Appendix 3A.6

Notification of call/instalment on  
quoted partly paid equity securities

*Information or documents not available now must be given to ASX as soon as available.  Information and documents given to ASX become ASX’s property and may be made public.*

Please note that two or more corporate actions on the same +security may not run with different record dates if the timetables result in overlapping (but not identical) ex-periods.  It is permissible to run different corporate actions with the same record date except in the case of consolidations or splits, which cannot run at the same time as any other corporate action for that entity.

If you are an entity incorporated outside Australia, for +securities other than CDIs / depository interests, please obtain and provide an International Securities Identification Number (ISIN) for the class(es) of +securities to be created during your corporate action.  The ISIN(s) should be advised in the Further Information section of this form.  Further information on this requirement can be found in the Online Forms section of ASX Online for companies.

\*Denotes minimum information required for first lodgement of this form.

\*\*Denotes information that must be provided on or before +business day 0 of the relevant Appendix 6A or Appendix 7A timetable.

The balance of the information, where applicable, must be provided as soon as reasonably practicable by the entity.

# Part 1 – Entity and announcement details

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| --- | --- | --- |
| **Question no** | **Question** | **Answer** |
| 1.1 | \*Name of entity |  |
| 1.2 | \*Registration type and number  *One of ABN/ARSN/ARBN/ACN or other registration type and number (if “other” please specify what type of registration number has been provided).* |  |
| 1.3 | \*ASX issuer code |  |
| 1.4 | \*The announcement is  *Tick whichever is applicable.* | New announcement  Update/amendment to previous announcement  Cancellation of previous announcement |
| 1.4a | \*Reason for update  *Mandatory only if “Update” ticked in Q1.4 above. A reason must be provided for an update.* |  |
| 1.4b | \*Date of previous announcement to this update  *Mandatory only if “Update” ticked in Q1.4 above.* |  |
| 1.4c | \*Reason for cancellation  *Mandatory only if “Cancellation” ticked in Q1.4 above.* |  |
| 1.4d | \*Date of previous announcement to this cancellation  *Mandatory only if “Cancellation” ticked in Q1.4 above.* |  |
| 1.5 | \*Date of this announcement  *The date of lodgement of the form by the entity via ASX Online.* |  |
| 1.6 | \*Applicable ASX +security code and description for call/instalment  *Please select the security to which the notification applies. Only one security can be selected for each form.* | ASX +security code:  +Security description: |

# Part 2 – Call/instalment details

*Not all dates apply to all entities. Please read the notes carefully and only fill in the relevant items*

