



ASX

ASX Austraclear Schedule of Fees



Effective Date: 01-Jul-2024

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ASX Austraclear

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All fees are shown in Australian dollars. All fees are effective 01-Jul-2024 unless otherwise indicated and are subject to change from time to time.

If you require further clarification please contact your ASX Account Manager or ASX Customer Service (telephone: 131 279)

*GST exclusive prices are quoted in the Schedule of Fees applicable to ASX Austraclear. Usually ASX Austraclear services will be subject to GST and the GST inclusive prices will be charged.

In certain situations, an otherwise taxable supply may be exempt from GST (for example, exports). However, ASX anticipates that ASX Austraclear services will not be 'exports' for the purposes of GST and accordingly, GST inclusive prices will be charged for these services.

ASX Austraclear

Product	Service	Charge Basis	Charge Frequency	Effective Date	Charge (GST Exclusive*)
1	Admission & Participation Fees				
1.1	Initial Fee (Admission)*				
33000030	Initial Fee - Austraclear Full Participant	Per admission	Once off	1-Jul-24	\$5,400.00
33000031	Initial Fee - Austraclear Associate Participant	Per admission	Once off	1-Jul-24	\$2,150.00
33000033	Initial Fee - Austraclear Special Purpose Participant	Per admission	Once off	1-Jul-24	\$2,150.00
33000034	Initial Fee - Austraclear Public Trust Participant	Per admission	Once off	1-Jul-24	\$2,150.00
1.2	Annual Fee (Participation)*				
33000036	Annual Fee - Austraclear Full Participant	Per Participant - Paid annually in advance and are non-refundable	Yearly	1-Jul-24	\$5,500.00
33000037	Annual Fee - Austraclear Associate Participant	Per Participant - Paid annually in advance and are non-refundable	Yearly	1-Jul-24	\$845.00
33000039	Annual Fee - Austraclear Special Purpose Participant	Per Participant - Paid annually in advance and are non-refundable	Yearly	1-Jul-24	\$845.00
33000040	Annual Fee - Austraclear Public Trust Participant	Per Participant - Paid annually in advance and are non-refundable	Yearly	1-Jul-24	\$2,750.00
33000041	Annual Fee - Austraclear Sub-Participant Branch	Per Participant - Paid annually in advance and are non-refundable	Yearly	1-Jul-24	\$845.00
33000055	Non Direct Debit Fee	If you choose not to pay your account by direct debit, a \$200.00 processing fee is charged in addition to your annual membership fee	Yearly	1-Jan-17	\$200.00
1.3	Other Participation Fees				
03100004	Austraclear Users (uncapped)	Per User - Each sub participant receives one free user	Monthly	1-Jul-24	\$21.50
03100005	Austraclear Sub Accounts (capped at 1,000 sub accounts)	Per Sub Account - Each sub participant receives one free sub account	Monthly	1-Jul-24	\$21.50
2	Austraclear Transaction Fees				
2.1	Cash				
33000013	AUD Cash Transfer / Cash Trade	per side	per transaction, billed monthly	1-Jul-24	\$5.70
33000014	ASX Clear Margin Payment / Receipt	per side	per transaction, billed monthly	1-Jul-24	\$5.70
33000015	ASX 24 Margin Payment / Receipt	per side	per transaction, billed monthly	1-Jul-24	\$5.70

* Existing Participants seeking to amend the participation type will be required to submit a new application form to ASX. Upon admission the Participant will be refunded their annual fee for that calendar year and invoiced for the relevant new annual fee, both on a pro-rata basis. No new admission fee will be billed for these kind of Participant changes.

