
| Option R | :4!c/8c/34x | (Qualifier)(Data Source Scheme)(Proprietary Code) |

PRESENCE

Mandatory in mandatory subsequence E1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|--------|---------|-----------------------|
| 1 | M | DEAG | N | C5, C6 | P, Q, R | Delivering Agent |
| | or | PSET | N | C5, C6 | C, P, Q | Place of Settlement |

DEFINITION

This qualified generic field specifies:

| | | |
|------|---------------------|---|
| DEAG | Delivering Agent | Delivering party that interacts with the place of settlement. |
| PSET | Place of Settlement | Place of Settlement. |

Usage Guideline restrictions for this qualifier

- Comment:**

Under the new protocols introduced by Swift if tag 95R is present with DEAG, then 95P is to be populated with place of settlement BIC code eg; ACLRAU2S

In option C, Country Code specifies in physical settlement transactions the country of settlement. This allows the custodian to direct instructions to a local agent in the country of settlement.

In option P, Identifier Code specifies a BIC. For more details, see the *Standards MT General Information*.

In option R, Proprietary Code specifies a local national code or market segment code identifying the party.

NETWORK VALIDATED RULES

Country Code must be a valid ISO country code (Error code(s): T73).

Identifier Code must be a registered BIC (Error code(s): T27, T28, T29, T45).

Proprietary code (Format Option R), Alternate ID (Format Option S) and Name and Address (Format Option Q) must not start or end with a slash '/' and must not contain two consecutive slashes '//'. For field formats with more than 1 line, the rule applies for each line (Error code(s): T26).

USAGE RULES

Qualifiers used to identify the parties do not specify the business role of the parties but their position in the settlement chain and the relationship which the parties have with one another.

Further explanations and examples are available in the *Category 5 Securities Markets Message Usage Guidelines*.

MARKET PRACTICE RULES

- **10 common elements:**

SMPG recommends that at least three settlement parties be instructed in equity or fixed income receive free or against payment settlement instructions; the place of settlement, the delivering agent and the client of the delivering agent.

The data format for the identification of the delivering agent depends on the market. If no country-specific requirement is available, BIC is recommended.

If the seller is not the direct client of the delivering agent, then an intermediary must also be provided - DECU.

- **Place of settlement:**

The place of settlement is to be understood as the requested place of settlement of the counterparty. The indicated place of settlement in the message is always relating to the counterparty.

The field must be populated with the BIC of a national or international CSD. When no CSD exists in a particular market, the stock exchange BIC will be used.

Country code should be used for settlement taking place outside of an institution published in the PSET's BIC list. This includes physical deliveries and investment funds not settling at a CSD.

The format and content of the settlement party sequences will comply with the market practices published for the place of settlement included in the message.

For more details, see the relevant market practice document on www.smpg.info.

USAGE GUIDELINES

Additional rule(s) for this field

- **Comment:**

ASX will only accept option R. All others will be rejected.

:95R::DEAG/ACLR/Sub-Participant

Austraclear (ACLR) is always the data source in second sub-field.

Note that the inclusion of "ACLR" is a change from current usage and will result in a rejection if not adhered to.

66. Field 97a: Account: Safekeeping Account

FORMAT

| | | |
|----------|-------------------|--|
| Option A | :4!c//35x | (Qualifier)(Account Number) |
| Option B | :4!c/[8c]/4!c/35x | (Qualifier)(Data Source Scheme)(Account Type Code) (Account Number) |

PRESENCE

Conditional (see rule C9) in mandatory subsequence E1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | SAFE | N | | A, B | Safekeeping Account |

DEFINITION**Usage Guideline restrictions for this field**

- **This field will be ignored by the Receiver.**

This qualified generic field specifies:

SAFE Safekeeping Account Account where financial instruments are maintained.

In option B, Account Type Code specifies the type of account needed to fully identify the account.

CODES

In option B, the Data Source Scheme must be present and Account Type Code must contain the type of account as defined by the party identified in the Data Source Scheme.

USAGE RULES

The account provided is the account of the party specified in this sequence.

MARKET PRACTICE RULES

- **Book transfer:**

In case of own account internal transfer (:22F::SETR//OWNI), own account external transfer (:22F::SETR//OWNE), portfolio transfer (:22F::SETR//PORT) and in specie transfer (:22F::SETR//INSP), the account of the instructing party at the delivering agent must be provided.

For more details, see the relevant market practice document on www.smpg.info.