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| --- | --- | --- |
| **Question No.** | **Question** | **Answer** |
| 2.1 | \*The entity is a: | No liability (NL) company  Company other than an NL company  Trust |
| 2.2 | \*Is this a final call/instalment  *If “yes” please answer Q2.2a, and don’t answer Part 4 and 5. If “no” go to 2.2b.* | Y / N |
| 2.2a | \*If final call/instalment, does the call/instalment paid +security rank equally with a quoted fully paid +security?  *If “yes” please answer Q2.2b, if “no”, go to Q2.2c.* | Y / N |
| 2.2b | \*Call/instalment paid +security code and description | +Security code:  +Security description: |
| 2.2c | \*If non-ranking, what will the +security description be after the final call is paid? |  |
| 2.3 | \*Call/instalment due date  ***NL COMPANIES****: This date must be not more than 20 business days and not less than ten business days after call notices sent to holders of partly paid securities.*  ***OTHER ENTITIES:*** *This date must be not more than 40 business days, and not less than 30 business days after call/instalment notices are sent to holders of partly paid securities.*  *Please note that the call/instalment due date cannot be changed (even to postpone it or cancel it) any later than 12 noon Sydney time on the last trading day in the partly paid “call unpaid” securities.* |  |
| 2.4 | Date call/instalment notices sent to +security holders  ***NL companies:*** *This date must be at least 10 business days before the call due date and not more than 20 business days before the call due date.*  ***OTHER ENTITIES:*** *This date must be at least 30 business days before the call/instalment due date and not more than 40 business days before the call/instalment due date.* |  |
| 2.5 | \*Last day for on-market trading in partly paid “call unpaid” +securities  ***NL COMPANIES:*** *this date is one business day before the call due date.*  ***OTHER ENTITIES:*** *This date is nine business days before the call/instalment due date. Please note that this date cannot be changed (even to postpone it or cancel it) any later than 12 noon Sydney time on the last trading day in the partly paid “call unpaid” securities.* |  |
| 2.6 | First day of trading on a “call paid” +deferred settlement basis  *This date is the next business day after the last day for on-market trading in partly paid “call unpaid” securities.*  ***NL COMPANIES:*** *this date is the same as the call/instalment due date.*  ***OTHER ENTITIES:*** *this date is eight business days before the call/instalment due date.*  *Please note that this date cannot be changed (even to postpone it or cancel it) any later than 12 noon Sydney time on the last day for on-market trading in partly paid “call unpaid” +securities.* |  |
| 2.7 | Last day for settlement of on-market partly paid “call unpaid” trades  *This date is T+2 from the last day for trading in partly paid “call unpaid” securities.*  ***NL companies:*** *this date is one business day after the call/instalment due date.*  ***Other entities****: this date is seven business days before the call/instalment due date.* |  |
| 2.8 | Call/instalment +record date (last date for entity to accept transfers of partly paid +securities call unpaid.  ***NL companies:*** *This date is three business days after the call/instalment due date.*  ***Other entities****: This date is five business days before the call/instalment due date.*  *Please note that the record date cannot be changed (even to postpone it or cancel it) any later than 12 noon Sydney time on the last trading day in the partly paid “call unpaid” securities.* |  |
| 2.9 | For **entities other than No Liability companies**, entity sends notices to new +security holders and those holders whose holdings have changed since it sent first notices (“second notice”) and lodges a sample notice with ASX.  *This date is four business days before the call/instalment due date.* |  |
| 2.10 | \*Call/instalment +issue date. Last day for the entity to enter the call/instalment paid on the +securities into its register of members and to notify ASX of the number of partly paid +securities that have had the call/instalment paid and the number that have not had the call paid and consequently will be forfeited.  +Deferred settlement trading in call paid +securities ends  ***NL companies:*** *this date is not more than five business days after the record date (last day for entity to accept off-market transfers).* ***Other entities****: This date is not more than five business days after the call/instalment due date. This is the date that the call or instalment paid on the securities is entered into the register.*  *Please note that the issue date cannot be changed any later than 12 noon Sydney time on the previously advised issue date.* |  |
| 2.11 | Normal T+2 trading commences  *This date is the business day after issue date.*  *If the partly paid securities have been fully paid up, they will trade thereafter under the relevant code for the fully paid securities. If the partly paid securities have not been fully paid up, they will trade under a new code for the partly paid securities to indicate that they are “call paid”.* |  |
| 2.12 | First settlement of +deferred settlement trades and normal T+2 trades *This date is three business days after the issue date.* |  |
| 2.13 | \*Call/instalment total amount per +security  *Please provide the total amount of the call/instalment.* |  |
| 2.14 | \*Currency in which the call/instalment is to be paid  *Call currency will be the currency in which all other fields relating to the call/instalment will appear.* |  |
| 2.15 | If the call is not in AUD, AUD equivalent total call/instalment amount per +security  *ASX publishes an AUD equivalent amount for non-AUD calls. If this amount is not provided by the entity it is calculated and published using the RBA rate of exchange on the last day of trading of the partly paid call unpaid securities – item 2.5 above. The entity should only populate this field if an actual amount is known. If amount not known please answer 2.15a. If known go to 2.15b.* |  |
| 2.15a | If AUD equivalent not known, date for information to be released | Estimate or Actual |
| 2.15b | FX rate (in format AUD 1.00 / Declared currency rate): | AUD1.00 / |

# Part 3 – Forfeiture details

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| --- | --- | --- |
| **Question No.** | **Question** | **Answer** |
| 3.1 | Forfeiture sale date  *Refer to Listing Rules 6.12 and 6.13 on forfeiture and divestment of securities.* | Estimate or Actual |
| 3.2 | Forfeiture process  *Please provide a description of the forfeiture process including relevant dates* |  |

# Part 4 – Details of +security following this call

*Part 4 to be completed if call/instalment is not final.*

|  |  |  |
| --- | --- | --- |
| **Question No.** | **Question** | **Answer** |
| 4.1 | \***Paid** amount of the face value of the +security after the call/instalment is paid  *Please provide the amount paid up on the face value of the security* ***after*** *the call/instalment which is the subject of this notice is paid.* |  |
| 4.2 | \***Unpaid** amount of the face value of the +security after the call/instalment is paid  *Please provide the amount unpaid on the face value of the security* ***after*** *the call/instalment which is the subject of this notice is paid.* |  |
| 4.3 | \*Total  *Please provide the total face value of the security (should be the addition of Q4.1 and 4.2).* |  |

# Part 5 – Next call/instalment

*Part 5 to be completed if call/instalment is not final.*

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| --- | --- | --- |
| **Question No.** | **Question** | **Answer** |
| 5.1 | Date on which next call/instalment due  *Please provide the actual or estimated due date for the next call/instalment.* | Estimate or Actual |
| 5.2 | Comments relating to next call/instalment  *Please provide further information relating to the next call/instalment if known e.g. amount.* |  |

# Part 6 – Further Information relating to this call/instalment

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| --- | --- | --- |
| **Question No.** | **Question** | **Answer** |
| 6.1 | Further information relating to this call/instalment  *Please provide any further information relating to this call/instalment.* |  |

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