

Notice reference number: 0520.16.05

Notice date: 12/05/2016

What's this about?

Effective date: 12/05/2016

<input checked="" type="checkbox"/> ASX Trade	<input type="checkbox"/> ASX 24	<input type="checkbox"/> ASX TECH				
<input type="checkbox"/> Trading	<input checked="" type="checkbox"/> Clearing	<input checked="" type="checkbox"/> Settlement	<input type="checkbox"/> ALC	<input type="checkbox"/> ASX NET		
<input checked="" type="checkbox"/> Operations	<input type="checkbox"/> Technology	<input checked="" type="checkbox"/> Market Data	<input checked="" type="checkbox"/> Rules	<input checked="" type="checkbox"/> Compliance	<input checked="" type="checkbox"/> Risk	<input type="checkbox"/> Other

Blue Sky Alternative Investments Limited - DvP Settlement Retail Shortfall Offer ASX Code: BLAYY

This Notice is being issued to provide Participants with further information on the DvP Settlement service extended to support the Retail Shortfall Offer by Blue Sky Alternative Investments Limited.

What do I need to do and by when?

ISIN	AU0000BLAYY6
07 June 2016	Deadline for all parties to transmit Dual Entry Settlement Instructions to effect CHES DVP Settlement between the two parties ^[1]
08 June 2016 [†]	Settlement Date
09 June 2016	BLAYY holdings to be transformed to BLA by Link Market Services Limited
Settlement Agent:	Pershing Securities Australia (PID 01791)
Contact:	Will Tracey
Phone:	(02) 8999 4094
Fax:	(02) 8999 4070
Email:	Corporate-Actions@pershing.com

^[1] An existing market convention states that transactions should be matched before 4 pm on S-1. Unmatched transactions after this time may be matched up until 10.30 am on S without prior agreement with the counterparty. Any transactions remaining should only be matched in the last hour with agreement with the counterparty.

[†] The CHES Subregister will close at 7.00pm.

CHES Charges

The CHES tariffs for processing individual transactions will not apply to Participants in the processing of BLAYY where the Transaction Basis "I" (IPO) is used in CHES messages.

Participants should be aware that transactions do not receive the benefit of central counterparty backing. Therefore, if there were a default the non-defaulting party would not be able to look to ASX Clear for completion of the transaction.

Need more information?

Issued by

Eldon Hernando, Senior Officer, Post Trade Operations

Contact Details

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