67. Field 98a: Date/Time: Processing Date/Time**FORMAT**

Option A :4!c//8!n (Qualifier)(Date)

Option C :4!c//8!n6!n (Qualifier)(Date)(Time)

PRESENCE

Optional in mandatory subsequence E1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | PROC | N | | A, C | Processing Date/Time |

DEFINITION**Usage Guideline restrictions for this field**

- **This field will be ignored by the Receiver.**

This qualified generic field specifies:

PROC Processing Date/Time Date/time at which the instruction was processed by the specified party.

NETWORK VALIDATED RULES

Date must be a valid date expressed as YYYYMMDD (Error code(s): T50).

Time must be a valid time expressed as HHMMSS (Error code(s): T38).

68. Field 20C: Reference: Processing Reference**FORMAT**

Option C :4!c//16x (Qualifier)(Reference)

PRESENCE

Optional in mandatory subsequence E1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | PROC | N | | C | Processing Reference |

DEFINITION**Usage Guideline restrictions for this field**

- **This field will be ignored by the Receiver.**

This qualified generic field specifies:

PROC Processing Reference Reference meaningful to the party identified.

NETWORK VALIDATED RULES

Reference must not start or end with a slash '/' and must not contain two consecutive slashes '/' (Error code(s): T26).

69. Field 70a: Narrative**FORMAT**

Option C :4!c//4*35x (Qualifier)(Narrative)

| | | |
|----------|--------------|------------------------|
| Option D | :4!c//6*35x | (Qualifier)(Narrative) |
| Option E | :4!c//10*35x | (Qualifier)(Narrative) |

PRESENCE

Optional in mandatory subsequence E1

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | DECL | N | | E | Declaration Details |
| 2 | O | REGI | N | | D | Registration Details |
| 3 | O | PACO | N | | C | Party Narrative |

DEFINITION**Usage Guideline restrictions for this field**

- **This field will be ignored by the Receiver.**

This qualified generic field specifies:

| | | |
|------|----------------------|---|
| DECL | Declaration Details | Provides declaration details narrative relative to the party. |
| PACO | Party Narrative | Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message. |
| REGI | Registration Details | Provides information required for the registration. |

USAGE RULES

Unless bilaterally agreed between the Sender and Receiver, narrative field 70a must not contain information that can be provided in a structured field.

MARKET PRACTICE RULES

- **Physical settlement:**

If registration details of the final beneficiary are needed, those will be mentioned in the concerned (buyer) party sequence in the narrative field with qualifier REGI.

For more details, see the relevant market practice document on www.smpg.info.

70. Field 16S: End of Block**FORMAT**

| | |
|----------|-----|
| Option S | 16c |
|----------|-----|

PRESENCE

Mandatory in mandatory subsequence E1

DEFINITION

This field specifies the end of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

SETPRTY Settlement Parties

71. Field 16R: Start of Block**FORMAT**

Option R 16c

PRESENCE

Mandatory in optional subsequence E2

DEFINITION

This field specifies the start of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

CSHPRTY Cash Parties

72. Field 95a: Party**FORMAT**

| | | |
|----------|-----------------------|---|
| Option P | :4!c//4!a2!a2!c[3!c] | (Qualifier)(Identifier Code) |
| Option Q | :4!c//4*35x | (Qualifier)(Name and Address) |
| Option R | :4!c/8c/34x | (Qualifier)(Data Source Scheme)(Proprietary Code) |
| Option S | :4!c/[8c]/4!c/2!a/30x | (Qualifier)(Data Source Scheme)(Type of ID)(Country Code)(Alternate ID) |

PRESENCE

Mandatory in optional subsequence E2

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|--------------------------|
| 1 | M | ACCW | N | C5 | P, Q, R | Account With Institution |
| | or | BENM | N | C5 | P, Q, R | Beneficiary of Money |
| | or | DEBT | N | C5 | P, Q, R | Debtor |
| | or | INTM | N | C5 | P, Q, R | Intermediary |
| | or | PAYE | N | C5 | P, Q, R | Paying Institution |

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 2 | O | ALTE | N | | S | Alternate ID |

DEFINITION**Usage Guideline restrictions for this field**

- **This field will be ignored by the Receiver.**

This qualified generic field specifies:

| | | |
|------|--------------------------|---|
| ACCW | Account With Institution | Financial institution that services the cash account of the beneficiary customer, if other than the Receiver. |
| ALTE | Alternate ID | Alternate identification for a party. |
| BENM | Beneficiary of Money | Ultimate party to be credited with the money. |
| DEBT | Debtor | Paying agent ordering customer or debtor. |
| INTM | Intermediary | Financial institution through which the transaction must pass to reach the account with institution. |
| PAYE | Paying Institution | Party from whose account the payment needs to be done, if different from the Sender's account. |

In option P, Identifier Code specifies a BIC. For more details, see the *Standards MT General Information*.