Product	Service	Charge Basis	Charge Frequency	Effective Date	Charge (GST Exclusive*)
2.2	Fixed Income Securities (FIS) - Bonds				
03100011	FIS Repo Trade Split	per side	per transaction, billed monthly	1-Jul-24	\$3.60
33000005	FIS Settlement	per side	per transaction, billed monthly	1-Jul-24	\$14.00
33000002	FIS Pledge	per pledge, pledger	per transaction, billed monthly	1-Jul-24	\$3.60
33000002	FIS Release Pledge	per pledge, pledger	per transaction, billed monthly	1-Jul-24	\$3.60
33000008	Maturity	per line, owner	per transaction, billed monthly	1-Jul-24	\$18.00
33000002	FIS Substitute Pledge	per pledge, pledger	per transaction, billed monthly	1-Jul-24	\$3.60
33000003	FIS Securities Transfer	per line, owner	per transaction, billed monthly	1-Jul-24	\$3.55
33000001	FIS Uplift	per line, owner	per transaction, billed monthly	1-Jul-24	\$35.00
33000004	FIS Trade Split	per side	per transaction, billed monthly	1-Jul-24	\$3.60
33000027	FIS RBA Repo Settlement	per side, borrower only	per transaction, billed monthly	1-Jul-24	\$3.60
33000027	FIS RBA Reverse Repo Settlement	per side, borrower only	per transaction, billed monthly	1-Jul-24	\$3.60
33000028	Market Repo-Trade on Settlement	per side	per transaction, billed monthly	1-Jul-24	\$14.00
33000029	Market Repo-Trade Renegotiation	per side	per transaction, billed monthly	1-Jul-24	\$3.60
03200091	FIS Bulk Transfer Source	per line, owner	per transaction, billed monthly	1-Jul-24	\$3.55
03200092	FIS Bulk Transfer Destination	per line, receiver	per transaction, billed monthly	1-Jul-24	\$3.55
2.3	Fixed Income Securities - Global (Eurobonds held in Clearstream)				
33000001	FIS Global Uplift	per holding, owner	per transaction, billed monthly	1-Jul-24	\$35.00
33000005	FIS Global Auto Settlement	per side	per transaction, billed monthly	1-Jul-24	\$14.00
33000005	FIS Global Manual Settlement	per side	per transaction, billed monthly	1-Jul-24	\$14.00

Product	Service	Charge Basis	Charge Frequency	Effective Date	Charge (GST Exclusive*)
2.4	Discount Securities System (DSS)				
03100014	DSS Repo Settlement	per side	per transaction, billed monthly	1-Jul-24	\$14.00
03100015	DSS Repo Trade Split	per side	per transaction, billed monthly	1-Jul-24	\$3.60
03100013	DSS Repo Renegotiation	per side	per transaction, billed monthly	1-Jul-24	\$3.60
03100012	DSS Trade Split	per side	per transaction, billed monthly	1-Jul-24	\$3.60
33000012	DSS ISIN Codes	per ISIN	per transaction, billed monthly	1-Jul-24	\$2.55
33000011	DSS Settlement	per side	per transaction, billed monthly	1-Jul-24	\$14.00
33000009	DSS Pledge	per pledge, pledger	per transaction, billed monthly	1-Jul-24	\$3.60
33000009	DSS Release Pledge	per pledge, pledger	per transaction, billed monthly	1-Jul-24	\$3.60
33000009	DSS Substitute Pledge	per pledge, pledger	per transaction, billed monthly	1-Jul-24	\$3.60
33000010	DSS Securities Transfer	per line, owner	Monthly	1-Jul-24	\$3.55
33000008	Maturity	per line, owner	per transaction, billed monthly	1-Jul-24	\$18.00
33000007	DSS Uplift	per line, owner	per transaction, billed monthly	1-Jul-24	\$57.50
33000028	Market Repo-Trade on Settlement	per side	per transaction, billed monthly	1-Jul-24	\$14.00
03200091	DSS Bulk Transfer Source	per line, owner	per transaction, billed monthly	1-Jul-24	\$3.55
03200092	DSS Bulk Transfer Destination	per line, receiver	per transaction, billed monthly	1-Jul-24	\$3.55
33000029	Market Repo-Trade Renegotiation	per side, borrower only	per transaction, billed monthly	1-Jul-24	\$3.60
2.5	Foreign Exchange (FX Match & Check & Return)				
33000021	FX Confirmation	per side	Monthly	1-Jul-24	\$3.60

