**ASX Trade IWT/BCP: Declaration Confirming Scope of Participation**

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| --- | --- |
| Participant Name | XYZ |
| Participant Contact Details (Person responsible for conducting the test)  Name:  Phone:  Email: | John Smith  01234567  Test@XYZ.com.au |
| Please list all software names and versions used during this event: | IRESS v1  In-house v1 |

The Industry Wide Test (IWT) / Business Continuity Plan (BCP) is being performed on Saturday 04th May 2024 in order to test and validate the new CANCEL\_ONLY session state, Order Download Service (ODS) and Trade Download Service (TDS) which will be part of Service Release 14.

Please mark each action where applicable:

|  |  |
| --- | --- |
|  | The Participant as named above has successfully completed functional and operational testing activities for ASX Trade BCP test using the above software and versions to the extent required for the Participant’s business model. |
|  |  |
|  | The Participant as named above has successfully completed functional testing activities for Order Download Service (ODS) and Trade Download Service (TDS) files for the above software and versions to the extent required for the Participant’s business model.  The Participant as named above has successfully completed functional testing for handling of, and order cancellation during, the CANCEL\_ONLY session state.  The Participant as named above has no outstanding issues preventing successful connectivity to the ASX SFTP Site for Order Download Service (ODS) and Trade Download Service (TDS). Please note any minor infractions incurred if relevant to expected business operations. If none or not applicable (participant exclusively using third party provider infrastructure), please mark the below “N/A”. |
|  | Please note any major technical issues experienced for any of the above named software including messages not able to be processed or systems not able to connect to and, if known, why. If none, please mark the below “N/A”. |
|  | Please provide any other comments or feedback relevant to the ASX Trade IWT/BCP. |

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (Participant) certifies that it has participated in the ASX Trade IWT/ BCP test, for the designated ASX market and completed testing as indicated above, as appropriate for the nature, scale and complexity of the Participant’s business model.

**SIGNED** for an on behalf of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (Participant)

|  |  |
| --- | --- |
| Authorised Signature | Position |
| Print Name |  |

Please email a scanned copy of the completed and executed Declaration Confirming Scope of Participation to [CTS@asx.com.au](mailto:CTS@asx.com.au) no later than close of business on Friday 17th May 2024