In option R, Proprietary Code specifies a local national code or market segment code identifying the party.

In option S:

- Type of ID specifies the type of alternate identification which can be used to give an alternate identification of the party identified in the sequence.
- Country Code specifies the country for which the alternate identification is relevant.
- Alternate ID specifies an alternate identification of the party identified in the same sequence.

CODES

In option S, , if Data Source Scheme is not present, Type of ID must contain one of the following codes (Error code(s): K95):

| | | |
|------|----------------------------------|---|
| ARNU | Alien Registration Number | Number assigned by a government agency to identify foreign nationals. |
| CCPT | Passport Number | Number assigned by a passport authority. |
| CHTY | Tax Exempt Identification Number | Number assigned to a tax exempt entity. |
| CORP | Corporate Identification | Number assigned to a corporate entity. |
| DRLC | Driver's Licence Number | Number assigned to a driver's licence. |

| | | |
|------|------------------------------------|--|
| FIIN | Foreign Investment Identity Number | Number assigned to a foreign investor (other than the alien number). |
| TXID | Tax Identification Number | Number assigned by a tax authority to an entity. |

NETWORK VALIDATED RULES

Country Code must be a valid ISO country code (Error code(s): T73).

Identifier Code must be a registered BIC (Error code(s): T27, T28, T29, T45).

If Qualifier is ACCW, INTM or PAYE, Identifier Code must be a financial institution BIC. This error code applies to all types of BICs referenced in a FIN message including SWIFT BICs, non-SWIFT BICs, Masters, Synonyms, Live destinations and Test & Training destinations (Error code(s): C05).

Proprietary code (Format Option R), Alternate ID (Format Option S) and Name and Address (Format Option Q) must not start or end with a slash '/' and must not contain two consecutive slashes '//'. For field formats with more than 1 line, the rule applies for each line (Error code(s): T26).

73. Field 97a: Account

FORMAT

| | | |
|----------|-----------|--|
| Option A | :4!c//35x | (Qualifier)(Account Number) |
| Option E | :4!c//34x | (Qualifier)(International Bank Account Number) |

PRESENCE

Optional in optional subsequence E2

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | CASH | N | | A, E | Cash Account |
| 2 | O | CHAR | N | | A, E | Charges Account |
| 3 | O | COMM | N | | A, E | Commission Account |
| 4 | O | TAXE | N | | A, E | Tax Account |

DEFINITION

Usage Guideline restrictions for this field

- This field will be ignored by the Receiver.

This qualified generic field specifies:

| | | |
|------|-----------------|--|
| CASH | Cash Account | Account in which cash is maintained. |
| CHAR | Charges Account | Account to be used for charges/fees if different from the account for payment. |

| | | |
|------|--------------------|--|
| COMM | Commission Account | Account to be used for commission if different from the account for payment and which is held by the party specified in this sequence. |
| TAXE | Tax Account | Account to be used for taxes if different from the account for payment and which is held by the party specified in this sequence. |

USAGE RULES

The account provided is the account of the party specified in this sequence.

USAGE GUIDELINES**Additional rule(s) for this field**

- **Comment:**
This tag will be ignored by the ASX.
Will default to the Trading Cash Account attached to the Sub-Participant.

74. Field 70a: Narrative**FORMAT**

| | | |
|----------|--------------|------------------------|
| Option C | :4!c//4*35x | (Qualifier)(Narrative) |
| Option E | :4!c//10*35x | (Qualifier)(Narrative) |

PRESENCE

Optional in optional subsequence E2

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | DECL | N | | E | Declaration Details |
| 2 | O | PACO | N | | C | Party Narrative |

DEFINITION**Usage Guideline restrictions for this field**

- **This field will be ignored by the Receiver.**

This qualified generic field specifies:

| | | |
|------|---------------------|---|
| DECL | Declaration Details | Provides declaration details narrative relative to the party. |
| PACO | Party Narrative | Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message. |

USAGE RULES

Unless bilaterally agreed between the Sender and Receiver, narrative field 70a must not contain information that can be provided in a structured field.