Product	Service	Charge Basis	Charge Frequency	Effective Date	Charge (GST Exclusive*)
3	Austraclear Holding Fees				
3.1	Depository Holdings				
33000017	DSS Paper Portfolio Holdings	- \$0 - \$0.5bn - \$0.5bn - \$1.5bn - \$1.5bn - \$2.5bn - \$2.5bn and over	\$15.00 per \$ million per month \$7.50 per \$ million per month \$4.50 per \$ million per month \$3.00 per \$ million per month	Monthly	Charge basis
33000017	DSS Electronic Portfolio Holdings	- \$0 - \$10.0bn - \$10.0bn - \$50.0bn - \$50.0bn and over	\$1.00 per \$ million per month \$0.30 per \$ million per month \$0.19 per \$ million per month	Monthly	1-Jul-24 Charge basis
33000018	Depository Holdings - FIS	- \$0 - \$10.0bn - \$10.0bn - \$50.0bn - \$50.0bn and over	\$1.00 per \$ million per month \$0.30 per \$ million per month \$0.19 per \$ million per month	Monthly	1-Jul-24 Charge basis
33000006	Depository Holdings - FIS Global (Eurobonds)	All balances	\$20.90 per \$ million per month	Monthly	1-Jul-18 Charge basis
4	Austraclear SWIFT & Host to Host Lite Fees				
4.1	SWIFT Incoming Messages				
03100001	MT103 Single Customer Credit Transfer (Cash Payment)	per message, sender	Monthly		\$0.40
03100001	MT202 General Financial Institution Transfer (Cash Payment)	per message, sender	Monthly		\$0.40
03100001	MT210 Notice to Receive (Cash Receipt)	per message, sender	Monthly		\$0.40
03100001	MT300 Foreign Exchange Trade Instruction	per message, sender	Monthly		\$0.40
03100001	MT540 Receive Free	per message, sender	Monthly		\$0.40
03100001	MT541 Receive Against Payment	per message, sender	Monthly		\$0.40
03100001	MT542 Deliver Free	per message, sender	Monthly		\$0.40
03100001	MT543 Deliver with Payment	per message, sender	Monthly		\$0.40
03100001	MT549 Request for Statement/Status Advice	per message, sender	Monthly		\$0.40

Product	Service	Charge Basis	Charge Frequency	Effective Date	Charge (GST Exclusive*)
03100001	MT598 Series Creation & Deposit Message	per message, sender	Monthly		\$0.40
4.2	SWIFT Outgoing Messages				
03100001	MT198 Response to MT 1xx Messages (SMT 102 and SMT103)	per message, receiver	Monthly		\$0.30
03100001	MT298 Response to MT 2xx Messages (SMT 102 and SMT103)	per message, receiver	Monthly		\$0.30
03100001	MT300U Foreign Exchange Confirmation (return from ASX)	per message, receiver	Monthly		\$0.30
03100001	MT398 Response to MT 3xx Messages (SMT 102 and SMT103)	per message, receiver	Monthly		\$0.30
03100001	MT598 Response to MT 5xx Messages (SMT 102, SMT103)	per message, receiver	Monthly		\$0.30
03100001	MT535 Statement of Holding (Intraday, Interim or End of Day)	per message, receiver	Monthly		\$0.50
03100001	MT544 Settlement Confirmation for Receive Free	per message, receiver	Monthly		\$0.30
03100001	MT545 Settlement Confirmation for Receive Against Payment	per message, receiver	Monthly		\$0.30
03100001	MT546 Settlement Confirmation for Deliver Free	per message, receiver	Monthly		\$0.30
03100001	MT547 Settlement Confirmation for Deliver with Payment	per message, receiver	Monthly		\$0.30
03100001	MT548 - Settlement Status and Processing	per message, receiver	Monthly		\$0.30
03100001	MT564 Corporate Action Notification Message	per message, receiver	Monthly		\$0.50
03100001	MT599 - Securities Details Message	per message, receiver	Monthly		\$0.50
03100001	MT900 - Confirmation of Debit	per message, receiver	Monthly		\$0.30
03100001	MT910 - Confirmation of Credit	per message, receiver	Monthly		\$0.30

Product	Service	Charge Basis	Charge Frequency	Effective Date	Charge (GST Exclusive*)
4.3	Host to Host Lite File Uploads				
03100002	MT512 Fixed Income Trade	per transaction in file, sender	Monthly		\$0.30
03100002	MT202 General Financial Institution Transfer	per transaction in file, sender	Monthly		\$0.30
03100002	MT599 Series Creation & Deposit	per transaction in file, sender	Monthly		\$0.30
03100002	MT300 Foreign Exchange Confirmation	per transaction in file, sender	Monthly		\$0.30
5	Austraclear Report Downloads & Audit Certificate Fees				
5.1	Austraclear Report Downloads				
03100003	Weekly Turnover by Security Type SCV & PDF	per report download	Monthly		\$1.35
03100003	Granted Proxy Access CSV & PDF	per report download	Monthly		\$1.35
03100003	Proxy User Role CSV & PDF	per report download	Monthly		\$1.35
03100003	Weekly Turnover by Isser CSV & PDF	per report download	Monthly		\$1.35
03100003	Received Proxy Access CSV & PDF	per report download	Monthly		\$1.35
03100003	Role Allocation	per report download	Monthly		\$1.35
03100003	Place Payable Maturity	per report download	Monthly		\$1.35
03100003	Cash Provider Transaction Detail	per report download	Monthly		\$1.35
03100003	Cash Record Audit/Limit	per report download	Monthly		\$1.35
03100003	RBA Repo Open Trade	per report download	Monthly		\$1.35
03100003	Beneficial Holders by Issuer	per report download	Monthly		\$1.35
03100003	Turnover by Issuer	per report download	Monthly		\$1.35
03100003	FX Open Trade	per report download	Monthly		\$1.35
03100003	Market Repo Coupon Report	per report download	Monthly		\$1.35
03100003	Outstanding Deposits and Withdrawals	per report download	Monthly		\$1.35
03100003	FRA Open Trade	per report download	Monthly		\$1.35

