

**ATTACHMENT B - AMENDMENTS TO AUSTRACLEAR PROCEDURES  
DETERMINATIONS AND PRACTICE NOTES**

**PART 1 – Improved process for changes to the terms of Deposited Non-Paper Securities**

1. Insert new Procedures 8.6.1 and 8.6.2 as follows:

**8.6.1 Notification of change in respect of Deposited Non-Paper Security**

(a) For the purpose of Regulation 8.6.1(a), the prescribed procedure for notification to Austraclear is as follows:

- (i) the issuer or Issuer’s Representative must complete the form published by Austraclear on the ASX website titled “Notification of proposed change in respect of Deposited Non-Paper Security”;
- (ii) the completed form must be signed in accordance with the instructions set out on the form; and
- (iii) the issuer or Issuer’s Representative must lodge the form with Austraclear using the method set out on the form at least 5 Business Days before the proposed effective date of the proposed change.

(b) For the purpose of Regulations 8.6.1(b) and 8.6.1(c), the prescribed procedure for notification to Austraclear is as follows:

- (i) the issuer or Issuer’s Representative must complete the form published by Austraclear on the ASX website titled “Post-change notification and certification in respect of Deposited Non-Paper Security”;
- (ii) the completed form must be signed in accordance with the instructions set out on the form; and
- (iii) the issuer or Issuer’s Representative must lodge the form with Austraclear using the method set out on the form not later than 5 Business Days after the effective date of the change.

**8.6.2 Notification to correct erroneous information in respect of Deposited Non-Paper Security**

For the purpose of Regulation 8.6.2, the prescribed procedure for notification to Austraclear is:

- (a) the issuer or Issuer’s Representative must complete the form published by Austraclear on the ASX website titled “Notification to correct erroneous information in respect of Deposited Non-Paper Security”;
- (b) the completed form must be signed in accordance with the instructions set out on the form; and
- (c) the issuer or Issuer’s Representative must lodge the form with Austraclear using the method set out on the form within 5 Business Days from the date that the issuer becomes aware of the existence of erroneous information of the kind referred to in Regulation 8.6.2.

**PART 2 – Removal of the EC Rules**

- 1. Remove each of Procedures 2.1A and 27 in their entirety.
- 2. Remove each of Schedules B and C2 in their entirety.

### **PART 3 – Payment of interest from Owner of Non-Paper Security**

1. Amend Procedure 11.03.03 as follows:

#### **11.0.03 Payment of interest and other amounts for Non-Paper Securities, processed through the System**

11.0.03(c) For the purpose of Regulation 11.0.03(c), i~~if~~ in the event that interest rates are negative, Austraclear will only effect the payment of interest due from the Owner of a Non-Paper Security to an issuer or its Paying Agent upon the receipt of an instruction from an issuer, its Paying Agent or the Owner.

2. Amend Procedure 11.1 as follows:

11.1(b) For the purpose of Regulation 11.1(b), i~~if~~ in the event that interest rates are negative, Austraclear will only effect the payment of interest due from the Owner of a Deposited Non-Paper Security to an issuer or its Paying Agent upon the receipt of an instruction from an issuer, its Paying Agent or the Owner.