75. Field 16S: End of Block**FORMAT**

Option S 16c

PRESENCE

Mandatory in optional subsequence E2

DEFINITION

This field specifies the end of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

CSHPRTY Cash Parties

76. Field 16R: Start of Block**FORMAT**

Option R 16c

PRESENCE

Mandatory in mandatory subsequence E3

DEFINITION

This field specifies the start of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

AMT Amount

77. Field 17B: Flag**FORMAT**

Option B :4!c//1!a (Qualifier)(Flag)

PRESENCE

Optional in mandatory subsequence E3

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | ACRU | N | | B | Accrued Interest Flag |
| 2 | O | STAM | N | | B | Stamp Duty Flag |
| 3 | O | EXEC | N | | B | Brokerage Amount Flag |

DEFINITION**Usage Guideline restrictions for this field**

- **This field will be ignored by the Receiver.**

This qualified generic field specifies:

| | | |
|------|-----------------------|--|
| ACRU | Accrued Interest Flag | Indicates whether the net proceeds include interest accrued on the financial instrument. |
| EXEC | Brokerage Amount Flag | Indicates whether the net proceeds include brokerage fees for the transaction. |
| STAM | Stamp Duty Flag | Indicates whether the net proceeds include stamp duty for the transaction. |

CODES

Flag must contain one of the following codes (Error code(s): K17):

| | | |
|---|-----|------|
| N | No | No. |
| Y | Yes | Yes. |

USAGE RULES

Flag is only to be used in a sequence E3 with settlement amount field 19A::SETT/[N]3!a15d as it indicates whether the concerned settlement amount includes the accrued interest and/or the stamp duty amount and/or the brokerage amount.

78. Field 19A: Amount: Settlement Amount**FORMAT**

Option A :4!c/[N]3!a15d (Qualifier)(Sign)(Currency Code)(Amount)

PRESENCE

Mandatory in mandatory subsequence E3

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|-------------|---------|-----------------------|
| 1 | M | SETT | N | C1, C2, C15 | A | Settlement Amount |

DEFINITION

This qualified generic field specifies:

| | | |
|------|-------------------|--|
| SETT | Settlement Amount | Total amount of money to be paid or received in exchange for the financial instrument. |
|------|-------------------|--|

NETWORK VALIDATED RULES

The integer part of Amount must contain at least one digit. A decimal comma is mandatory and is included in the maximum length. The number of digits following the comma must not exceed the maximum allowed for the specified currency (Error code(s): C03, T40, T43).

Currency Code must be a valid ISO 4217 currency code (Error code(s): T52).

When Sign is present, Amount must not be zero (Error code(s): T14).

USAGE RULES

Sign is used when the Amount is credited while the practice or the transaction type would normally imply that the Amount should be debited (or vice versa).

USAGE GUIDELINES**Additional rule(s) for this field**

- **Comment:**
Used for consideration
:SETT//AUD5653950,00

EXAMPLES

When a trade is in one currency, for example in COP, and settlement in another currency, for example in USD, if there is a need to provide the countervalue amount in COP of the settlement amount in USD, RESU will be used as follows:

:16R:AMT

:19A::DEAL//COP1000, (deal amount)

:16S:AMT

:16R:AMT

:19A::SETT//USD500,45 (settlement amount in USD)

:19A::RESU//COP1002,45 (countervalue of the settlement amount in COP)

:92B::EXCH//USD/COP/2.01 (1,00 USD = 2.01 COP)

:16S:AMT

79. Field 98a: Date/Time: Value Date/Time**FORMAT**

| | | |
|----------|--------------|-------------------------|
| Option A | :4!c//8!n | (Qualifier)(Date) |
| Option C | :4!c//8!n6!n | (Qualifier)(Date)(Time) |

PRESENCE

Conditional (see rule C15) in mandatory subsequence E3

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|-----|---------|-----------------------|
| 1 | O | VALU | N | C15 | A, C | Value Date/Time |

DEFINITION**Usage Guideline restrictions for this field**

- This field will be ignored by the Receiver.

This qualified generic field specifies:

| | | |
|------|-----------------|---|
| VALU | Value Date/Time | Date/time at which cash becomes available to the account owner (in a credit entry), or cease to be available to the account owner (in a debit entry). |
|------|-----------------|---|

NETWORK VALIDATED RULES

Date must be a valid date expressed as YYYYMMDD (Error code(s): T50).

Time must be a valid time expressed as HHMMSS (Error code(s): T38).