Product	Service	Charge Basis	Charge Frequency	Effective Date	Charge (GST Exclusive*)
03100003	IRS Open Trade	per report download	Monthly		\$1.35
03100003	DSS Open Trade	per report download	Monthly		\$1.35
03100003	IRS Payments & Receipts Due	per report download	Monthly		\$1.35
03100003	Market Repo Open Trade	per report download	Monthly		\$1.35
03100003	FIS Open Trade	per report download	Monthly		\$1.35
03100003	Cash Open Trade	per report download	Monthly		\$1.35
03100003	Issuer Representatives Turnover	per report download	Monthly		\$1.35
03100003	Corporate Action Intraday PDF & CSV	per report download	Monthly		\$2.10
03100003	Corporate Action End of Day PDF & CSV	per report download	Monthly		\$2.10
03100003	Corporate Action Record Date PDF & CSV	per report download	Monthly		\$2.10
03100003	Corporate Action Creation Date PDF & CSV	per report download	Monthly		\$2.10
03100003	Pledged Securities PDF & CSV	per report download	Monthly		\$2.10
03100003	Maturing Securities PDF and CSV	per report download	Monthly		\$2.10
03100003	Record Date Report PDF	per report download	Monthly		\$2.10
03100003	Issuer Rep Record Date Report PDF & CSV	per report download	Monthly		\$2.10
03100003	Issuer Rep Holding Report PDF	per report download	Monthly		\$2.10
03100003	Issuer Rep 2nd Run FIS Payments PDF & CSV	per report download	Monthly		\$2.10
03100003	Issuer Rep 1st Run FIS Payments PDF & CSV	per report download	Monthly		\$2.10
03100003	Issuer Rep DSS Maturities Payments PDF & CSV	per report download	Monthly		\$2.10
03100003	Security Details PDF & CSV	per report download	Monthly		\$2.10
03100003	Income Receivable PDF & CSV	per report download	Monthly		\$2.10
03100003	Holding PDF & CSV	per report download	Monthly		\$2.10

Product	Service	Charge Basis	Charge Frequency	Effective Date	Charge (GST Exclusive*)
03100003	Cash List Reports (i.e.; Interim & Final) PDF & CSV	per report download	Monthly		\$2.10
03100003	Cash Report PDF & CSV	per report download	Monthly		\$2.10
03100003	Settlement Instruction PDF & CSV	per report download	Monthly		\$2.10
03100003	Linked Settlement Report PDF	per report download	Monthly		\$2.10
5.2	Archive Reports				
03100003	Production of report	per report	Monthly		\$30.00
03100003	Retrieval of data tape	per tape	Monthly		\$50.00
5.3	Audit Certificates				
33000023	Audit Certificate	per audit certificate	Monthly	1-Jul-24	\$90.00
33000073	Late Audit Certificate	per audit certificate	Monthly	1-Jul-24	\$180.00
6	Network Services				
33000068	Austraclear Internet Connection	Per Participant	Monthly	1-Jan-24	\$618.00
7	Multi-Currency Fees				
7.1	Cash				
33000070	RMB Cash Transfer - Onshore	per side	per transaction, billed monthly	1-Jul-24	\$5.70
33000071	RMB Cash Transfer - Offshore	per side	per transaction, billed monthly	1-Jul-24	\$5.70
33000200	USD Cash Transfer	per side	per transaction, billed monthly	1-Jul-24	\$5.70
8	Rebates				
33000054	Holdings Rebate	50% rebate will apply on fees exceeding \$45,000 per month or \$540,000 per annum (excluding GST). Rebate is capped at \$400,000 per annum. A customer can consolidate its Austraclear accounts to meet the \$45,000 per month threshold.	Monthly	1-Jul-21	
33000069	FIS & Market Repo Trade on Settlement Rebate	25% rebate will apply on all volumes if monthly settlement volumes exceed 9,000 reducing the fee from \$14.00 to \$10.50 (excluding GST). * A customer can consolidate its Austraclear accounts to meet the 9,000 threshold.	Monthly	1-Jul-24	
9	Manual Processing				
33000400	Manual Processing Fee	per hour	Monthly	1-Jul-24	\$200