

Austraclear System Enhancement Release 5



ASX Operations

April 2015

Agenda- Austraclear Release 5

1. Austraclear Release 5 Update
2. Austraclear Release 5 Key Dates
3. Participant Industry Wide Testing (IWT)
4. Data Migration
5. Attestation for Readiness of Release
6. Q&A

Austraclear Release 5 Update

Significant architectural and technical change:

- Trade entry requires six character Sub Participant code
- Trade matching at sub participant level
- Decommissioning IRS and FRA
- Market Repos: changing partial unwinds to trade splits
- Trade Cancellations 1-sided -> 2-sided

For all changes, refer to:

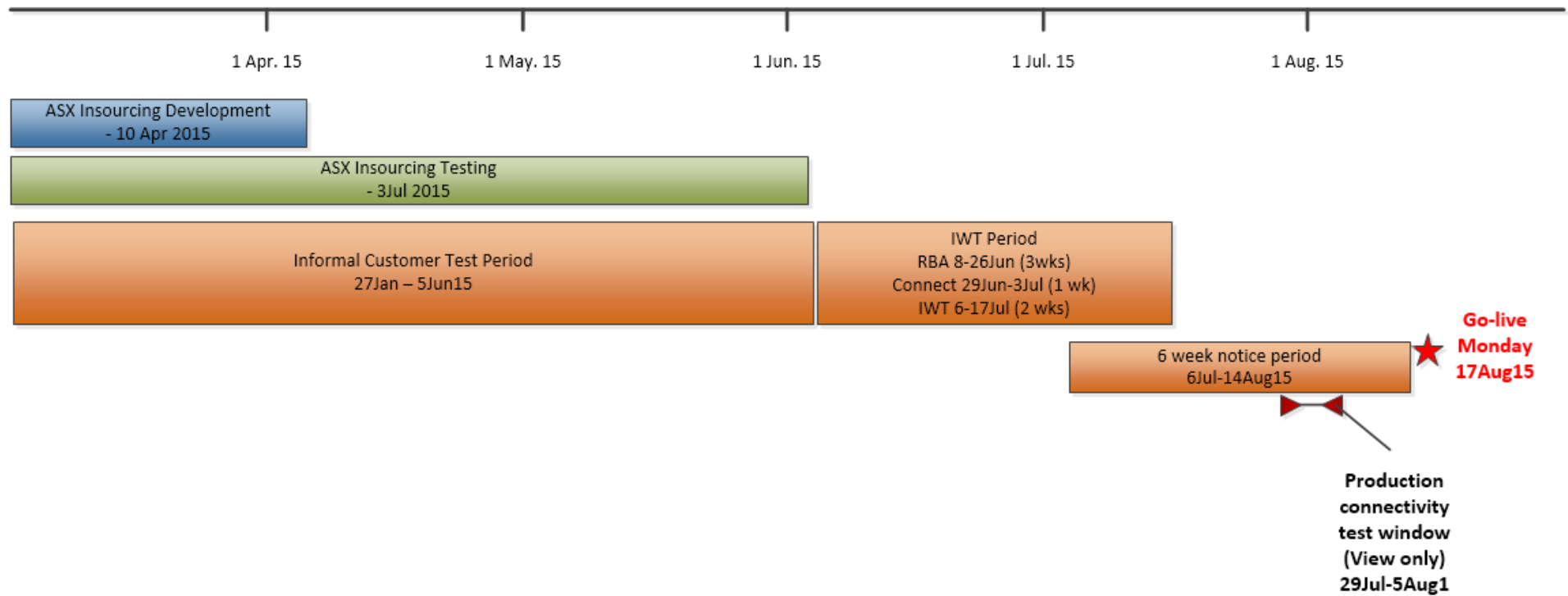
[Austraclear System Release 5 Enhancement](#)

Austraclear Release 5 Key Dates

Readiness Activity	Duration	Dates
Final Customer Testing Period	55 days	30 April - 17 July
Windows XP via .Net.4.0 version available		30 April
Dedicated RBA Testing	14 days	9-26 June
Connectivity Testing (IWT environment)	5 days	29 June – 3 July
Industry Wide Testing	10 days	6 - 17 July
Connectivity Testing (Production environment)	5 days	29 Jul – 5 Aug
Austraclear File Deployment Release	30 days	6 July – 14 August
Austraclear Release 5 Go-Live	1 Day	17 August

Austraclear Release 5 Timeline

ASX Austraclear Initiatives Timeline – April 2015



Participant Industry Wide Testing (IWT)

- Daily system cycle in line with production sessions during the testing period.
 1. Normal system operating hours
 2. Data sourced from Test Bed A, not Production
 3. XP-compatible version of GUI also available
- Test Bed A Release 4.5 usernames/passwords are valid. Otherwise contact Austraclear Service Desk.
- Sample test scripts to be provided
- Nominate technical and business contact

Release 5 Data Migration

- Austraclear data to be migrated:
 1. Trade Entry (open FX trades, 2nd leg repos)
 2. Cash Transfer Groups
 3. Member Obligations
 4. Standard Settlement Instructions (SSIs)
 6. Authorisation groups
- The 2nd leg of open Fixed Dated Repos will be migrated as an outright FIS trade. No SWIFT confirmation will be provided on settlement.
- No other future dated or historic trade data to be migrated.
- Access to historical data will be available for 3 months. Call Austraclear Service Desk.

Attestation for Readiness of Release



Austraclear System Enhancement Release 5 Readiness Attestation

ATTESTATION BY PARTICIPANT'S AUTHORISED SIGNATORIES TO
AUSTRACLEAR STATEMENT OF READINESS FOR THE SYSTEM ENHANCEMENT
RELEASE 5 FROM 17 AUGUST 2015 FOLLOWING SUCCESSFUL TESTING.

(To be completed by ALL AUSTRACLEAR Participants).

Participant Name:.....

Participant Mnemonic:.....

Please mark each action where applicable certifying that you have:

- Successfully completed testing for Austraclear to the extent required for its operational activities
- No issues outstanding preventing you from settling within Austraclear from Go-live on 17 August 2015

Name:
(Please Print)

Name:
(Please Print)

Signature:
Authorised Signatory

Signature:
Authorised Signatory

Dated this..... day of.....2015

Please email a scanned copy of the completed, signed Attestation to
austraclear@asx.com.au no later than 24 July 2015

Thank you