80. Field 92B: Rate: Exchange Rate**FORMAT**

| | | |
|----------|-------------------|--|
| Option B | :4!c//3!a/3!a/15d | (Qualifier)(First Currency Code)(Second Currency Code)(Rate) |
|----------|-------------------|--|

PRESENCE

Optional in mandatory subsequence E3

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | EXCH | N | | B | Exchange Rate |

DEFINITION**Usage Guideline restrictions for this field**

- This field will be ignored by the Receiver.

This qualified generic field specifies:

| | | |
|------|---------------|--|
| EXCH | Exchange Rate | Exchange rate between the amount and the resulting amount. |
|------|---------------|--|

NETWORK VALIDATED RULES

The integer part of Rate must contain at least one digit. A decimal comma is mandatory and is included in the maximum length (Error code(s): T40, T43).

First Currency Code and Second Currency Code must be a valid ISO 4217 currency code (Error code(s): T52).

USAGE RULES

The order of the two currencies specified here is independent of the currencies in the amount and resulting amount fields. Therefore the exchange rate can be expressed as a reciprocal if required.

For the calculation of the rate itself, the First Currency Code will be the base currency and the Second Currency Code will be the quoted currency. (1,00 of First Currency Code = [Rate] of Second Currency Code).

EXAMPLES

The exchange rate between US Dollars and Pounds Sterling can be shown as:

:92B::EXCH//GBP/USD/1,619 (1,00 GBP = 1,619 USD)

or as

:92B::EXCH//USD/GBP/0,618 (1,00 USD = 0,618 GBP)

81. Field 16S: End of Block**FORMAT**

| | |
|----------|-----|
| Option S | 16c |
|----------|-----|

PRESENCE

Mandatory in mandatory subsequence E3

DEFINITION

This field specifies the end of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

| | |
|-----|--------|
| AMT | Amount |
|-----|--------|

82. Field 16S: End of Block**FORMAT**

| | |
|----------|-----|
| Option S | 16c |
|----------|-----|

PRESENCE

Mandatory in mandatory sequence E

DEFINITION

This field specifies the end of a block and the name of that block.

CODES

This field must contain the following code (Error code(s) : T92):

SETDET Settlement Details

83. Field 16R: Start of Block**FORMAT**

Option R 16c

PRESENCE

Mandatory in optional sequence F

DEFINITION

This field specifies the start of a block and the name of that block.

CODES

This field must contain the following code (Error code(s) : T92):

OTHRPTY Other Parties

84. Field 95a: Party**FORMAT**

| | | |
|----------|-----------------------|---|
| Option C | :4!c//2!a | (Qualifier)(Country Code) |
| Option P | :4!c//4!a2!a2!c[3!c] | (Qualifier)(Identifier Code) |
| Option Q | :4!c//4*35x | (Qualifier)(Name and Address) |
| Option R | :4!c/8c/34x | (Qualifier)(Data Source Scheme)(Proprietary Code) |
| Option S | :4!c/[8c]/4!c/2!a/30x | (Qualifier)(Data Source Scheme)(Type of ID)(Country Code)(Alternate ID) |

PRESENCE

Mandatory in optional sequence F

QUALIFIER

(Error code(s) : T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | M | EXCH | N | C5 | P, Q, R | Stock Exchange |
| | or | MEOR | N | C5 | P, Q, R | Originator of Message |
| | or | MERE | N | C5 | P, Q, R | Recipient of Message |
| | or | TRRE | N | C5 | P, Q, R | Trade Regulator |

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|---------|------------|-----------------------|
| | or | INVE | N | | C, P, Q, R | Investor |
| | or | VEND | N | C5, C12 | P, Q, R | Vendor |
| | or | TRAG | N | C5 | P, Q, R | Triparty Agent |
| | or | BRKR | N | C5 | P, Q, R | Broker |
| 2 | O | ALTE | N | | S | Alternate ID |

DEFINITION

This qualified generic field specifies:

| | | |
|------|-----------------------|---|
| ALTE | Alternate ID | Alternate identification for a party. |
| BRKR | Broker | Party that identifies a broker when required (for example, authorized broker, prime broker, etc.). |
| EXCH | Stock Exchange | Party that identifies the stock exchange. |
| INVE | Investor | Instructing party, either an individual or organisation, whose assets are being invested. |
| MEOR | Originator of Message | Party that originated the message, if other than the Sender. |
| MERE | Recipient of Message | Party that is the final destination of the message, if other than the Receiver. |
| TRAG | Triparty Agent | Party that handles tri-party transactions. |
| TRRE | Trade Regulator | Party that identifies the trade regulator. |
| VEND | Vendor | Vendor of the Settlement Standing Instruction database requested to be consulted through sequence E field :22F::DBNM//VEND. |

In option P, Identifier Code specifies a BIC. For more details, see the *Standards MT General Information*.

In option R, Proprietary Code specifies a local national code or market segment code identifying the party.

In option S:

- Type of ID specifies the type of alternate identification which can be used to give an alternate identification of the party identified in the sequence.
- Country Code specifies the country for which the alternate identification is relevant.
- Alternate ID specifies an alternate identification of the party identified in the same sequence.

CODES

In option S, , if Data Source Scheme is not present, Type of ID must contain one of the following codes (Error code(s): K95):

| | | |
|------|---------------------------|---|
| ARNU | Alien Registration Number | Number assigned by a government agency to identify foreign nationals. |
| CCPT | Passport Number | Number assigned by a passport authority. |

| | | |
|------|------------------------------------|--|
| CHTY | Tax Exempt Identification Number | Number assigned to a tax exempt entity. |
| CORP | Corporate Identification | Number assigned to a corporate entity. |
| DRLC | Driver's Licence Number | Number assigned to a driver's licence. |
| FIIN | Foreign Investment Identity Number | Number assigned to a foreign investor (other than the alien number). |
| TXID | Tax Identification Number | Number assigned by a tax authority to an entity. |

NETWORK VALIDATED RULES

Country Code must be a valid ISO country code (Error code(s): T73).

Identifier Code must be a registered BIC (Error code(s): T27, T28, T29, T45).

Proprietary code (Format Option R), Alternate ID (Format Option S) and Name and Address (Format Option Q) must not start or end with a slash '/' and must not contain two consecutive slashes '//'. For field formats with more than 1 line, the rule applies for each line (Error code(s): T26).

USAGE RULES

The qualifier INVE is to be used only when the investor cannot be a trade counterparty for legal reasons, for example, in Japan where the trust bank arranges the deal with the broker.

When INVE is used with option C, the country code specifies the nationality of the investor, for the settlement of certain securities (that is airlines, defence manufacturers) under the EU legislation.

EXAMPLES

Repetition of Investor (INVE) could be as follows:

```
:95Q::INVE//Mr. Smith
```

```
:95C::INVE//US
```

Mr. Smith is the investor and declares to be a US citizen.

85. Field 97A: Account: Safekeeping Account

FORMAT

Option A :4!c//35x (Qualifier)(Account Number)

PRESENCE

Conditional (see rule C16) in optional sequence F

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|-----|---------|-----------------------|
| 1 | O | SAFE | N | C16 | A | Safekeeping Account |

DEFINITION

This qualified generic field specifies:

SAFE Safekeeping Account Account where financial instruments are maintained.

USAGE RULES

The account provided is the account of the party specified in this sequence.

86. Field 70a: Narrative

FORMAT

Option C :4!c//4*35x (Qualifier)(Narrative)

Option D :4!c//6*35x (Qualifier)(Narrative)

Option E :4!c//10*35x (Qualifier)(Narrative)

PRESENCE

Optional in optional sequence F

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | DECL | N | | E | Declaration Details |
| 2 | O | REGI | N | | D | Registration Details |
| 3 | O | PACO | N | | C | Party Narrative |

DEFINITION

This qualified generic field specifies:

| | | |
|------|----------------------|---|
| DECL | Declaration Details | Provides declaration details narrative relative to the party. |
| PACO | Party Narrative | Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message. |
| REGI | Registration Details | Provides information required for the registration. |

USAGE RULES

Unless bilaterally agreed between the Sender and Receiver, narrative field 70a must not contain information that can be provided in a structured field.

87. Field 20C: Reference: Processing Reference

FORMAT

Option C :4!c//16x (Qualifier)(Reference)

PRESENCE

Optional in optional sequence F

QUALIFIER

(Error code(s): T89)

| Order | M/O | Qualifier | R/N | CR | Options | Qualifier Description |
|-------|-----|-----------|-----|----|---------|-----------------------|
| 1 | O | PROC | N | | C | Processing Reference |

DEFINITION

This qualified generic field specifies:

PROC Processing Reference Reference meaningful to the party identified.

NETWORK VALIDATED RULES

Reference must not start or end with a slash '/' and must not contain two consecutive slashes '/' (Error code(s): T26).

88. Field 16S: End of Block

FORMAT

Option S 16c

PRESENCE

Mandatory in optional sequence F

DEFINITION

This field specifies the end of a block and the name of that block.

CODES

This field must contain the following code (Error code(s): T92):

OTHRPTY Other Parties

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